



OFFICE OF THE CHANCELLOR
BOX 951405
LOS ANGELES, CALIFORNIA 90095-1405

April 15, 2019

President Janet Napolitano
University of California, Office of the President
1111 Franklin Street, 12th Floor
Oakland, CA 94607-5200

Dear President Napolitano:

I am writing to advise you that I have approved the request of Steven Shoptaw, Ph.D., Professor, Departments of Family Medicine and Psychiatry and Biobehavioral Sciences, UCLA David Geffen School of Medicine, to submit a proposal to the University of Cape Town that will flow down research support from the Foundation for a Smoke Free World (FSFW) under a subaward to UCLA. The proposal that he is preparing is entitled "Setting and NRT Effects on Smoking Cessation Outcomes in Cape Town, South Africa." Adele Pretorius, Ph.D., a clinical psychologist at the University of Cape Town, will serve as the PI for the prime award.

The objective for this project is to provide in-country evidence that can be useful in scaling-up smoking cessation efforts in very poor communities within the middle income country of South Africa. The grant application will include nicotine replacement therapy (NRT) as well as behavioral and contextual factors involved in smoking cessation. Under the proposed research, FSFW will provide UCLA with \$548,088 (including indirect costs) over a four-year period. As FSFW, the prime sponsor heavily supported by the tobacco industry, UCLA conducted a review in accordance with Regent Policy 2309.

Professor Shoptaw's proposal was examined independently by three faculty members: Professor Emeritus Donald Tashkin, M.D., Department of Medicine; Professor Lara Ray, Ph.D., Departments of Psychology, and Psychiatry and Biobehavioral Sciences; and Professor Edythe London, Ph.D., Professor of Psychiatry and Biobehavioral Sciences. The reviewers unanimously agree that the project uses sound methodology, and that Professor Shoptaw and his colleagues on this project have the expertise to conduct the proposed study.

Roger Wakimoto, Vice Chancellor for Research, has endorsed the review panel's recommendations. Enclosed for your information is Vice Chancellor Wakimoto's letter dated April 12, 2019. On the basis of the reviews, and upon Vice Chancellor Wakimoto's recommendation, I have approved Professor Shoptaw's request to seek this research support.

Sincerely,

A handwritten signature in black ink, appearing to read "Gene Block". The signature is fluid and cursive, with the first name "Gene" written in a larger, more prominent script than the last name "Block".

Gene D. Block
Chancellor

Enclosure: As stated

cc: Vice Chancellor for Research Roger Wakimoto
Professor Steven Shoptaw
Assistant Vice Chancellor-Research Ann Pollack
Office of the Secretary and Chief of Staff to the Regents

UCLA *Vice Chancellor for Research*

Roger M. Wakimoto, Ph.D.
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April 12, 2019

Chancellor Gene D. Block
2147 Murphy Hall
Mail code: 14051


Dear Chancellor Block:

Professor Steven Shoptaw, Ph.D., Professor, Departments of Family Medicine and Psychiatry and Biobehavioral Sciences, UCLA David Geffen School of Medicine, is seeking research support through a subaward to UCLA from the University of Cape Town that will flow down research support from the Foundation for a Smoke Free World (FSFW). The proposal that he is preparing is entitled "Setting and NRT Effects on Smoking Cessation Outcomes in Cape Town, South Africa." Adele Pretorius, Ph.D., a clinical psychologist at the University of Cape Town, will serve as the PI for the prime award. Professor Shoptaw has advised that the objective for this project is to provide in-country evidence that can be useful in scaling-up smoking cessation efforts in very poor communities within the middle income country of South Africa. The grant application will include nicotine replacement therapy (NRT) as well as behavioral and contextual factors involved in smoking cessation. Under the proposed research, FSFW will provide UCLA with \$548,088 (including indirect costs) over a four-year period.

Regents Policy 2309 governs the acceptance of funding from the tobacco industry by the University of California. UCLA held a special review as mandated by the Regental policy. I asked three UCLA faculty members, Donald Tashkin, M.D., Professor Emeritus of Medicine; Lara Ray, Ph.D., Professor of Psychiatry; and Edythe London, Ph.D., Professor of Psychiatry and Biobehavioral Sciences; to review this proposed study and provide independent recommendations on whether the request for support should be approved. All three conducted independent reviews. All agree that the project uses sound methodology and that Dr. Shoptaw has the expertise to carry out this significant study. I concur with this conclusion.

Regents Policy 2309 requires the Chancellor to make the final determination about whether the campus can submit proposals for research support from the tobacco industry. On the basis of the reviews that Professors Tashkin, Ray, and London have conducted, I recommend you approve this request for support. Regents Policy 2309 requires you to provide Dr. Shoptaw, the UC President, and the Regents with copies of your written determination approving or disapproving this request for support and providing a rationale for the decision. I am also happy to answer any questions you may have.

Sincerely,


Roger M. Wakimoto

Vice Chancellor for Research

cc: Assistant Vice Chancellor-Research Ann Pollack