Attachment 1- Provider-Specified Conditions

One copy of Attachment 1 must be completed and included for **each** UC location providing Assets under this ITUA. This Attachment describes the Assets, their permitted Scope of Use, and other relevant conditions to which the Asset's sharing is subject.

PROVIDER LOCATION:

This Attachment 1 **only** applies to the location named above; attach additional copies for additional locations.

A. Description of Assets *(explanation of the shared Assets; attach additional pages as needed)*

B. Scope of Use *(explanation of the permitted use or limits on use of the Assets; attach pages as needed)*

C. Duration of Sharing. The sharing under this Attachment 1 is effective until either:

- ☐ This expiration date (if desired): _____; and/or
- ☑ Written termination of Attachment 1 by Provider, or by each Recipient on an individual basis.

D. Notification Requirements: In the event of unauthorized use or disclosure of Assets, the offending Recipient must notify the Provider of such within the following timeframe of discovering the offending act by emailing the designated Provider official:

Timeframe: _____

Provider Notice Email: _____

E. Assets Disposition: Upon request by the Provider, or termination or expiration of the ITUA or this Attachment 1, the Recipient must:

- ◉ Return the Assets to the Provider; or
- ○ Securely destroy all Assets and copies, and provide written certification of such to Provider.

F. Safeguards: As checked below, a Recipient must implement appropriate administrative, physical, and technical safeguards to protect the Assets**:**

- ☐ Encryption of research data in transit and at rest.
- ☐ Secure access controls, including password protection and multifactor authentication.
- ☐ Compliance with HIPAA security provisions.
- ☐ Compliance with the California Information Practices Act at Cal. Civ. § 1798-1798.78.
- ☐ Compliance with FERPA at 20 U.S.C. § 1232(g) and 34 C.F.R. § 99.3.
- ☐ Compliance with NIST SP 800-122.
- ☐ Compliance with DOJ Bulk Data Transfer Rule (28 CFR § 202)
- ☐ Annual security audits to ensure ongoing compliance.
- ☐ Additional or specified Safeguards as explained below.
- ☐ **NO** safeguards included.

Additional or Specified Safeguards *(attach additional pages as necessary)*