

Summary of Significant Changes to RGPO GAM 2019-2020

General Changes

- This edition explicitly includes the UC Research Initiatives (UCRI), including UC Cancer Research Coordinating Committee (CRCC), UC Laboratory Fees Research Program (LFRP), and UC Multicampus Research Programs and Initiatives (MRPI).
- This edition explicitly states that with exceptions for some UCRI programs, investigators who are UC employees and who receive any part of their salary through UC must submit grant proposals through their campus contracts and grants office (“Policy on the Requirement to Submit Proposals and to Receive Awards for Grants and Contracts through the University,” Office of the President, December 15, 1994). Exceptions must be approved by the UC campus where the investigator is employed.
- RGPO is transitioning from proposalCentral to SmartSimple. New grants will launch within SmartSimple. Current grants will remain in proposalCentral until each program is migrated into SmartSimple.

1.4 Distribution of Funds

- 1.4.2 Return of Interest: When required by the fully executed research agreement (“contract”), non-UC institutions must maintain advance payments for each RGPO grant award(s) in an interest-bearing account, unless the following apply:
 - The non-UC institution receives less than \$50,000 per year for the RGPO award
 - The depository would require an average or minimum balance so high that it would not be feasible

Interest must be returned to RGPO within 90 days of the award termination, or at the discretion of RGPO. If required interest income is not returned, an action notice will be sent to the grantee institution and all future grant disbursements to the institution from RGPO will be frozen until the earned interest is received. Non-UC recipients are expected to collect and maintain documentation related to the interest rate earned on RGPO grant funds for the duration of the award. This documentation must be provided as part of the annual and final fiscal report submissions.

2.2 Use of Funds

- 2.2.1 Travel Restrictions: Grant expenditures related to travel are required and necessary, and therefore are excluded from AB 1887 restrictions on travel.
- 2.2.3 Pooled Expenses: RGPO policy allows pooled expenses as direct costs if the pooled expenses are certified as allowable, reasonable, allocable, and consistent.

3. Changes During the Award Period

These changes apply specifically to all new awards with a start date of January 1, 2020, or thereafter, and for RFPs issued April 2019 or thereafter.

- Direct Cost Base for Determining Indirect Cost Allocations for Applicable RGPO Awards defines MTDC consistently with Uniform Guidance 2 CFR. Institutions with federally negotiated rates set to a different direct cost base should apply indirect expenses in the same way to RGPO awards. Institutions without a federally negotiated rate may apply a de minimis 10% rate to MTDC.
- For new awards to UC campuses funded on or after January 1, 2020, UC campuses may request an indirect cost of 30% MTDC for projects conducted on campus. For awards to UC campuses made by LFRP, grantees may recover the full federally approved indirect cost rate.

5. Dispute Resolution

- Disputes must be contested in writing to the VP of Research and Graduate Studies.