

Considerations for Conducting the Principle Alignment Review

REVISED BY PORTFOLIO REVIEW GROUP ON 05-24-2013

| Principle #1: Act as one system of multiple campuses to enhance UC's research capacity, influence and advantage. | |
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| Objective | Considerations |
| 1. Provide UC faculty and students with access to unique facilities, resources, and/or opportunities that sustain and extend UC's competitive advantage. | 1. What opportunities, resources or facilities provided by the program can uniquely leverage UCOP funds to position UC as a world leader in current and emerging research, scholarship and/or creative work? |
| | 2. What is the scope of access to these unique systemwide opportunities, resources or facilities for UC faculty, students and researchers? How does it go beyond that which could be achieved through a single campus initiative or activity? |
| | 3. How do the unique systemwide opportunities provided by program help attract and retain faculty, researchers, technical staff and students, significantly enhancing campus recruitment/retention efforts? |
| 2. Enable successful competition for sponsored research projects and grants for which proposals from a single campus would be substantially less competitive. | 1. How does the program enable successful competition for sponsored research projects and grants for which proposals from a single campus would be substantially less competitive? |
| | 2. How does the program's approach to research project development and research project collaboration build systemwide engagement, consensus and support that encompasses (and benefits) multiple campuses? |
| Principle #2: Promote efficient inter-campus collaborations and systemwide economies of scale. | |
| Objective | Considerations |
| 1. Ensure efficient operation/management of shared research, facilities, systems, and/or staff. | 1. How does the program operate/manage systemwide shared research resources, facilities, systems, and/or staff more efficiently than might be managed by a single campus program or initiative? |
| | 2. Is the program's operational efficiency periodically evaluated and how are recommendations for improvement implemented? |
| 2. Demonstrate systemwide engagement and collaboration beyond that present on a single campus. | 1. How does the program regularly engage multiple campuses to encourage and identify opportunities for collaborations and broader participation that can extend and leverage UC's existing research expertise and resources across UC campuses? |
| | 2. How does the program engage additional UC campuses, similar programs, and/or external organizations to promote collaboration, share administrative functions, and avoid duplication of effort to achieve higher efficiencies? |

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| Principle #3: Serve the State and citizens of California. | |
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| Objective | Considerations |
| 1. Collectively impact Californians through research addressing current and emerging issues of strategic importance to the state | 1. How does the program demonstrate UC's commitment to public outreach and inclusion, citizen engagement, and broader public education? |
| | 2. How does the program deliver significant impacts, either locally or across California, in economic, social, environmental, energy, health, security, and/or other areas to serve the public interest? |