

Michael V. Drake, MD President

December 15, 2020

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The Honorable Holly J. Mitchell

Chair, Joint Legislative Budget Committee

Dear Senator Mitchell:

Pursuant to Section 104500 of the Health and Safety Code, I am pleased to enclose the University of California's report to the Legislature on the Tobacco-Related Disease Research Program, 2015-2020.

If you have any questions regarding this report, Associate Vice President David Alcocer would be pleased to speak with you. David can be reached by telephone at (510) 987-9113, or by e-mail at David.Alcocer@ucop.edu.

Sincerely,

Michael V. Drake, MD President

Enclosure

cc:	Senate Budget and Fiscal Review
	The Honorable Richard D. Roth, Chair
	Senate Budget and Fiscal Review Subcommittee #1
	(Attn: Ms. Anita Lee)
	(Attn: Ms. Jean-Marie McKinney)
	The Honorable Kevin McCarty, Chair
	Assembly Budget Subcommittee #2
	(Attn: Mr. Mark Martin)
	(Attn: Ms. Carolyn Nealon)
	Mr. Hans Hemann, Joint Legislative Budget Committee
	Ms. Erika Contreras, Secretary of the Senate
	Ms. Amy Leach, Office of the Chief Clerk of the Assembly
	Mr. Jeff Bell, Department of Finance
	Mr. Chris Ferguson, Department of Finance
	Ms. Rebecca Kirk, Department of Finance

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Mr. Gabriel Petek, Legislative Analyst Office Ms. Jennifer Pacella, Legislative Analyst Office Mr. Jason Constantouros, Legislative Analyst Office Provost and Executive Vice President Michael Brown Executive Vice President and Chief Financial Officer Nathan Brostorm Vice President Theresa Maldonado Senior Vice President Claire Holmes Associate Vice President David Alcocer Associate Vice President and Director Kieran Flaherty

# THE TOBACCO-RELATED DISEASE RESEARCH PROGRAM FIVE-YEAR REPORT: 2015-2020

December 2020



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# 1 Executive Summary

Thirty-two years ago, California voters transformed the state's tobacco control efforts with the passage of Proposition 99, the California Tobacco Health Protection Act of 1988. The Act instituted a 25¢ per pack cigarette surtax and designated 5 percent of revenues (\$0.0125 per pack) to tobacco-related disease research resulting in the formation of the Tobacco-Related Disease Research Program (TRDRP) within the UC Office of the President. The Act also made California the model for a comprehensive state tobacco control program aimed at denormalizing the use of tobacco products.

In 2016, California voters approved Proposition 56, the California Healthcare, Research and Prevention Tobacco Tax Act. Proposition 56 increased the cigarette tax in California from \$0.87 per pack to \$2.87 per pack. This marked the first time since 1989 that cigarette taxes had been increased in California, and it positioned the state as having the ninth highest tax in the country, up from 35th. Proposition 56 revenues are distributed to certain state agencies to pay for implementation costs, with the remaining revenues distributed to other state agencies by a formula. TRDRP receives 5 percent of the remaining revenues after implementation costs. These new funds resulted in an eight-fold increase in the TRDRP budget and created new funding opportunities for researchers throughout the state of California.

# Meeting New Challenges

The tobacco industry and, in turn, the field of tobacco control and research have changed dramatically since the last TRDRP Report to the California Legislature in 2015. New and emerging tobacco products are increasingly popular with populations disproportionately affected by tobacco product use, including youth and adolescents.<sup>1</sup> The introduction of Juul e-cigarettes and an ever-expanding array of vaping products and flavors by the tobacco industry has reversed California's successful reduction of teen tobacco use. In addition, the high nicotine content of these products has resulted in a new type of nicotine addiction that requires new research into evidence-based methods that can help prevent youth initiation and help young users end their dependence on these products.

The recent passage of SB 793 (Hill, Chapter 34, Statutes of 2020), which beginning January 1, 2021 will prohibit the sale of flavored tobacco products in California stores, including vapes and menthol cigarettes, is a critical step to protect the public's health. Three days after the bill was signed by Governor Newsom, a <u>proposed</u> referendum was submitted to the Attorney General of California by three individuals with <u>ties to RJ Reynolds</u> <u>Tobacco</u>. If this referendum qualifies for the ballot, SB 793 will be suspended until the referendum vote in the 2022 general election. As the legal battle over flavored tobacco products plays out, the physiological effects of the flavoring additives used in tobacco products remain unknown. TRDRP has funded researchers who are filling the gaps by analyzing the toxicology and health effects of these products and their flavorings. TRDRP also has informed investigators that their research must quantify the actual chemical composition, including nicotine and

<sup>&</sup>lt;sup>1</sup> The Centers for Disease Control and Prevention describes five key areas of social determinants that affect health: economic stability; education; neighborhood and built environment (i.e., the housing, environmental conditions, and safety of a person's neighborhood); health and health care; and social and community context (i.e., family structure, community civic participation, and perceptions of discrimination and equality).

See <u>https://www.cdc.gov/tobacco/stateandcommunity/best-practices-health-equity/pdfs/bp-health-equity.pdf.</u>

flavoring chemicals, in the substances they are testing. This information will help translate research findings into actionable data to inform state and local policy.

In 2016, voters approved Proposition 64, the Control, Regulate and Tax Adult Use of Marijuana Act, which legalized recreational use of marijuana for people age 21 and older. Marijuana, or cannabis, as it is also called, is frequently used together with tobacco in what is referred to as "blunts." In addition, tetrahydrocannabinol (THC), the chemical responsible for cannabis' hallucinogenic effects, is frequently mixed with nicotine in e-cigarettes. Despite this pervasive co-use, research is sparse about the health impacts of the co-use of cannabis and tobacco. Research on the biological and societal impact of the combined use of these products is needed to inform effective health policies. For this reason, TRDRP funds research that includes cannabis as it relates to tobacco use, tobacco policy, or tobacco-related disease. Prior to 2016, TRDRP had funded only four studies focused on both cannabis and cigarette smoking. As of May 2020, TRDRP has awarded \$27,304,887 for 37 studies involving cannabis.

Overall, California has been remarkably successful in reducing combustible tobacco use. Adult smoking rates declined by 57.4 percent between 1988 and 2017 to a current rate of 10.1 percent — a reduction of about 2.8 million adults. However, adult smokers are disproportionately distributed across California's diverse population. The most recent statistics on demographics of adult tobacco use, published by our sister organization, California Tobacco Control Program (CTCP), in California Tobacco Facts and Figures 2019, show disparities across gender, sexual orientation, race and ethnicity, age, educational attainment, income, health insurance, housing type and community. Disparities are also seen among youth tobacco users, with higher tobacco use rates in LGBTQ, American Indian, and Pacific Islander high school students. To address these disparities, TRDRP has focused its largest research awards on projects that address tobacco-related health disparities and new and emerging tobacco products.

# Pivoting to New Diseases

In March 2019, the U.S. Centers for Disease Control and Prevention (CDC) began receiving reports about patients, many in their teens and early 20s, with serious and, in some cases, deadly lung injuries. In California, the disease, now called <u>E</u>-cigarette or <u>V</u>aping product use-<u>A</u>ssociated <u>L</u>ung <u>I</u>njury (EVALI), has affected people throughout the state, with 31 of 58 counties reporting cases. TRDRP-funded researchers were able to pivot quickly to address this new disease. One study, published in January 2020, found that lung injury symptoms have been reported online within vaping forums for at least seven years, suggesting that health problems experienced by vapers have been underreported or were not previously linked to vaping. TRDRP has funded additional studies into the effect of e-cigarettes on lung physiology and anticipates that this research will advance the understanding of the physiological effects of e-cigarettes.

When the COVID-19 pandemic surged in California, the UC Merced Nicotine and Cannabis Policy Center (NCPC), the first TRDRP-funded policy center, was quick to respond. NCPC, established in August 2018 to address tobacco and cannabis issues affecting communities in the San Joaquin Valley, was at the forefront of educating communities that smokers and former smokers may be more susceptible to COVID-19 and were more likely to get very sick and require intensive respiratory assistance. They also made the public aware that adults who breathed in secondhand smoke were at greater risk for COVID-19 because these exposures weaken the immune system, and make individuals more susceptible to the novel coronavirus.

# TRDRP Grantmaking Practices: Strategic, Rigorous, and Agile

In 2014, TRDRP initiated a strategic planning process to reevaluate and update the program's funding priorities and mechanisms in ways that would better position the program to respond to new challenges and evolving biomedical and scientific advances. This process has guided TRDRP's grant making activities over the past five years.

# Award Types and Research Priorities

In 2015, TRDRP revised its award types and research priority areas as part of a strategic planning process. Awards were expanded to include training and career development awards, as well as awards for exploratory/developmental research and more substantial research award mechanisms. The 2015-2020 research priority areas include the following:

- Tobacco-related health disparities,
- Neuroscience of nicotine addiction,
- Early diagnosis of tobacco-related cancers,
- Cardiopulmonary disease,
- Environmental exposure and toxicology, and
- State and local tobacco control policies and regulations.

### STRATEGIC RESEARCH INITIATIVES

TRDRP has invested \$21.7 million since 2015 in thirty-five awards addressing four Strategic Research Initiatives (see <u>table 2</u>). These initiatives address gaps in federal funding and gaps in specific research topic areas. One of the most successful of these initiatives is the Thirdhand Smoke Consortium, which was first funded beginning in 2011 and has conducted groundbreaking research into the health effects of the residue left by indoor tobacco product use (see <u>section 3.2.5</u> for more detail). Three examples of other new initiatives are as follows:

- A UC Natural Reserve System initiative to evaluate the environmental impact of tobacco and marijuana use in California funded in 2019.
- The Community Practice-Based Research (CPBR) initiative which funds collaborative health service research projects aimed at identifying clinical, structural, and organizational factors that contribute to or create barriers to the delivery of evidence-based tobacco cessation treatments for lower-income people enrolled in Medi-Cal. Medi-Cal patients make up the majority of the state's tobacco users funded in 2018.
- The Rapid Response Research to Accelerate Policy initiative, which supports teams of researchers who are working in partnership with advocates, community members, policymakers and other decision makers to identify and conduct research on emerging local tobacco policy issues and to disseminate the research findings for community benefit, funded in 2020.

### A FOCUS ON COMMUNITY ENGAGEMENT

Over the past five years, TRDRP has remained committed to ongoing efforts to disseminate findings from its research and initiatives to benefit communities throughout California. TRDRP has also created new opportunities to involve community-based organizations and community-level health clinics in the research process. TRDRP now requires that all applicants include a Community Engagement Plan in their grant proposals. Community members or community organizations can be involved at all stages of research, from development and implementation to dissemination.

#### **PROGRAM EXPANSION**

The passage of Proposition 56 in 2016 significantly expanded TRDRP funding, from an annual \$7 million to \$12 million from Proposition 99 to between \$51 million and \$87 million annually, allowing for increasing award levels and years of funding for all research award types (see <u>table 3</u>). TRDRP added additional funding cycles to meet the volume of applications for the increased funding, expanded the scope of research in key areas listed above, and added the following new research priorities:

- Oral diseases and dental health, and
- Other tobacco-related diseases.

# Looking Forward

TRDRP's research priorities share the common objective of ending tobacco-related health disparities, assessing the health impacts of new and emerging tobacco products, and identifying health and behavioral effects of added flavors and nicotine. TRDRP has continuously encouraged and supported critical research needed to inform the state's tobacco control activities and improved the care for Californians with tobacco-related diseases. The fact that smoking prevalence remains highest among populations that are plagued by other health disparities means that TRDRP will continue to fund research in this area and to encourage new approaches that will result in reduced smoking prevalence for all. This is directly aligned with the cross cutting California Endgame Initiative, led by the California Tobacco Control Program, which seeks to end the sale and use of all tobacco products in the state by the year 2035.

To that end, TRDRP embarked on a new strategic planning process in 2019. The process culminated in these goals for the next five years:

- Serve as the leader in cutting-edge tobacco research by identifying and advancing innovative funding strategies that will drive policy and systems change;
- Utilize collaborative and interdisciplinary approaches to identify key research needs and to implement effective dissemination strategies for impactful tobacco control policymaking;
- Support communities most vulnerable to tobacco-related health disparities by providing real time, relevant, and actionable research findings to promote health equity and reduce negative impacts of tobacco in all California communities; and,
- Strive for excellence in the stewardship of grants and grantmaking operations by leveraging key partnerships, evaluating and improving processes and procedures, and enabling staff development.

These goals will better enable TDRDP to provide the State of California with the timely, informative data it needs to support tobacco control efforts and improved health for all Californians.

# 1.1 Required Reporting Elements

This report has been prepared by the University of California, pursuant to California Health and Safety Code, Section 104500(c). The following required reporting elements are addressed in this report:

1.1.1 The number and total dollar amounts of funded and pending research grants, including the amount allocated to indirect costs.

From July 1, 2015, through June 30, 2020, TRDRP awarded nearly \$200 million for 380 research projects. As of July 1, 2020, TRDRP approved nearly an additional \$50 million in funding for 129 new grants. Information about the grants awarded in each research priority area and by fiscal year is shown in Tables 1 and 2.

PRIORITY AREA	NUMBER OF PROJECTS	AMOUNT FUNDED	PERCENT OF DOLLARS FUNDED
Social and behavioral prevention and treatment	105	\$55,757,654	23.1%
Cancer prevention, treatment, and biology	114	\$44,650,467	18.5%
Environmental exposure and toxicology	67	\$41,369,372	12.5%
Cardiovascular and cerebrovascular diseases	62	\$30,065,264	17.1%
State and local tobacco control policy research	41	\$22,353,954	8.1%
Pulmonary biology and lung diseases	39	\$21,189,114	2.4%
Neuroscience of nicotine addiction and treatment	35	\$19,491,676	8.8%
Oral diseases and dental health	13	\$5,714,138	9.3%
COVID-19 emergency seed funding	33	\$815,805	0.3%
TOTALS	509	\$241,407,444	100%

Table 1: TRDRP Research Awards Committed to Fund from July 1, 2015 to June 30, 2020 by Priority Area

#### Table 2: Project Commitments 2016 – 2020, by Fiscal Year

FISCAL YEAR	2015-2016	2016-2017	2017-2018	2018-	2019 <sup>1</sup>	2019-2020 <sup>1</sup>		5 YEAR	PENDING GRANTS⁴	GRAND
GRANT CYCLE	24	25	26	27	28	29	30	SUMMARY	31	TOTAL
INDEPENDENT INVESTIGATOR- INITATED AWARDS	23	21	46	43	47	42	27	249	91	340
Direct Costs	\$6,850,144	\$5,694,290	\$12,256,828	\$24,609,412	\$29,697,366	\$22,704,938	\$14,177,422	\$115,990,400	\$29,790,342	\$145,780,742
Indirect Costs <sup>2</sup>	\$2,328,953	\$2,296,166	\$4,747,001	\$8,600,010	\$10,118,837	\$7,338,769	\$5,742,758	\$41,172,494	\$12,119,719	\$53,292,213
Total Grant Costs	\$9,179,097	\$7,990,456	\$17,003,829	\$33,209,422	\$39,816,203	\$30,043,707	\$19,920,180	\$157,162,894	\$41,910,061	\$199,072,955
TRAINEE INVESTIGATOR- INITIATED AWARDS	7	8	3	12	16	21	29	96	31	127
Direct Costs	\$468,550	\$662,666	\$330,000	\$2,004,585	\$2,255,768	\$3,100,453	\$4,463,840	\$13,285,862	\$4,991,872	\$18,277,734
Indirect Costs <sup>2</sup>	\$33,452	\$53,025	\$17,744	\$67,959	\$0	\$0	\$0	\$172,180	\$0	\$172,180
Total Grant Costs	\$502,002	\$715 <i>,</i> 691	\$347,744	\$2,072,544	\$2,255,768	\$3,100,453	\$4,463,840	\$13,458,042	\$4,991,872	\$18,449,914
SPECIAL INITIATIVE AWARDS <sup>3</sup>	12	3	2	3	13	2	0	35	7	42
Direct Costs	\$975,794	\$635,442	\$10,000	\$1,155,000	\$13,782,426	\$493,210	\$0	\$17,051,872	\$1,588,819	\$18,640,691
Indirect Costs <sup>2</sup>	\$244,387	\$129,481	\$750	\$696,482	\$3,606,478	\$43,772	\$0	\$4,721,350	\$522,534	\$5,243,884
Total Grant Costs	\$1,220,181	\$764,923	\$10,750	\$1,851,482	\$17,388,904	\$536,982	\$0	\$21,773,222	\$2,111,353	\$23,884,575
TOTAL PROJECTS FUNDED	42	32	51	13	34	12	21	380	129	509
Total Direct Costs	\$8,294,488	\$6,992,398	\$12,596,828	\$73,50	)4,557	\$44,93	39,863	\$146,328,134	\$36,371,033	\$182,699,167
Total Indirect Costs <sup>2</sup>	\$2,606,792	\$2,478,672	\$4,765,495	\$23,08	39,766	\$13,12	25,299	\$46,066,024	\$12,642,253	\$58,708,277
Total Funds Disbursed	\$10,901,280	\$9,471,070	\$17,362,323	\$96,59	94,323	\$58,06	55,162	\$192,394,158	\$49,013,286	\$241,407,444

<sup>1</sup> The number of grant cycles per year increased from one to two during the first two years of Prop 56 funding.

<sup>2</sup> Indirect cost rates are negotiated by an individual institution with their federal counterpart. Non-UC institutions may charge TRDRP their federal indirect cost rate while UC institutions are limited to 30% IDC rate per UC policy. Indirect costs are used to fund grant administrative services, lab operations, and maintenance, depreciation, and debt services taken on for new construction to provide researchers with modern facilities. Beginning in grant cycle 28, TRDRP disallowed institutions to charge indirect costs on predoctoral and postdoctoral awards. This is consistent with the practice of the National Institutes of Health Ruth L. Kirschstein National Research Service Awards (Kirschstein-NRSA).

<sup>3</sup> Special Initiative awards include special projects, conference awards, policy centers, thirdhand smoke consortium, environmental impacts of tobacco & cannabis pilot award, community partnered participatory research grants, and community practice-based grants.

<sup>4</sup> Grants for Cycle 31 have been committed, not yet paid, and include COVID-19 Emergency Seed Awards.

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# 1.1.2 LISTS OF FUNDED GRANTS, INCLUDING INVESTIGATORS, INSTITUTIONS, PROJECT TITLES, AND TOTAL FUNDS AWARDED

<u>Section 2</u> of the report includes descriptions of a selection of grants. <u>Appendix II</u> provides a list of all grants awarded during the period covered by this report. Since its inception, TRDRP has overseen 31 grant cycles and funded close to 2,000 research grants totaling over \$650 million to investigators who have advanced the research field, informed innovative evidence-based treatment and prevention efforts, enhanced tobacco control efforts, and addressed the health and economic consequences of tobacco use.

# 1.1.3 PROGRAM ACCOMPLISHMENTS

<u>Section 2.3</u> of the report covers the accomplishments of the program over the past five years with an emphasis on how these accomplishments have supported the goals set out by the Tobacco Education and Research Oversight Committee (TEROC) in its 2016 and 2018 Master Plans. Research conducted by TRDRP Grantees has provided evidence used to inform the Proposition 56 legislation, which instituted a \$2 tax increase on tobacco products as well as SB793 which prohibits the sale of flavored tobacco products in California stores, including vapes and menthol cigarettes.

# 1.2 TRDRP Financial Tables

TRDRP funds high quality, innovative research that advances knowledge needed to improve tobacco control and the prevention and treatment of tobacco-related diseases. Proposition 99 and Proposition 56 income that made these grants possible is shown in Table 3, while Table 4 details the operational expenses incurred.

FISCAL YEAR	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020	5 YEAR SUMMARY
Allocation (Proposition 99)	\$10,133,000	\$12,939,000	\$10,149,000	\$7,407,000	\$11,436,000	\$52,064,000
Allocation (Proposition 56)	-	-	\$81,956,000	\$57,754,466	\$58,200,368	\$197,910,834
REVENUE TOTAL	\$10,133,000	\$12,939,000	\$92,105,000	\$65,161,466	\$69,636,368	\$249,974,834

#### Table 3: TRDRP Income 2015-2020

### Table 4: Expenditures for Administration and Program Support, 2015-2020

FISCAL YEAR	2015-2016	2016-2017	2017-2018	2018-2019	2019-2020	5 YEAR SUMMARY
Administration	\$441,611	\$418,873	\$571 <i>,</i> 170	\$783 <i>,</i> 724	\$665,959	\$2,881,337
% total funds*	2%	2%	1%	1%	1%	1%
Research Evaluation and Dissemination	\$1,032,898	\$971,517	\$2,251,541	\$2,371,500	\$2,401,621	\$9,029,077
% total funds*	4%	4%	3%	4%	4%	4%

\* This is calculated as a percent of the total revenue in Table 3.

# 2 Origin, Evolution and Impact

# 2.1 Origin and Mission of TRDRP

Thirty-two years ago, California voters transformed the state's tobacco control efforts with the passage of Proposition 99, the California Tobacco Health Protection Act of 1988. The Act instituted a 25¢ per pack cigarette surtax, and designated 5 percent of revenues (\$0.0125 per pack) to be dedicated to tobacco control research and tobacco-related disease research. In the 2019-20 fiscal year, this amounted to \$11.4 million. The Act also made California the model for a comprehensive state tobacco control program aimed at denormalizing the use of tobacco products.

The Cigarette and Tobacco Products Surtax Fund consists of six accounts in which specific percentages of the revenue collected through the Tobacco Health Protection Act of 1988 are deposited annually (Figure 1). The funds are dedicated to tobacco-related research supports studies on the prevention and treatment of tobacco-related diseases in California. This includes research in biomedical sciences, nicotine dependence, epidemiology, social behavioral science, and policy. More recently, it also has encompassed research directed at identifying harms associated with new and emerging tobacco products, addressing the adolescent vaping epidemic, and investigating the public health impact of the legalization of cannabis in California.

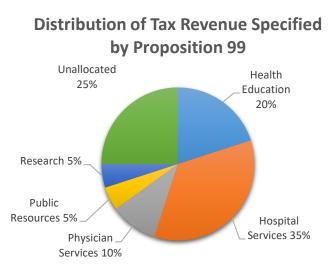


Figure 1: TRDRP receives 5 percent of revenue from Proposition 99

Proposition 99 mandated the creation of a Tobacco Education and Research Oversight Committee (TEROC) to lead and oversee tobacco prevention efforts in California funded by the surtax. Three state agencies receive funding to carry out these efforts:

- California Department of Public Health, which directs its efforts through its California Tobacco Control Program;
- California Department of Education, which instituted a Tobacco-Use Prevention Education Program; and •
- ٠ University of California Office of the President, which houses TRDRP within its Research Grants Program Office (RGPO) in Oakland.

Per legislative mandate<sup>2</sup>, the revenues from the research account are administered by the University of California. The University established TRDRP to oversee and coordinate the research program. TRDRP's aim is to reduce the human and economic costs of tobacco use through innovative research and dissemination of those results.

1

<sup>&</sup>lt;sup>2</sup> Revenue and Taxation Code Sections 30122(b), 30123, and 30124

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# 2.1.1 PROPOSITION 56: TOBACCO TAX INCREASE INITIATIVE

In 2016, California voters approved Proposition 56, the California Healthcare, Research and Prevention Tobacco Tax Initiative. Proposition 56 increased the cigarette tax in California from \$0.87 per pack to \$2.87 per pack. This marked the first time since 1989 that cigarette taxes had been increased in California, and it positioned the state as having the ninth highest tax in the country, up from 35th.

The University of California receives funds from Proposition 56 through a multi-step formula that is overseen by the California Department of Tax and Fee Administration. A percentage or fixed dollar amount of Proposition 56 funds are first distributed to five state agencies to pay for implementation costs. The University of California receives \$40 million per year from this allocation to increase the number of physicians trained and working in California. The remaining revenues are distributed to other state agencies, including 5% to TRDRP to fund research into tobacco-related diseases.<sup>3</sup> These new funds resulted in an eight-fold increase in TRDRP's program budget and created new funding opportunities for researchers throughout the state of California. The increased funding from Proposition 56 permitted TDRDP to increase total award commitments from \$17,100,772 in FY 2017-18 to \$96,594,323 in FY 2018-19.

TRDRP quickly built upon its decades of expertise to scale up its grantmaking programs in response to the additional revenue. Importantly, under the guidance of the Research Grants Program Office (RGPO), TDRDP introduced a second application cycle in FY 2018-19. Providing two grant cycles each year allowed researchers to respond quickly to new developments in tobacco use, such as vaping and tobacco co-use with cannabis.

# 2.1.2 Setting the Research Agenda

TRDRP funds both UC campuses and non-UC institutions through a competitive peer review process that distributes funds based on scientific and programmatic merit. TDRDP has remained nimble and responsive, most recently by focusing its most substantial awards, the Research Project Awards, to tobacco-related health disparities and new and emerging tobacco products.

The Research Project Awards that focus on health disparities study tobacco-related diseases, tobacco product use, industry marketing, and tobacco-related policies that affect priority populations whose tobacco use often aggravates other social, economic, and health disparities. These groups include individuals who are:

- Current members of the military and veterans;
- Employed in blue-collar jobs, agriculture, and the service industry;
- School-aged youth and young adults;
- Incarcerated and formerly incarcerated individuals;
- Pregnant or breastfeeding;
- Racial/ethnic minorities;
- Rural residents; and
- Sexual/gender minorities.

These groups also include individuals who have:

- Mental illness or substance use disorders,
- Low socioeconomic status,
- Disabilities, and
- Limited education.

<sup>&</sup>lt;sup>3</sup> Revenue and Taxation Code Section 30130.55 and 30130.57

<sup>2</sup> THE TOBACCO-RELATED DISEASE RESEARCH PROGRAM FIVE-YEAR REPORT: 2015-2020 DECEMBER 2020

TRDRP's Research Awards also made it possible for tobacco researchers to quickly ramp up their research on the social, economic, and health impacts of new and emerging tobacco products, such as electronic (e)-cigarettes, and heated tobacco products such as iQOS and hookah. These products have quickly and dramatically eroded the state's decades-long efforts to prevent California's youth from developing addictions to nicotine. With these grants, researchers are providing insight into, for example, the following:

- How emerging tobacco products affect the lungs,
- The way cartoon-based marketing strategies for e-cigarettes draw in adolescents,
- Fetal nicotine exposure through e-cigarettes,
- Transgenerational asthma induced by parental tobacco product use,
- How alternative tobacco products and alcohol lead to cancer-causing mutations, and
- The health effects of secondhand and thirdhand e-cigarette aerosol.

# 2.1.3 PROPOSITION 64: RESPONDING TO CANNABIS LEGALIZATION

In 2016, voters approved Proposition 64, the Control, Regulate and Tax Adult Use of Marijuana Act, which legalized recreational use of marijuana for Californians age 21 and older. Tobacco educators and researchers recognized this legislation would directly affect tobacco prevention efforts, and in its 2018-2020 Master Plan, the TEROC directed TRDRP to "study the health consequences of the exclusive, combined and co-use of new tobacco products and cannabis." This included rigorous scientific research into the social and policy consequences of combusted and aerosolized cannabis and its impact on the health of Californians in general and priority populations in particular, including potential increased risks for lung disease, heart disease, and cancer as well as possible health benefits. Prior to 2016, TRDRP had funded only four studies focused on both cannabis and cigarette smoking. As of May 2020, TRDRP has awarded \$30,810,516 for 40 studies involving cannabis (see Table 15). These studies are investigating topics such as the following:

- Impact of tobacco and cannabis on environmental pollution;
- Effects of cannabis and tobacco use on fetal development;
- Tobacco legislation and its impact on cannabis and nicotine co-use;
- Long-term impact of cannabis exposure on the adolescent brain;
- Second and thirdhand exposure to tobacco, e-cigarettes, and cannabis in multi-unit housing; and
- Effects of nicotine, e-cigarettes, and cannabis on the gut.

TRDRP also helped support and plan the <u>North American Cannabis Summit</u>, a three-day conference held in Los Angeles in January 2019 that brought together more than 600 participants from six countries to discuss the rigorous research necessary to develop evidence-based approaches to cannabis public policies and practices.

# 2.2 Evolution of Tobacco Control & Challenges

The tobacco industry and, in turn, the field of tobacco control and research has changed dramatically since TRDRP's last Report to the California Legislature in 2015. The introduction of e-cigarettes, such as Juul, and an ever-expanding array of vaping products and flavors by the tobacco industry has reversed California's successful reduction of teen tobacco use. The high nicotine content of these products has resulted in a new type of nicotine addiction that requires new research into identifying the evidence-based methods that can help youth stop using these products. Vaping and its use together with cannabis (co-use) also lead to a dangerous newly identified lung disease: E-cigarette or Vaping product use-Associated Lung Injury, or EVALI. Nationwide, the increase in adolescent vaping from 2017 to 2018 seen in the annual Monitoring the Future survey<sup>4</sup> was the largest recorded in the past 43 years for any adolescent substance use, with the percentage of 12<sup>th</sup> grade students who reported vaping nicotine in the past 30 days nearly doubling, from 11 percent to 21 percent. This translates to one in five 12<sup>th</sup> grade students vaping nicotine in the last 30 days. Nicotine vaping also increased dramatically among 10<sup>th</sup> grade students, doubling from 8 percent to 16 percent, the largest percentage point increase ever seen in this survey; among 8<sup>th</sup> graders, numbers increased from 3.5 percent to 6.1 percent. This totals to at least 1.3 million more students vaping nicotine in 2018 than in 2017. Over the next year, the percentage of student vapers continued to rise, with the Monitoring the Future survey finding 25.5 percent of 12<sup>th</sup> graders, 19.9 percent of 10<sup>th</sup> graders and 9.6 percent of 8<sup>th</sup> graders reporting vaping nicotine in 2019 (figure 2).

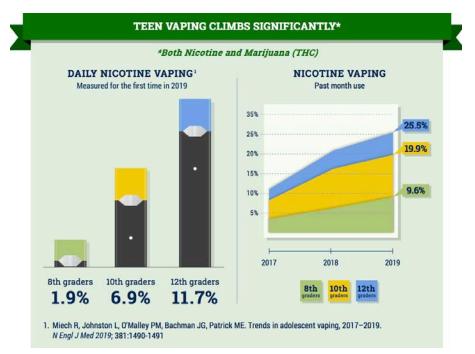


Figure 2: Teen nicotine vaping has increased over the past several years (source: Centers for Disease Control and Prevention)

Research has shown the vaping epidemic among youth has been fueled by the sweet, child-friendly flavors developed and marketed by e-cigarette manufacturers. The 2009 Family Smoking Prevention and Tobacco Control Act banned flavors other than menthol in cigarettes, but not in other types of tobacco products. E-cigarette manufacturers used this loophole to their advantage, and began marketing flavors such as cotton candy, gummy bear, and chocolate. A study published in 2017 in the American Journal of Preventive Medicine that investigated flavored tobacco product use in close to 46,000 U.S. youth and adults found that 81 percent of youth who vape and 86 percent of young adults who vape reported their first product was flavored. The study also found that for both youth and adults, being introduced to tobacco through a flavored product resulted in more tobacco use. Among youth who use flavored tobacco products, a 13 percent higher prevalence of current tobacco use was seen; for adults, there was a 32 percent higher prevalence of current tobacco use.

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<sup>&</sup>lt;sup>4</sup> The Monitoring the Future survey has measured drug and alcohol use and related attitudes among adolescent students nationwide since 1975. The survey is funded by the National Institute on Drug Abuse (NIDA), a component of the National Institutes of Health (NIH), and is conducted by the University of Michigan.

#### Figure 3. Tobacco use rate among California by age group and product type, 2016-17

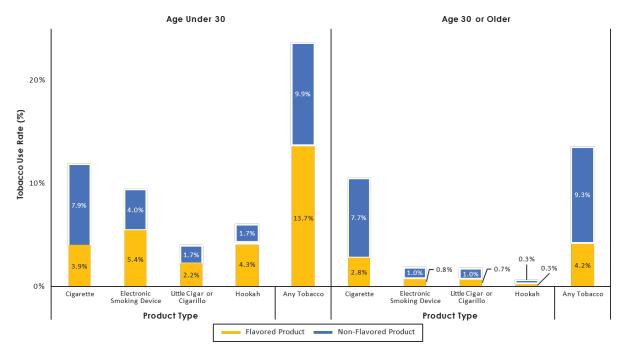


Figure 3: Use of flavored tobacco products in youth is much higher in youth than adults. Note: Cigarette and electronic smoking device use are based on self-reported current use. Cigar (not shown), hookah, little cigar or cigarillo, and smokeless tobacco (not shown) use are based on self-reported past 30-day use. Any tobacco use is based on current use of cigarette or electronic smoking device or past 30-day use of cigar, hookah, little cigar or cigarillo, hookah, or smokeless tobacco. Source: Behavioral Risk Factor Surveillance System, 2016-17. Sacramento, CA: California Department of Public Health; October 2018. California Tobacco Facts and Figures 2019

California is no exception. A study funded by TRDRP published in <u>Pediatrics</u> in 2019 by researchers at the USC Tobacco Center of Regulatory Science, found that teenagers who vaped nontraditionally flavored e-cigarettes, including mint and menthol, were more likely to continue vaping than were teens who used flavorless e-cigarettes or traditional cigarette flavors. The senior author of this study received a Research Award from TRDRP in 2018 to investigate whether vaping attracts a broad segment of low-risk youth and young adults into nicotine or cannabis use and leads to regular use of these products.

# 2.2.1 PUBLIC POLICY ADVANCES

The federal government has been the primary entity responsible for e-cigarette regulation. However, new product development has been outpacing regulations that could limit youth use of nicotine products. The U.S. Food and Drug Administration (FDA) officially took over authorization for marketing approval for all electronic nicotine delivery systems (ENDS), such as e-cigarettes, on August 8, 2016. At that time, the FDA said it would prioritize its regulatory efforts on flavored, cartridge-based products (other than a tobacco- or menthol-flavored ENDS product) that had not submitted a premarket tobacco product application. However, it was not until rates of adolescent use of e-cigarette products continued to skyrocket that the FDA responded to public calls for better control of these products.

In February 2020, new FDA regulations went into effect that banned all flavored vaping cartridges other than menthol or tobacco. However, the ban did not include flavored nicotine products for open tank devices, such as Puff Bar, which began to gain popularity among youth as Juul cut back on its flavored nicotine. A <u>study</u> by the FDA's Center for Tobacco Products and the U.S. Centers for Disease Control and Prevention (CDC)'s Office on Smoking and Health published in the Journal of the American Medical Association in November 2019 found that these products were gaining in popularity among high school students.

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Over the past five years, the state of California has taken important steps to curb teen use of tobacco products. On June 9, 2016, California became the second state in the country to make 21 the minimum age to purchase tobacco, e-cigarettes, and vaping products. Three years later, on December 20, 2019, President Trump signed legislation that made it illegal for tobacco products to be sold to anyone under 21 throughout the country.

Although the FDA heeded calls to regulate these products and ban flavors that attract youth, it did not regulate the flavor that continues to drive health disparities in tobacco use: menthol. Banning all flavors other than menthol disproportionately affects Black communities. It is widely recognized that the tobacco industry has historically — and continues to — aggressively market menthol cigarettes and other menthol-flavored tobacco products to the Black community. <u>Studies</u> show that 7 out of 10 Black youth who use tobacco use smoke menthol cigarettes, and that Black adults are more likely to smoke menthol cigarettes than adults of other racial or ethnic groups.

Following the example of the Beverly Hills City Council, which in June 2019 voted to outlaw sales of most tobacco products, cities may be considering similar actions. The Beverly Hills City ordinance—believed to be the first in the country—goes into effect in 2021 and will prohibit the sale of any nicotine product in gas stations, convenience stores, pharmacies, and grocery stores. An <u>evaluation of California's Tobacco 21</u> law by the California Tobacco Control Program and the Institute for Population Health Improvement at the University of California concluded that campaigns to raise Californian's awareness that the minimum age to purchase tobacco had been raised from 18 to 21 appeared to have reduced illegal tobacco sales to youth under 18.

#### 2.2.2 TOBACCO-RELATED HEALTH DISPARITIES

Tobacco use increases a person's risk of developing or dying from cancer and diseases that affect the heart and lungs. Symptoms and side effects of these diseases or their treatments can reduce quality of life, limit employment opportunities, and have devastating financial impacts. Overall, California has been remarkably successful in reducing tobacco use. Adult smoking rates declined by 57.4 percent between 1988 and 2017 to a current rate of 10.1 percent or about 2.8 million adults (Figure 4).

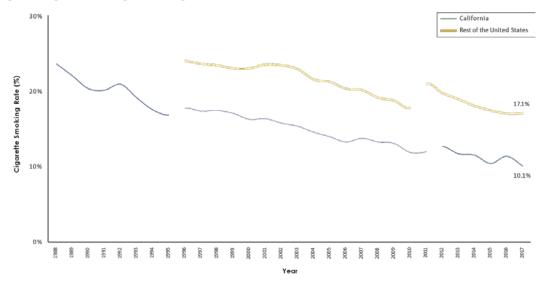


Figure 4. Cigarette smoking rate among California adults, 1988 to 2017

Figure 4: California leads the nation in reducing cigarette smoking Note: Restricted to respondents aged 18 or older. Cigarette use is based on selfreported current use. A break in the trend line is shown for California data between 1995 and 1996 and between 2011 and 2012 due to methodological change. A break in the trend line is shown for the Rest of the United States data between 2010 and 2011 due to methodological change. Source: (1) Behavioral Risk Factor Surveillance System, 1988 to 2017. Sacramento, CA: California Department of Public Health; October 2018. (2) Behavioral Risk Factor Surveillance System, 1996 to 2017. Atlanta, GA: Centers for Disease Control and Prevention; October 2018, California Tobacco Facts and Figures, 2019

But these 2.8 million adults do not uniformly reflect California's population. The most recent statistics on adult and youth cigarette use demographics (figure 5) show disparities across gender, sexual orientation, race and ethnicity, age, educational attainment, income, health insurance, housing type and community.

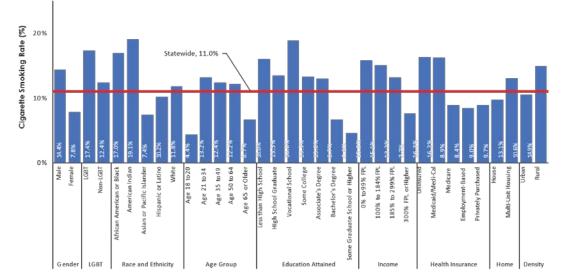


Figure 5. Cigarette smoking among California adults by demographics, 2016-17

Figure 5: Cigarette Smoking is much higher among ethnic, gender and racial minorities and among rural and people with a low socioeconomic status. (source: California Tobacco Facts and Figures 2019)

Disparities are also seen among youth tobacco users, with higher tobacco use rates seen in LGBTQ, American Indian, and Pacific Islander high school students (figure 6).

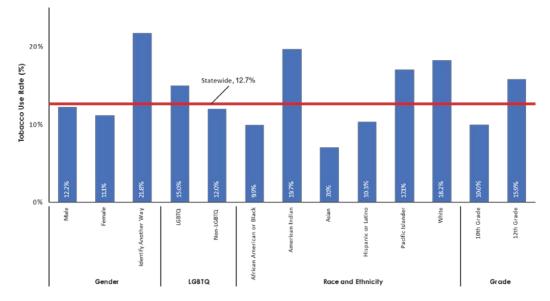


Figure 6. Tobacco use rate among California youth by demographics, 2018

Figure 6: Tobacco use disparities also exist among California youth. Note: Restricted to respondents aged 18 or older, except for LGBT status, which is restricted to respondents aged 18 to 70 (the statewide rate for aged 18 to 70 is 11.8 percent). Cigarette use is based on self-reported current use. The race and ethnicity categories are non-Hispanic or Latino unless otherwise noted. American Indian includes Alaska Native. Asian or Pacific Islander includes Native Hawaiian. LGBT refers to lesbian, gay, bisexual, or transgender. FPL refers to the Federal Poverty Level. Rural is based on definition from the Nielsen Consumer Activation, where the population density is fewer than 1,000 persons per square mile. Source: California Health Interview Survey, 2016-17. Los Angeles, CA: UCLA Center for Health Policy Research; October 2018, California Tobacco Facts and Figures 2019.

Geographical differences in smoking rates are also reflected in these statistics. As this map of California makes clear (figure 7), not all regions of the state experience the same level of tobacco use, creating health disparities that can devastate individuals, families, communities, and economies.

County	Rate	County	Rate
Alameda	10.0%	Orange	10.5%
Alpine	15.3% (a)	Placer	10.2%
Amador	15.3% (a)	Plumas	20.3% (b)
Butte	17.3%	Riverside	11.8%
Calaveras	15.3% (a)	Sacramento	13.6%
Colusa	19.8% (c)	San Benito	10.6%
Contra Costa	11.8%	San Bernardino	14.4%
Del Norte	20.3% (b)	San Diego	11.1%
El Dorado	14.6%	San Francisco	11.0%
Fresno	14.9%	San Joaquin	11.6%
Glenn	19.8% (c)	San Luis Obispo	11.8%
Humboldt	16.9%	San Mateo	9.3%
Imperial	11.4%	Santa Barbara	9.6% (e)
Inyo	15.3% (a)	Santa Clara	7.8%
Kern	16.1%	Santa Cruz	13.4%
Kings	15.5%	Shasta	19.4%
Lake	25.1%	Sierra	20.3% (b)
Lassen	20.3% (b)	Siskiyou	20.3% (b)
Los Angeles	11.0%	Solano	14.5%
Madera	16.1%	Sonoma	12.3% (d)
Marin	8.7%	Stanislaus	17.1%
Mariposa	15.3% (a)	Sutter	13.9%
Mendocino	15.9%	Tehama	19.8% (c)
Merced	14.7%	Trinity	20.3% (b)
Modoc	20.3% (b)	Tulare	10.4%
Mono	15.3% (a)	Tuolumne	15.3% (a)
Monterey	11.7%	Ventura	9.6% (e)
Napa	12.3% (d)	Yolo	5.6%
Nevada	15.6%	Yuba	22.4%

Figure 7. Cigarette smoking rate among California adults by geographic regions, 2015-17

Figure 7: Higher rates of smoking are found in rural counties. Note: Restricted to respondents aged 18 or older. Cigarette use is based on selfreported current use. Several counties were categorized together to produce stable estimates: (a) Alpine, Amador, Calaveras, Inyo, Mariposa, Mono, Tuolumne; (b) Del Norte, Lassen, Modoc, Plumas, Sierra, Siskiyou, Trinity; (c) Colusa, Glenn, Tehama; (d) Napa, Sonoma; and (e) Santa Barbara, Ventura. Source: California Health Interview Survey, 2015-17. Los Angeles, CA: UCLA Center for Health Policy Research; February 2019, California Tobacco Facts and Figures 2019

Addressing tobacco-related health disparities requires a multi-pronged approach. TRDRP's Community Practice-Based Research Planning and Implementation Awards provide two interconnected avenues for establishing, building, and putting into practice long-term partnerships between academic researchers and health care practitioners. Such partnerships can be more effective than academics conducting research on their own at identifying the system-level change approaches and quality care improvements necessary to address patient tobacco use and successfully support cessation. More detail can be found in <u>section 2.3.3</u>.

The ultimate goal of tobacco prevention and cessation interventions is to keep people from developing tobacco-related diseases, which kill more than 40,000 Californians every year. Tobacco use is the leading cause of cancer diagnoses and deaths, and it has the greatest impact on the lives of the priority populations and communities whose health is most impacted by tobacco use.

Smoking is also a leading cause of heart disease and stroke. It is also a leading cause of lung diseases like chronic obstructive pulmonary disease (COPD). TRDRP research will help the tens of thousands of people currently living in California with these heart and lung diseases, who are disproportionately women, people who are multiracial non-Hispanic or American Indians/Alaska Native, and people who have a low socioeconomic status.

#### 2.2.3 New Diseases Associated with Vaping

In March 2019, the CDC began receiving reports from doctors throughout the country of patients, many in their teens and early 20s, with serious and, in some cases, deadly lung injuries. The first cases were identified in Wisconsin, but soon they were seen in California along with every other state in the U.S. As of February 18, 2020, 2,807 people had been hospitalized with or had died from the disease, now called E-cigarette or Vaping product use-Associated Lung Injury, or EVALI. The <u>California Department of Public Health</u> reported 210 cases of EVALI as of March 23, 2020, and 4 deaths. The disease affected people throughout the state of California, with 31 of 58 counties reporting cases. Although 10 percent of EVALI patients reported vaping nicotine only, the majority of patients reported vaping the psychoactive component of cannabis, tetrahydrocannabinol (THC), either alone or in conjunction with nicotine. Ultimately, investigators from the CDC reported that vitamin E acetate, an additive to THC-containing e-cigarette, or vaping, products was strongly linked to the outbreak. However, the CDC also could not definitively say that other chemicals used in vaping liquids might not also be contributing factors.

TRDRP-funded researchers were able to pivot quickly to address this new disease. One study, published in January 2020, in the *Journal of Medical Internet Research*, analyzed posts on online fora on the health effects of electronic cigarettes. The researchers found that vaping-related lung injury symptoms have been reported online for at least seven years, suggesting that previous health problems experienced by vapers have been unreported or not linked to vaping. These findings give important context to the EVALI outbreak. They also illustrate that internet data mined to monitor and track how tobacco products affect the health of their users, can potentially identify new illnesses as they emerge.

As the COVID-19 pandemic exploded in the U.S., policy centers established with TRDRP funding provided an infrastructure to quickly support and educate the communities they serve on how to protect themselves from this new disease. The UC Merced Nicotine and Cannabis Policy Center (NCPC) published a report "<u>Tobacco Control is a Critical</u> <u>Component of COVID-19 Management</u>" that explained why smokers and former smokers may be more susceptible to COVID-19 and are more likely to get very sick and require intensive respiratory assistance if they contract the disease. The NCPC educational materials that accompanied the report also made the public aware that children and adults who breathed in secondhand smoke were at greater risk for COVID-19, because these exposures weaken the immune system and the body's natural defenses against viruses, such as the novel coronavirus (figure 8).



Figure 8: NCPC educational materials highlight the harm of second hand smoke on the immune system (https://ncpc.ucmerced.edu/sites/ncpc.ucmerced.edu/files/page/documents/covid\_kids\_english.pdf)

Cutting-edge tobacco control research must continually respond to new developments in tobacco and nicotine use and addiction. New strategies used by TRDRP, such as implementation science and precision medicine, reflect our understanding that, to improve tobacco control within the specific groups that remain most affected by tobacco use, tobacco cessation programs will need to be not only integrated into community health systems but also supported by the communities these health systems serve. To support these efforts, TRDRP re-envisioned the program's research agenda in ways that emphasize the health and social needs of the communities throughout California that continue to be aggressively targeted by the tobacco industry and face the greatest health burdens of tobacco use.

# 2.3 Impact of TRDRP Activities on TEROC Goals

The State of California Tobacco Education and Research Oversight Committee (TEROC) was established in 1995 by Health and Safety Code 104365. TEROC is mandated to create a Master Plan every three years to guide tobacco control efforts, tobacco use prevention education, and tobacco-related disease research throughout the state. Over the past five years, TRDRP developed programs and engaged in activities designed to address the objectives and strategies TEROC identified in its 2015-2017 and 2018-2020 Master Plan cycles.

In response to the changing nature of tobacco use in California, TEROC updated its goal for the 2015-2017 three-year Master Plan cycle. The previous goal was to reduce cigarette smoking prevalence throughout California; the new goal set specific targets for reducing prevalence rates of use by adults and youth of all types of tobacco products, including e-cigarettes. Each Master Plan delineated seven specific objectives critical to countering the threats tobacco use poses to the health of all Californians. Listed below are specific TRDRP-funded research and activities aimed at meeting or exceeding these objectives.

# 2.3.1 RAISE THE TOBACCO TAX

This objective was met in 2016 with the passage of Proposition 56, The Tobacco Tax Increase Initiative, which increased the cigarette tax in California from 0.87 per pack to \$2.87 per pack. TRDRP funded research that helped policy makers and the public understand the multiple ways this tax would affect the lives and health of youth and adults throughout the state.

Jennifer Unger, Ph.D., of the University of Southern California, received a Special Projects grant to assess the public's opinion on regulation and taxation of e-cigarettes by adding five questions to the Field Survey of 1,000 registered California voters. The results of the Field Poll, which was conducted in September 2015 and published in 2016 in <u>Tobacco Control</u>, showed that 74 percent of those surveyed supported taxing e-cigarettes. In addition, 74 percent of those surveyed supported requiring licensing for e-cigarette retailers, while 57 percent supported restricting flavorings.

To address the question of whether only certain racial or ethnic groups would respond to an increase in cigarette prices by reducing the number of cigarettes smoked per day, TRDRP funded Hai-Yen Sung, Ph.D., at the University of California, San Francisco, to investigate the impact of cigarette prices on the smoking behavior of adult smokers of different racial and ethnic backgrounds. The findings, published in 2016 in <u>Tobacco Control</u>, showed that raising cigarette prices would result in reduced cigarette consumption for all racial and ethnic groups.

Wendy Max, Ph.D., and James Lightwood, Ph.D., at the University of California, San Francisco, received an award to investigate the impact of a cigarette tax on healthcare expenditures in California. The <u>economic analyses</u> included in this research showed that a \$2.00 per pack increase in the tobacco excise tax in California would be expected to reduce smoking prevalence by more than 2 percentage points from 9.4 percent to 7.1 percent in 2020, resulting in a cumulative savings in healthcare expenditures of \$4.1 billion between 2017 and 2020. The analysis of the most recent data on tobacco product use in California is ongoing; thus, it is too early to tell whether these predictions will be borne out.

TRDRP-funded research into the impact of the tax continues. Dr. Sung received an award to investigate the impact of the new tax on smoking prevalence, intensity, and total cigarette consumption among low-income Californians. Dr. Sung is also studying the tax's impact on healthcare costs attributable to cigarette smoking and the amount paid by Medi-Cal and Medicare for low-income Californians. This research will help us understand the extent to which the tobacco tax helped narrow the gap in smoking prevalence between low-income and high-income Californians.

# 2.3.2 VIGOROUSLY PROTECT AND ENHANCE TOBACCO CONTROL CAPACITY IN CALIFORNIA / BUILD CAPACITY TO DELIVER ON THE PROMISE OF A SMOKE- AND TOBACCO-FREE CALIFORNIA

Over the past five years, TRDRP funded programs that would maintain and bolster the state, regional, and local partnerships essential to a comprehensive tobacco control effort. This work was solidified by the conference "Tobacco Control, Research and Education: Joining Forces to Address New Challenges," co-sponsored by TRDRP, the California Department of Education, Tobacco Use Prevention Education Program (TUPE), and the California Department of Public Health, California Tobacco Control Program (CTCP). The three-day conference, held October 27-29, 2015, in Sacramento, brought together 595 members of the tobacco control community; featured national experts in tobacco control education, science, policy, and prevention such as Susan Weiss, Ph.D., Director of Intramural Research at the National Institute on Drug Abuse, and Eliseo Perez-Stable, M.D., Director of the National Institute on Minority Health and Health Disparities; and provided the types of small-group breakout sessions that help build the interagency relationships and collaborative contacts needed to strengthen efforts to eliminate tobacco use in California.

Conference evaluations distributed on-site and collected at the conclusion of the conference were completed by 192 (32 percent) of the attendees. Nearly all of the respondents (90 percent) rated the conference program, the presenters' effectiveness, and the program guide as good or excellent; nearly all of the respondents (91 percent) reported that moving the tobacco control advocacy sessions, tobacco control and disease-related research, and youth tobacco prevention sessions to one conference was effective or very effective; and nearly three-fourths (74 percent) reported the conference increased or very much increased their contacts in their fields. Selected presentations from the conference speakers and the compendium of abstracts from the posters sessions were made available on the TRDRP website (www.trdrp.org/events/joining-forces-conference.html) for those who were unable to attend. The Joining Forces 2020 Conference: Ending the Tobacco Epidemic for All, scheduled for June 15-18, 2020, was postponed due to the COVID-19 pandemic.

Building capacity also includes creating a pipeline into the tobacco control field for young investigators. The TRDRP Cornelius Hopper Diversity Supplement is offered each year to undergraduate and Master's level graduate students who are from underrepresented communities or who are interested in pursuing research careers focused on underserved communities. These two-year supplements allow students to work under the mentorship of a currently funded TRDRP investigator and fund the awardee's salary, benefits, tuition, enrollment fees, and travel to TRDRP and scientific conferences. The TRDRP Student Research Supplement fosters undergraduate and master's student research in tobacco control or tobacco-related disease that focuses on one of TRDRP's eight research priorities. These supplements cover salary, benefits, tuition, and enrollment fees for the trainee and provide for travel to TRDRP and other scientific conferences.

TRDRP internships create opportunities for undergraduate students to work alongside TRDRP staff, learn about the breadth of tobacco control research, and gain insight into the grant funding process. Rakiah Anderson, M.P.H., was hired for a TRDRP internship in 2015 through the Health Careers Connection Program. When her internship ended, she received a Cornelius Hopper diversity supplement, which allowed her to gain research experience on a TRDRP-funded project. After receiving a Youth Activism fellowship from <u>Truth Initiative</u>, a nonprofit health organization focused on keeping youth and young adults tobacco-free, Rakiah became the Youth Liaison on their Board of Directors. In June

2020, she earned her Master of Public Health degree at the University of North Carolina, Chapel Hill, in the Department of Health Behavior, where she worked with two faculty members who have ongoing tobacco control research projects.

"Because of my TRDRP internship, so many doors opened," says Anderson, the first person in her family to graduate from college. "At TRDRP, I got an overview of the world of tobacco control research. I learned how [TRDRP] program officers work with researchers. I was able to meet and network with people in ways that allowed me to see that I could pursue a career in research and tobacco control. The position gave me opportunity after opportunity after opportunity. It also showed me that tobacco control is a social justice tool, because people of color are disproportionately affected by tobacco, and they often aren't able to advocate for themselves—and I want to make a difference in this area."

# 2.3.3 Eliminate Tobacco-Related Health Disparities

TEROC defines health equity as "the attainment of the highest level of health for all people." In the context of tobacco control research, achieving health equity in California will not be possible unless specific attention is focused on the communities that have higher rates of tobacco use than the general population, experience greater exposure to secondhand smoke at work and at home, are disproportionately targeted by the tobacco industry, and have higher rates of tobacco-related disease than the general population. TRDRP concentrates research funding on these priority populations.

In 2016, TRDRP began investing in a Community Practice-Based Research (CPBR) initiative to fund academic-community collaborative research projects aimed at identifying clinical, structural, and organizational factors that are barriers to successful implementation of smoking cessation programs in community health and behavioral health clinics serving Medi-Cal patients. The patients seen in these clinics often have multiple, complex health problems; they also have high rates of tobacco use. In addition, the doctors who work in these clinics typically have a very high patient load. As a result, quitting tobacco can be seen as the patient's least important healthcare problem to address in the limited time a doctor has to see a patient.

Joseph R. Guydish, Ph.D., M.P.H., at the University of California, San Francisco, in collaboration with HealthRight 360 and the San Francisco Health Network, were one of the first teams to be funded through this new initiative. This team is currently investigating strategies for treating tobacco use among high-risk, low-income smokers, such as tobacco-free grounds policies in residential addiction treatment programs. Dr. Guydish is also investigating whether implementing a patient tobacco registry in primary care clinics can increase patient access to tobacco cessation services for low-income residents. Researcher Elisa Tong, M.D., at the University of California, Davis, has received TRDRP funding to develop an electronic health records system that increases contact between the California Smokers Helpline and Medi-Cal patients who smoke and receive services in a Los Angeles County Department of Health Services primary care clinic. Her project also involves the implementation of a statewide system that supports pharmacist-delivered smoking cessation medications. In Los Angeles County, Theodore C. Friedman, Ph.D., M.D., and Brian Hurley, M.D., of the Friends Research Institute, are investigating the feasibility of embedding comprehensive smoking cessation programs into the county's outpatient primary care and mental health clinics.

# 2.3.4 MINIMIZE THE HEALTH IMPACT OF TOBACCO USE ON PEOPLE AND THE ENVIRONMENT/PROTECT PEOPLE AND THE ENVIRONMENT

Tobacco-related diseases kill about 40,000 Californians every year. Many people are aware that smoking puts people at high risk of developing lung cancer and heart disease. Far fewer understand the extent to which tobacco smoke harms people who never pick up a cigarette. Every year, more than 4,000 nonsmokers in California will die from cancer, heart, lung, and other diseases caused by exposure to secondhand smoke and thirdhand smoke. Secondhand smoke refers to both the smoke that goes directly from cigarettes into the air and the smoke exhaled by smokers. Thirdhand smoke

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refers to the toxic chemicals and particles from cigarette smoke that linger indoors for months or years and are absorbed by, for example, carpets, walls, furniture, and children's toys.

TRDRP funds innovative and high-impact research that can guide and support public policy efforts to reduce secondhand and thirdhand smoke exposure by children and adults. TRDRP also encourages researchers to investigate the toxicological profiles and exposure risks posed by second and thirdhand emissions from new products such as ecigarettes and heat-not-burn devices. Finally, with the passage of Proposition 64, TRDRP's research agenda broadened to include investigations into the impact and health risks of the co-use of cannabis and tobacco, including second- and thirdhand cannabis smoke. Research grants in this area include the following:

- Pilot Award to Tianying Wu, Ph.D., at the San Diego State University Research Foundation, to look at the effects of secondhand smoke exposure, past smoking, and diet on a woman's risk for breast cancer recurrence;
- Pilot Award to Rufus Edwards, Ph.D., at the University of California, Irvine, to validate a tool to measure secondhand emissions from e-cigarettes;
- Pilot Award to Stella Tommasi, Ph.D., at the University of Southern California, to determine whether secondhand smoke causes molecular, or epigenetic, changes that may lead to lung cancer; and
- Research Award to Georg Matt, Ph.D., at the San Diego Research Foundation, to investigate how residents of multiunit housing are affected by their neighbors' tobacco smoke, electronic cigarette vapor, or marijuana smoke.

Since 2011, TRDRP has supported the Thirdhand Smoke Research Consortium, described in detail later in this report. In 2018, TRDRP began funding the Consortium's Thirdhand Smoke Resource Center, a new website

(<u>www.thirdhandsmoke.org</u>) dedicated to sharing information, resources, and technical support with California residents, communities, businesses, healthcare professionals, and policymakers on the dangers of exposure to persistent and toxic residue left behind by tobacco, e-cigarettes, and marijuana use in indoor environments.

TRDRP funding for Consortium research is increasing understanding about genetic susceptibility to thirdhand smoke; detection and remediation of thirdhand smoke; determination of human exposure levels to thirdhand smoke; reduction in exposure to thirdhand smoke in multiunit housing; and translation of findings from animal exposure studies to human health effects.

# 2.3.5 PROTECT YOUTH AND YOUNG ADULTS

Working in collaboration with the California Department of Public Health, California Department of Education, community tobacco control programs, schools, and youth-serving organizations throughout the state, TRDRP funds research into programs and policies that can help keep youth tobacco-free.

TRDRP funding made it possible for a research team led by Bonnie Halpern-Felsher, Ph.D., at Stanford University to develop the Tobacco Prevention Toolkit, a theory-based and evidence-informed educational resource that can be adapted to meet the needs of elementary, middle and high schools; community-based organizations; and health-related agencies throughout the state.

"TRDRP was there at the beginning, funding a two-year pilot study in 2009 that allowed us to form coalitions with schools and educators and run focus groups with students, parents, health educators, and administrators to determine what was needed," says Halpern-Felsher. "They supported us as we evaluated the project, and as we added materials to address new products, like e-cigarettes. We know this model works."

As of March 31, 2020, the five-session curriculum, which includes more than 100 lessons, activities, worksheets, PowerPoint presentations, quizzes, and other tools aimed at changing youth attitudes about tobacco use, increasing

their resistance skills, and decreasing their use of cigarettes and other tobacco products has reached more than 1.3 million youth across the U.S.

In 2019 alone, the Tobacco Prevention Toolkit team directly reached close to 500,000 youth with Toolkit trainings and outreach activities in 32 of 58 California counties (figure 9).



Figure 9: Map of California showing where the TRDRP-funded Tobacco Prevention Toolkit has been used (source, Bonnie Halpern-Felsher)

The California Department of Education administers the California Tobacco-Use Prevention (TUPE) program for students in grades 6 through 12. Schools receive TUPE funding through a competitive grant process. A school with a fully implemented TUPE program establishes and enforces tobacco-free campus policies, participates in the California Healthy Kids Survey, and provides evidence-based tobacco-use prevention and cessation education. A TRDRP-funded study by researchers from the University of California, San Diego, published in <u>PLoS One</u>, in 2018, evaluated the TUPE program.

For the study, the researchers used data collected from the California Educator Tobacco Survey and the California Student Tobacco Survey to compare responses from teachers and students at schools that had TUPE funding to teachers and students at schools that did not. The research team also visited 18 schools to track anti-tobacco signage frequency, smoking paraphernalia (cigarette butts and cigarillos wrappers, for example) seen on the school campus and in parking lots, visible student use of tobacco, the smell of tobacco or marijuana, and proximity of smoke and cigarette shops to the campus. Overall, the study found that TUPE-funded schools were more likely to provide tobacco-specific health education programs, place a priority on tobacco-prevention efforts, and better prepare educators to address tobacco use than were schools that were not TUPE funded. Importantly, students at the TUPE-funded schools also had lower rates of tobacco use.

# 2.3.6 HELP CALIFORNIANS QUIT TOBACCO PRODUCT USE

TRDRP-funded research, led by investigators at the University of California, San Diego, School of Medicine and published in 2018 in *Cancer Prevention Research*, found that California's early adoption of evidence-based tobacco control programs kept people from starting to smoke, reduced the amount of tobacco used by smokers, and helped smokers quit at a younger age. This success can be measured by lives saved; because of these programs, lung cancer deaths are 28 percent lower in California than in the rest of the United States.

Using TRDRP-funded research, the California Tobacco Control Program developed the ad campaign Flavors Hook Kids (<u>www.flavorshookkids.org</u>), which makes clear "The Tobacco Industry Has a Kids Menu." The website explains why the tobacco industry specifically uses fun flavors and tech devices to hook kids on nicotine (a kid's brain is easier to addict); provides statistics on the rapid increase of vaping among youth; provides a toolkit for parents to talk to kids about vaping; and provides information on opportunities to get involved in local and statewide efforts to combat youth vaping.

TRDRP also funded research to model the impact a \$2.00 tobacco tax increase would have on the state's economy. This research, led by James M. Lightwood, Ph.D., at the University of California, San Francisco, estimated the expected response of smokers' cigarette consumption following implementation of the tax and the impact a reduction in smoking resulting from the tax would have on per capita health care expenditures, the California cigarette market, employment, and gross domestic product (GDP). This research showed that the tax would reduce smoking prevalence in California by two percentage points below what it would have been by 2020 if the tax had not been implemented. The modeling predictions also showed that the tax increase would reduce the tobacco industry's revenue by \$250 million per year, would create 6,000 new jobs in California, and increase the state GDP by \$700 million per year.

Increasing the number and frequency of quit attempts has been shown to be the most effective strategy to help people permanently quit smoking. Since 2018, the Affordable Care Act has required smokers have access to free, comprehensive smoking cessation treatments. TRDRP has consistently funded research aimed at learning the best way to provide culturally- and linguistically-appropriate evidence-based smoking cessation programs to specific populations and how these specific populations benefit from FDA-approved pharmacotherapies; behavioral support classes, counseling, and online programs; and performance incentives.

The grants that comprise the TRDRP Social and Behavioral Prevention and Treatment portfolio fund research designed to optimize tobacco-use prevention and cessation interventions directed at California priority populations. Research grants in this area include the following:

- Research Award to Roland Moore, Ph.D., of the Pacific Institute for Research and Evaluation, to design a multilevel program to prevent commercial tobacco-related harms on rural California Tribal land;
- Pilot Award to Kelly Courtney, Ph.D., at the University of California, San Diego, on the use of virtual reality to induce and assess nicotine craving;
- Research Award to Laura D'Anna, Dr.PH., at the California State University Long Beach Foundation, to develop a tobacco and cannabis intervention for young black men who have sex with men;
- Pilot Award to Claradina Soto, M.P.H., at the University of Southern California, to curb the high rates of tobacco use among American Indian youth with youth-driven media campaigns using PSAs, photovoice, and digital storytelling; and
- Research Award to Burton Cowgill, Ph.D., at the University of California, Los Angeles, to develop an afterschool tobacco use prevention program for middle school students.

# 2.3.7 COUNTER THE TOBACCO INDUSTRY

The tobacco industry has consistently tried to derail California's efforts to curb tobacco use. On the advertising front, the tobacco industry's expenditures in California vastly outpace the state's tobacco control efforts. For example, in 2014 the tobacco industry outspent the state's tobacco control efforts by 19 to 1 on a per capita basis.

To counteract the tobacco industry, TRDRP funds state and local tobacco control policy research that can help state agencies, the legislature, and local governments evaluate and implement science-informed tobacco control policies. To advance these efforts, TRDRP added a new two-year Rapid Response Research to Accelerate Policy award in 2019 to

support researchers working in partnership with advocates, community members, policymakers, and other decision makers identify and study emerging local tobacco policy issues. The inaugural recipient of this award, Sabrina Smiley, Ph.D., M.P.H., MCHES, of University of Southern California, will study how regulation of menthol cigarettes – products that tobacco companies market to Black communities, perpetuating tobacco-related health disparities – affects retailers and smokers. Policy research has advanced the field's understanding of the impact of price on the demand for marijuana and cigarettes, the impact of cartoon-based marketing strategies on the appeal of e-cigarettes to adolescents, community college smoke-free policies, the economic impact of the California Tobacco 21 Law, and more.

In 2017, TRDRP created the Tobacco Policy Research Centers award, a four-year grant that supported multi-disciplinary teams of researchers working in partnership with advocates, community members, policymakers, and other key stakeholders to identify, respond to, and disseminate policy research that directly address local tobacco policy issues and their potential to influence state and national policy, and vice versa. The first recipient of this award was the University of California, Merced, which received a \$3.8 million grant in 2018 to establish the UC Nicotine and Cannabis Policy Center (ncpc.ucmerced.edu). The new center, led by health psychology professor Anna Song, Ph.D., expands policy research in California from the large cities along the coast to underserved inland areas in the San Joaquin Valley and Sierra Foothills. In this often-overlooked region of California, tobacco and drug use rates and illnesses are significantly higher than in other areas of the state.

Through its research activities, community engagement plans and training opportunities, the new Center will elevate the voices of rural Californians on tobacco and cannabis policies. It will also help shape tobacco control efforts in the region by establishing grassroots support for tobacco and cannabis control policy; monitoring tobacco and cannabis control policy efforts; supporting short- and long-term research projects that can inform local and state policies; and establishing a visible and stable presence for tobacco control research in the Valley. The center will also focus on ways to empower and support youth in the San Joaquin Valley and Sierra Foothills to become agents of change in tobacco/cannabis control policy efforts at the local and state level.

"We were in dire need in the San Joaquin Valley and Sierra Foothills for good data on what is happening in this region, and the psychological variables and risk factors that lead youth to begin smoking," said Song. "The funding TRDRP has provided will be transformational. We are on our way to becoming a unifying voice for the organizations working in the 11 counties in this region to implement tobacco control programs and policies that will be effective for our residents."

# 3 TRDRP Grantmaking Practices: Strategic, Rigorous, and Agile

# 3.1 Strategic Planning in a Changing Landscape

# 3.1.1 2015-2020 STRATEGIC PLAN

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In 2014, TRDRP initiated a strategic planning process to reevaluate and update the program's funding priorities and mechanisms in ways that would position the program to respond to new challenges and evolving biomedical and scientific advances. This included evaluations of policy gaps and the impact of new tobacco products, such as e-cigarettes, within the context of declining Proposition 99 revenues.

Input and data were obtained from TRDRP stakeholders, funded grantees and the TRDRP Scientific Advisory Committee (SAC). Throughout this process, TRDRP remained in regular communication with members of the Tobacco Education and Research Oversight Committee (TEROC), ensuring that this plan reflected their input and perspectives.

The data indicated that TRDRP plays an important role as one of the few funding organizations with the flexibility to fund new and novel — and thus riskier — research that can later be leveraged into full-scale projects funded by larger entities. In response, TRDRP's exploratory and pilot grant mechanisms were revised to focus on funding innovative "high risk, high reward" research. The data also indicated that information was sorely needed on new and emerging tobacco products, such as e-cigarettes and heated tobacco devices, and their impact on health. To address this need, the Strategic Research Initiatives that TRDRP uses to target critical topics in tobacco control and tobacco-related diseases are now used to fund research into emerging new tobacco products and the effects on the body of nicotine delivered without combustion. Career development in tobacco-related research remained a top research priority.

In summary, the TRDRP Scientific Advisory Committee (SAC) affirmed the following guiding principles for TRDRP grantmaking activities over the next five years:

- 1. Fund innovative exploratory and pilot studies in tobacco-related research.
- 2. Fund training and career development in tobacco-related research.
- 3. Fund strategic research initiatives to address emerging and critical tobacco/nicotine-related issues and science.
- 4. Strengthen participatory research and community engagement strategies.

# 3.1.2 Revisions to the 2015-2020 Strategic Plan After the Passage of Proposition 56

The Proposition 56 voter initiative to increase state tobacco taxes established "The California Healthcare, Research, and Prevention Tobacco Tax Act of 2016," the first state tax increase on tobacco products in 28 years. In addition to increasing the tax on a pack of cigarettes by \$2, the law levied a new tax on electronic cigarettes. This initiative to save lives through healthcare services and reduce the disease and fiscal burdens of smoking and tobacco-use in California was put forward by a broad coalition of citizen and health organizations.

As a result of the initiative, since July 2017 a significant amount of new funds has gone toward addressing an array of critical healthcare, research, and public health needs throughout the state. Five percent of this new revenue supported tobacco-related research administered through TRDRP.

The added Proposition 56 revenue significantly expanded TRDRP's ability to advance health-related research and to inform California's tobacco control efforts. TRDRP research priorities have always had a broad yet strategic focus on the effects of smoking and tobacco use on human health and behavior that were aligned with the goals of Proposition 56. The new funds allowed TRDRP to increase its grant funding approaches and priorities to fund, as stated in Proposition 56, "basic, applied and translational medical research into the prevention, early detection of, treatments for, complementary treatments for, and potential cures for all types of cancer, cardiovascular and lung disease, oral disease and tobacco-related diseases." TRDRP also continued to maintain its longstanding commitment to direct a substantial portion of funds for research on health disparities related to tobacco use and the tobacco-related diseases that disproportionately affect specific populations in California.

# 3.1.2.1 Expansion of Research Priorities

Cross-cutting emphasis on research to reduce health disparities.

TRDRP-funded research has contributed to the steady decline in California smoking rates over the past three decades. Cigarette smoking and use of other tobacco products, however, remain disproportionately high in many California communities, contributing directly to higher than average rates of cardiovascular disease, lung and oral disease, cancer and other tobacco-related diseases. Community education and prevention efforts and state policies currently do not adequately protect these communities from being targets of the tobacco industry, resulting in health disparities that further damage these communities and increase health care costs. In an effort to utilize multiple avenues to eliminate tobacco-related health disparities, all TRDRP research priorities were revised to encourage studies designed to directly address disparities in tobacco use and the diseases that result.

# Expanded cancer research priority.

Prior to the passage of Proposition 56, TRDRP accepted proposals only for cancer research projects that focused on early detection of the disease. In accordance with the broadened scope of biomedical research mandated by Proposition 56, TRDRP expanded its cancer research priority to include cancer prevention, cancer health disparities, translational research, and basic science.

# New research emphases in lung, cerebrovascular and oral disease.

Cancer and heart disease are the most widely recognized tobacco-related diseases. But tobacco use is also linked strongly to chronic obstructive pulmonary disease (COPD), stroke, and poor dental health. TRDRP has expanded research priorities in these areas to encourage more research into these diseases, their relationship to tobacco use, and their connection to other tobacco-related diseases.

# Cannabis use and tobacco-related diseases.

In 1996, Californians legalized medical marijuana (cannabis); 20 years later cannabis was legalized for recreational use with the passage of Proposition 64. Yet, very little is known about the impact of cannabis use, and there is a glaring lack of rigorous peer-reviewed studies on the potential benefits and harms of cannabis. This makes it extremely difficult for lawmakers to create informed, meaningful policies regarding cannabis availability and use. As a result, TRDRP began calling for proposals from researchers to study the relationship between cannabis use and tobacco-related diseases. To avoid conflicts with federal and state regulations, investigators were strongly encouraged to refer to their institutional policy on conducting cannabis research before designing their studies.

Expanded List of Research Priorities Resulting from Proposition 56 and 2015-2020 Strategic Plan

- Tobacco-related health disparities
- Cancer prevention, treatment, and biology
- Cardiovascular and cerebrovascular diseases
- Cannabis use and tobacco-related diseases
- Environmental exposure and toxicology
- Neuroscience of nicotine addiction and treatment
- Oral diseases and dental health
- Pulmonary biology and lung diseases
- State and local tobacco control policy research

# 3.1.2.2 Changes to Awards and Process

# New award types to expand the research pipeline.

Training individuals to perform robust research is key in the battle against tobacco use and the environmental and medical harms they cause Californians. TRDRP implemented new research supplemental and training awards to fill previous gaps in its portfolio and provide funding to train individuals at all stages of the educational pipeline, from high school students to independent investigators.

# Expand funding levels and duration of research award types.

Due to the decline in tobacco tax revenue, the total amount and duration of TRDRP awards declined steadily prior to 2017. With passage of Proposition 56, TRDRP was able to increase award levels and duration of funding for all research award types.

# Additional funding cycles.

Prior to 2017, TRDRP held one funding cycle per year. To facilitate the anticipated increase in the volume of applications, TRDRP instituted additional funding cycles between 2017 and 2019, resulting in four funding cycles total during that period. In 2020, TRDRP returned to a single funding cycle and offered two additional grant types, as described below

# 3.2 Grant Making Tools to Address a Changing Tobacco Landscape

# 3.2.1 A RIGOROUS, SCIENTIFICALLY INFORMED AWARD SELECTION PROCESS

The foundation of TRDRP's award selection process is peer-review, modeled after the National Institutes of Health (NIH). This is the gold standard for rigorous review of research proposals and publications. The TRDRP Scientific Advisory Committee (SAC) further supports award selection by ensuring that awards proposed for funding are programmatically aligned and represent a balance across the TRDRP funding portfolio, while remaining within the budgetary constraints of the program. The TRDRP Director and the Research Grants Program Office Executive Director provide a final control to ensure that awards are processed in a fiscally responsible manner.



Figure 10: TRDRP relies on scientific experts from outside of California to evaluate applications and its Scientific Advisory Committee to ensure grants align with TRDRP mission, priorities, and budget.

# 3.2.2 A Focus on Community Engagement

Over the past five years, TRDRP has remained committed to ongoing efforts to disseminate findings from the grants to the communities throughout California that can benefit from this research. TRDRP has also continually created new opportunities to involve community-based organizations and community-level health clinics in the research process.

Toward that end, in the 26th grant cycle, funded in 2017, TRDRP began to require that all applicants include a Community Engagement Plan in their grant proposal submission. Developing these plans requires investigators to think creatively and thoughtfully about how to include community members in their research process and/or find ways to incorporate tobacco-related issues contributing to health disparities in their proposal. Incorporating community engagement at the outset lays the groundwork for broader engagement in the findings and, in turn, a greater likelihood that the research will lead to a reduction in health disparities.

Community members or community organizations can be involved at all stages of research, from development and implementation to dissemination. Grantees are also encouraged to consider the ways in which their findings could impact residents from the communities engaged in their research. Community engagement can take many forms. Grantees studying smoking prevention and cessation could potentially engage with patients in priority populations as part of their research. Biomedical researchers could potentially present their findings to the public in ways that help communities understand how their work contributes to the research-informed policy changes that will lead to a reduction in tobacco use. In efforts to find unique and creative ways to disseminate the goals and findings of their research to local communities, TRDRP researchers have formed relationships with <u>museums</u>, published <u>medical comics</u> in national research journals, and provided information to <u>national news outlets</u>.

# 3.2.3 COMMUNITY-BASED PARTICIPATORY RESEARCH FUNDING AT TRDRP

For 20 years, TRDRP funded community-based participatory research (CBPR) through its Community and Academic Research Award (CARA) and School Academic Research Award (SARA) grant mechanisms. These awards required close collaborative partnerships between members of community-based organizations (CBOs), community members, school

educators, and academic researchers or community-oriented research scientists. These awards successfully identified ways to improve tobacco control prevention and treatment interventions throughout the state. However, the structure of these grants did not provide needed opportunities to expand on pilot project successes. A comprehensive assessment of CARA/SARA awards made between 1999 and 2007 indicated that, for the most part, these awards had limited success in achieving desired outcomes. The assessment showed:

- Fewer journal articles, book chapters, and conference presentations resulted from these awards than other types of research awards;
- Results were not always communicated back to the target community or the group studied;
- It was unclear if the projects expanded community capacity for research or led to funding from other sources; and
- The duration and quality of community partnerships was unknown.

In 2016, TRDRP engaged stakeholders in an effort to re-imagine community-based grants that would emphasize longerterm thinking and support plans to sustain research partnerships that would make it possible to translate pilot-phase research into new interventions in targeted high-priority communities or enhance existing evidence-informed interventions. A new two-year award, the Community-Partnered Participatory Research Award (CPPRA), was established. CPPRA supports pilot projects that will affect community, clinic, or school-level tobacco use and inform evidence-based prevention and treatment programs and interventions or contribute to practice/policy changes in California clinics, schools, institutions, and/or communities through the establishment of equitable and sustainable partnerships between the researchers and the community members.

In the 2019 pilot grant cycle for the new CPPRA, TRDRP emphasized to researchers that their aims should reflect and embody the importance of community benefit in tobacco control research, cultural humility, and mutual (community and academic) capacity building for a sustained equitable partnership beyond the life of the grant. Notably, the CPPRA grant mechanism requires that a Community Co-Principal Investigator (Co-PI) and Academic Co-PI, with guidance from a Community Advisory Board (CAB), establish a collaborative, equitable research partnership to gather preliminary data or demonstrate proof-of-concept for their tobacco-related research question, illustrate its importance to their community, and explain how it advances science or informs policy. In addition, reflecting the spirit and goals of CBPR, the Community and Academic Co-PIs have equal decision-making authority on the collaborative research project and each manages their own budget. Moving forward, TRDRP will continue to refine the CPPRA grant mechanism to ensure that it funds research projects that have the greatest likelihood of empowering communities. This will in turn lead to community engagement in developing the policies, practices, and prevention tools that will protect the health of those they love from tobacco use and tobacco-related diseases.

To build capacity and interest in authentic community-academic partnerships and expand the number of applicants seeking funding through the CPPRA grant mechanism, TRDRP partnered with the California Breast Cancer Research Program (CBCRP) in 2019 to adapt their technical assistance program, called QuickStart, for tobacco-related research projects. The QuickStart technical assistance program includes outreach to community and academic partners; training on how to use the principles of CBPR in tobacco control research; tips and feedback on how to include CBPR principles in an effective grant application; and technical assistance to produce a concept paper describing the proposed research partnership. In addition, all QuickStart participants are invited to a mock peer review meeting that familiarizes them with and prepares them for the peer review process of their grant proposal.

The 2019-2020 cohort included three Tobacco Research Teams and six Breast Cancer Research Teams. Two of the three Tobacco Research Teams submitted proposals for the CPPRA awards that year and scored well but ultimately were not selected for funding. The formation of new collaborations between groups that had not previously competed for partnered-CBPR awards is an opportunity to build further trust, and bodes well for future success in competing for grants. TRDRP intends to work with CBCRP to revise the QuickStart tobacco control curriculum to improve the quality of

applications for peer review, with the goal of helping communities contribute to the development of interventions and evidence-informed policy decisions that directly affect them.

# 3.2.4 INVESTIGATOR INITIATED AWARDS

To leverage the vast research infrastructure and intellectual capital in California's research institutions, TRDRP invites all eligible investigators to propose projects that align with TRDRP's research priorities. These proposals are then assessed in a peer-review process. By inviting proposals from both seasoned tobacco investigators and investigators new to the field of tobacco-related research, TRDRP attracts the best minds to study tobacco control and tobacco-related disease.

# 3.2.4.1 Brief overview of award mechanisms

TRDRP's award mechanisms for investigator-initiated projects are designed to support early stage researchers as they establish their careers (Predoctoral, Postdoctoral and New Investigator Awards), allow established investigators to explore risky but potentially high impact ideas (Pilot Awards), and extend findings through more mature studies with clear potential for policy, clinical, translational, or other scientific impact (Research Awards).

### Research Awards

Research Awards are TRDRP's most substantial individual investigator awards, supporting research on welldeveloped concepts. These awards cover research costs of up to \$250,000 per year for up to three years.

### Pilot Awards

Pilot Awards support exploratory research to obtain initial evidence for a new paradigm or research hypothesis, with the ultimate goal of pursuing promising findings with funding for larger research awards from TRDRP or other funding agencies. These awards cover research costs of up to \$200,000 per year for up to two years.

# New Investigator Awards

New Investigator Awards (TRDRP Scholar of Targeted Advanced Research on Tobacco (START) Awards and New Investigator Award) are designed to support the careers of promising researchers who started their independent research program within the last five years. These awards cover research costs of up to \$200,000 per year for up to three years.

### Postdoctoral and Predoctoral Awards

Postdoctoral and Predoctoral Awards support the mentored training of future independent investigators, maintaining a robust pipeline of promising tobacco investigators. These awards mainly cover stipends and some tuition and fees for trainees.

# 3.2.4.2 Limiting Eligibility for Research Awards

TRDRP-funded research addresses a wide range of tobacco-relevant questions in social, behavioral, policy and biomedical sciences. In an effort to more closely align TRDRP's most substantial awards with the most urgent questions in tobacco control, proposals for Research Awards must focus on one or both of TRDRP's emphasized areas of interest: tobacco-related health disparities, and new and emerging tobacco products.

### Health disparities

While remarkable progress has been made in reducing smoking rates in California over the past few decades, racism and other forms of discrimination along with targeted advertising by the tobacco industry have created significant disparities in tobacco use. To broaden the evidence base that is needed to overcome entrenched and emerging tobacco-related problems in these communities, all Research Awards in this category must address these health disparities.

### New and emerging tobacco products

The tobacco industry must continually innovate to addict new customers. Recently, the industry began to introduce numerous new products, such as electronic (e-) cigarettes and heated tobacco products such as IQOS. These new products, which are designed to attract youth and young adults, have jeopardized gains that have

been made in reducing tobacco use in California. Studies that can identify the best approaches to keep youth from using these new products and to help addicted youth quit are critical. Research into the specific ways these products affect people's health — which are likely to differ from the health effects of combustible cigarettes — and whether it is beneficial or harmful for smokers to switch to these new products is also essential. Requiring Research Awards that do not address health disparities to focus on these new and emerging tobacco products ensures California keeps up with the tobacco industry's relentless efforts to broaden its consumer base.

# 3.2.4.3 Supporting early career researchers

TRDRP believes it is critical to fund early-career researchers who will conduct studies that can inform tobacco control and prevention-related clinical recommendations, local policies, and federal regulations. To help young researchers establish their place in this field, TRDRP has historically funded graduate students, postdoctoral scholars, and early-stage independent investigators. Decreasing Proposition 99 funds impacted TRDRP's ability to award grants specifically for these early-career investigators. However, with the passage of Proposition 56, TRDRP was able to re-establish its Predoctoral Awards for graduate students and its New Investigator Awards to rebuild a pipeline critical for bringing young researchers into the tobacco field.

# 3.2.5 STRATEGIC RESEARCH INITIATIVES:

# Tobacco and Cannabis Impacts on the Environment

In 2017, TRDRP established a partnership with the UC Natural Reserve System (NRS), which supports university-level teaching, research, and public service at protected wildland sites throughout California. The TRDRP Scientific Advisory Committee approved two TRDRP-NRS funding collaborations: the Mildred E. Mathias Graduate Research Grant, which provides \$15,000 for up to five tobacco-related graduate student projects, and the NRS planning process grant for a research initiative that will evaluate the environmental impact of tobacco and marijuana use in California.

# Community Practice Awards

In 2016, TRDRP began investing in the Community Practice-Based Research (CPBR) initiative. This program funds collaborative health service research projects aimed at identifying clinical, structural, and organizational factors that contribute to or create barriers to the delivery of evidence-based tobacco cessation treatments for lower-income people enrolled in Medi-Cal. This initiative requires a strong partnership between a lead health service researcher and a clinical director or equivalent who are dedicated to systems-change, understand and respect the principles of community-based participatory research. It targets this patient population because they smoke tobacco at disproportionately higher rates than higher income Californians, are often not offered tobacco cessation services in clinical settings, and have a higher prevalence of exposure to health care-related discrimination. This population also typically receives care within healthcare systems that manage a high patient volume and operate with more limited funding streams. TRDRP's first CPBR grants were made in 2018, and early indicators along with community feedback suggest these investments have already begun enhancing community-level cessation activities within a framework dedicated to sustainable systems change. TRDRP provided funding for both Pilot and Implementation phases of CPBR projects.

# Thirdhand Smoke Research Consortium

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In 2011, TRDRP became the first funding agency in the world to initiate research on thirdhand smoke (THS) as a new frontier in tobacco-related science, with the funding of a multi-investigator, multi-disciplinary Thirdhand Smoke Research Consortium; TRDRP renewed the funding in 2014. The renewal consortium included nine research projects across five institutions (UCSF, Berkeley National Lab, UC Riverside, San Diego State University, and USC). The specific aims and some key results of the renewal grant were as follows:

Chemically characterize THS in order to identify potentially hazardous or toxic constituents that may be
present. These studies revealed that previously-identified and novel toxicants are found in homes and
businesses where people smoke and can persist for at least one year after an environment becomes smoke-free.

This research also showed that remediation of THS is not possible using ozonation, a method that was hypothesized to remove these toxicants. In fact, ozonation of aged THS was instead found to produce high concentrations of oxidized species and to generate new ultrafine particles.

- Characterize relevant and specific biomarkers that could serve as diagnostic indicators of exposure and quantify human exposure to THS. Nicotelline is a minor tobacco alkaloid identified in smokers' house dust and in ambient air samples; it is a promising novel marker of environmental THS contamination. Researchers found that contact with THS-contaminated clothing resulted in substantial exposure to nicotine and tobacco-specific nitrosamines throughout the body. This study also found that the hands of patrons of a smoke-free casino that had previously allowed smoking were contaminated with nicotine.
- Provide scientific evidence either for or against clear and significant health risks to humans as a result of exposure to THS. Consortium researchers identified mechanisms by which THS can cause DNA damage, cell death, and disruption of normal metabolism, increasing risk for cancer and brain and metabolic disorders. Animal studies showed adverse biological effects occurred at THS levels comparable to the concentrations at which people are typically exposed. Animal studies also found a greater susceptibility and effects that are more prolonged when exposure to THS occurs early in life.
- Design an evidence-based approach to THS exposure risk policies, policy implications and issues. A systematic review of the literature on THS was conducted. This was followed by a workshop for consortium investigators, public health representatives, and individuals from the real estate industry who reviewed the research findings and discussed policy recommendations.
- Disseminate any evidence related to the health risks of THS to the California tobacco control stakeholders as well as the general public, including any recommendations on potential approaches to eliminate risk. To develop a dissemination plan, the THS Consortium expanded its external advisory board to include members from the CDC, EPA, California Air Resources Board (Cal EPA), Roswell Park Cancer Center, and TRDRP. The Consortium also used data from its past and current studies to develop a document with answers to frequently asked questions on THS. The data used were collected in various settings, such as multi-unit housing, single-unit homes, used cars, hotels, rental cars, and casinos, providing important reference points for educational efforts aimed at protecting nonsmokers and developing new policies that will provide better and broader protections for nonsmokers.

After a thorough peer review of the Consortium's impact, the TRDRP Scientific Advisory Committee (SAC) approved a third round of funding. The SAC required that this third phase incorporate a translational approach that would emphasize research aimed at translating findings to action-oriented results, including the following:

- Extending animal studies to human health effects;
- Exploring genetic susceptibility to thirdhand smoke;
- Developing ways to assess, detect, and remediate thirdhand smoke;
- Designing methods for determining human exposure levels to thirdhand smoke;
- Testing approaches to reduce exposure to thirdhand smoke in multiunit housing; and
- Developing effective strategies for educating communities about THS.

# THS Consortium - Phase Three (2018)

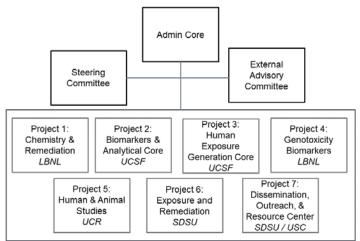


Figure 11: Organizational Structure of the third phase of the Thirdhand Smoke Consortium

The Consortium's Thirdhand Smoke Resource Center (Project 7 in figure 11) has been one of the major accomplishments of this third phase. The Center's new website (<u>www.thirdhandsmoke.org</u>) is dedicated to sharing information, resources, and technical support with California residents, communities, businesses, healthcare professionals, and policymakers on the dangers of exposure to persistent and toxic residue left behind by tobacco, e-cigarettes, and marijuana use in indoor environments.

THS researchers are also responding to the current COVID-19 health crisis. For example, the THS Consortium's Letter to the Editor: "An Imperative Need for Research on the Role of Environmental Factors in Transmission of Novel Coronavirus (COVID-19) — Secondhand and Thirdhand Smoke As Potential Sources of COVID-19" published April 24, 2020, in the Journal of Environmental Science and Technology, explained that indoor vaping/smoking must be avoided to prevent potential viral spread of the novel coronavirus via exhaled mainstream smoke to others smokers and to protect nonsmokers from exposure to viral particle-infected second/third hand aerosol and smoke. The letter also underscored the critical urgency of research on how the novel coronavirus might spread through indoor use of e-cigarette and combustible tobacco.

# Policy Research for a Rapid Response

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TRDRP research priorities include funding for state and local tobacco control policy research that can address the rapidly changing landscape of newly introduced products that target vulnerable populations. In 2019, this funding led to the establishment of the UC Merced Nicotine and Cannabis Policy Center. The new Center is developing community-academic partnerships that can find ways to reduce tobacco-related health disparities and decrease or prevent use of new and emerging tobacco products — including cannabis, as it relates to tobacco or tobacco-related disease — in the San Joaquin Valley. This region of California contains many ethnically diverse and underserved populations that have high rates of tobacco-related diseases and other illnesses. To reduce this morbidity and mortality, a key aim of the Center is to gain insight into the region's youth culture and tobacco use and identify ways to have that culture encompass youth as agents of change in tobacco/cannabis control policy efforts.

In 2020, TRDRP established the Rapid Response Research to Accelerate Policy initiative. This new program supports teams of researchers who are working in partnership with advocates, community members, policymakers and other decision makers to identify and conduct research on emerging local tobacco policy issues and disseminate the research findings. These projects will address causal relationships among new or existing policies and focus on informing policies that reduce tobacco-related health disparities.

# Mackay California-Pacific Rim Tobacco Policy Scholar Award

The Mackay California-Pacific Rim Tobacco Policy Scholar Awards foster scientifically informed, evidence-based tobacco control policy and practice in California and the Pacific Rim region (Asia, Pacific Islands and Latin America) by building leadership and cross-regional partnerships among mid-career researchers. These TRDRP awards bear the name of the medical doctor Judith Mackay, in acknowledgement of her leadership and success in advancing tobacco control policies throughout the Asia-Pacific Rim. They focus on this area in recognition of the increasingly global nature of these policy challenges.

The first Mackay award was awarded to Stella Bialous, R.N., Dr.PH, in 2016 to investigate a new multilateral trade agreement, the Pacific Alliance, and its impact on tobacco control. Given the international reach of the tobacco industry, understanding how trade of new and emerging tobacco products occurs in the Pacific Rim is critical to Californians' health. Through the award, Dr. Bialous expanded her professional contacts within Pacific Rim countries and the WHO International Framework Convention, positioning her to become a leading expert on international trade of tobacco products.

The second award was awarded in 2019 to Jeremiah Mock, M.Sc., Ph.D., whose research focuses on understanding the cultural norms of tobacco use in outdoor settings. Smoking in the outdoors is hazardous because it produces secondhand smoke and tobacco product waste; it is also a fire hazard. Dr. Mock's research informed both SB8 and AB1718, legislation that led to laws banning smoking in California state parks and beaches. Dr. Mock continues to work with the California Tobacco Control Program to find creative ways to denormalize tobacco use and littering of tobacco product waste in public places. Although his practical research into tobacco control policies in Japan and Thailand is currently limited by the COVID-19 pandemic, his findings have been impactful at state and local levels.

# COVID-19 Emergency Seed Funding

In March 2020, TRDRP partnered with other programs in the Research Grants Program Office (RGPO) of the University of California Office of the President on a research initiative in response to the emergence and spread of the severe acute respiratory syndrome coronavirus (SARS-CoV-2) and its associated disease (COVID-19) in California. By May, RGPO had reviewed and awarded 85 seed awards, 30 of which were funded by TRDRP (\$2million RGPO-wide; \$815,805 TRDRP). Each award received up to \$25,000 for projects of six month duration. These COVID-19 Seed Awards support research on individuals and vulnerable populations at high risk of coronavirus infection and on biomedical approaches to address COVID-19 disease (uckeepresearching.org/rgpo/). A full list of projects funded by TRDRP can be found in Table 13.

In June, TRDRP in partnership with other programs in RGPO invited the 85 recipients of the COVID-19 Seed Awards to apply for continuation funding of up to \$150,000 direct costs for one year. This COVID-19 Continuation Initiative was a limited competition request for proposals that aimed to achieve uninterrupted funding for one year for the most promising COVID-19 Seed Award projects. In October, 12 of the original 85 projects were awarded continuation funding to further the work, providing an additional \$2.5 million (\$1.16 million from TRDRP) to address the pandemic. A full list of the projects funded by TRDRP can be found in <u>Table 14</u>.

# 3.2.6 California Cancer Research Tax Check Off Fund

California State Tax Checkoff funds are voluntary donations designated by taxpayers on their state income tax forms. On behalf of the University of California, TRDRP administered the California Cancer Research Fund through 2016. Since 2017, these funds have been managed by another unit within the Research Grants Program Office. Through the tax checkoff fund, TRDRP received a total of \$979,494 from July 1, 2015, through June 30, 2016. The proposals submitted underwent the same rigorous scientific review as other TRDRP awards.

The projects supported by California Cancer Research Tax Checkoff Funds were:

 "Genomic approaches to identify small cell lung cancer biomarkers," from principle investigator Dian Yang of Stanford University (2015)

- "Lung Cancer Screening: The Views of Patients and Physicians" from principle investigator Celia Kaplan of University of California, San Francisco (2015)
- "Investigating the carcinogenicity of e-cigarettes" from Principle Investigator Stella Tommasi of University of Southern California (2016)

# 3.3 TRDRP Research Priorities

# RESEARCH IN A LANDSCAPE OF CHANGING TOBACCO PRODUCT USE

The injection of \$200 million in new funding for research brought by Proposition 56 has allowed TRDRP to reinforce its already strong research in tobacco use cessation and prevention while expanding research even further into some tobacco-related diseases that were not previously included in its portfolio. Since 2017, TRDRP has called for and funded research in the expanded areas of cardiovascular, cerebrovascular (such as stroke), and lung diseases (such as EVALI).

# OVERARCHING RESEARCH INTERESTS

TRDRP has prioritized research into ending tobacco-related health disparities, assessing the health impacts of new and emerging tobacco products, and identifying health and behavioral effects of added flavors and nicotine.

New and emerging tobacco products have grown remarkably in popularity, especially among populations disproportionately affected by tobacco product use and adolescents. Yet the effects of the flavoring additives used in these products remain unknown. TRDRP has funded researchers who are filling the gaps by analyzing the toxicology and health effects of these products and their flavorings. TRDRP continues to fund studies on the effects of nicotine itself, in both animal models and human subjects.

The co-use of cannabis and tobacco and its impact on health also remains unknown. Research on the biological and population level impact of combined use of these products is needed to inform effective health policies. For this reason, TRDRP funds research that includes cannabis as it relates to tobacco use, tobacco policy, or tobacco-related disease. Already, TRDRP-funded neuroscientists have expanded their research into nicotine addiction to include the effects of co-use on the <u>developing adolescent brain</u>. Similarly, research into the effects of secondhand cannabis smoke on the cardiovascular system is underway by TRDRP-funded researcher <u>Matthew Springer</u>, Ph.D, at the University of California, San Francisco, who has studied the health effects of secondhand cigarette smoke. A recent publication from policy researcher <u>Lynn Silver</u>, M.D, M.P.H., at the Public Health Institute, revealed that most California jurisdictions have failed to incorporate lessons learned from tobacco product regulation in the cannabis regulations they implemented following the passage of Proposition 64.

# OVERVIEW OF INDIVIDUAL TRDRP RESEARCH PRIORITIES

# 3.3.1 Social and behavioral research into tobacco use prevention and treatment

Tobacco use continues to cause disproportionately high rates of morbidity and mortality from cancer, cardiovascular disease, lung disease, and oral disease, in populations defined by gender, sexual orientation, race and ethnicity, age, educational attainment, income, health insurance, housing type and community, resulting in reduced quality of life. Tobacco-related health disparities devastate individuals, families, communities, and the economy. TRDRP supports research in this area that aims to:

- Optimize tobacco use prevention and treatment interventions;
- Prevent and reduce child, adolescent, and young adult tobacco product use and secondhand smoke exposure; and
- Examine the prevalence and initiation of multiple tobacco product use and tobacco- cannabis co-use among priority groups.

# 3.3.2 CANCER PREVENTION, TREATMENT, AND BIOLOGY

It is widely recognized that tobacco use increases risk for multiple types of cancer. TRDRP funds research that will help to better understand the basic biological mechanisms of tobacco-related cancer initiation and malignant progression. Grantees are also studying new approaches to early detection and precision medicine therapies. They are also identifying ways to counteract the drug resistance that tumors develop which results in cancer progression and, in many cases, cancer deaths. Research areas, supported by the Centers for Disease Control and Prevention <u>Report of the Surgeon General</u>, include the following:

- Basic research into the molecular genetic mechanisms of tobacco-related cancer initiation, progression, and resistance to therapy;
- Translational research of new detection and treatment strategies for tobacco-related cancers; and
- Implementation of evidence-based health care policy and/or practice changes that show promise for reducing tobacco-related cancer deaths and health disparities in California.

# 3.3.3 CARDIOVASCULAR AND CEREBROVASCULAR DISEASES

According to the <u>CDC</u>, cardiovascular disease remains the leading cause of death in California and tobacco use is the leading cause of these deaths. Currently, more than eight million Californians live with or have been diagnosed with cardiovascular disease or stroke-related conditions. TRDRP supports research that will address the following:

- Effects of new and emerging tobacco products on risk for or progression of cardiovascular disease or stroke;
- Relationship between atrial fibrillation and combustible and/or new and emerging tobacco products;
- Risk of heart diseases and stroke posed by new and emerging tobacco products and its impact on California populations; and
- Identification of new, culturally appropriate interventions to decrease cardiovascular and stroke-related health disparities among priority groups.

# 3.3.4 Environmental exposure and toxicology

The changing landscape of tobacco product availability has further complicated tobacco control, public understanding of risk evaluation, and new policy approaches. Scientific evaluation of these products is needed to better define exposure risks and toxicological profiles. In addition, increased co-use of tobacco and cannabis in outdoor or indoor environments requires reassessment of potential exposure health risks. Novel and well-established evaluation methods are needed to characterize patterns of exposure and risk in these venues. Examples of some of these research topics include the following:

- Toxicology and risk profiles of new tobacco products, including characterization of biomarkers of exposure from all tobacco products and studies on the persistence of biomarkers of combustible tobacco use in former smokers;
- Measurement of biomarkers of exposure to cannabis and tobacco using different methods of cannabis product use;
- Environmental and economic impact of the production, sale and use of new products and their related waste, plus new policy approaches to reduce or mitigate tobacco product waste at the municipal, county, and state levels;
- Policies to minimize involuntary exposure to secondhand smoke and secondhand vape aerosol and their associated health risks in all public settings; and
- Thirdhand smoke pathways of exposure characterization, risk evaluation, and toxicology.

# 3.3.5 NEUROSCIENCE OF NICOTINE ADDICTION AND TREATMENT

Nicotine dependence is the most common form of chemical dependence in the U.S. Studies have shown nicotine to be more addictive than heroin, cocaine, and methamphetamine. Many smokers find it nearly impossible to quit, despite

knowing that cigarette smoking increases their risk for cardiovascular and respiratory diseases, cancer, and other illnesses. The effects of co-use of nicotine with other substances such as alcohol and cannabinoids is another key area of research. Adolescents are particularly susceptible to addictions because of the formative stage of their brain development, and they often experiment with multiple substances consumed separately or combined in new nicotine delivery devices. It is important to understand the biology and behavioral aspects of co-use of nicotine with other substances among adolescents. Examples of some of these research topics include the following:

- Molecular, cellular, and behavioral effects of nicotine, with and without flavorants, on the developing brain;
- Effects of flavorants and other constituents of e-cigarette aerosol on nicotine addiction;
- Neuroimaging and other clinical studies on the acute effects of nicotine or flavorants, alone or combined, on human brain structure and function;
- Mechanistic characterization of biological and behavioral differences between female and male e-cigarette users during withdrawal;
- Development and neurological characterization of cessation approaches for e-cigarette-only and dual users;
- Development and neurological characterization of personalized treatment approaches for tobacco use disorders in LGBTQ individuals; and
- Addictive potential of combined nicotine and cannabinoid use in Black youth.

# 3.3.6 Oral Diseases and Dental Health

Cigarette smoking and smokeless tobacco use cause oral and dental health problems such as gum disease, bone loss, and cancers of the mouth and throat. Oral cancer risk for smokers and smokeless tobacco users is substantially higher than non-smokers. The impact of flavors, glycerin, and polyethylene glycol present in e-liquids on the oral cavity is unknown and requires further study in the laboratory and in the clinic. Proposition 56 provides funding to increase dental care for the most vulnerable populations in California. This suggests dental professionals may provide another route to deliver information on tobacco use prevention and cessation. Examples of some of these research topics include the following:

- Tools and cost-effective diagnostic methods of early detection of tobacco-related oral diseases,
- The impact of nicotine and flavored e-liquids on oral health, and
- Evaluation of cessation strategies practiced by dental professionals.

# 3.3.7 PULMONARY BIOLOGY AND LUNG DISEASES

Tobacco smoke is a key factor in the development and progression of chronic obstructive pulmonary disease (COPD), one of the leading causes of death in the U.S. COPD has a large social and economic impact in California, especially in disproportionately affected populations. These groups include people of low socioeconomic status, American Indian/Alaska Natives, multiracial non-Hispanics, and women. TRDRP supports research crucial to understanding the effects of tobacco products on the lung, the origin of and mechanisms of progression of pulmonary diseases that are caused by tobacco use or exposure, and studies that translate this knowledge into improved diagnostics and treatments. Examples of some of these research topics include the following:

- Mechanistic studies to better define the effects of tobacco products and their constituents on lung biology,
- Development of diagnostic and therapeutic approaches for the prevention and treatment of tobacco-related lung diseases,
- Discovery and understanding of lung disease related to co-use of different tobacco products and co-use of tobacco products with other substances of abuse, and
- Effects of pre-natal and neonatal exposure to tobacco products or their constituents on lung development and disease.

# 3.3.8 STATE AND LOCAL TOBACCO CONTROL POLICY RESEARCH

The tobacco control policy landscape is changing rapidly as it responds to the introduction of new and novel products. Policy research is needed to examine the retail industry, tobacco industry marketing, flavorants, cannabis use, and youth interest in new products. The tobacco industry spends billions of dollars marketing tobacco products through the retail environment; managing these channels is important to efforts to reduce youth tobacco product access. Policy research also creates opportunities for community partnerships to focus on evidence-based policy adoption. The following are examples of some of these research topics:

- Flushing out and countering tobacco industry marketing and corporate social responsibility efforts in an effort to protect youth from tobacco and cannabis marketing,
- Evaluation of retailer knowledge and compliance with new laws in an effort to characterize policy approaches that support stronger local tobacco control ordinances,
- Research to evaluate local regulations of menthol cigarettes and flavored tobacco to boost strategies to build support for minimum price and unit packaging, and
- Advancing mobile health interventions for tobacco treatment and healthcare policy.

# 3.3.9 Other tobacco-related health effects

TRDRP supports research projects on diseases not included in the eight priority areas listed above, as long as the disease has been identified as being causally associated with tobacco use in the Report of the Surgeon General or if tobacco-related products or their constituents are integral to the proposed study. Examples of some of these research topics include the following:

- Eye diseases including, but not limited to, age-related macular degeneration, cataracts, diabetic retinopathy, dry eye, glaucoma, and uveitis;
- Type 2 diabetes and associated serious health complications, such as poor blood flow leading to amputation and peripheral neuropathy; and
- Communicable diseases, such as influenza and COVID-19.

# 4 Looking Forward

Over the years covered by this report, TRDRP has continuously encouraged and supported critical research needed to inform the state's tobacco control activities and to improve the care for Californians stricken with tobacco-related diseases.

Programmatically, TRDRP seeks to heighten the influence of its funded research through the use of collective impact strategies that utilize teams of stakeholders, rather than individuals, from the inception through the completion of a project or initiative. On the grantmaking front, the success of the Community Practice-Based Research Implementation Projects, in terms of their impact on providing effective smoking cessation to the Medi-Cal population, fueled the desire to encourage more implementation research among TRDRP's grantees. The products of well-designed implementation research studies are tools and processes that may be more likely to lead to systems change than discovery-based studies.

The fact that smoking prevalence remains highest among populations that are plagued by other health disparities means that TRDRP will continue to fund research in this area and to encourage new approaches that will help result in reduced smoking prevalence for all. This is directly aligned with the California Endgame Initiative, which seeks to end the sale and use of all tobacco products in the state by the year 2030.

It was with these concepts in mind, along with survey data from primary stakeholders that TRDRP embarked on a new strategic planning process in 2019. The goals for the next five years include the following:

- 1. Serve as the leader in cutting-edge tobacco research by identifying and advancing innovative funding strategies that will drive policy and systems change.
- 2. Utilize collaborative and interdisciplinary approaches to identify key research needs and to implement effective dissemination strategies for impactful tobacco control policymaking.
- 3. Support communities most vulnerable to tobacco-related health disparities by providing real time, relevant and actionable research findings to promote health equity and reduce negative impacts of tobacco in all California communities.
- 4. Strive for excellence in the stewardship of grants and grantmaking operations by leveraging key partnerships, evaluating and improving processes and procedures, and enabling staff development.

Using these goals as a guide, TRDRP will continue to provide the State of California with timely, informative data needed to support tobacco control efforts and improved health for all Californians.

# 5 Appendices

# Appendix I: Section 104500 of the Health and Safety Code: 104500. ...(c)

"It is further the intent of the Legislature that on or before December 31, 2010, and every five years thereafter, the University of California transmit programmatic, as well as financial, reports to the state, including a report on the grants made, pending grants, program accomplishments, and the future direction of the program."

# https://leginfo.legislature.ca.gov/faces/codes\_displayText.xhtml?lawCode=HSC&division=103.&title=&part=3.&chapter= 1.&article=2

# Appendix 2: TRDRP Staff and Scientific Advisory Committee Members

The Legislature, Proposition 99, and Health and Safety Code Sections 104500-104545 mandate the structure of the Tobacco-Related Disease Research Program. During the period covered by this report, TRDRP staff has included a program director, four to five program officers, and a project policy analyst. The TRDRP program director and program officers all hold doctoral degrees and deep knowledge of research and grantmaking.

Proposition 99 also mandated the development of a Scientific Advisory Committee that would serve as the primary program advisory board for TRDRP. Members of the Scientific Advisory Committee advise the University of California on the direction and priorities of TRDRP. They also make funding recommendations for each cycle of peer-reviewed funded grants. Scientific Advisory Committee members represent research institutions and scientific fields involved in tobacco-related disease research and major California organizations involved in tobacco control efforts.

# **Current Staff**

Tracy Richmond McKnight, Ph.D. Director

Deborah Colosi, Ph.D. Program Officer for Environmental Exposure and Toxicology (Thirdhand Smoke Consortium)

Ginny Delaney, Ph.D.

Program Officer for Biomedical Sciences (Cardiovascular and Cerebrovascular Disease, Oral Disease and Dental Health, and Environmental Impacts of Tobacco and Cannabis Waste)

Uta Grieshammer, Ph.D. Program Officer for Biomedical Sciences (Neuroscience and Pulmonary Biology and Lung Disease)

Norval Hickman, Ph.D., M.P.H. Program Officer for Social Behavioral Sciences and Public Health (Community Practice Research and Community Partnered Participatory Research)

Jennifer V. Jackson Project Policy Analyst

Katherine McKenzie, Ph.D. (shared with California Breast Cancer Research Program) Senior Program Officer for Cancer Prevention, Treatment, and Biology

# Prior Staff Members 2015-2020

Bart Aoki, Ph.D. Director (2015-2018)

Anwer Mujeeb, M.Sc., Ph.D. Program Officer for Biomedical and Environmental Sciences Marion Kavanaugh-Lynch, M.D., M.P.H. Interim Director (2018-2019)

Raymond Boyle, PhD, MPH Program Officer Policy Research and Environmental Sciences and Toxicology

Phillip Gardiner, Dr.P.H. Program Officer for Policy and Regulatory Sciences

#### **Current Scientific Advisory Board Members**

Rebecca Williams, Dr.P.H., M.P.H., Chair Chief, Evaluation and Surveillance Section California Tobacco Control Program Representing: California Department of Public Health Term: 2018 – 2021

Jerold A. Last, Ph.D., Vice Chair Distinguished Professor of Pulmonary and Critical Care Medicine University of California Davis Representing: Environmental Sciences Term: 2015 – 2022

Benjamin Bowser, Ph.D. Professor Emeritus Department of Sociology and Social Services California State University East Bay Representing: Social Behavioral Term: 2020 – 2023

Susan Bradshaw, M.D., M.P.H. Physician Specialist Los Angeles County Department of Public Health Representing: Community-Based Provider Term: 2019 – 2022

Karina Camacho Policy Manager Tobacco Control American Lung Association in California Representing: American Lung Association Term: 2020 – 2023 John Crockett, Ph.D. Associate Vice President Research Advancement San Diego State University Representing: Tobacco-Related Disease Research Institution Term: 2020 – 2023

Naomi Hamburg, M.D., M.Sc. Associate Professor Boston University School of Medicine Representing: Biomedical Term: 2019 – 2022

Jim Knox, M.P.P. Managing Director, Government Relations American Cancer Society Representing: American Cancer Society Term: 2020 – 2023

David Lee, M.D. Associate Professor of Medicine Director, Cardiac Catheterization and Intervention Laboratories Director, Interventional Cardiology Fellowship Program Stanford University School of Medicine Representing: American Heart Association Term: 2020 – 2023

Robert KJ. MacCoun, Ph.D. Professor Stanford Law School Representing: Independent Research University of CA Term: 2019 – 2022

Chunxia Wang, Ph.D. Education Research and Evaluation Consultant Tobacco Use Prevention Education Office California Department of Education Representing: California Department of Education Term: 2019 – 2022

#### Term Ending 2020

Benjamin Chaffee, D.D.S., M.P.H., Ph.D. Assistant Professor, Oral Epidemiology and Dental Public Health University of California, San Francisco Representing: Dentistry and Oral Health Term: 2017 – 2020

Robin Corelli, PharmD Professor, Department of Clinical Pharmacy University of California, San Francisco Representing: Clinical Pharmacy Term: 2016 – 2020

John Maa, M.D. Marin General Hospital President, Northern California Chapter of the American College of Surgeons Board of Directors, American Heart Association, San Francisco Division Representing: American Heart Association Term: 2011 – 2020

Jesse N. Nodora, Dr.P.H. Assistant Professor, Department of Family and Preventive Medicine University of California, San Diego Moores Cancer Center Representing: Ex-Officio TEROC Term: 2014 – 2020

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Dan J. Raz, M.D., M.A.S. Assistant Professor Surgical Director, Lung Cancer and Thoracic Oncology City of Hope National Medical Center, Duarte Representing: Lung Cancer Alliance Term: 2013 – 2020

Stephen C. Welter, Ph.D., Vice Chair Vice President, Research and Graduate Dean San Diego State University Representing: Tobacco-Related Disease Research Institute Term: 2014 – 2020

Mimi C. Yu, Ph.D. Professor (retired) University of Southern California Representing: American Cancer Society & Cancer Action Network Term 2011 – 2020

#### Term Ending 2019

Denise Adams-Simms, M.P.H. Executive Director San Diego Black Health Associates Representing: Community-Based Provider Term: 2017 – 2019

Ricky N. Bluthenthal, Ph.D. Professor, Department of Preventive Medicine University of Southern California Representing: Private Research Institute Term: 2015 – 2019

Edith D. Balbach, Ph.D. Professor, Emerita of Public Health and Family Medicine Tufts University Representing: Policy Researcher Term: 2014 – 2019 Matthew Brenner, M.D. Professor of Medicine, Department of Medicine University of California, Irvine Representing: Biomedical Research Term: 2012 – 2019

Vanessa M. Marvin Senior Director, Grassroots Advocacy and Field Strategy American Lung Association Representing: American Lung Association in California Term: 2015 – 2019

#### **Term Ending 2018** Sarah A. Planche, M.Ed. School Health Education Consultant Tobacco Use Prevention and Education Program California Department of Education Representing: California Department of Education Term: 2014 – 2018

Xueying Zhang, M.S. Research Scientist California Tobacco Control Program California Department of Public Health Representing: California Department of Public Health Term: 2015 – 2018

# Term Ending 2017

Richard L. Barnes, J.D. Health Science Clinical Professor, Department of Clinical Pharmacy University of California, San Francisco Representing: Ex-Officio TEROC Term: 2015 – 2017

#### Term Ending 2016

Audrey Smith, M.A., R.D., C.D.E. Director, Preventive Health Services Watts Healthcare Corporation, Los Angeles Representing: Community-Based Provider Term: 2013 – 2016 Appendix 3: Tables of TRDRP Grants Awarded July 1, 2015 to June 30, 2020, by Research Priority

Area. \*2020 awards have been committed, not yet paid

TABLE 5: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: SOCIAL AND BEHAVIORAL PREVENTION AND TREATMENT

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Embedding smoking			
Community		cessation programs into	Friedman,	Friends Research	
Practice Award	2018	community clinics	Theodore	Institute, Inc.	\$1,822,584
		,		University of	
Community		Ending tobacco use in high		California, San	
Practice Award	2016	risk, low income smokers	Guydish, Joseph	Francisco	\$372,720
		Treating tobacco use		University of	
Community		among high risk, low		California, San	
Practice Award	2018	income smokers	Guydish, Joseph	Francisco	\$1,835,949
		Building Tobacco Cessation			
Community		Connections Across Los		University of	
Practice Award	2016	Angeles Co	Tong, Elisa	California, Davis	\$387,222
		Expanding Los Angeles			
Community		County eConsult Cessation		University of	
, Practice Award	2018	Services	Tong, Elisa	, California, Davis	\$1,748,266
Community					
Partnered					
Participatory		Imperial Youth For A		San Diego State	
Research Award	2020*	Tobacco-Free Environment	Greiner, Lydia	University	\$306,046
Community				•	
Partnered					
Participatory		Imperial Youth For A		Comite Civico del	
Research Award	2020*	Tobacco-Free Environment	Olmedo, Luis	Valle	\$226,144
Community		Feasibility and Acceptability	,		. ,
Partnered		of a Suite of Tobacco		Family Health	
Participatory		Cessation Services for Low-		Centers of San	
Research Award	2020*	Income Populations	Liu, Jie	Diego	\$329,760
Community		Feasibility and Acceptability			
Partnered		of a Suite of Tobacco		University of	
Participatory		Cessation Services for Low-		California, San	
Research Award	2020*	Income Populations	Strong, David	Diego	\$207,080
				Orange County	
Community				Asian and Pacific	
Partnered		¡VAMOS! Vaping among		Islander	
Participatory		multicultural Orange		Community	
Research Award	2020*	County students	Foo, Mary	Alliance, Inc.	\$269,480
Community					
Partnered		¡VAMOS! Vaping among			
Participatory		multicultural Orange		University of	
Research Award	2020*	County students	Tanjasiri, Sora	California, Irvine	\$205,564
Community					
Academic		Interactive Mobile Doctor		Asian Health	
Research Award	2015	(iMD) for Asian smokers	Quach, Thu	Services	\$211,357

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
Community				University of	
Academic		Interactive Mobile Doctor		California, San	
Research Award	2015	(iMD) for Asian smokers	Tsoh, Janice	Francisco	\$239,286
Pilot Community		Development of an	,	University of	. ,
Academic		Afterschool Tobacco Use		California, Los	
Research Award	2015	Prevention Program	Cowgill, Burton	Angeles	\$175,822
Pilot Community		Development of an		BREATHE	<i>+_::):</i>
Academic		Afterschool Tobacco Use		California of Los	
Research Award	2015	Prevention Program	Karibian, Kara	Angeles County	\$64,821
Pilot School	2015			University of	90 <del>7</del> ,021
Academic		Evaluation of California's		California, San	
Research Awards	2015	TUPE Program	Zhu, Shu-Hong	-	\$200,542
Research Awarus	2015		Zhu, Shu-Hong	Diego Northern	\$200,542
CA Coholon of		Tech and Telephone			
CA Scholar of		Tech and Telephone		California	
Targeted		Smoking Cessation		Institute for	
Advance	2017	Treatment for Young		Research &	6222.000
Research	2017	Veterans with PTSD	Herbst, Ellen	Education	\$333,090
CA Scholar of		Tech and Telephone			
Targeted		Smoking Cessation		University of	
Advance		Treatment for Young		California, San	
Research	2017	Veterans with PTSD	Herbst, Ellen	Francisco	\$146,789
		Intersectionality of Religion			
		and Immigration with			
		Smoking among Arab			
Predoctoral		Americans in California's		University of	
Award	2020*	SJV	Alnahari, Sarah	California, Merced	\$165,964
		Mediators and Moderators			
		of Combined Varenicline		University of	
Predoctoral		and Naltrexone for Smoking		California, Los	
Award	2019	Cessation	Green, ReJoyce	Angeles	\$89,671
		Disparities in Life Course		University of	
Predoctoral		Tobacco Exposure and		Southern	
Award	2019	Breast Cancer Risk	Ihenacho, Ugonna	California	\$101,150
		Spatial-, neighborhood- and		San Diego State	
		healthcare system-related		University	
Predoctoral		drivers of lung cancer		Research	
Award	2019	treatment disparities	Obrochta, Chelsea	Foundation	\$119,448
		Investigating the potential	,		. ,
		for non-tobacco wraps to		David Geffen	
Predoctoral		displace cigarillos for blunt		School of	
Award	2020*	smoking	Shia, David	Medicine at UCLA	\$111,150
		Increasing Consumer	-,	University of	,, •
Predoctoral		Awareness of Smoking Risks		California, San	
Award	2019	with Graphic Warnings	Stone, Matthew	Diego	\$103,350
	2015	Intersecting Inequities:		2.080	÷±00,000
		Linking tobacco use to oral			
Predoctoral		health disparities among		University of	
Award	2019	Blacks and Latinx	Wright, Tashelle	California, Merced	\$88,078
	2019		איווקווג, ומאוופוופ		700,070

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Tobacco-related risk and			
		cancer-related illness		University of	
Postdoctoral		perceptions among low-		California, San	
Award	2020*	income smokers	Durazo, Artuto	Francisco	\$68,170
		At the intersection of social		San Diego State	
		inequity: tobacco use		University	
Postdoctoral		disparities among diverse		Research	
Award	2019	LGBTQ adolescents	Felner, Jennifer	Foundation	\$213,864
				University of	
Postdoctoral		Differential softening of		California, San	
Award	2016	smokers in California	Kulik , Margarete	Francisco	\$98,339
		Everyday smoking contexts		University of	
Postdoctoral		and practices of bisexual		California, San	
Award	2019	young adults	McQuoid, Julia	Francisco	\$135,392
				Lundquist	
				Institute for	
		Tobacco and cannabis co-		Biomedical	
		use among young adults: a		Innovation at	
Postdoctoral		multi-method analytic		Harbor-UCLA	
Award	2020*	approach	Nguyen, Nhung	Medical Center	\$142,450
				University of	
Postdoctoral		Smartphone-based smoking		California, San	
Award	2016	cessation with young adults	Thrul, Johannes	Francisco	\$63,073
		The Influence of Social			
Postdoctoral		Media on Adolescents' E-		Stanford	
Award	2019	Cigarette Use	Vogel, Erin	University	\$117,143
		Flavored tobacco initiation		University of	. ,
Postdoctoral		among youth and young		, California, San	
Award	2019	adults	Watkins, Shannon	Francisco	\$62,243
Exploratory/		Influence of Hormone Use	,		. ,
Developmental		on Smoking among		Public Health	
Award	2015	Transwomen/men	Nemoto, Tooru	Institute	\$229,372
Exploratory/		A Comprehensive		University of	
Developmental		Investigation of ENDS Use		California, San	
Award	2015	in Adolescents	Rubinstein, Mark	Francisco	\$248,535
		Novel Pharmacotherapy	,	University of	. ,
		Approaches in Smokers		California, San	
Pilot Award	2019	with Serious Mental Illness	Anthenelli, Robert	Diego	\$498,794
		E-cigarette and Tobacco		University of	+
		Use Prevention for Deaf		California, Los	
Pilot Award	2017	and Hard-of-Hearing Youth	Berman, Barbara	Angeles	\$299,816
		Reducing Disparities by		University of	+
		Integrating Tobacco		California, San	
Pilot Award	2019	Cessation into HIV Care	Brouwer, Kimberly	Diego	\$497,790
		Evaluating Relationship of		Veterans Medical	<i> </i>
		Cannabis use and Tobacco		Research	
Pilot Award	2018	Cessation	Chen, Timothy	Foundation	\$561,591
	2010	CC33dtion	chen, minotity		101,001

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		The Use of Virtual Reality to		University of	
		Induce and Assess Nicotine		California, San	
Pilot Award	2019	Craving	Courtney, Kelly	Diego	\$335,352
		Update KiR: An Evidence-		California, San Diego Education Training and Research Associates, Inc. University of California, San Francisco University of California, Los Angeles University of California, San Francisco University of California, San Francisco PIRE California, Diego PIRE California, Inc. University of California, San Francisco	
		Based Program Reducing	e of Virtual Reality to and Assess Nicotine g Courtney, Kelly Courtney, Kelly Courtory, Kelly Courtory, Kelly Courtney, Kelly Courtory, Kely, Keatery, Keatery Courtory, Keatery, Keatery, Keatery, Keatery, K		
		Teen Tobacco & Cannabis		Research	
Pilot Award	2018	Use	Drake, Pamela	Associates, Inc.	\$526,924
		N-Acetylcysteine for			
		Smoking Cessation in			
		Tobacco and Cannabis Co-		University of	
		Use: A Randomized		California, San	
Pilot Award	2020*	Controlled Trial	Herbst, Ellen	Francisco	\$504,000
		Tobacco and cannabis use			
		among sexual and gender		California, Los	
Pilot Award	2018	minorities	Holloway, Ian	Angeles	\$500,866
		Social Media-based			
		Treatment: Engaging Sexual		University of	
		and Gender Minority		California, San	
Pilot Award	2019	Smokers	Humfleet, Gary	Francisco	\$499,397
		Nicotine Cessation:			
		Adapting a Counseling		University of	
		Program for Emerging		California, San	
Pilot Award	2020*	Adults	Krebs, Paul	Diego	\$577,587
		Tobacco cessation and			
		prevention for underserved			
		Arab Americans: A pilot		PIRE California,	
Pilot Award	2019	study	Lee, Juliet	Inc.	\$635,235
		VIP smoking cessation		University of	
		intervention for adults with		California, San	
Pilot Award	2019	serious mental illness	Leutwyler, Heather	Francisco	\$495,156
		Digital Mixed Methods to			
		Identify and Characterize		University of	
		Vaping Illness in Young		California, San	
Pilot Award	2020*	Adults in California	Mackey, Timothy	Diego	\$547,637
				University of	
		Tobacco industry influence		California, San	
Pilot Award	2017	on the video game industry	McDaniel, Patricia	Francisco	\$298,746
		Racial and Gender			
		Discrimination, Tobacco			
		Use, and Time Perspective		San Francisco	
Pilot Award	2020*	among Adolescents	Mello, Zena	State University	\$619,228
		Social Cognition in Relation		University of	
		to Tobacco Craving and		California, San	
Pilot Award	2018	Inflammation in HIV	Morgan, Erin	Diego	\$497,598
				San Diego State	
		A Randomized Crossover		University	
		Clinical Trial of Unfiltered		Research	
Pilot Award	2018	Cigarettes	Oren, Eyal	Foundation	\$654,488

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Comprehensive Program to		University of	
		Reduce Tobacco-Related		California, San	
Pilot Award	2018	Health Disparities in CHCs	Potter, Michael	Francisco	\$498,823
		Informing interventions to		University of	
		reduce tobacco use among		California, San	
Pilot Award	2018	homeless women	Riley, Elise	Francisco	\$496,848
		Technology-Assisted			
		Motivational Interviewing		University of	
		and Referral Coach: The		California, San	
Pilot Award	2019	TAMI Coach	Satterfield, Jason	Francisco	\$500,000
		American Indian Youth:		University of	
		PSA, Photovoice & Digital		Southern	
Pilot Award	2017	Storytelling	Soto, Claradina	California	\$396,000
				Chinese	
		WeChat to Quit: Engage		Community	
		Chinese Patients to Stop		Health Resource	
Pilot Award	2018	Smoking	Sun, Angela	Center	\$937 <i>,</i> 500
		Interactive Mobile Doctor			
		(iMD) to Promote Tobacco		University of	
		Cessation among Cancer		California, San	
Pilot Award	2020*	Patients	Tsoh, Janice	Francisco	\$519,918
		Empower Korean Families		University of	
		to End Tobacco Use &		California, San	
Pilot Award	2017	Smoke Exposure	Tsoh, Janice	Francisco	\$330,454
		Text Messaging-Based			
		Smoking Cessation Program			
Pilot Award	2018	for Homeless Youth	Tucker, Joan	RAND Corporation	\$687 <i>,</i> 334
		Randomized trial of a			
		contingency management			
		smoking cessation		University of	
		intervention for homeless	Vijayaraghavan,	California, San	
Pilot Award	2020*	adults	Maya	Francisco	\$520,000
		A smoke-free home		University of	
		intervention in supportive	Vijayaraghavan,	California, San	
Pilot Award	2016	housing	Maya	Francisco	\$319,871
		CONNECT: Smoking		University of	
		Cessation and Lung Cancer		California, San	
Pilot Award	2018	Screening	Walsh, Judith	Francisco	\$499,241
				Scientific Analysis	
		Electronic Nicotine Delivery		Corporation dba	
		Systems and California		Institute for	
Research Award	2015	Youth	Antin, Tamar	Scientific Analysis	\$545 <i>,</i> 532
		Youth-initiated tobacco		Scientific Analysis	
		harm reduction? A		Corporation dba	
		qualitative study of sexual		Institute for	
Research Award	2019	and gender minorities	Antin, Tamar	Scientific Analysis	\$1,099,868
		Nicotine and Tobacco Use		Scientific Analysis	
Research Award	2020*	among Rural Young Adults	Antin, Tamar	Corporation dba	\$1,088,754

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		in Northern California: A		Institute for	
		qualitative study		Scientific Analysis	
		Measuring combined		University of	
		tobacco, e-cigarette, and		California, San	
Research Award	2016	marijuana use	Apollonio, Dorothy	Francisco	\$374,448
		Vaping Nicotine and		University of	
		Cannabis in Adolescence	Barrington-Trimis,	Southern	
Research Award	2018	and Early Adulthood	Jessica	California	\$1,230,250
		Implementation Research		University of	
		to Reduce Colorectal		California, Los	
Research Award	2018	Cancer Disparities	Bastani , Roshan	Angeles	\$910,175
		The Impact of Recreational	,	0	. ,
		Marijuana Legalization on		University of	
		Tobacco and Marijuana Co-		California, San	
Research Award	2019	Use	Cohen, Beth	Francisco	\$1,092,190
		Hands Off Tobacco and E-			+ =,00 =,=00
		Cigarettes!: Tobacco & E-		University of	
		Cigarette Use Prevention		California, Los	
Research Award	2020*	for Deaf Youth	Cowgill, Burton	Angeles	\$973,837
Research Andra	2020	Project Towards No			<i>\\</i>
		Nicotine: Afterschool		University of	
		Tobacco Use Prevention		California, Los	
Research Award	2017	Program	Cowgill, Burton	Angeles	\$397,095
Research Award	2017	Tobacco and Cannabis		California State	<i>\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\</i>
		Intervention for Young		University, Long	
Research Award	2019	Black MSM	D'Anna, Laura	Beach Foundation	\$1,106,197
Research / Ward	2015	Disparities in Rates &		Deach i bandation	<i><b>J</b></i> <b>JJJJJJJJJJJJJ</b>
		Impact of Tobacco and		University of	
		Marijuana Use in UCLA		California, Los	
Research Award	2019	Primary Care	Gelberg, Lillian	Angeles	\$932,434
Research Award	2015	Smoking in California drug	Geiberg, Lillian	University of	<i>JJJZ,</i> +J4
		treatment: A policy		California, San	
Research Award	2018	intervention	Guydish, Joseph	Francisco	\$931,110
Research Award	2018	Evaluation of the Tobacco	Halpern-Felsher,	Stanford	<i>\$3</i> 51,110
Research Award	2018	Prevention Toolkit	Bonnie	University	\$1,175,996
Research Awaru	2018	Combined intranasal	DOTITIE	University	\$1,175,990
		oxytocin and mindfulness		Liniversity of	
		training as a novel	Kirkpotrick	University of Southern	
Decease have a	2010	treatment for smoking	Kirkpatrick,	California	¢400.21C
Research Award	2019	cessation	Matthew		\$499,316
		Dyadic psychosocial		Linivorsity of	
		mechanisms of smoking	Kinkastuisk	University of	
Deservels A	2020*	relapse in sexual minority	Kirkpatrick,	Southern	64 334 563
Research Award	2020*	couples	Matthew	California	\$1,234,563
		Dual Use of Marijuana and			
Desservice	2015	Tobacco: Social Media and		PIRE California,	6500 470
Research Award	2015	Youth	Lee, Juliet	Inc.	\$538,179

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Mechanisms Linking			
		Obsessive-Compulsive		University of	
		Symptoms and Tobacco		Southern	
Research Award	2018	Dependence	Leventhal, Adam	California	\$1,235,906
		Social media intervention to		Veterans Medical	
		stop nicotine and cannabis		Research	
Research Award	2020*	vaping among adolescents	Ling, Pamela	Foundation	\$975,000
		Youth Activity Spaces and			
		Exposure to Tobacco	Lipperman-Kreda,		
Research Award	2016	Outlets	Sharon	HBSA, Inc.	\$452,776
		Smoking Cessation in		University of	
		California Medicaid		California, San	
Research Award	2018	Programs	McMenamin, Sara	Diego	\$587,017
		Health behaviors among		University of	
		emerging adult survivors of		Southern	
Research Award	2018	childhood cancers	Milam, Joel	California	\$710,328
		Multilevel prevention of			
		commercial tobacco-related			
		harms on rural California		PIRE California,	
Research Award	2019	Tribal lands	Moore, Roland	Inc.	\$1,095,241
		Using technology to help			
		low-income and Latino		Palo Alto	
Research Award	2015	smokers quit	Munoz, Ricardo	University, Inc.	\$488,628
		Barriers and Supports for			
		Smoking Cessation: Latinos			
Research Award	2017	in Addiction Treatment	Pagano, Anna	HBSA, Inc.	\$498,793
				University of	
		Smoking reinstatement in		Southern	
Research Award	2018	major depressive disorder	Pang, Raina	California	\$1,255,153
		Tobacco Treatment for		Stanford	
Research Award	2015	Employable Californians	Prochaska, Judith	University	\$570,719
		Marijuana Dispensaries and		University of	
		Adolescents' Use of		California, San	
Research Award	2018	Marijuana and Tobacco	Shi, Yuyan	Diego	\$895,649
		EX-Teen American Indian		University of	
		Tobacco Use Cessation		Southern	
Research Award	2016	Program	Soto, Claradina	California	\$495,000
		Tobacco Policies and		University of	
		Disparities: California vs.		California, San	
Research Award	2018	the US	Trinidad, Dennis	Diego	\$933,303
		Tobacco and Marijuana Co-			
		Use Among Emerging			
Research Award	2017	Adults in California	Tucker, Joan	RAND Corporation	\$466,934
		Proximity to cannabis		University of	
		retailers/dispensaries and		Southern	
Research Award	2018	adolescent cannabis use	Unger, Jennifer	California	\$1,237,497

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Impact of vape pen		University of	
New Investigator		placement in music videos		Southern	
Award	2018	on young adults	Allem, Jon-Patrick	California	\$961,915
		Social Media Surveillance of		University of	
New Investigator		Vulnerable, At-Risk Groups		California, San	
Award	2018	in Tobacco Control	Ayers, John	Diego	\$748,149
		Youth Vaping in Los			
		Angeles: Youths'		University of	
New Investigator		Perceptions, Behaviors, and		Southern	
Award	2020*	Outlet Density	Cancio, Roberto	California	\$853,800
				Breathe California	
		Menthol & Flavor Tobacco	Bankston-Lee,	of Sacramento-	
Special Project	2015	Products Meeting	Kimberly	Emigrant Trails	\$2,500
				Society for	
				Research on	
		SRNT 2019 Health		Nicotine and	
Special Project	2019	Disparities Travel Awards	Bucaida, Amy	Tobacco	\$5,000
				American	
		What Millennials Need To		Nonsmokers'	
Special Project	2018	Know About Blunts!	Hallett, Cynthia	<b>Rights Foundation</b>	\$5,000
				Society for	
				Research on	
		2016 SRNT HD Travel		Nicotine and	
Special Project	2015	Awards	Johnson, Mona	Tobacco	\$3,181
				Society for	
				Research on	
		SRNT 2018 Health		Nicotine and	
Special Project	2018	Disparities Travel Awards	Johnson, Mona	Tobacco	\$3,334

TABLE 6: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: CANCER PREVENTION, TREATMENT, AND BIOLOGY

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Total Synthesis of		University of	
Predoctoral		Strictosidine, a Precursor to		California, Los	
Award	2019	Vinblastine	Anthony, Sarah	Angeles	\$133,677
		Targeted Degradation of		University of	
Predoctoral		Proliferative E2F in Nicotine-		California, Santa	
Award	2018	Induced Lung Cancers	Barrett, Alison	Cruz	\$134,659
				University of	
Predoctoral		Synthesis of Noscapinoids for		California, Los	
Award	2018	Treatment of Lung Cancer	Boit, Timothy	Angeles	\$152,688
		Understanding Genetic			
		Interactions in the p53		Stanford	
Predoctoral		Network in Lung		University School	
Award	2020*	Adenocarcinoma Suppression	Boutelle, Anthony	of Medicine	\$76,144

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Determining How the			
		Intratumoral Environment		University of	
Predoctoral		Confers Stemness in Lung		California, Santa	
Award	2019	Cancer	Carrillo, David	Cruz	\$139,942
		Characterization of Smoking-		University of	
Predoctoral		Associated Circulating Tumor		California, Los	
Award	2019	DNA (ctDNA) for Lung Cancer	Cheng, Jordan	Angeles	\$134,620
		Developing a Novel Cell-free			
		DNA Methylation Assay for			
Predoctoral		Noninvasive Early Detection		Stanford	
Award	2019	of Lung Cancer	Hamilton, Emily	University	\$151,350
		Exploring the Periostin-			
		mediated Cooperative			
Predoctoral		Metastasis in Clear Cell Renal		Stanford	
Award	2020*	Cell Carcinoma	Ishihara, Moe	University	\$135,381
		Inhibiting a DNA damage			
		control mechanism as a		University of	
Predoctoral		strategy to selectively kill lung	Kronenberg,	California, Los	
Award	2019	cancer cells	Michael	Angeles	\$135,567
		Generation of engineered		0	. ,
		innate lymphoid cells for		University of	
Predoctoral		cancer immunotherapy using		California, Los	
Award	2020*	pluripotent stem cells	Li, Suwen	Angeles	\$95,056
		In situ vaccination of lung			+/
		cancers with engineered		University of	
Predoctoral		dendritic cells combined with		California, Los	
Award	2019	immunotherapy	Lim, Raymond John	Angeles	\$135,132
		Targeting factor acetylation in		University of	+===;===
Predoctoral		therapeutic resistance models		California, San	
Award	2019	of lung cancer	McMahon, Sarah	Francisco	\$139,085
, in all a	2015	Non-invasive detection of cell-		University of	<i>\</i>
Predoctoral		free RNA expression		California, San	
Award	2020*	signatures in lung cancer	Nesselbush, Monica	Francisco	\$151,350
/ Wara	2020			University of	<i>\</i> 131,330
Predoctoral		Total Synthesis of Delavatine		California,	
Award	2018	A and its Structural Analogues	Palani, Vignesh	Berkeley	\$159,924
	2010	The serine-threonine kinase		Derkeley	<i>\</i> 133,321
Predoctoral		LKB1 regulates chromatin		Stanford	
Award	2020*	state in lung adenocarcinoma	Pierce, Sarah	University	\$73,244
Awaru	2020	Identification of Proteins		Oniversity	J73,244
		Involved in microRNA			
		Production and Protection in		University of	
Predoctoral		Response to Radiation		California, Los	
	2019		Road Graham		¢122 677
Award	2019	Therapy Validation of a Small Molecule	Read, Graham	Angeles	\$133,677
Dradaataral				Corinne Decemb	
Predoctoral	2010	Drug Target for Lung Cancer	Condor Dhilling	Scripps Research	6112 250
Award	2019	Treatment	Sander, Phillipp	Institute	\$112,350

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Structural Mechanism of Rb		University of	
Predoctoral		Inactivation in Lung		California, Santa	
Award	2018	Adenocarcinoma	Tambo, Carrie	Cruz	\$134,659
		Cancer cells alter protein		University of	
Predoctoral		synthesis rates to drive		California, San	
Award	2019	tumorigenesis	Wilkins, Kevin	Francisco	\$135,738
		Defining regulators of			
		hematopoietic stem cell self-		University of	
Predoctoral		renewal to prevent and treat		California, Santa	
Award	2019	blood cancers.	Worthington, Atesh	Cruz	\$139,942
				University of	
Predoctoral		Global Profiling of Cell Surface		California, Los	
Award	2020*	Markers for Lung Cancers	Yan, Tianyang	Angeles	\$142,203
Predoctoral		Genomic approaches to		Stanford	
Award	2015	identify SCLC biomarkers	Yang, Dian	University	\$63,100
		Predicting cell-cell			
		interactions in the tumor			
Predoctoral		microenvironment for non-		Stanford	
Award	2019	small cell lung carcinoma	Yu, Alice	University	\$85 <i>,</i> 522
		Stem cell signals in the		University of	
Postdoctoral		initiation and progression of		California, San	
Award	2019	lung cancer	Barber, Alison	Diego	\$137,550
		Molecular and Cellular			
Postdoctoral		Phenotyping of Second Hand		Stanford	
Award	2015	Smoke-Related Asthma	Bauer, Rebecca	University	\$47,769
Postdoctoral		Large-scale analysis of tumor		Stanford	
Award	2018	suppressors in lung cancer	Cai, Hongchen	University	\$173,676
		Chemical Proteomics			
		Manipulation of RNA Binding		University of	
Postdoctoral		Proteins in Non-Small Cell		California, Los	
Award	2020*	Lung Cancer	Cao, Jian	Angeles	\$198,036
		Electrochemical Analysis of		California	
Postdoctoral		DNMT1 and miRNA as		Institute of	
Award	2017	Biomarkers of Lung Cancer	Deng, Yingxin	Technology	\$110,144
		Multiplexed genetic analysis			
		of KRAS hypermutations in		University of	
Postdoctoral		lung cancer of smokers in		California,	
Award	2020*	mice model	Ding, Yi	Berkeley	\$185,712
		Multilayer investigation of			
		resistance mechanisms to			
Postdoctoral		WEE1 inhibition in small cell		Stanford	
Award	2019	lung cancer	Drainas, Alexandros	University	\$207,300
		A Differential Approach to			
Postdoctoral		Investigate Head and Neck		J. David Gladstone	
Award	2016	Cancer	Eckhardt, Manon	Institutes	\$118,800
		High-throughput systems to		University of	
Postdoctoral		dissect Snail-driven		California, Los	
Award	2016	malignancy	Fontebasso, Yari	Angeles	\$69,879

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				Sanford Burnham	
		Targeting pH Homeostasis as		Prebys Medical	
Postdoctoral		a Therapeutic Approach for		Discovery	
Award	2019	Pancreatic Cancer	Galenkamp, Koen	Institute	\$196,351
		Genetic determinants of			
Postdoctoral		immune evasion by metastatic		Stanford	
Award	2020*	lung cancer	Hebert, Jess	University	\$207,300
Postdoctoral		A New Target for Lung Cancer		Stanford	
Award	2020*	Immunotherapy: RNA Editing	Hu, Shibin	University	\$207,300
		Targeting the unique		University of	
Postdoctoral		metabolic vulnerabilities of		California, San	
Award	2019	lung cancer	Jain, Shashi	Diego	\$199,872
		Analysis of Circulating Tumor			
Postdoctoral		RNA for Early Detection of		Stanford	
Award	2017	Lung Cancer	Jeon, Young-Jun	University	\$118,800
		Constructing a Lung Cancer			
Postdoctoral		Map of Drug Resistance States		Stanford	
Award	2019	with Single-Cell Analysis	Karacosta, Loukia	University	\$138,450
		Analysis of bladder cancer			
		precursor formation as a basis			
Postdoctoral		for early therapeutic		Stanford	
Award	2019	intervention	Kershner, Aaron	University	\$193,320
		Defining the mechanism of		University of	
Postdoctoral		dynamic matrix stiffening-		California, San	
Award	2019	driven lung cancer metastasis	Kim, Daehwan	Diego	\$193,320
Postdoctoral		Investigating the cell of origin		Stanford	
Award	2020*	for hepatocellular carcinoma	Kim, Eunsun	University	\$207,300
		Investigating the Roles of		University of	
Postdoctoral		Stromal AR in Prostate Cancer		California, Santa	
Award	2019	Progression	Liu, Yueli	Cruz	\$203,280
		Studies on SWI/SNF in 3D			
Postdoctoral		chromatin structure with viral		University of	
Award	2018	episome as a model	Lyu, Yuanzhi	California, Davis	\$212,207
				University of	
Postdoctoral		miR-200 MicroRNAs in Lung		California,	
Award	2019	cancer metastasis	Mao, Suifang	Berkeley	\$191,832
		Notch3 in Human Lung			
		Adenocarcinoma		University of	
Postdoctoral		Pathogenesis and		California, San	
Award	2019	Heterogeneity	Marini, Kieren	Francisco	\$179,886
		TERT expression and its			
Postdoctoral		involvement in pancreatic		Stanford	
Award	2016	regeneration and PDAC	Neuhoefer, Patrick	University	\$118,800
		Targeting nuclear receptors		University of	
Postdoctoral		for the treatment of	Rajbhandari,	California, San	
Award	2019	Pancreatic cancer	Nirakar	Diego	\$180,996
Postdoctoral		Effects of Smoking on	Ramanathan,	University of	
Award	2019	Hematopoietic Stem Cell	Gajalakshmi	California, Irvine	\$122,958

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Dysfunction and Hematologic			
		Cancers			
		Molecular mechanisms of			
Postdoctoral		smoking-associated lung	Rodon Ahnert,	Salk Institute for	
Award	2016	cancer	Laura	<b>Biological Studies</b>	\$118,449
		Targeting Macrophages in the		University of	
Postdoctoral		Cancer Stem Cell Niche to		California, Los	
Award	2018	Beat Chemoresistance	Sharrow, Allison	Angeles	\$222,407
				Beckman	
		Protein Engineering Aids		Research Institute	
Postdoctoral		Chimeric Antigen Receptor		of the City of	
Award	2018	Design	Stern, Lawrence	Норе	\$173,676
		Genetic dissection of			
Postdoctoral		oncogenic Kras signaling in		Stanford	
Award	2018	lung cancer	Tang, Rui	University	\$180,653
		Uncovering synthetic lethal			
		interactors with TP53			
Postdoctoral		mutations for therapeutic		Stanford	
Award	2020*	targeting	Wang, Mengxiong	University	\$207,300
		HER3-biased Sec61		University of	
Postdoctoral		modulators for treatment of		California, San	
Award	2018	cancers	Wang, Haoyuan	Francisco	\$173,676
		Implications of stress-induced		University of	
Postdoctoral		LPAR4 expression in lung and		California, San	
Award	2019	pancreatic cancers	Wu, Chengsheng	Diego	\$180,996
Postdoctoral		Clonal dynamics in lung	Zoltan Boross,	Stanford	
Award	2020*	adenocarcinoma	Gabor	University	\$208,200
Exploratory/		Lung Cancer Screening: The		University of	
Developmental		Views of Patients and		California, San	
Award	2015	Physicians	Kaplan, Celia	Francisco	\$249,475
		Deciphering p53			
		Transcriptional Programs in		Stanford	
Pilot Award	2018	Lung Cancer Suppression	Attardi, Laura	University	\$611,377
		The Role of p53-Ninj2 loop in			
		Tumor Progression and		University of	
Pilot Award	2019	Metastasis	Chen, Xinbin	California, Davis	\$495,154
		Understanding the Role of			
		FOXP3 in Regulating		University of	
		Expression of PD-L1 and Anti-		California, Los	
Pilot Award	2020*	tumor Immunity	Chin, Arnold	Angeles	\$520,000
		New mouse models for			
		identifying driver mutations in		University of	
		tobacco-induced squamous		California, San	
Pilot Award	2019	cell lung cancer	Chuang, Pao-Tien	Francisco	\$500,000
		Immunotheranostic MPI for		University of	
		Early Diagnosis of Tobacco-		California,	
Pilot Award	2017	Related Lung Cancer	Conolly, Steven	Berkeley	\$319,604

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Developing a blood-based			
		assay for early lung cancer		Stanford	
Pilot Award	2020*	detection	Diehn, Maximilian	University	\$630,800
		A Molecular Approach for		Stanford	
Pilot Award	2017	Early Diagnosis of Lung Cancer	Diehn, Maximilian	University	\$376,401
				University of	
		Treating NSCLC with Next-Gen		California, San	
Pilot Award	2018	RNAi Therapeutics Target	Dowdy, Steven	Diego	\$498,126
		Metabolic and Carcinogen	Friedman,	Friends Research	
Pilot Award	2016	Effect of Electronic Cigarettes	Theodore	Institute, Inc.	\$384,454
		Understanding how			
		submucosal gland		University of	
		myoepithelial cells respond to		California, Los	
Pilot Award	2019	cigarette smoke	Gomperts, Brigitte	Angeles	\$499,678
		Understanding the			
		Mechanisms of Smoking		University of	
		Induced Squamous Lung		California, Los	
Pilot Award	2017	Cancer	Gomperts, Brigitte	Angeles	\$299,718
		Repurposing GPCR-targeted			. ,
		drugs for the treatment of		University of	
Pilot Award	2020*	pancreatic cancer	Insel, Paul	California, Irvine	\$520,000
				University of	+
		Promoting Tumor Suppressor		California, San	
Pilot Award	2019	Activity in Pancreatic Cancer	Itkin-Ansari, Pamela	Diego	\$500,000
- noci india	2015	Relationship between		Charles R. Drew	<i><i>\\\\\\\\\\\\\</i></i>
		Galectin-1 and Radiation in		University of	
		Mobilizing MDSC in Head and		Medicine &	
Pilot Award	2020*	Neck Cancer	Le, Quynh-Thu	Science	\$619,105
111007111010	2020	Immune mechanisms of		Science	<i>\$013,103</i>
		FLASH radiotherapy: a new		Stanford	
Pilot Award	2019	paradigm for lung cancer cure	Loo Billy	University	\$628,421
1 not / Ward	2015	Preclinical development of a		Beckman	
		first-in-class PCNA inhibitor		Research Institute	
		for treating small cell lung		of the City of	
Pilot Award	2020*	cancer	Malkas, Linda	Hope	\$704,000
Filot Awaru	2020	Elucidating the mechanism by	ividikas, Liliua	University of	\$704,000
		which cg05575921 predicts		Southern	
Pilot Award	2020*	lung cancer risk	Offrings Ito	California	\$660,000
PIIOLAWAIU	2020		Offringa, Ite	California	\$000,000
		Quantitative and Scalable			
		Tumor Growth Map for		Ctonford	
Dilot Autord	2010	Complex Genotypes in Lung	Dotroy Desity:	Stanford	6610 704
Pilot Award	2019	Cancer	Petrov, Dmitri	University	\$619,781
		In-utero smoke exposure and		University of	
	2017	epigenetic activation of GFI1-	Diama Jak	California, San	¢400 222
Pilot Award	2017	family oncogenes	Pierce, John	Diego	\$186,238
	2010	Role of the E3 ubiquitin ligase		Sanford Burnham	6700.000
Pilot Award	2019	RNF125 in pancreatic cancer	Ronai, Ze'ev	Prebys Medical	\$780,000

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				Discovery	
				Institute	
		Elucidating the role of PEA3			
		transcription factors in		University of	
		progression of small cell lung		California, San	
Pilot Award	2020*	cancer	Roth, David	Diego	\$518,881
		Combination immuno and		University of	
		metabolic therapy for early-		California, Los	
Pilot Award	2019	stage lung adenocarcinoma	Scafoglio, Claudio	Angeles	\$499,756
		Exploring the Role of LINE-1		Sanford Burnham	
		Retrotransposon Silencing in		Prebys Medical	
		Cigarette-Related Lung Cancer		Discovery	
Pilot Award	2020*	Recurrence	Spruck, Charles	Institute	\$780,000
				University of	
		Investigating the		Southern	
Pilot Award	2016	carcinogenicity of e-cig	Tommasi, Stella	California	\$395,951
		Secondhand smoke, past		San Diego State	
		smoking, diet, novel		University	
		biomarkers and breast cancer		Research	
Pilot Award	2019	metastasis.	Wu, Tianying	Foundation	\$602,000
		Tumor heterogeneity and		University of	
		cooperativity drive metastasis		California, Los	
Pilot Award	2018	in RCC	Wu, Lily	Angeles	\$499,678
				University of	
		Targeting invadopodia in head		California, San	
Pilot Award	2018	and neck cancer metastasis	Yang, Jing	Diego	\$498,393
		Exploring DNA Polymerase Eta			
		as a Target to Overcome the			
		Resistance to Platinum-based		University of	
Pilot Award	2020*	Drugs in NSCLC	Zhang, Jin	California, Davis	\$514,000
				University of	
		microRNA biomarkers of THS		California,	
Pilot Award	2016	teratogenicity	Zur Nieden , Nicole	Riverside	\$293,205
				University of	
Research		Investigating the carcinogenic		Southern	
Award	2017	potential of e-cig in humans	Besaratinia, Ahmad	California	\$474,971
		Are smokers switching to		University of	
Research		vaping at lower risk for		Southern	
Award	2018	cancer?	Besaratinia, Ahmad	California	\$1,229,278
				University of	
Research		Computer Vision for Detection		California, Los	4
Award	2017	of Tobacco Related Diseases	Brown, Matthew	Angeles	\$365,413
		Targeting aggressive kidney			
Research		cancer cells deficient in a		University of	400
Award	2019	metabolic enzyme	Chen, Ching-Hsien	California, Davis	\$937,500
				University of	
Research	2012	Redefining KRAS dependency		California, San	6005 505
Award	2018	as a stress-inducible state	Cheresh, David	Diego	\$935,535

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Stemness suppressors to		University of	
Research		combat aggressive breast		California, San	
Award	2018	cancers	Desgrosellier, Jay	Diego	\$933 <i>,</i> 655
		Development of allosteric			
		inhibitors against a molecular		University of	
Research		target (IKK) induced by		California, San	
Award	2019	cytokines and cigarette smoke	Ghosh, Gourisankar	Diego	\$921,703
				University of	
Research		Smoking and Embryonal		California, Los	
Award	2015	Tumor Study	Heck, Julia	Angeles	\$448,150
		Immune reactivity across the		University of	
Research		spectrum of disease in lung		California, Los	
Award	2018	adenocarcinoma	Krysan, Kostyantyn	Angeles	\$937,158
		S-Nitrosylation of DNMTs in			
Research		epigenetic regulation of		Scripps Research	
Award	2018	tumors	Lipton, Stuart	Institute	\$1,448,303
		Natural Products for the		University of	
Research		Treatment of Never Smoker		, California, Santa	
Award	2018	Lung Cancer	MacMillan, John	Cruz	\$935,133
		MRI-Derived Risk Maps to	,	University of	
Research		Predict Prostate Cancer		, California, San	
Award	2018	Progression	Noworolski, Susan	Francisco	\$924,132
		Improved identification of	,	University of	. ,
Research		subjects genetically at risk for		Southern	
Award	2017	lung cancer	Offringa, Ite	California	\$495,000
		Nicotine metabolism and		University of	
Research		predicting lung cancer risk in		Southern	
Award	2017	African Americans	Park, Sungshim	California	\$496,005
		In-utero smoke exposure and		University of	
Research		epigenetic activation of GFI1-		California, San	
Award	2017	family oncogenes	Pierce, John	Diego	\$186,238
				University of	
Research		Lung cancer cell response to		, California, Santa	
Award	2018	Cdk4/6 inhibition	Rubin, Seth	Cruz	\$1,047,491
		Double-strand Break by THS:		Lawrence	
Research		Implications for Tobacco		Berkeley National	
Award	2017	Cancer	Sarker, Altaf	Laboratory	\$581,626
			,	University of	,
Research		SGLT2 in early diagnosis and		California, Los	
Award	2017	treatment of lung cancer	Scagofolio, Claudio	Angeles	\$414,716
		A novel imaging technology	<u> </u>	University of	. , -
Research		for the early detection of Oral		California, Los	
Award	2015	Cancer	St. John, Maie	Angeles	\$404,143
		Tobacco smoking and inborn	,	University of	
Deeerst		genetics: effects on		Southern	
Research					

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
New				University of	
Investigator		Impact of Smoking on		California, Santa	
Award	2019	Myeloproliferative Neoplasms	Brooks, Angela	Cruz	\$736,710
New		Tackling Stem-Like Cells in			
Investigator		Tobacco Smoke-Mediated		University of	
Award	2018	Cancer Malignancy	Chen, Ching-Hsien	California, Davis	\$750,000
New		Determining the carcinogenic			
Investigator		potential of tobacco in		Salk Institute for	
Award	2020*	pancreatic cancer	Engie, Dannielle	<b>Biological Studies</b>	\$1,154,400
		Impact of splicing factor			
New		mutations in the context of			
Investigator		tobacco exposure in lung		University of	
Award	2019	cancer	Fleischman, Angela	California, Irvine	\$488,330
New		Oncogenic reprogramming of		University of	
Investigator		protein translation by DDX3		California, San	
Award	2018	inactivation	Floor, Stephen	Francisco	\$748,146
New		Multilevel Study of Lung		Palo Alto Medical	
Investigator		Cancer Screening Guidelines		Foundation	\$886 <i>,</i> 353
Award	2018	Implementation	Li, Jiang	<b>Research Institute</b>	
		Novel Immunotherapeutics			
New		for Cigarette-Smoking		University of	
Investigator		Associated Acute Myeloid		Southern	
Award	2019	Leukemia	Zhang, Yong	California	\$990,000
				University of	
		Trends in Smoking Behavior &		California, San	
Special Project	2015	Lung Cancer in California	Pierce, John	Diego	\$61,992

# TABLE 7: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: CARDIOVASCULAR AND CEREBROVASCULAR DISEASES

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Study on maternal smoking		University of	
Predoctoral		during pregnancy and childhood		California, Los	
Award	2019	metabolic outcomes	He, Si	Angeles	\$89,996
		Salt Inducible Kinases (SIKs):		University of	
Predoctoral		Novel Regulators of		California, San	
Award	2018	Cardiomyocyte Plasticity	Hsu, Austin	Francisco	\$135,846
		Regulation of Endothelial		University of	
Predoctoral		Inflammatory Responses by		California, San	
Award	2018	GPCRs	Rada, Cara	Diego	\$133,793
		Engineering Extracellular			
Predoctoral		Vesicles for Cardiovascular	Ramasubramanian,	University of	
Award	2020*	Repair and Regeneration	Lalithasri	California, Davis	\$146,641
		Reprogram nicotine exposed			
		hematopoietic stem cells to		University of	
Predoctoral		inhibit stress induced	Rodriguez y Baena,	California, Santa	
Award	2020*	megakaryopoiesis	Alessandra	Cruz	\$153,594

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Regulation of PAR1		University of	
Predoctoral		inflammatory signaling by the	Wedegaertner,	California, San	
Award	2020*	alpha-arrestin ARRDC3	Helen	Diego	\$151,350
Postdoctoral		Effects of long-term nicotine		Stanford	
Award	2019	exposure on stem cell therapy	Chan, Alex	University	\$207,300
Postdoctoral		Cardiotoxicity Study of Tobacco		Stanford	
Award	2015	Smoking Using hiPSC-CMs	Li, Yingxin	University	\$145,800
				University of	
Postdoctoral		Effect of nicotine on the cardiac		California, San	
Award	2019	aging process	Liang, Wenjing	Diego	\$191,832
		Maternal inhaled nicotine			
Postdoctoral		develops a hypertensive		Loma Linda	
Award	2019	phenotype in offspring	Liu, Bailin	University	\$181,332
		Shear Stress : a Master			
Postdoctoral		Regulator of the Endothelial	Moonen, Jan-	Stanford	
Award	2018	Chromatin Landscape	Renier	University	\$223,884
		Elucidating the Mechanism of			
Postdoctoral		Tobacco-Related Cardiac Defects		Stanford	
Award	2020*	using CRISPR Screening	Nishiga, Masataka	University	\$138,450
		Brd4 function in cardiac lineage		University of	
Postdoctoral		commitment and	Padmanabhan,	California, San	
Award	2018	morphogenesis.	Arun	Francisco	\$136,538
		Regulation of endothelial GPCR-		University of	
Postdoctoral		ubiquitin driven p38		California, San	
Award	2020*	inflammatory signaling	Patwardhan, Anand	Diego	\$198,036
		A Human iPSC-based Platform to			
		Unravel the Role of Nicotine in			
Postdoctoral		the Pathogenesis of Abdominal		Stanford	
Award	2019	Aortic Aneurysms	Shen, Mengcheng	University	\$193,554
		E-cigarette smoking-induced			
Postdoctoral		cardiac injury in East Asian		Stanford	
Award	2018	ALDH2*2 variant	Sinha Roy, Pritam	University	\$209,484
		Modeling Smoking Induced			
Postdoctoral		Cardiac Dysfunction in 3D		Stanford	
Award	2019	Microtissues	Thomas, Dilip	University	\$128,086
		The role of systemic			
		mitochondrial dysfunction in		University of	
Postdoctoral		COPD and cardiovascular		California, San	
Award	2020*	disease risk	Tiller, Nicholas	Francisco	\$206,400
		Role of Tobacco Related		University of	
Postdoctoral		Toxicants in Cardiovascular		California, San	
Award	2015	Disease	Watrous, Jeramie	Diego	\$145,800
		Impact of cigarette smoking on			
Postdoctoral		the vascular endothelium at		Stanford	
Award	2020*	single cell resolution	Xiang, Menglan	University	\$207,300
Postdoctoral		Predilection of CHRNA5 SNP to		Stanford	
Award	2017	smoking-related cardiotoxicity	Zhang, Joe	University	\$118,800

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				University of	
		Dissecting Cardiovascular Effects		California, Los	
Pilot Award	2018	of E-Cigarettes	Araujo, Jesus	Angeles	\$535,618
		Chronic Electronic Cigarette Use		University of	
		and Accelerated Skeletal Muscle		California, San	
Pilot Award	2017	Aging	Breen, Ellen	Diego	\$320,000
		The role of Eukaryotic			
		Translation Elongation Factor 1		University of	
		Alpha 2 in cardiac function and		California, San	
Pilot Award	2019	disease	Chen, Ju	Diego	\$498,058
		Role of Perm1, a novel		University of	
		mitochondrial regulatory protein		California, San	
Pilot Award	2020*	in cardiac ischemia	Cho, Toshitake	Diego	\$500,000
		E-cigarette chemical effects on		Stanford	
Pilot Award	2017	endothelial function	Gross, Eric	University	\$377,598
				University of	
		Effect of nicotine exposure on		California, San	
Pilot Award	2018	mitochondria in myocytes	Gustafsson, Asa	Diego	\$500,000
		Inflammatory cardiovascular		University of	
		disease induced by autonomic		California, San	
Pilot Award	2017	effects of e-cigs	Heller-Brown, Joan	Diego	\$320,000
				University of	
		Effects of e-cigarette aerosol on		California, Los	
Pilot Award	2018	oral epithelial cell metabolism	Hu, Shen	Angeles	\$483,801
		Prenatal exposure of cigarette			
		smoke impacts cardiac		Stanford	
Pilot Award	2018	regeneration	Liao, Ronglih	University	\$596,161
		Effects of tobacco and e-		Children's	
		cigarettes on heart repair and	Lien, Ching-Ling	Hospital, Los	
Pilot Award	2019	regeneration	(Ellen)	Angeles	\$675,220
		Do E-Cigarettes Increase Risk for		University of	
		Sudden Death? Focus on the QT		California, Los	
Pilot Award	2019	Interval	Middlekauff, Holly	Angeles	\$497,148
		Acute Impact of Switching from			
		Tobacco Cigarettes to E-		University of	
		Cigarettes in People Living with		California, Los	
Pilot Award	2020*	HIV	Middlekauff, Holly	Angeles	\$520,000
		The role of the NLRP3			
		inflammasome in e-cigarette-		University of	
		induced cardiac inflammation		California, San	
Pilot Award	2020*	and remodeling	Miyamoto, Shigeki	Diego	\$520,000
		Racial differences in smoking-			
		related glaucoma progression:		University of	
		Effect on neural and vascular	Moghimi Araghi,	California, San	
Pilot Award	2020*	tissue	Sasan	Diego	\$400,000
		Analysis of tobacco toxin-genetic			
		interactions through study of	Quertermous,	Stanford	
Pilot Award	2019	the dioxin-AHR pathway	Thomas	University	\$592,558

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		E-Cigarettes and Coronary			
		Endothelial Function in Dual		Cedars-Sinai	
Pilot Award	2016	Users	Rader, Florian	Medical Center	\$412,276
		The Effects of Electronic Hookah		University of	
		on Endothelial Cell Function: The		California, Los	
Pilot Award	2019	Role of Nicotine	Rezk-Hanna, Mary	Angeles	\$498,723
		Mechanistic basis of			
		arrhythmogenic cardiac			
		alternans following tobacco		University of	
Pilot Award	2019	smoke exposure	Ripplinger, Crystal	California, Davis	\$500,000
		Whole Genome RNAseq Studies			
		of Blood in Smokers vs		University of	
Pilot Award	2018	Nonsmokers with Stroke	Sharp, Frank	California, Davis	\$400,000
		Protective role of follistatin			
		during electronic		University of	
		cigarette/nicotine (ENDS)-		California,	
Pilot Award	2020*	induced atherosclerosis	Singh, Rajan	Merced	\$399,999
				Palo Alto	
		Nicotine and Epigenetic		Veterans	
		Transgenerational Risk of		Institute for	
Pilot Award	2017	Abdominal Aortic Aneurysm	Spin, Joshua	Research	\$326,640
				University of	
		Models for prospective studies		California, San	
Pilot Award	2019	of marijuana's cardiac effects	Springer, Matthew	Francisco	\$499,316
				San Diego State	
		Gender differences in response		University	
		to nicotine – role of Akt / Pim-1		Research	
Pilot Award	2020*	signaling axis	Sussman, Mark	Foundation	\$1,128,750
		Regulation of F2RL3/PAR4		University of	
		Expression and Function by		California, San	
Pilot Award	2017	Methylat	Trejo, Joan	Diego	\$341,978
		Developing a luminal coating			
		technology targeting vascular			
		injury to promote		University of	
Pilot Award	2020*	reendothelialization	Wang, Aijun	California, Davis	\$520,000
		The role of PKDs in		University of	
Research		atherosclerosis and coronary		California, San	
Award	2018	heart disease	Chen, Ju	Diego	\$933,858
		Parkin-mediated mitochondrial		University of	
Research		quality control and		California, San	
Award	2018	cardiovascular disease	Gustafsson, Asa	Diego	\$937,500
Research		SRF Phosphorylation and the		Stanford	
Award	2018	Progression to Heart Failure	Kapiloff, Michael	University	\$1,209,921
				Huntington	
Research		Effects of Cigarette Smoking and		Medical Research	
Award	2018	Vaping on Heart Attack	Kloner, Robert	Institute	\$1,066,980
Research		Tobacco regulation of		Stanford	
Award	2018	amyloidogenic diseases	Liao, Ronglih	University	\$1,098,139

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				University of	
Research		Tobacco Smoke Exposure and		California, San	
Award	2018	Atrial Fibrillation	Marcus, Gregory	Francisco	\$903,503
				University of	
Research		Cardiovascular Toxicity of E-		California, Los	
Award	2016	Cigarettes: Role of Nicotine	Middlekauff, Holly	Angeles	\$391,200
		E-Cigs Excite the Human		University of	
Research		Splenocardiac Axis: Role of		California, Los	
Award	2018	Nicotine	Middlekauff, Holly	Angeles	\$935,983
		Large scale analyses of gene-		University of	
Research		smoking interaction on		California, San	
Award	2019	cardiometabolic traits	Salem, Rany	Diego	\$874,673
				Palo Alto	
		Transgenerational Effects of E-		Veterans	
Research		cigarette Vapor on Aortic		Institute For	
Award	2020*	Aneurysm Risk	Spin, Joshua	Research	\$1,046,250
		Prenatal nicotine /		San Diego State	
		tetrahydrocannabinol exposure		University	
Research		promotes myocardial damage: a		Research	
Award	2020*	brain-heart parallel	Sussman, Mark	Foundation	\$602,000
		Underlying Mechanisms		Palo Alto	
		Regulating the Effects of Inhaled		Veterans	
Research		Nicotine upon Abdominal Aortic		Institute For	
Award	2019	Aneurysm	Tsao, Philip	Research	\$1,044,000
		Molecular Pathogenesisand		University of	
Research		Therapy for Critical Limb		California, San	
Award	2018	Ischemia	Wang, Rong	Francisco	\$935,403
		Human iPSCs for Elucidating			
Research		Cardiovascular Risks of E-		Stanford	
Award	2018	Cigarettes	Wu, Joseph	University	\$1,197,500
		Fetal nicotine exposure develops			
Research		heart ischemia-sensitive		Loma Linda	
Award	2019	phenotype	Xiao, DaLiao	University	\$1,185,000
New		Impact of Chronic Cannabis		University of	
Investigator		Exposure on Metabolic Health		California,	
Award	2019	and Disease	DiPatrizio, Nicholas	Riverside	\$743,386

# TABLE 8: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: ENVIRONMENTAL EXPOSURE AND TOXICOLOGY

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Mechanisms of Persistent			
		Immune Dysregulation			
Predoctoral		Following Early Life Tobacco		University of	
Award	2019	Smoke Exposure	Bassein, Jed	California, Davis	\$85,841

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Effects of Third Hand Smoke on			
		Mitochondrial		University of	
Predoctoral		Genetic/Epigenetics and Their		California,	
Award	2019	Inheritance Pattern	Giginis, Frantzeska	Riverside	\$127,360
				University of	
Predoctoral		Health effects of e-cigarette		California,	
Award	2018	refill fluids	Hua, My	Riverside	\$103,350
		Evaluating the Impact of Key		University of	
Predoctoral		Parameters on Evaporation of		California, Los	
Award	2019	E-cigarette Aerosols	Li, Liqiao (Vicky)	Angeles	\$133,674
		Role of Oxidative Stress in			
Predoctoral		Cigarette Smoke Induced		University of	
Award	2019	Developing Germ Cell Death	Malott, Kelli	California, Irvine	\$144,947
				University of	
Predoctoral		Tobacco sensitive regulation of		California,	
Award	2015	pro-osteogenic promoters	Sparks, Nicole	Riverside	\$63 <i>,</i> 150
		Quantifying of Stem Cell		University of	
Predoctoral		Toxicology with Deep Neural		California,	
Award	2018	Networks	Witmer, Adam	Riverside	\$136,435
				California State	
Exploratory/		Cigarette Butt-derived		University, Long	
Developmental		Pollutants in the Coastal		Beach	
Award	2015	Environment	Gossett, Rich	Foundation	\$200,000
				Palo Alto	
		Impact of Smoking on the		Veterans	
		Immune System at Single Cell		Institute For	
Pilot Award	2020*	Resolution	Butcher, Eugene	Research	\$558,000
				University of	
		Co-Use of Tobacco and		Southern	
Pilot Award	2020*	Cannabis in Pregnancy	Cortessis, Victoria	California	\$640,268
				Lawrence	
		Chemistry of freshly-emitted		Berkeley	
		vaping aerosols: Implications		National	
Pilot Award	2020*	for exposure, uptake and health	Destaillats, Hugo	Laboratory	\$758,650
				Lawrence	
		Assessing the impact of heat-		Berkeley	
		not-burn and next-generation		National	
Pilot Award	2017	vaping products	Destaillats, Hugo	Laboratory	\$434,330
		A validated second hand			
		smoking exposure model for			
		Electronic Nicotine Delivery		University of	
Pilot Award	2019	Systems (ENDS)	Edwards, Rufus	California, Irvine	\$479,770
		Effect of Nicotine, E-Cigs &		University of	
		Cannabinoids on the Gut		California, San	
Pilot Award	2018	Barrier	Ghosh, Pradipta	Diego	\$497,923

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				San Diego State	
		Pilot Investigation of Tobacco		University	
		and Cannabis Contaminants in		Research	
Pilot Award	2019	an Urban Natural Reserve	Hoh, Eunha	Foundation	\$602,000
		Enzyme-Based Antidotal			
		Approach for the Treatment of		Scripps Research	
Pilot Award	2020*	Acute Nicotine Toxicity	Janda, Kim	Institute	\$697,116
		A Novel Mouse Model of		University of	
		Thirdhand Smoke-Induced		California, San	
Pilot Award	2019	Childhood Leukemia	Kogan, Scott	Francisco	\$542,378
				San Diego State	
		Effects of Thirdhand Smoke		University	
		Exposure on the Microbiome of		Research	
Pilot Award	2017	Young Children	Matt, Georg	Foundation	\$361,180
		Evaluation of the effects of			
		tobacco smoking on clinical		University of	
		outcomes in children treated		California,	
Pilot Award	2020*	for leukemia	Metayer, Catherine	Berkeley	\$434,188
				Beckman	
				Research	
		Evaluation of tobacco and e-		Institute of the	
Pilot Award	2016	cigarette genotoxicity	O'Connor, Timothy	City of Hope	\$408,000
				University	
				Auxiliary and	
		Tracking Tobacco Waste to		Research	
		Increase College Policy		Services	
Pilot Award	2018	Engagement and Compliance	Pulvers, Kim	Corporation	\$607,533
				San Diego State	
				University	
		Silicone Wristbands: Personal	Quintana, Penelope	Research	
Pilot Award	2016	Samplers for Tobacco Toxicants	JE	Foundation	\$360,488
				San Diego State	
		Remediation of Tobacco		University	
		Toxicants Polluting Low-Income	Quintana, Penelope	Research	
Pilot Award	2018	Multiunit Housing	JE	Foundation	\$601,884
				San Diego State	
				University	
		Tobacco and Cannabis: Effects		Research	
Pilot Award	2018	on Fetal Development in Rats	Thomas, Jennifer	Foundation	\$602,000
		Exosomes and vascular disease			
		risk in new and emerging		University of	
Pilot Award	2020*	tobacco products	Timberlake, David	California, Irvine	\$515,541
				University of	
		Does secondhand smoke induce		Southern	
Pilot Award	2017	epigenetic changes?	Tommasi, Stella	California	\$392,100
		Evaluating a protocol for the		University of	
		removal of thirdhand smoke in		California,	
Pilot Award	2019	homes of former smokers	Whitehead, Todd	Berkeley	\$493,225

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Mitigating pro-inflammatory		University of	
		non-agonist molecules from		California, Los	
Pilot Award	2019	tobacco smoke	Wong, Gerard	Angeles	\$482,840
		Triangulum (Tobacco, E-		University of	
Research		Cigarettes, Marijuana) SHS	Baezconde-	Southern	
Award	2017	exposure in Low SES MUH	Garbanati, Lourdes	California	\$495,000
		Biomarker discovery for		University of	
Research		prospective studies on new and		Southern	
Award	2020*	emerging tobacco products	Besaratinia, Ahmad	California	\$1,237,500
				University of	
Research		Air pollution, tobacco smoke, &		California, San	
Award	2015	asthma in minority children	Burchard, Esteban	Francisco	\$412,913
		Prenatal tobacco smoke		University of	
Research		exposure and somatic		Southern	
Award	2017	alterations in childhood ALL	De Smith, Adam	California	\$207,122
		Cancer prevention through low		University of	
Research		cost remediation of arsenic in		, California,	
Award	2019	drinking water	Gadgil, Ashok	Berkeley	\$914,614
		An IFN-gamma/mast cell axis in		•	
Research		THS-exacerbated allergic airway		Stanford	
Award	2017	inflammation	Galli, Stephen	University	\$478,312
				Lawrence	
				Berkeley	
Research		Genotoxicity and Novel		National	
Award	2015	Biomarkers of Thirdhand Smoke	Hang, Bo	Laboratory	\$644,618
Research		Exposure to Marijuana		Stanford	
Award	2018	Smoking: the Effect of Proximity	Hildemann , Lynn	University	\$1,137,156
				University of	
Research		Metabolic consequences of		California, San	
Award	2015	tobacco toxicants	Jain, Mohit	Diego	\$421,875
				San Diego State	
		Determining Risk of Transfer of		University	
Research		Hookah Tobacco Thirdhand		Research	
Award	2019	Smoke	Kassem, Nada	Foundation	\$1,128,750
		Tobacco and cannabis exposure			
		during pregnancy in six			
Research		race/ethnic subgroups in		Sequoia	
Award	2019	California	Kharrazi, Martin	Foundation	\$960,000
				Charles R. Drew	
		Nicotine Exposure Alters Tissue		University of	
Research		Glucocorticoid Metabolism and		Medicine &	
Award	2020*	Leads to Hypertension	Liu, Yanjun	Science	\$1,076,250
		Predicting Environmental		San Diego State	
		Waste from Tobacco, Electronic		University	
Research		Cigarette, and Marijuana		Research	
Award	2020*	Products	Matt, Georg	Foundation	\$1,128,406

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				San Diego State	
		Exposure to Tobacco, E-		University	
Research		Cigarette, and Marijuana in		Research	
Award	2018	Multi-Unit Housing	Matt, Georg	Foundation	\$1,095,903
				Beckman	
				Research	
Research		Genotoxic effects of alternative		Institute of the	
Award	2018	tobacco products and alcohol	O'Connor, Timothy	City of Hope	\$1,297,500
		E-Cigarette Aerosol			
		Characterization Using		University of	
Research		Holography and Machine		California, Los	
Award	2019	Learning	Ozcan, Aydogan	Angeles	\$936,669
		Are mothers and their children			
Research		at risk from in utero exposure		Sequoia	
Award	2019	to grandmaternal smoking?	Pearl, Michelle	Foundation	\$952,370
Research		E-cigarette vaping, chemical		University of	
Award	2018	composition and lung toxicity	Pinkerton, Kent	California, Davis	\$910,493
				University of	
Research		Controlled Thirdhand Smoke		California, San	
Award	2015	Exposure Core	Schick, Suzaynn	Francisco	\$301,749
				University of	
Research		Measuring Environmental		California, San	
Award	2018	Tobacco and Cannabis	Schick, Suzaynn	Francisco	\$737,248
				University of	
Research		Tobacco/marijuana smoke:		California, San	
Award	2016	from lab to public health policy	Springer, Matthew	Francisco	\$373,988
				University of	
Research		Effect of voltage on electronic		California, San	
Award	2016	cigarette aerosol deposition	St. Helen, Gideon	Francisco	\$372,621
				University of	
Research		Toxicants and Cardiovascular		California, San	
Award	2018	Effects: Cannabis vs Tobacco	St. Helen, Gideon	Francisco	\$929,782
				University of	
Research		Cytotoxicity and Stress		California,	
Award	2015	Induction by Thirdhand Smoke	Talbot, Prudence	Riverside	\$444,081
				University of	
Research		Is Electronic Cigarette Aerosol		California,	
Award	2017	Residue Hazardous?	Talbot, Prudence	Riverside	\$385,491
		What is the local lung dose of			
Research		smoke from emerging tobacco		University of	
Award	2019	products?	Wexler, Anthony	California, Davis	\$935,724
				University of	
Research		Impacts of Electronic Cigarette		California, Los	
Award	2017	Emissions on Indoor Air Qual	Zhu, Yifang	Angeles	\$373,096
Natural		Tobacco and Cannabis		University of	-
Reserve		Contaminants in Protected		California, Santa	
System Pilot	2019	Areas	Holden, Patricia	Barbara	\$531,982

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
Thirdhand		California Consortium on		University of	
Smoke		Thirdhand Smoke Exposure &		California, San	
Consortium	2018	Health Effects	Benowitz, Neal	Francisco	\$454,842
				Lawrence	
Thirdhand		THS Chemistry: Exposure		Berkeley	
Smoke		assessment, detection and		National	
Consortium	2018	remediation	Destaillats, Hugo	Laboratory	\$1,285,559
				Lawrence	
Thirdhand				Berkeley	
Smoke		Genetic Susceptibility to		National	
Consortium	2018	Thirdhand Smoke Effects	Hang, Bo	Laboratory	\$904,744
Thirdhand				University of	
Smoke		Thirdhand Smoke Biomarkers		California, San	
Consortium	2018	Analytical Chemistry Laboratory	Jacob, Peyton	Francisco	\$1,108,197
Thirdhand		Translating mouse exposure		University of	
Smoke		studies into human health	Martins-Green,	California,	
Consortium	2018	effects	Georg	Riverside	\$719,347
				San Diego State	
Thirdhand		Thirdhand Smoke		University	
Smoke		Dissemination, Outreach, and		Research	
Consortium	2018	Resource Center	Matt, Georg	Foundation	\$1,632,625
				San Diego State	
Thirdhand				University	
Smoke		Reducing exposure to thirdhand	Quintana, Penelope	Research	
Consortium	2018	smoke in multiunit housing	JE	Foundation	\$1,179,720
Thirdhand				University of	
Smoke		Controlled Human Exposure		California, San	
Consortium	2018	and THS Generation Core	Schick, Suzaynn	Francisco	\$907,034
				University of	
		Dissemination of health impacts		California, San	
Special Project	2016	of thirdhand tobacco smoke	Benowitz, Neal	Francisco	\$3,271
				University of	
		Thirdhand Smoke Policy		Southern	
Special Project	2016	Workshop	Samet, Jonathan	California	\$4,981
				University of	
				California,	
Special Project	2016	Are iQOS Aerosols Cytotoxic?	Talbot, Prudence	Riverside	\$62 <i>,</i> 500

# TABLE 9: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: NEUROSCIENCE OF NICOTINE ADDICTION AND TREATMENT

MECHANISM	YEAR FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Investigation of neural ensembles underlying nicotine			
Predoctoral		withdrawal-induced		University of	
Award	2020*	hyperalgesia	Hui, May	California, Irvine	\$161,553

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Neuroimaging to detect brain			
		network differences among		University of	
Predoctoral		heavy drinking smokers treated		California, Los	
Award	2019	with different cessation aids	Lim, Aaron	Angeles	\$45,058
		Effects of Prenatal Nicotine and			
		THC on the Brain's Reward			
Postdoctoral		System and Nicotine In		University of	
Award	2019	Adolescence	Lallai, Valeria	California, Irvine	\$203,280
		The Action of Nicotine and		California	
Postdoctoral		Nicotine Cessation Drugs Inside		Institute of	
Award	2018	the Cell	Nichols, Aaron	Technology	\$182,184
		Lateral septum circuitry		University of	
Postdoctoral		underlying vulnerability to		California, San	
Award	2016	nicotine consumption	Shin, Sora	Diego	\$118,800
		Neural circuit basis of		-	
		susceptibility to nicotine		University of	
Pilot Award	2020*	addiction	Beier, Kevin	, California, Irvine	\$508,701
			,	University of	. ,
		Early Nicotine Exposure Re-		California, San	
Pilot Award	2016	Wires Neural Circuits	Berg, Darwin	Diego	\$299,335
				The Burnham	<i>+_00)000</i>
		mGlu7 activators as drug		Institute for	
		candidates for nicotine		Medical	
Pilot Award	2016	dependence	Cosford, Nicholas	Research	\$481,721
1 not / Ward	2010	Nicotinic Receptor Modulators		University of	<i>9</i> -01,721
Pilot Award	2019	in Nicotine Dependence	Fowler, Christie	California, Irvine	\$495,000
r liot Award	2015	Asolescent nicotine and			J4JJ,000
		cannabinoid exposure on		University of	
Pilot Award	2017	nicotine dependence	Fowler, Christie	California, Irvine	\$300,000
Filot Awaru	2017		Towier, christie	University of	\$300,000
		Therepoutic potential of	Kirkpatrick	Southern	
Pilot Award	2016	Therapeutic potential of	Kirkpatrick,	California	\$397,815
Pliot Awaru	2016	oxytocin for nicotine addiction	Matthew		\$397,815
		Visualizing Neural Activity		University of	
	2017	Dynamics During Nicotine	Lawrence Chambren	California,	6266 224
Pilot Award	2017	Reward	Lammel, Stephan	Berkeley	\$266,321
		Understanding Nicotine and			
		Smoking Cessation Drugs:		California	
		Release from Presynaptic		Institute of	
Pilot Award	2017	Terminals	Lester, Henry	Technology	\$660,000
		Functional Role of a Human			
		Polymorphism in the Alpha6			
_		NAChR Subunit in Adolescent		University of	
Pilot Award	2020*	Nicotine Seeking	Lotfipour, Shahrdad	California, Irvine	\$514,018
		Smoking in Bipolar Disorder:			
		Contribution of Sensory and			
		Cognitive Brain Functions and		University of	
Pilot Award	2019	Genomics	Patterson, Julie	California, Irvine	\$498,582

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				LA Biomedical	
				Research	
		Fetal Nicotine Exposure Alters		Institute at	
		Bone Marrow Stem Cell Function		Harbor-UCLA	
Pilot Award	2018	Permanently	Rehan, Virender	Medical	\$563,438
		Consequences of adolescent		University of	
		exposure to nicotine and THC by		California, Los	
Pilot Award	2020*	vapor inhalation in rats	Taffe, Micael	Angeles	\$520,000
		Neuroinflammation and		Veterans Medical	
Research		Smoking Cessation Treatment		Research	
Award	2018	Response	Brody, Arthur	Foundation	\$1,066,612
		Evaluating Highly Selective		California	
Research		Nicotinic Receptor Agonists and		Institute of	
Award	2019	Antagonists	Dougherty, Dennis	Technology	\$1,227,300
		Developmental nicotine		University of	
Research		exposure: neutransmitter		California, San	
Award	2018	plasticity & drug abuse	Dulcis, Davide	Diego	\$926,775
		Long-term Effects of Adolescent			
		E-Cigarette Vapor on Drug			
Research		Intake and Therapeutic		University of	
Award	2020*	Response	Fowler, Christie	California, Irvine	\$951,000
		Longitudinal Effects of Nicotine		University of	
Research		on the Developing Adolescent		California, Los	
Award	2017	Brain	Galvan, Adriana	Angeles	\$364,974
		Effects of nicotine e-cigarette		University of	
Research		self-administration on addiction-		California, San	
Award	2018	like behaviors in rats	George, Olivier	Diego	\$591,784
		Role caveolin in tobacco use and		University of	
Research		nicotine signaling in traumatic		California, San	
Award	2020*	brain injury	Head, Brian	Diego	\$971,957
				University of	
Research		Role of Glutamate/ACh co-		California, San	
Award	2015	release in nicotine addiction	Hnasko, Thomas	Diego	\$421,875
				University of	
Research		Cannabis and Tobacco Co-Use		, California, San	
Award	2018	and the Developing Brain	Jacobus, Joanna	Diego	\$928,325
		The role of PACAP/PAC1		Western	1 /
Research		receptor system in nicotine		University of	
Award	2015	addiction	Lutfy, Kabirullah	Health Sciences	\$477,581
			- ,,	University of	, ,,,,,,,,,
Research		Genetic relationship between		California, San	
Award	2018	impulsivity and nicotine abuse	Palmer, Abraham	Diego	\$935,550
Research		Role of a6* nAChR-mediated			+300,000
Award	2017	signaling in nicotine withdrawal	Perez, Xiomara	SRI International	\$561,596
	201/	Long-term impact of cannabis			,501,550
Research		exposure on the adolescent		University of	
Award	2019	brain	Piomelli, Daniele	California, Irvine	\$681,841
Awaiu	2019	שומווו	riomeni, Daniele	Camornia, irvine	2001,041

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				University of	
Research		Prevention of relapse in nicotine		California, San	
Award	2015	dependence: role of mGluR7	Risbrough, Victoria	Diego	\$421,875
				Huntington	
New		Elucidation of neural circuits		Medical	
Investigator		underlying nicotine reward and		Research	
Award	2020*	relapse	Beier, Kevin	Institute	\$762,538
		Effect of CBD on nicotine			
New		addiction: evidence from		University of	
Investigator		translational models of nicotine		California, San	
Award	2020*	intake in rats	Kallupi, Marsida	Diego	\$777,900
New		Elucidating the genetic basis of		University of	
Investigator		nicotine dependence by using	Sanchez Roige,	California, San	
Award	2019	electronic health records	Sandra	Diego	\$743,841

### TABLE 10: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: ORAL DISEASES AND DENTAL HEALTH

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
Due de stevel		Dradiating responses to mTOD	Neekmensen	University of	
Predoctoral	2010	Predicting response to mTOR	Nachmanson,	California, San	¢150.007
Award	2018	inhibitors in oral cancer	Daniela	Diego	\$150,867
Desident set		Effects of cigarette smoke on			
Postdoctoral		inflammasome activation in oral	Coutinho Almeida	University of the	
Award	2019	cells	da Silva, Cassio Luiz	Pacific	\$176,616
		Functions of caspase-8			
		mutations in development of		University of	
Postdoctoral		head and neck cancer and anti-		California, San	
Award	2019	tumor immunity	Cui, Zhibin	Francisco	\$247,872
		The effect of combustible			
		cigarettes and electronic		University of	
Postdoctoral		cigarettes on oral candida		California, Los	
Award	2019	pathogenesis	Haghighi, Farnoosh	Angeles	\$198,036
		Epigenetic mechanisms in the		University of	
Postdoctoral		inflammatory oral lesion of		California, Los	
Award	2019	smokers	Lee, Jaeyoung	Angeles	\$198,036
		The effect of E-cigarettes on		University of	
Postdoctoral		tongue epithelium and taste bud		California, San	
Award	2020*	regeneration	Miller Zmora, Irit	Francisco	\$198,036
		Role of exosomes in rescuing			
Postdoctoral		Xerostomia in head and neck	Viswnathan,	Stanford	
Award	2018	cancer patients	Vignesh	University	\$200,297
		Infrared Imaging Methods for		University of	
		the Detection and Diagnosis of		, California, San	
Pilot Award	2018	Root Caries	Fried, Daniel	Francisco	\$496,112
		Understanding the mechanisms	,	University of	. ,
		of perineural invasion in oral		California, San	
Pilot Award	2019	cancer	Goga, Andrei	Francisco	\$500,000

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Impact of cigarette smoking on			
		dental pulp mesenchymal stem			
		cells mediated tissue		University of the	
Pilot Award	2019	regeneration	Xiao, Nan	Pacific	\$505,604
		The effect of tobacco on oral		University of	
Research		mucosal renewal and		California, San	
Award	2018	regeneration	Klein, Ophir	Francisco	\$934,501
				University of	
Research		Systemic aging and salivary stem		California, San	
Award	2018	cells	Knox, Sarah	Francisco	\$934,778
		Smartphone-based Oral Scanner			
		Pen for Non-Specialist Oral			
Research		Cancer Detection in Tobacco		University of	
Award	2020*	Users	Wilder-Smith, Petra	California, Irvine	\$973,383

### TABLE 11: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: PULMONARY BIOLOGY AND LUNG DISEASES

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
		Smoking's Impact on Influenza		University of	
Predoctoral		Pathogenesis and Live		California,	
Award	2019	Attenuated Vaccine Response	Chavez, Jerald	Riverside	\$89 <i>,</i> 118
		Understanding how perinatal			
		nicotine exposure influences		University of	
Predoctoral		immune establishment and		California, Santa	
Award	2019	function for life	Cool, Taylor	Cruz	\$139,942
		Selectin-targeted glycocalyx			
Predoctoral		mimetic as a treatment for		University of	
Award	2019	pulmonary inflammation	Dehghani, Tima	California, Davis	\$132,097
		Understanding of the		University of	
Predoctoral		mechanisms of airway repair		California, Los	
Award	2020*	after e-cigarette exposure.	Durra, Abdo	Angeles	\$166,350
Predoctoral		Role of Macrophage Polarization		University of	
Award	2020*	in Pulmonary Fibrosis	Yang, David	California, Davis	\$146,641
				LA Biomedical	
				Research	
		Exosome Release and the		Institute at	
Postdoctoral		Immune Response to Exercise in		Harbor-UCLA	
Award	2018	COPD	Abbasi, Asghar	Medical	\$193,320
				University of	
Postdoctoral		The Impact of E-Cigarettes on		California, San	
Award	2020*	Lung Immunity and Repair	Dash, Barsha	Diego	\$196,570
				University of	
Postdoctoral		miR-34/449 miRNAs in airway		California,	
Award	2016	multiciliated cells	Song, Rui	Berkeley	\$9,551
		Inflammatory State of		University of	
Postdoctoral		Macrophages and COPD	Vasudevan,	California, San	
Award	2018	Exacerbation	Sreelakshmi	Francisco	\$177,972

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				University of	
Postdoctoral		Defining the Role of Hedgehog		California, San	
Award	2018	Signaling in Emphysema	Wang, Chaoqun	Francisco	\$180,996
		E-cigarette smoking-induced			
		pulmonary endothelial			
Postdoctoral		dysfunction in East Asian		Stanford	
Award	2020*	ALDH2*2 Variant	Yu, Xuan	University	\$207,300
		Dendritic-epithelial cells		University of	
Pilot Award	2016	crosstalk in lung inflammation	Agrawal, Anshu	California, Irvine	\$300,000
		Determining a role for long		University of	
		noncoding RNAs in smoke-		California, Santa	
Pilot Award	2018	induced COPD	Carpenter, Susan	Cruz	\$519,043
		Impact of JUUL Use on Lung		Veterans Medical	
		Physiologic and Immune Health	Crotty Alexander,	Research	
Pilot Award	2019	and Systemic Inflammation	Laura	Foundation	\$571,531
		Engineered Proteins to Reverse		University of	
		Chitin Buildup and Fibrotic Lung		California, San	
Pilot Award	2019	Disease	Fraser, James	Francisco	\$492,252
		The Effect of Combined Tobacco			
		and Marijuana Use on		University of	
		Pulmonary Function: A Pilot		California, San	
Pilot Award	2019	Study	Keyhani, Salomeh	Francisco	\$586,820
		Amniotic exosomal extracellular		University of	
		RNA from fetal lung affected by		California, Los	
Pilot Award	2020*	prenatal nicotine exposure	Kim, Yong	Angeles	\$460,791
		Novel Use of Human iPSC			
		Derived Airway Progenitor Cells		University of	
Pilot Award	2020*	to Measure E-cigarette Toxicity	Miller, Lisa	California, Davis	\$483,513
		Development of the COPD			
		Phenotype:Role of the IL-22/IL-		University of	
Pilot Award	2017	22R1 Axis	Miller, Lisa	California, Davis	\$299,333
				Lundquist	
				Institute for	
				Biomedical	
		Wearable Sensors to Monitor		Innovation at	
		Exacerbation Risk In Chronic		Harbor-UCLA	
Pilot Award	2020*	Obstructive Pulmonary Disease	Rossiter, Harry	Medical Center	\$641,425
		Vaping effects on adolescent		University of	
Pilot Award	2020*	airway mucosa	Royer, Christopher	California, Davis	\$519,988
		The deleterious effects of			
		nicotine and e-cigarette		Children's	
		flavorants on lung mesenchymal		Hospital, Los	
Pilot Award	2020*	stem cells	Shi, Wei	Angeles	\$666,706
		Characterization of innate			
		immunity in pollution-induced			
		exacerbation in Chronic		University of	
Research		Obstructive Pulmonary Disease	Arjomandi,	California, San	
Award	2019	(COPD)	Mehrdad	Francisco	\$933,635

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	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				University of	
Research		Tobacco Exposure and Asthma		California, San	
Award	2018	Disparity in Minority Children	Burchard, Esteban	Francisco	\$935,584
				Palo Alto	
		Role of a Critical Cell Surface		Veterans	
Research		Molecule in Tobacco-induced		Institute for	
Award	2018	Lung and Gut Inflammation	Butcher, Eugene	Research	\$1,002,925
Research		Therapeutic targeting to inhibit		University of	
Award	2018	lung fibrosis progression	Chen, Ching-Hsien	California, Davis	\$925,286
		Understanding the effects of e-		University of	
Research		cigarettes on airway epithelial		California, Los	
Award	2020*	repair and homeostasis	Gomperts, Brigitte	Angeles	\$972,000
		Pulmonary Innate Lymphoid			
Research		Cells and airway inflammation in		University of	
Award	2018	COPD	Haczhu, Angela	California, Davis	\$937,500
				University of	
Research		Role of DNA damage in		California, San	
Award	2018	Pulmonary Artery Hypertension	Hata, Akiko	Francisco	\$937,500
Research				University of	
Award	2018	GLP-1 Agonists in Severe Asthma	Kenyon, Nicholas	California, Davis	\$937,500
				University of	
Research		Airway inflammation in the		California, San	
Award	2015	evolution of airway fibrosis	Nishimura, Stephen	Francisco	\$421,284
				Lundquist	
				Institute for	
		Gestational E-Cigarette		Biomedical	
		Exposure, Transgenerational		Innovation at	
Research		Asthma, and the Germ Cell		Harbor-UCLA	
Award	2019	Epigenetic Memory	Rehan, Virender	Medical Center	\$1,048,215
		Improving diagnostic and			
		therapeutic imaging tools for		University of	
Research		better management of Chronic		California, Los	
Award	2018	Obstructive Pulmonary Disease	Santhanam, Anand	Angeles	\$919,571
		Pulmonary Neuroendocrine Cells		University of	
Research		as a Sensor in Tobacco Induced		California, San	
Award	2019	Lung Diseases	Sun, Xin	Diego	\$937,500
New		Effects of Tobacco Smoke and e-		Loyola	
Investigator		Cigarette Vapors on Lung	Andresen Eguiluz,	Marymount	
Awards	2020*	Epithelium Mechanics	Roberto	University	\$206,225
New		Regulation of lung type 2		University of	
Investigator		immunity in tobacco smoke-		California, San	
Awards	2019	related allergic asthma	Molofsky, Ari	Francisco	\$750,000
New		Modulation of hedgehog		University of	
Investigator		signaling in tobacco-related		California, San	
Awards	2018	emphysema	Peng, Tien	Francisco	\$734,678
New			<u> </u>	University of	
Investigator		Multiethnic Risk for Lung		Southern	
Awards	2018	Disease: Genetics and Smoking	Polfus, Linda	California	\$990,000

TABLE 12: GRANTS AWARDED JULY 1, 2015 TO JUNE 30, 2020 UNDER TRDRP PRIORITY: STATE AND LOCAL TOBACCO CONTROL POLICY RESEARCH

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
Conference				Advocates for	
Award	2018	2019 National Cannabis Summit	Frazier, Linda	Human Potential	\$100,000
				University of	
Predoctoral		The Impact of Trade Agreements		California, Santa	
Award	2015	on Domestic Health Regulation	Crosbie, Eric	Cruz	\$29,293
		Tobacco Free Generation Policy		University of	
Predoctoral		in the Philippines: An Endgame		, California, San	
Award	2020*	Strategy	de Leon, Kathleen	Francisco	\$148,941
		Spatial Analysis of Tobacco,	,	University of	
Predoctoral		Vape Shop and Cannabis		Southern	
Award	2018	Retailers	Escobedo, Patricia	California	\$101,882
				Kaiser	+==,===
Exploratory/		Impact of health reform on		Foundation	
Developmental		smoking and treatment		Research	
Award	2015	utilization	Young-Wolff, Kelly	Institute	\$294,174
	2013	Digital Surveillance to Identify		motitute	<i>723</i> 4,174
		Alternative & Emerging Tobacco		University of	
		Industry Mobilization and		California, San	
Pilot Award	2019	Influence	Mackey, Timothy	Diego	\$495,186
Pliot Awaru	2019			Stanford	\$495,160
Dilet Augurd	2016	Fighting Big Tobacco with Big	Duastau Dahaut		6220.057
Pilot Award	2016	Data	Proctor, Robert	University	\$229,057
	2010	Local cannabis regulation: what		Public Health	6455 000
Pilot Award	2018	have we learned from tobacco	Silver, Lynn	Institute	\$455,992
		A "digital" mixed methods		CSU Fullerton	
		evaluation of university tobacco-		Auxiliary Services	
Pilot Award	2019	free policies	Yang, Joshua	Corporation	\$540,733
		The impact of cartoon-based			
		marketing strategies on e-		University of	
Research		cigarette appeal and use among		Southern	
Award	2019	adolescents	Allem, Jon-Patrick	California	\$1,225,844
		Effects of California's 2016		University of	
Research		tobacco policies on initiation,		California, San	
Award	2017	use, & quitting	Apollonio, Dorothy	Francisco	\$374,400
				Loyola	
Research		A Community Based Approach		Marymount	
Award	2019	to Tobacco Control	Grills, Cheryl	University	\$974,719
		Do Assurances of Voluntary			
Research		Compliance Reduce Youth		Stanford	
Award	2016	Access and Marketing?	Henriksen, Lisa	University	\$458,502
		5	,	University of	. ,
Research		Put It Out Project for Sexual and		California, San	
Award	2017	Gender Minority Smokers	Humfleet, Gary	Francisco	\$386,973

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
				University of	
Research		Impact of new policies on young		California, San	
Award	2018	adult tobacco and marijuana	Ling, Pamela	Francisco	\$908,748
				University of	
Research		Readiness for and obstacles to		California, San	
Award	2017	California's tobacco endgame	Malone, Ruth	Francisco	\$374,772
				University of	
Research		The Cost of Smoking for CA's		California, San	
Award	2015	Racial/Ethnic Communities	Max, Wendy	Francisco	\$165,972
				University of	
Research		The Economic Impact of the		California, San	
Award	2017	California Tobacco 21 Law	Max, Wendy	Francisco	\$374,819
		Trends in disparities in Smoking		University of	
Research		Behavior with 4 major, different		California, San	
Award	2020*	tobacco control programs	McMenamin, Sara	Diego	\$956,219
		State and Local Policies on		University of	
Research		Cigarette Smoking Behaviors and		California, Los	
Award	2020*	Disparities	Meng, Ying-Ying	Angeles	\$898,203
				University of	
Research		Population studies of new		California, San	
Award	2015	tobacco products and cigarettes	Messer, Karen	Diego	\$406,492
		Toward Equity in Smokefree			
Research		Worksite Policies, SHS Exposure		Stanford	
Award	2016	& Retail Density	Prochaska, Judith	University	\$424,460
				University of	
Research		Economic Impact of Proposition		California, San	
Award	2018	56 on Low-Income Californians	Sung, Hai-Yen	Francisco	\$917,959
				University of	
Research		Southern California Vape Shop		Southern	
Award	2017	Reactions to New Policies	Sussman, Steven	California	\$495,000
				University of	
Research		Did CTCP impact on youth affect		California, San	
Award	2017	lifetime cigarettes smoked?	Wiencke, John	Francisco	\$299,337
		The impact of price on the		University of	
Research		demand for marijuana and		California, San	
Award	2019	cigarettes	Yao, Tingting	Francisco	\$937,500
				University of	
Research		The impact of price on the		California, San	
Award	2017	demand for electronic cigarettes	Yao, Tingting	Francisco	\$374,677
New		Eliminating in-home smoking to		University of	
Investigator		protect children and other non-		California, San	
Award	2020*	smokers	Bellettiere, John	Diego	\$816,896
New		Community College Smokefree			
Investigator		Policies: Disparities, Contexts		Public Health	
Award	2019	and Strategies	Lui, Camillia	Institute	\$948,641
New				University of	
Investigator		Effect of minimum floor price		California, San	
Award	2020*	laws on tobacco consumption	White, Justin	Francisco	\$775,774

	YEAR				
MECHANISM	FUNDED	TITLE	INVESTIGATOR(S)	INSTITUTION(S)	DOLLARS
MacKay Pacific					
Rim Policy				University of	
Research		California, trade pacts, tobacco		, California, San	
Award	2016	control: lessons to learn	Bialous, Stella	Francisco	\$365,288
MacKay Pacific					
Rim Policy		Policy Research to Denormalize		University of	
Research		Tobacco Use in CA-Pacific Rim		California, San	
Award	2018	Outdoors	Mock, Jeremiah	Francisco	\$935 <i>,</i> 668
				University of	
		UC Merced Nicotine and		, California,	
Policy Center	2018	Cannabis Policy Center	Song, Anna	Merced	\$3,785,037
Rapid		Regulating menthol cigarettes:		University of	
Response		understanding how restrictions		Southern	
Policy Award	2020*	affect retailers and smokers	Smiley, Sabrina	California	\$565,450
- ·				American	
				Nonsmokers'	
		Clearing the Air: An Institute for		Rights	
Special Project	2015	Policy Advocacy	Hallett, Cynthia	Foundation	\$5 <i>,</i> 000
				American	
				Nonsmokers'	
		What's Menthol Got to Do with		Rights	
Special Project	2017	it! Everything!	Hallett, Cynthia	Foundation	\$5 <i>,</i> 000
				American	
		Documenting the Regulation of		Nonsmokers'	
		Marijuana Use in Smokefree		Rights	
Special Project	2017	Environment	Hallett, Cynthia	Foundation	\$5,750
				American	
				Nonsmokers'	
				Rights	
Special Project	2018	Clearing the Air Institute	Hallett, Cynthia	Foundation	\$5,000
				University of	
		The effects of the Proposed \$2	Lightwood, James	California, San	
Special Project	2015	tobacco tax on cigarettes	Milton	Francisco	\$49,917
				University of	
		Impact of cigarette taxes on		California, San	
Special Project	2015	healthcare expenditures in CA	Max, Wendy	Francisco	\$40,763
		Public Opinion Regarding		University of	
		Electronic Cigarettes in		Southern	
Special Project	2015	California	Unger, Jennifer	California	\$165,000

# TABLE 13: EMERGENCY RAPID RESPONSE COVID-19 SEED GRANTS AWARDED IN 2020 \*

TITLE	INVESTIGATOR	INSTITUTION NAME	DOLLARS
Enabling rapid point-of-care diagnostics through		University of California, San	
genotype screening of Covid-19 virus	Bandaru, Prabhakar	Diego	\$25,000
Interleukin Receptor Antagonist			
Immunosuppression of COVID-19		University of California, San	
Hyperinflammation in COPD mice	Breen, Ellen	Diego	\$25,000

TITLE	INVESTIGATOR	INSTITUTION NAME	DOLLARS
Impact of Cigarette Smoking and e-Cigarette		University of California, San	
Vaping on COVID-19	Das, Soumita	Diego	\$25,000
Stratifying COVID-19 patients for predisposition		University of California, San	
and treatment of heart disease	Frazer, Kelly	Diego	\$25,000
A graphene-based multiplexed sensor for ultra-			. ,
fast and low-cost COVID-19 diagnosis and		California Institute of	
monitoring	Gao, Wei	Technology	\$25,000
Al-guided rapid repurposing of therapeutics for		University of California, San	
COVID-19	Ghosh, Pradipta	Diego	\$25,000
Determining the effects of smoking/vaping on		University of California, Los	+,
COVID-19 lung disease severity	Gomperts, Brigitte	Angeles	\$25,000
Teen Vaping Patterns During and After COVID		University of California, San	+,
Pandemic Shelter-in-Place Orders	Gribben, Valerie	Francisco	\$25,000
Using biomarkers to identify at-risk patients for			<i><i><i></i></i></i>
severe complications from COVID-19	Grimes, Kevin	Stanford University	\$25,000
Protection of diabetic macaques against SARS-	Hartigan-O'Connor,	University of California,	<i><i><i></i></i></i>
CoV-2 using self-adjuvanting immunogens	Dennis	Davis	\$25,000
Deep learning radiographic early detection of	Dennis	University of California, San	<i>\\</i> 23,000
COVID-19 pneumonia	Hsiao, Albert	Diego	\$25,000
Considering COVID-19 in an Urban Environmental		01050	<i>\\</i> 23,000
Justice Community: Impacts, Resilience, and		University of Southern	
Stressors	Johnston, Jill	California	\$25,000
PPE for All: Simple Strategies to Protect		University of California, San	<i><i><i>v</i>23,000</i></i>
Vulnerable Populations	Jokerst, Jesse	Diego	\$25,000
Al-based Platform to Predict COVID-19 Progress		University of California,	<i><i><i>v</i>23,000</i></i>
and Outcome based on Patients' Chest X-ray	Kheradvar, Arash	Irvine	\$25,000
Non-contact Home Monitoring of COVID-19			<i><i><i>v</i>23,000</i></i>
Infections in Patients with Cardiopulmonary		University of California, San	
Diseases	King, Kevin	Diego	\$25,000
Culturally Tailored COVID-19 Risk Awareness for		01080	<i><i><i>v</i>23,000</i></i>
CA Middle Eastern/North African Waterpipe Users	Lee, Juliet	PIRE California, Inc.	\$24,887
The Impact of Smoking, Comorbidities, and		Palo Alto Medical	<i>\\</i> 2 1,007
Race/Ethnicity on COVID-19 Infection and Disease		Foundation Research	
Severity	Li, Jiang	Institute	\$25,000
Forecasting hospital bed resources needed to			<i><i>\</i>23,000</i>
address the COVID-19 outbreak in California		University of California, San	
counties	Martin, Natasha	Diego	\$25,000
Novel Mechanisms of Smoking-Related Severe			+=0,000
COVID-19 Lung Injury: Insights and Applications to		University of California, Los	
Vaping	Middlekauff, Holly	Angeles	\$25,000
Rapid Examination of Collateral Threats to			+_0,000
Population Behavioral Health during the COVID19		University of California, San	
Pandemic	Nobles, Alicia	Diego	\$25,000
Effect of tobacco and e-cigarettes on the immune	Ongkeko,	University of California, San	+_0,000
status and ACE2 levels in COVID-19 infection	Rutherford(Weg)	Diego	\$25,000
Cardiovascular Risk Factors, Antihypertensives and		University of California, San	<i>723,000</i>
Covid-19 Infection Severity and Progression.	PARIKH, Nisha	Francisco	\$25,000
covia 15 infection sevency and riogression.	1741411, 191311a	Trancisco	723,000

TITLE	INVESTIGATOR	INSTITUTION NAME	DOLLARS
Understanding the social determinants of the			
COVID-19/tobacco link: survey of Central Valley		University of California,	
Latinx	Song, Anna	Merced	\$24,868
		University of California,	
Supporting LGBTQ+ Individuals during COVID-19	Soule, Katherine	ANR	\$25,000
Does marijuana or e-cigarette use upregulate the		University of California, San	
SARS-CoV-2 receptor ACE2 in airway epithelium?	Springer, Matthew	Francisco	\$25,000
Relationship Between Smoking, Vaping, and Covid		University of California,	
Infection	Talbot, Prue	Riverside	\$25,000
Novel assays for characterizing SARS-CoV-2		University of California, San	
transcription	Telwatte, Sushama	Francisco	\$25,000
Role of ACE2 Receptors in Morbidity, Mortality,		Northern California	
and Therapy for COVID-19 Acute Respiratory		Institute for Research &	
Distress	Wallace, Art	Education	\$25,000
Intelligent design of antibodies targeting SARS-		University of California, San	
CoV-2	Wang, Wei	Diego	\$25,000
Service Utilization and Survival Strategies of			
Unsheltered Homeless During the COVID-19		San Diego State University	
Pandemic	Welsh, Megan	Research Foundation	\$16,050
Developing Natural Language Processing Tools for		University of California,	
Mining the Rapidly Evolving COVID-19 Literature	Xin, Huolin	Irvine	\$25,000
SARS-CoV-2 proteome interaction with host		University of California, San	
transcriptome	Yeo, Gene	Diego	\$25,000
Smoking as a risk factor for COVID-19 onset and		Kaiser Foundation Research	
severity	Young-Wolff, Kelly	Institute	\$25,000

## TABLE 14: COVID-19 CONTINUATION GRANTS AWARDED IN 2020\*

TITLE	INVESTIGATOR	INSTITUTION NAME	DOLLARS
Innate immune responses against COVID-19 in the		University of California,	
elderly and those with underlying conditions	Agrawal, Anshu	Irvine	\$195,000
A Graphene-based Multiplexed Sensor for Ultra-			
fast and Low-cost COVID-19 Diagnosis and		California Institute of	
Monitoring	Gao, Wei	Technology	\$257,075
Al-guided Rapid Repurposing of Therapeutics for		University of California, San	
COVID-19	Ghosh, Pradipta	Diego	\$195,000
Real-Time Population Mental Health Tracking		University of California, San	
During the COVID-19 Pandemic	Nobles, Alicia	Diego	\$195,000
Sewage Surveillance to monitor COVID19		University of California,	
outbreak	Whiteson, Katrine	Irvine	\$195,000
Smoking and COVID-19 onset and severity in a US		Kaiser Foundation Research	
integrated healthcare delivery system	Young-Wolff, Kelly	Institute	\$242,554

### TABLE 15: CANNABIS-RELATED GRANTS AWARDED BETWEEN JULY 1, 2015-JUNE 30, 2020

YEAR	TITLE	INVESTIGATOR	INSTITUTION NAME	DOLLARS
	Dual Use of Marijuana and Tobacco: Social			
2015	Media and Youth	Lee, Juliet	PIRE California, Inc.	\$538,179

YEAR	TITLE	INVESTIGATOR	INSTITUTION NAME	DOLLARS
	Measuring combined tobacco, e-cigarette,		University of California,	
2016	and marijuana use	Apollonio, Dorothy	San Francisco	\$374,448
	Tobacco/marijuana smoke: from lab to		University of California,	
2016	public health policy	Springer, Matthew	San Francisco	\$373,988
	Triangulum (Tobacco, E-Cigarettes,	Baezconde-	University of Southern	
2017	Marijuana) SHS exposure in Low SES MUH	Garbanati, Lourdes	California	\$495,000
	Documenting the Regulation of Marijuana		American Nonsmokers'	
2017	Use in Smokefree Environment	Hallett, Cynthia	<b>Rights Foundation</b>	\$5,750
	Tobacco and Marijuana Co-Use Among			
2017	Emerging Adults in California	Tucker, Joan	RAND Corporation	\$466,934
	Vaping Nicotine and Cannabis in	Barrington-Trimis,	University of Southern	
2018	Adolescence and Early Adulthood	Jessica	California	\$1,230,250
	Evaluating Relationship of Cannabis use		Veterans Medical	
2018	and Tobacco Cessation	Chen, Timothy	Research Foundation	\$561,591
			California State	
	Tobacco and Cannabis Intervention for		University, Long Beach	
2018	Young Black MSM	D'Anna, Laura	Foundation	\$1,106,197
	Update KiR: An Evidence-Based Program		Education Training and	
2018	Reducing Teen Tobacco & Cannabis Use	Drake, Pamela	Research Associates, Inc.	\$526,924
	Spatial Analysis of Tobacco, Vape Shop		University of Southern	
2018	and Cannabis Retailers	Escobedo, Patricia	California	\$101,882
			Advocates for Human	
2018	2019 National Cannabis Summit	Frazier, Linda	Potential	\$100,000
	Exposure to Marijuana Smoking: the Effect			
2018	of Proximity	Hildemann, Lynn	Stanford University	\$1,137,156
	Tobacco and cannabis use among sexual		University of California,	
2018	and gender minorities	Holloway, Ian	Los Angeles	\$500,866
	Cannabis and Tobacco Co-Use and the		University of California,	
2018	Developing Brain	Jacobus, Joanna	San Diego	\$928,325
	Impact of new policies on young adult		University of California,	
2018	tobacco and marijuana	Ling, Pamela	San Francisco	\$908,748
			San Diego State	
	Exposure to Tobacco, E-Cigarette, and		University Research	
2018	Marijuana in Multi-Unit Housing	Matt, Georg	Foundation	\$1,095,903
	Measuring Environmental Tobacco and		University of California,	
2018	Cannabis	Schick, Suzaynn	San Francisco	\$737,248
	Marijuana Dispensaries and Adolescents'		University of California,	
2018	Use of Marijuana and Tobacco	Shi, Yuyan	San Diego	\$895 <i>,</i> 649
	Local Cannabis Regulation: What have we			
2018	learned from tobacco	Silver, Lynn	Public Health Institute	\$455,992
	UC Merced Nicotine and Cannabis Policy		University of California,	
2018	Center	Song, Anna	Merced	\$3,785,037
	Toxicants and Cardiovascular Effects:		University of California,	
2018	Cannabis vs Tobacco	St. Helen, Gideon	San Francisco	\$929,782
			San Diego State	
	Tobacco and Cannabis: Effects on Fetal		University Research	
2018	Development in Rats	Thomas, Jennifer	Foundation	\$602,000

YEAR	TITLE	INVESTIGATOR	<b>INSTITUTION NAME</b>	DOLLARS
	Proximity to cannabis			
	retailers/dispensaries and adolescent		University of Southern	
2018	cannabis use	Unger, Jennifer	California	\$1,237,497
	The Impact of Recreational Marijuana			
	Legalization on Tobacco and Marijuana		University of California,	
2019	Co-Use	Cohen, Beth	San Francisco	\$1,092,190
	Impact of Chronic Cannabis Exposure on		University of California,	
2019	Metabolic Health and Disease	DiPatrizio, Nicholas	Riverside	\$743,386
	Disparities in Rates & Impact of Tobacco		University of California,	
2019	and Marijuana Use in UCLA Primary Care	Gelberg, Lillian	Los Angeles	\$932,434
	Pilot Investigation of Tobacco and		San Diego State	
	Cannabis Contaminants in an Urban		University Research	
2019	Natural Reserve	Hoh, Eunha	Foundation	\$602,000
	Tobacco and Cannabis Contaminants in		University of California,	
2019	Protected Areas	Holden, Patricia	Santa Barbara	\$531,982
	The Effect of Combined Tobacco and			
	Marijuana Use on Pulmonary Function: A		University of California,	
2019	Pilot Study	Keyhani, Salomeh	San Francisco	\$586,820
	Tobacco and cannabis exposure during			
	pregnancy in six race/ethnic subgroups in			
2019	California	Kharrazi, Martin	Sequoia Foundation	\$960,000
	Long-term impact of cannabis exposure		University of California,	
2019	on the adolescent brain	Piomelli, Daniele	Irvine	\$681,841
	Models for prospective studies of		University of Southern	
2019	marijuana's cardiac effects	Springer, Matthew	California	\$1,231,893
	The impact of price on the demand for		University of California,	
2019	marijuana and cigarettes	Yao, Tingting	San Francisco	\$937,500
	Co-Use of Tobacco and Cannabis in		University of Southern	
2020	Pregnancy	Cortessis, Victoria	California	\$640,268
	N-Acetylcysteine for Smoking Cessation in			
	Tobacco and Cannabis Co-Use: A		University of California,	
2020	Randomized Controlled Trial	Herbst, Ellen	San Francisco	\$504,000
	Social media intervention to stop nicotine		Veterans Medical	
2020	and cannabis vaping among adolescents	Ling, Pamela	Research Foundation	\$975,000
	Predicting Environmental Waste from		San Diego State	
	Tobacco, Electronic Cigarette, and		University Research	
2020	Marijuana Products	Matt, Georg	Foundation	\$1,128,406
			Lundquist Institute for	
	Tobacco and cannabis co-use among		Biomedical Innovation at	
	young adults: A multi-method analytic		Harbor-UCLA Medical	
2020	approach	Nguyen, Nhung	Center	\$142,450
	Does marijuana or e-cigarette use			
	upregulate the SARS-CoV-2 receptor ACE2		University of California,	
2020	in airway epithelium?	Springer, Matthew	San Francisco	\$25,000