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November 5, 2018

Director Keely Bosler
Department of Finance
915 L Street
Sacramento, California 95814

The Honorable Holly J. Mitchell
Chair, Joint Legislative Budget Committee
1020 N Street, Room 553
Sacramento, California 95814

Dear Director Bosler and Senator Mitchell:

Pursuant to Section 92680.4(d) of the Education Code, enclosed is the University of California's annual report to the Legislature on the *Admission and Enrollment of Students from LCFF+ High Schools*.

If you have any questions regarding this report, Associate Vice President David Alcocer would be pleased to speak with you. David can be reached by telephone at (510) 987-9113, or by email at David.Alcocer@ucop.edu.

Yours very truly,


Janet Napolitano
President

Enclosure

cc: Senate Budget and Fiscal Review
The Honorable Anthony J. Portantino, Chair
Senate Budget and Fiscal Review Subcommittee #1
(Attn: Ms. Anita Lee)
(Attn: Ms. Cheryl Black)
The Honorable Kevin McCarty, Chair
Assembly Budget Subcommittee #2
(Attn: Mr. Mark Martin)
(Attn: Mrs. Katie Sperla)
Ms. Jennifer Troia, Joint Legislative Budget Committee
Mr. Danny Alvarez, Secretary of the Senate
Ms. Tina McGee, Legislative Analyst's Office

Ms. Amy Leach, Office of the Chief Clerk of the Assembly
Mr. E. Dotson Wilson, Chief Clerk of the Assembly
Mr. Jeff Bell, Department of Finance
Mr. Chris Ferguson, Department of Finance
Mr. Jack Zwald, Department of Finance
Ms. Tina McGee, Legislative Analyst's Office
Mr. Mac Taylor, Legislative Analyst's Office
Mr. Jason Constantouros, Legislative Analyst's Office
Provost and Executive Vice President Michael Brown
Executive Vice President and Chief Financial Officer Nathan Brostrom
Vice Provost and Chief Outreach Officer Yvette Gullatt
Vice President Robin Holmes-Sullivan
Associate Vice Provost Elizabeth Halimah
Senior Vice President Claire Holmes
Associate Vice President David Alcocer
Associate Vice President and Director Kieran Flaherty
Chief Policy Advisor and Executive Director Jenny Kao
Chief of Staff to the Chief Financial Officer Oren Gabriel
Chief of Staff Governmental Relations Bob Hartnagel
Manager Jennifer Brice

University of California

Admission and Enrollment of Students from LCFF+ High Schools

The following report is forwarded in compliance with Section 92680.4(d) of the Education Code, which states:

- (d) Commencing in 2017, no later than November 30 of each year, the university shall report to the Director of Finance and to the Legislature the number of pupils who attended a school identified by the Superintendent pursuant to subdivision (g) of Section 41580 and were admitted to the university, and the number of those students who enrolled, disaggregated by campus.

This document is the annual report responding to this legislative request.

Background

Supporting the success of UC students and continuing to expand access to a diverse student body fully representative of the state are fundamental to fulfilling the educational mission of the University of California. UC campuses are engaged in many efforts to broaden access and improve undergraduate student outcomes – particularly for low-income, first-generation college, and other students who may be at greater risk of lower graduation rates or longer time-to-degree. These efforts have shown promising results – the economic and racial/ethnic diversity of UC students continues to increase and the percentage of undergraduates who complete their studies on time has steadily improved over the last decade. However, more work can and should be done to increase access, improve graduation rates overall, and close achievement gaps. UC actively seeks out partnerships with the state to pursue this work.

As part of a package of initiatives proposed by Senate President Pro Tempore Kevin De León, the 2016-17 State budget for the University of California included one-time funds for support services for “low-income students and students from underrepresented minority groups,” including students who were enrolled in high schools in which more than 75 percent of the school’s total enrollment (unduplicated) is composed of pupils who are identified as either English learners, eligible for free or reduced-price meals, or foster youth. These schools, which are eligible for supplemental funding under the state’s Local Control Funding Formula (LCFF), are known as “LCFF plus” (LCFF+) schools. This one-time funding was intended to help increase the application, admission, and enrollment of students from LCFF+ high schools.

UC campuses leveraged the one-time funding to engage with LCFF+ high schools and other partners in efforts to increase applications, admissions, and enrollments of these students, including:

- Partnering with community-based organizations to raise awareness of UC, and better serve LCFF+ students and their families.
- Using UC proprietary software (e.g., UC’s Transcript Evaluation Service) and other tools to identify students attending LCFF+ schools who are close to achieving UC eligibility and providing college advising and academic enrichment programs to those students.
- Providing LCFF+ high school students with UC campus experiences (e.g., campus visits, residential programs) and/or connecting them with UC student peer mentors.

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- Raising awareness of UC at LCFF+ schools during the application period for current seniors and in spring 2017 for students in grades 9-11 by conducting additional application, college preparation, and financial aid workshops for students and parents, and providing support for students preparing responses to the “personal insight” questions on the UC application.
 - Conducting targeted “yield” activities (e.g., campus visits and advising) for students attending LCFF+ schools who are admitted to UC and providing transition support to reduce the number of admitted students who have indicated they will enroll in the fall but fail to do so.

In addition, as a system, UC has undertaken special efforts to increase applications and admissions of low-income students and those from LCFF+ schools. Beginning with the fall 2017 application cycle, campuses were able to identify applicants from LCFF+ high schools to ensure they received full consideration in the comprehensive review process. UC also redesigned its application fee waiver process (by which low-income students can apply to up to four campuses free of charge) so that applicants who reported low family incomes were automatically granted a waiver, rather than being required to apply for one, and were reminded to maximize the fee waiver when fewer than four campuses were selected.

Applications, Admissions, and Enrollments

The following analyses look at California residents from LCFF+ California high schools in the fall 2018 freshman admission cycle. It is important to note that in 2016, UC admitted and enrolled a historically large number of California freshmen in the initial effort to grow total California resident enrollment by 10,000 over three years. Over the next two years, the growth in enrollment was more measured, which accounts for the slight declines in new freshman enrollment reported since 2016.

UC Systemwide

The number of applicants from LCFF+ high schools went up from 24,092 in 2016 to 26,335 in 2017 and 28,462 in 2018 as the overall number of applications continued to grow (Table 1), representing annual increases of about 9% and 8% respectively. Applicants from LCFF+ high schools represented 17-19% of graduates of LCFF+ high schools in 2016 and 2017, the latest years for which data are available.¹

¹ Note that the data on California public high school graduates include international students, who are excluded from the figures for applicants, admits, and enrollees. This inclusion of international students in the total number of high school graduates likely results in a slight underestimation of the application rate. Data are not yet available for 2018 California public high school graduates.

Table 1. High School Graduates, Applicants, Admits, Enrollees by LCFF+ Status of High School, UC Systemwide

		LCFF+	All High Schools
2016	H.S. Graduates	138,305	428,033
	Applicants	24,092	92,223
	Admits	14,761	62,312
	Enrollees	8,701	34,902
	Application Rate	17%	22%
	Admit Rate	61%	68%
	Yield Rate	59%	56%
2017	H.S. Graduates	140,111	428,184
	Applicants	26,335	98,155
	Admits	14,670	61,041
	Enrollees	8,082	33,155
	Application Rate	19%	23%
	Admit Rate	56%	62%
	Yield Rate	55%	54%
2018	H.S. Graduates	n/a	n/a
	Applicants	28,462	105,086
	Admits	15,506	62,553
	Enrollees	8,424	34,247
	Application Rate	n/a	n/a
	Admit Rate	54%	60%
	Yield Rate	54%	55%

Source: LCFF+ designation based on 2016-17 enrollment data from the California Department of Education. High school graduates from 2015-16 and 2016-17 data, from the California Department of Education. Applicants, admits, and enrollees from UC Application Processing (UCAP) data, August 27, 2018 for fall 2018 admissions cycle and UC Data Warehouse, Undergraduate Admissions Data Mart for fall 2016 and fall 2017.

The number of students from LCFF+ high schools admitted to UC as new freshmen went from 14,761 in 2016 to 14,670 in 2017 and 15,506 in 2018, representing an annual decrease of 1% and an increase of about 6% respectively. Note that overall admits went down 2% in 2017 and up 2% in 2018. The admit rate for students from LCFF+ schools went down from 61% in 2016 to 56% in 2017 to 54% in 2018; as the number of total applications increased systemwide during this time period, the overall admit rate also went down each year. The number of students from LCFF+ high schools enrolling as new freshmen at UC in 2018 (8,424) was larger than in 2017 (8,082), but this figure represents a decrease from 2016 (8,701) due to the unprecedented number of admits and enrollees in 2016, as the University increased the enrollment of California residents. About 25% of the fall 2018 freshman incoming class was from LCFF+ high schools, similar to the share in fall 2017 (24%) and fall 2016 (25%).

UC Campuses

In all three years, UC Irvine had more freshman applicants from LCFF+ high schools than any other campus, going up from 14,653 in 2016 to 16,463 in 2017 and 18,093 in 2018 (Table 2), for annual increases of 12% and

10%, respectively. In 2018, UC Merced had the largest number of freshman admits (5,974) and the highest admit rate (61%) for students from LCFF+ high schools. UC Riverside was second in terms of number of admits with 5,310 students, while UC Davis was second in terms of admit rate (35%).

Table 2. Applicants, Admits, Enrollees from LCFF+ High Schools, by UC Campus

		Berkeley	Davis	Irvine	Los Angeles	Merced	Riverside	San Diego	Santa Barbara	Santa Cruz
2016	Applicants	7,940	9,075	14,653	12,650	7,526	12,204	9,836	11,019	8,921
	Admits	1,157	3,121	4,550	2,098	5,122	6,297	3,364	2,875	2,650
	Enrollees	537	917	1,370	1,288	974	1,671	920	640	384
	Admit Rate	15%	34%	31%	17%	68%	52%	34%	26%	30%
	Yield Rate	46%	29%	30%	61%	19%	27%	27%	22%	14%
2017	Applicants	8,550	9,630	16,463	13,829	8,410	13,478	10,762	11,452	9,916
	Admits	1,292	3,289	3,796	1,848	5,340	5,325	3,309	3,043	2,348
	Enrollees	598	917	1,199	1,103	1,084	1,178	974	604	425
	Admit Rate	15%	34%	23%	13%	63%	40%	31%	27%	24%
	Yield Rate	46%	28%	32%	60%	20%	22%	29%	20%	18%
2018	Applicants	8,874	10,815	18,093	15,379	9,845	15,444	11,689	12,749	10,125
	Admits	1,432	3,768	3,584	1,647	5,974	5,310	3,316	3,551	2,460
	Enrollees	670	1,162	1,118	1,004	970	1,223	1,039	813	428
	Admit Rate	16%	35%	20%	11%	61%	34%	28%	28%	24%
	Yield Rate	47%	31%	31%	61%	16%	23%	31%	23%	17%

Source: LCFF+ designation based on 2015-16 enrollment data from the California Department of Education. Applicants, admits, and enrollees from UC Application Processing (UCAP) data, August 22, 2017 for fall 2017 admissions cycle and UC Data Warehouse, Undergraduate Admissions Data Mart for fall 2015 and fall 2016.

In 2018, UC Riverside, UC Davis and UC Irvine had the largest number (1,223, 1,162, and 1,118, respectively) of incoming freshmen from LCFF+ high schools. At UC Berkeley, UC San Diego, and UC Santa Cruz, the number of incoming freshmen from LCFF+ high schools increased in both 2017 and 2018. At five of the nine undergraduate campuses, the number of incoming freshmen from LCFF+ high schools was higher in 2018 than in 2016.

Race/Ethnicity

The share of freshman applicants, admits, and enrollees from LCFF+ high schools who were from underrepresented groups (URGs) stayed about the same from 2016 through 2018 (Table 3).² In 2018, 80% of applicants from LCFF+ high schools were from URGs, up slightly from 79% in 2016 and the same as in 2017 (80%).³ Students from URGs represented 77% of admits from LCFF+ high schools in 2018, also up from 2016 (76%) and the same as 2017 (77%). About three-quarters (74%) of the incoming freshmen from LCFF+ schools in 2018 were from URGs, the same proportion (74%) as in 2016 and 2017. The share of LCFF+ high school graduates who were from URGs was 84% in both 2016 and 2017, the latest years of data available. In all three years, the largest racial/ethnic group among applicants, admits, and enrollees from LCFF+ high schools was Hispanic/Latino(a).

² Underrepresented groups are African Americans, American Indians, and Hispanics/Latinos(as).

³ Race/ethnicity categories are defined differently in the data for high school graduates than in the data for UC applicants, admits, and enrollees. For this reason, application rates noted in this report are approximations.

Table 3. High School Graduates, Applicants, Admits, Enrollees from LCFF+ High Schools, by Race/Ethnicity

		African American	American Indian	Hispanic/ Latino(a)	Asian/Pacific Islander	White	Unknown	Two or More Races
2016	H.S. Graduates	9,638	660	105,475	10,381	10,207	483	1,461
	Applicants	1,689	54	17,387	3,652	906	404	n/a
	Admits	780	40	10,451	2,677	592	221	n/a
	Enrollees	443	14	5,998	1,791	335	120	n/a
	Application Rate	18%	8%	16%	35%	9%	84%	n/a
	Admit Rate	46%	74%	60%	73%	65%	55%	n/a
	Yield Rate	57%	35%	57%	67%	57%	54%	n/a
2017	H.S. Graduates	9,881	657	107,389	9,710	10,058	657	1,759
	Applicants	1,770	47	19,269	3,835	925	489	n/a
	Admits	799	23	10,407	2,627	577	237	n/a
	Enrollees	417	8	5,590	1,653	296	118	n/a
	Application Rate	18%	7%	18%	39%	9%	74%	n/a
	Admit Rate	45%	49%	54%	69%	62%	48%	n/a
	Yield Rate	52%	35%	54%	63%	51%	50%	n/a
2018	H.S. Graduates	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Applicants	1,992	54	20,820	4,040	935	621	n/a
	Admits	827	31	11,119	2,680	571	278	n/a
	Enrollees	490	21	5,761	1,701	305	146	n/a
	Application Rate	n/a	n/a	n/a	n/a	n/a	n/a	n/a
	Admit Rate	42%	57%	53%	66%	61%	45%	n/a
	Yield Rate	59%	68%	52%	63%	53%	53%	n/a

Source: LCFF+ designation based on 2015-16 enrollment data from the California Department of Education. High school graduates from 2014-15 and 2015-16 data, from the California Department of Education. Applicants, admits, and enrollees from UC Application Processing (UCAP) data, August 22, 2017 for fall 2017 admissions cycle and UC Data Warehouse, Undergraduate Admissions Data Mart for fall 2015 and fall 2016.

Notes: Race/ethnicity categories are defined differently in the data for high school graduates than in the data for UC applicants, admits, and enrollees. For this reason, application rates noted in this report are approximations.

The number of African American admits and enrollees from LCFF+ high schools in the incoming class grew from 2016 to 2018. The number of admits went up 6% while the number enrolling went up 11% from 2016 to 2018. This was the only racial/ethnic group (other than race/ethnicity unknown) showing this pattern of growth among admits and enrollees from 2016 to 2018. There were 1,992 African American applicants, 827 admits, and 490 enrollees from LCFF+ high schools in 2018.

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