December 8, 2017

Dear Mr. Cohen and Senator Mitchell:

Pursuant to Provision 2.2 of the appropriation to the University of California in the Budget Act of 2017, attached are:

1. a list of the existing programs budgeted at the University of California Office of the President; and

2. proposed funding level changes to expenditures at the Office of the President related to the enrollment of at least 1,500 more resident undergraduate students in the 2018-19 academic year.

If you have any questions regarding this report, Executive Vice President and Chief Financial Officer Nathan Brostrom would be pleased to speak with you. He can be reached by telephone at (510) 987-9029, or by email at Nathan.Brostrom@ucop.edu.

Yours very truly,

Janet Napolitano  
President

Attachments

cc: The Honorable Phil Ting, Chair  
    Assembly Committee on Budget  
    The Honorable Anthony J. Portantino, Chair
Senate Committee on Budget and Fiscal Review, Subcommittee #1
(Ms. Anita Lee)
(Ms. Cheryl Black)
The Honorable Kevin McCarty, Chair
Assembly Committee on Budget, Subcommittee #2
(Mr. Mark Martin)
(Ms. Katie Sperla)
Ms. Peggy Collins, Joint Legislative Budget Committee
Mr. Danny Alvarez, Secretary of the Senate
Mr. E. Dotson Wilson, Chief Clerk of the Assembly
Mr. Jason Constantouros, Legislative Analyst’s Office
Ms. Amy Costa, Department of Finance
Mr. Christian Osmena, Department of Finance
Mr. Jack Zwald, Department of Finance
Mr. Michael T. Brown, UCOP
Mr. Nathan Brostrom, UCOP
Mr. David Alcocer, UCOP
Ms. Rachael Nava, UCOP
Ms. Zoanne Nelson, UCOP
Mr. Kieran Flaherty, UCOP
Ms. Seija Virtanen, UCOP
University of California

AB 97 Redirection of Funding for Enrollment Growth

This report is provided in compliance with Provision 2.2 of the appropriation to the University of California (6440-00-0001) in the Budget Act of 2017:

6440-001-0001, Provision 2.2:

(a) It is the expectation of the Legislature that:

(1) The university enroll at least 1,500 more resident undergraduate students in the 2018–19 academic year compared to the number of resident undergraduate students enrolled in the 2017–18 academic year.

(2) At least one additional transfer student is enrolled for every two additional freshman students enrolled pursuant to this provision.

(3) Costs of resident undergraduate students enrolled pursuant to this provision can be funded by redirecting funds from systemwide programs or other programs and expenditures at the Office of the President.

(4) The state and the university share the costs of enrollment, with the further expectation that the state regularly review the university’s cost structure and the state’s fiscal condition.

(b) (1) The University of California Office of the President, following enactment of this budget, shall consult with the Legislature and the Department of Finance regarding (A) the existing programs budgeted at the Office of the President and (B) possible changes to those programs to support enrollment.

(2) The University of California Office of the President shall provide information to the Legislature and the Department of Finance, no later than December 1, 2017, on (A) the existing programs budgeted at the Office of the President, (B) any proposed changes to those programs to support enrollment, and (C) the rationale for those proposed changes.

(3) It is intent of the Legislature to make decisions, including but not limited to decisions about enrollment, in the Budget Act of 2018 using the information provided pursuant to paragraph (2).

This document is the report responding to this request.

Background:

California State Auditor report number 2016-130, released on April 25, 2017, requires the University of California system to report on all University of California Office of the President (UCOP) programs and initiatives, including their purpose, cost, and funding source by April 2018. Much of this work is still in progress and is anticipated to be completed by the timeline set by the California State Auditor. The Budget Act of 2017 created an accelerated timeline for the University of California (UC) to report on UCOP-affiliated programs and initiatives from which funding could be redirected for enrollment growth. The portfolio of programs and initiatives affiliated with UCOP was compiled through a validation of multiple sources of information, including fiscal year 2016-17 and 2017-18 UCOP budget data, fiscal year
2016-17 and preliminary 2017-18 State General Fund set-aside allocations, California State Auditor April 2017 audit list of program, a list of budget “earmarks” provided by the Legislative Analyst’s Office compiled from prior years’ State budget acts, and historical data from the UCOP Academic Affairs division. This compilation of existing UCOP-affiliated programs and other centralized expenditures has been shared, reviewed, and discussed with fiscal, policy, and leadership staff to the Legislature, and the Legislative Analyst Office and Department of Finance as part of the consultative process referenced in the Budget Act of 2017. The list of existing programs requested in Provision 2.2(b)(2)(A) is attached to this report and should be considered a working draft until UC finalizes and submits the information required by the State Auditor in April 2018.

The following proposed funding redirections for enrollment growth were determined following multiple rounds of internal consultation among University stakeholders, multiple meetings among UC and Sacramento staff representatives from the Assembly, Senate, Department of Finance and the Legislative Analyst’s Office, and a meeting with Assembly Budget Chair Phil Ting and Assembly Budget Subcommittee #2 on Education Finance Chair Kevin McCarty.

**Summary of the UC Enrollment Proposal and Proposed Funding for Redirection to Support Enrollment**

The University, continuing its trajectory of resident undergraduate enrollment growth in recent years, agrees with the goal of enrolling at least 1,500 more resident undergraduate students in the 2018–19 academic year compared to the number of resident undergraduate students enrolled in the 2017–18 academic year, consistent with Provision 2.2(a)(1) above. UC would like to achieve enrollment growth above 1,500 students, should resources be identified to ensure quality instruction and support for students to succeed. The marginal cost of instruction is approximately $19,000 per student, of which approximately $9,000 is covered by student fees (net of funding set aside for financial aid). The remainder, which has historically been considered the State’s share of the marginal cost, is roughly $10,000 per student. Therefore, UC calculates that $15 million would be sufficient for enrollment growth of 1,500 students. Additionally, budget bill language in Provision 2.2(b)(4) states that the costs of additional enrollment are to be shared among UC and the State, but the amount of the cost share was not specified.

After consultation with the Department of Finance and Legislature as referenced in the Budget Act 2.2(b)(1), UC identifies in this report approximately $15 million that would be redirected to support enrollment growth, an amount that the University would typically request from the State to support 1,500 additional students based on the marginal cost calculation.

Through the aforementioned consultation process, some stakeholders stated they would like to pursue enrollment growth of 2,000 students in 2018-19. UC would like to establish a target for enrollment growth of 2,000 undergraduate students, an amount equal to $20 million, provided that $5 million are made available from other State funding sources. However, UC makes enrollment target decisions for the 2018-19 academic year in January 2018, well before the Budget Act of 2018 is finalized. Consequently, UC would like to continue consultation with the Legislature and Department of Finance in January to assess progress toward identifying State funding above the $15 million to inform the enrollment decision process.
The following information responds to the language in the Budget Act and outlines a plan for identifying funds to support enrollment growth of 1,500 in 2018-19 while minimizing the impact on UC’s instructional, research, and public service mission. To guide the UC decision-making process, UC developed the following principles:

- Honor, to the extent possible, the programs and initiatives that are a high priority of the Department of Finance and the State Legislature;
- Honor the outcomes of available academic and administrative program reviews;
- Shield core research, teaching and research-based, and teaching-based service programs;
- Shield initiatives that support and advance academic quality, student preparation and access, and affordability initiatives;
- Shield multi-campus initiatives;
- Consider the program’s ability to absorb cuts; and
- Acknowledge that reductions to cost adjustments are preferable to cuts to non-cost adjusted base funding.

**Administrative UCOP Budget Expenditures: $6.0 Million**

The UCOP administrative services budget consists of the divisions of Academic Affairs, Finance, Operations, President’s Executive Office, Health Sciences, Government Relations, Public Affairs, Innovation and Entrepreneurship, National Labs, and the Regents (which includes the offices of the General Counsel, Chief Investment Officer, the Secretary, and Ethics, Compliance and Audit Services). In an effort to minimize disruptions to delivering services that support the campuses and core functions of the entire University, UC identified reductions to expenditures at the Office of the President divisions of approximately $6 million which could be redirected to support enrollment growth of 600 students. As the budget process for 2018-19 is still in the early stages of analysis and development, UCOP may adjust the final contributions from the various sources below to arrive at the $6.0 million.

<table>
<thead>
<tr>
<th>OP Operations Budget</th>
<th>Notes:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Operations Contingency</td>
<td>1,500,000</td>
</tr>
<tr>
<td>Professional Services</td>
<td>2,074,000</td>
</tr>
<tr>
<td>Merit Savings</td>
<td>500,000</td>
</tr>
<tr>
<td>Travel &amp; Meetings (T&amp;M)</td>
<td>400,000</td>
</tr>
<tr>
<td>Administrative Funds (Chancellors)</td>
<td>442,000</td>
</tr>
<tr>
<td>Administrative Funds (UCOP)</td>
<td>90,000</td>
</tr>
<tr>
<td>Chancellor’s House Maintenance</td>
<td>510,000</td>
</tr>
<tr>
<td>Savings from Star Award Policy Change</td>
<td>250,000</td>
</tr>
<tr>
<td>Outreach/Memberships</td>
<td>234,000</td>
</tr>
<tr>
<td><strong>Total UCOP Opex Savings</strong></td>
<td><strong>6,000,000</strong></td>
</tr>
</tbody>
</table>

**Presidential Initiatives Fund: $2.0 Million**

The purpose of the Presidential Initiatives Fund (PIF) is to provide the president with the opportunity to identify areas where UC can bring to bear its knowledge, expertise and resources to address challenges in higher education and society at large. Examples of initiatives include Carbon Neutrality, Undocumented Students, Sexual Violence/Sexual Harassment, Global Food, Public Service Law Fellowships, UC Mexico, Research Catalyst Awards, and other Fellowship, Research and Scholarship
programs. Funds typically are disbursed to campuses for the benefit of faculty and students. Reducing the PIF by $2 million will reduce or eliminate the scope of several currently-active programs but provide funding to enroll 200 more students.

Lottery Funds: $3 Million

As a result of The California State Lottery Act of 1984, UC received approximately $38 million in lottery funds (budget year 2015-16) that are primarily used for instructional computing, instructional support, instructional equipment, library support, and the Science and Math Initiative. This amount reflects an increase over prior years and the University believes that these revenues will be maintained at this approximate amount, and therefore can permanently allocate $3 million to support enrollment growth of 300 students.

Cost-Adjusted Set-Aside Programs: $2.5 Million

UCOP funds certain systemwide programs (known as “set-aside programs”) from UC’s main State General fund appropriation before the remaining funds are distributed. Five of these systemwide programs receive an annual inflationary adjustment: the Agricultural Experiment Stations, Scripps Institute, Neuropsychiatric Institutes, Mental Health Teaching Support, and MIND (Medical Investigation of Neurodevelopmental Disorders). Reducing the amount of cost adjustment by 50% for 2018-19 (based on a 3% increase in State General Funds for the University’s base budget) for these five programs allows for $2.5 million to be redirected to support enrollment growth of 250 students. Reducing the cost adjustment of these five programs does not reduce the base amount of funding to these programs.

<table>
<thead>
<tr>
<th>Cost-Adjusted Set-Aside Program</th>
<th>FY17-18 Base Budget</th>
<th>FY18-19 Adjustment Reduction</th>
</tr>
</thead>
<tbody>
<tr>
<td>Agricultural Experimental Stations</td>
<td>112,001,000</td>
<td>1,400,000</td>
</tr>
<tr>
<td>Scripps Institute</td>
<td>28,831,000</td>
<td>360,000</td>
</tr>
<tr>
<td>Neuropsychiatric Institutes</td>
<td>36,499,000</td>
<td>456,000</td>
</tr>
<tr>
<td>Mental Health Teaching Support</td>
<td>15,122,000</td>
<td>189,000</td>
</tr>
<tr>
<td>MIND</td>
<td>4,194,000</td>
<td>52,000</td>
</tr>
<tr>
<td>Total</td>
<td>196,647,000</td>
<td>2,457,000</td>
</tr>
</tbody>
</table>

Programmatic Reductions: $1.5 Million

As part of the effort to implement the recommendations made to UC in the audit released in April 2017, UCOP formed a working group to define systemwide programs and initiatives. The working group included leadership from numerous UCOP divisions with substantial representation from Academic Affairs and the Academic Senate. The group carefully considered the attached list of programs for adherence to the aforementioned guiding principles and determined that several programs budgeted at less than $1 million which reside and operate within a single campus were appropriate to propose for redirection. These programs are identified below:
### Additional Enrollment Growth

Because UC and its partners in the Legislature would like to increase enrollment growth beyond 1,500 students and the University believes it can accommodate up to 2,000 students, UC would like to continue to work to identify additional resources. The funds in this report reflect $15 million toward enrollment growth redirected from resources budgeted through the Office of the President. It is critical that we understand the likelihood of this additional State funding as soon as possible since our campuses will begin making admission and enrollment decisions early in the new year.

<table>
<thead>
<tr>
<th>Programmatic Funding for Possible Redirection</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>California Program on Access to Care (CPAC)</td>
<td>935,000</td>
</tr>
<tr>
<td>Graduate Fellows Program (GFP)</td>
<td>54,000</td>
</tr>
<tr>
<td>Health Initiatives of the Americas (HIA)</td>
<td>310,000</td>
</tr>
<tr>
<td>Social Security / Double Taxation (Wilkie)</td>
<td>187,000</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1,486,000</strong></td>
</tr>
</tbody>
</table>
The California Breast Cancer Research Program (CBCRP) is a statewide program administered by UCOP. Its mission is to support programs designed to improve 4-year graduation rates. Since 1994, CBCRP has awarded over $266 million in research funds to 1,653 individual investigators (both UC and non-UC) working to solve breast cancer issues. External: N/A; 6. URL: President.

California Breast Cancer Research Program

California Council on Science and Technology

California Digital Library (CDL) is a systemwide program that, individually replicate if it weren't a systemwide infrastructure that campuses would have to.

CFP 17-18 revenue:  $22.8M from assessment funds

Digital Public Library of America; libraries, museums and cultural heritage institutions; general government  URL: VP, Research and Graduate Studies

CDL has saved UC more than $500M by negotiating systemwide licenses for electronic journals and e-books. In the past decade, CDL has committed $500M to digital publishing and data management services provide UC researchers with tools to increase the scholarly impact of their work. Digital Public Library of America; libraries, museums and cultural heritage institutions; general government  URL: VP, Research and Graduate Studies

California Digital Library (CDL) is a systemwide program that, individually replicate if it weren't a systemwide infrastructure that campuses would have to.

Funds

CFP 17-18 revenue:  $22.8M from assessment funds

California Breast Cancer Research Program (CBCRP) is a statewide program administered by UCOP. Its mission is to support programs designed to improve 4-year graduation rates. Since 1994, CBCRP has awarded over $266 million in research funds to 1,653 individual investigators (both UC and non-UC) working to solve breast cancer issues.

California Breast Cancer Research Program

California Council on Science and Technology

California Digital Library (CDL) is a systemwide program that, individually replicate if it weren't a systemwide infrastructure that campuses would have to.
California Subject Matter Project (CSMP) is a statewide program focused on the professional development of K-12 educators. CSMP provides professional learning experiences for K-12 educators, including summer institutes and workshops. The program aims to enhance the quality of education by improving the knowledge and skills of teachers, thereby benefiting students and the educational community. CSMP receives funding from various sources, including the State of California, which allocates General Funds to support the program's operations and activities. The program's primary beneficiaries include K-12 educators, students, and their families, as well as the broader educational community. The program is administered by the University of California on behalf of the state, ensuring that the funds are used effectively to support the development of high-quality education programs and initiatives.
The Center for Natural Sciences, Engineering and Mathematics (CNSI) was established as a California Institute for Science and Innovation (CITRIS) and the Banatao Institute in 2008. The center stimulates interdisciplinary research, training, and outreach where scientists from diverse fields can connect and collaborate on translating discoveries into commercial ventures, commercial enterprises, and markets. The core of the center's mission is to foster interdisciplinary research, translation, and education.
16. Charles B. dove University of medicine and Science

**Program Summary**

Program: Faculty Hiring Incentive Program (FHIP)

**University Program:** Systemwide - Research and Graduate Studies

**University Programs:** Faculty, students, and associated benefactors.

**State General Funds:** $557k in FY16 UCOP assessment funds.

**University Programs:** California DREAM Loan Program, the David Hayes-Bautista Center for Studies in Latino Health and Culture, the OSHPD Health Careers Training Program, the DREAM Loan Program Administration.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $250k in assessment funds and $282k in one-time assessment funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $556,602 (per academic year) of revenue and other University funds.

**University Programs:** The David Hayes-Bautista Center for Studies in Latino Health and Culture.

**State General Funds:** $143,766.
24 Health Initiatives of the Americas (HIA)  
Program Name: Health Initiatives of the Americas (HIA)  
Program Summary: HIA is an interdisciplinary global health program that supports the A-G Course Management Portal. It facilitates faculty collaboration; increasing assessment capacity and funding; and enabling UC students to take courses that count toward the major credit requirements of other UC campuses. A cross-campus enrollment model allows UC students to easily search for and enroll in a variety of courses fully online and hybrid. In 2016, CDAC provided funds to support summer research grants to 40 students and faculty from UC campuses, resulting in support for students to conduct research in the US and globally. The A-G Course Management Portal currently includes 80+ courses, both fully online and hybrid.

Fiscal Summary (FY 16-17 and FY 17-18)  

<table>
<thead>
<tr>
<th>Revenue</th>
<th>Unrestricted</th>
<th>Unrestricted</th>
<th>Restricted</th>
<th>Restricted</th>
<th>Restricted</th>
<th>Restricted</th>
<th>CCC Funds</th>
<th>ILTI Funds</th>
<th>ILTI Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Goals</td>
<td>11,000,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>54,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>6,000,000</td>
</tr>
<tr>
<td>Stakeholder</td>
<td>11,000,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>54,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>6,000,000</td>
</tr>
</tbody>
</table>

23 UC Berkeley Advancement Services (UC Berkeley Advancement Services)  
Program Name: UC Berkeley Advancement Services  
Program Summary: UC Berkeley Advancement Services focuses on raising funds for the advancement of education. The program received $310,000 in unrestricted funds, or 310,000, and supports the A-G Course Management Portal. The program provides UC Berkeley with a centralized portal to manage and assess the effectiveness of its fundraising efforts. The portal enables UC Berkeley to track donor giving behavior, measure fundraising outcomes, and improve the overall fundraising experience. The portal is used to support the A-G Course Management Portal, which facilitates faculty collaboration; increasing assessment capacity and funding; and enabling UC students to take courses that count toward the major credit requirements of other UC campuses. A cross-campus enrollment model allows UC students to easily search for and enroll in a variety of courses fully online and hybrid. In 2016, CDAC provided funds to support summer research grants to 40 students and faculty from UC campuses, resulting in support for students to conduct research in the US and globally. The A-G Course Management Portal currently includes 80+ courses, both fully online and hybrid.

Fiscal Summary (FY 16-17 and FY 17-18)  

<table>
<thead>
<tr>
<th>Revenue</th>
<th>Unrestricted</th>
<th>Unrestricted</th>
<th>Restricted</th>
<th>Restricted</th>
<th>Restricted</th>
<th>Restricted</th>
<th>CCC Funds</th>
<th>ILTI Funds</th>
<th>ILTI Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Goals</td>
<td>11,000,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>54,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>6,000,000</td>
</tr>
<tr>
<td>Stakeholder</td>
<td>11,000,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>54,000</td>
<td>310,000</td>
<td>1,082,262</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>6,000,000</td>
</tr>
</tbody>
</table>
### Institute for Research on Labor and Employment (IRLE)

#### Program Summary
- IRLE is a multi-campus research and teaching program organized by the UC Regents and managed by the Department of Labor and Employment Studies. It maintains close relationships with metropolitan, regional, and state transportation agencies, and many of its researchers are affiliated with UC Davis, UCD, UCLA, and UCSD. ITS provides facilities used for scientific research, teaching, and public service on transportation-related issues. ITS is responsible for a network of ten transportation research centers, which include faculty, students, and researchers.

#### Stakeholder Summary
- The stakeholders of IRLE include policymakers, industry leaders, and non-profit organizations. The program targets indigent patients and recent returnees to work at these organizations on issues related to labor and employment issues at two campuses based solely on student enrollment.

#### Fund Summary (FY 16-17)
- The program received $64k from the UCOP restricted fund, $59k from the UCOP unrestricted fund, $2.1M from all fund sources including nearly $0.4M in state general funds (set-aside).

#### Restricted Federal Funds
- The program's restricted federal funding came from the UCOP restricted fund, $59k from the UCOP unrestricted fund, $2.1M from all fund sources including nearly $0.4M in state general funds (set-aside). The program was awarded $7.0M in state funds for fiscal year 2016-17, as it was determined that these funds support successful and ongoing activities that are not related to the UCOP restricted fund. The program was awarded an additional $2M from its operating budget to augment IRLE's budget for one year.

#### UCOP Budget Total
- The program received $1,038k in unrestricted funding, $1,038k in restricted funding, and $1,038k in state restriction funds.

### Invention for Transportation Studies (ITS)

#### Program Summerary
- ITS is a multi-campus program and transportation research and development center managed by the UC Transportation Research Board. ITS supports the transfer of operational responsibility for the NPIs in 2012, as it was determined that these funds supported successful and ongoing activities that are not related to the UCOP restricted fund. The program was awarded an additional $2M from its operating budget to augment IRLE's budget for one year.

#### Stakeholder Summary
- The stakeholders of ITS include policy makers, researchers, and students. The program targets indigent patients and recent returnees to work at these organizations on issues related to labor and employment issues at two campuses based solely on student enrollment.

#### Fund Summary (FY 16-17)
- The program received $35.3M in State General Funds (set-aside). Funding to be split between locations: $31M to FDBU, $4M to FDBA, $2.1M from all fund sources including nearly $0.4M in state general funds (set-aside). The program was awarded $7.0M in state funds for fiscal year 2016-17, as it was determined that these funds support successful and ongoing activities that are not related to the UCOP restricted fund.

#### Restricted Federal Funds
- The program received $15.1M from the UCOP restricted fund, $15.1M from the UCOP unrestricted fund, $15.1M from all fund sources including nearly $0.4M in state general funds (set-aside). The program was awarded $7.0M in state funds for fiscal year 2016-17, as it was determined that these funds support successful and ongoing activities that are not related to the UCOP restricted fund.

#### UCOP Budget Total
- The program received $1,038k in unrestricted funding, $1,038k in restricted funding, and $1,038k in state restriction funds.

### Neuropsychiatric Institutes (NPI)

#### Program Summary
- The Institute for Transportation Studies (ITS) is a multi-campus program and transportation research and development center managed by the UC Transportation Research Board. ITS supports the transfer of operational responsibility for the NPIs in 2012, as it was determined that these funds supported successful and ongoing activities that are not related to the UCOP restricted fund. The program was awarded an additional $2M from its operating budget to augment IRLE's budget for one year.

#### Stakeholder Summary
- The stakeholders of ITS include policy makers, researchers, and students. The program targets indigent patients and recent returnees to work at these organizations on issues related to labor and employment issues at two campuses based solely on student enrollment.

#### Fund Summary (FY 16-17)
- The program received $35.3M in State General Funds (set-aside). Funding to be split between locations: $31M to FDBU, $4M to FDBA, $2.1M from all fund sources including nearly $0.4M in state general funds (set-aside). The program was awarded $7.0M in state funds for fiscal year 2016-17, as it was determined that these funds support successful and ongoing activities that are not related to the UCOP restricted fund.

#### Restricted Federal Funds
- The program received $15.1M from the UCOP restricted fund, $15.1M from the UCOP unrestricted fund, $15.1M from all fund sources including nearly $0.4M in state general funds (set-aside). The program was awarded $7.0M in state funds for fiscal year 2016-17, as it was determined that these funds support successful and ongoing activities that are not related to the UCOP restricted fund.

#### UCOP Budget Total
- The program received $1,038k in unrestricted funding, $1,038k in restricted funding, and $1,038k in state restriction funds.
6. Office of the National Laboratories (ONL)

A multi-university program that supports research at the national laboratories of the Department of Energy. ONL manages and operates the national laboratories on behalf of the Department of Energy. The program supports research in areas such as energy, materials science, and national security. The program is funded through federal appropriations, as well as through partnerships with industry and other organizations.

7. Pacific Earthquake Engineering Research Center (PEER)

A multi-university research program that focuses on earthquake engineering and seismic hazard mitigation. PEER conducts research to develop performance-based earthquake engineering technology, delivers appropriate engineering guidance and design tools, and develops educational programs and curricula that can be used at all levels of society. PEER is led by the University of California, Berkeley, and the University of Southern California. The program is funded through federal and state grants, as well as through partnerships with industry and other organizations.

8. Presidential Initiative Funds (PIF)

A multi-university program that supports research and educational initiatives at the University of California. The program supports initiatives such as the President's Science Fellows, the President's Doctoral Fellows, and the President's Postdoctoral Fellows. The program is funded through federal, state, and private funds.

9. Presidencies/President's Fellowship (PF)

A multi-university program that supports research and educational initiatives at the University of California. The program supports initiatives such as the President's Science Fellows, the President's Doctoral Fellows, and the President's Postdoctoral Fellows. The program is funded through federal, state, and private funds.

10. President's Teacher Education Program (PET)

A multi-university program that supports research and educational initiatives in teacher education. The program supports initiatives such as the President's Science Fellows, the President's Doctoral Fellows, and the President's Postdoctoral Fellows. The program is funded through federal, state, and private funds.

11. President's Presidential Programs (PPP)

A multi-university program that supports research and educational initiatives at the University of California. The program supports initiatives such as the President's Science Fellows, the President's Doctoral Fellows, and the President's Postdoctoral Fellows. The program is funded through federal, state, and private funds.

12. Rasmussen School of Medicine

A multi-university program that supports research and educational initiatives in the field of medicine. The program supports initiatives such as the President's Science Fellows, the President's Doctoral Fellows, and the President's Postdoctoral Fellows. The program is funded through federal, state, and private funds.
The San Diego Supercomputer Center (SDSC) is a systemwide program that provides national leadership in advanced cyberinfrastructure through delivery of high-performance computing (HPC) and data systems and software for UC and external researchers, including support for computational and data science, and engagement in collaboration research across all areas of "big data." Supercomputing is essential within industry, developing careers and creating UC programs and advancing diversity.

In FY16-17, this program received $99k from state General Funds (set-aside).

(staff, in-person meetings, conference attendance, and networking events). The program provides a similar amount of funding. In FY16-17, this program received $0.8M in research and support operations. Research Technical Advisory Committee (TAC) is organized to provide advice of the portfolio of intersegmental and system-wide programs. SAPEP comprises student academic preparation programs, academic advising, test preparation, and educational partnerships (SAPEP) portfolio. SAPEP comprises student academic preparation programs, academic advising, test preparation, and educational partnerships (SAPEP) portfolio. SAPEP comprises student academic preparation programs, academic advising, test preparation, and educational partnerships (SAPEP) portfolio.

In FY16-17, the program budget was diversified its funding base and sought efficiencies in business and support operations. This program received $1.9M in permanent and one-time restricted funds.

In FY16-17, Transfer Prep advisors served 16,000 students at California Community College (CCC) students to California’s four-year postsecondary institutions. Academic advisers provided academic and educational guidance and support to prospective transfer students. Transfer Prep comprises a systemwide program that provides national leadership in advanced cyberinfrastructure through delivery of high-performance computing (HPC) and data systems and software for UC and external researchers, including support for computational and data science, and engagement in collaboration research across all areas of "big data." Supercomputing is essential within industry, developing careers and creating UC programs and advancing diversity.

In FY16-17, this program received $2.765M in state contract funds.

In 2015-16, Transfer Prep advisors served 16,000 students at transfer postsecondary institutions. Academic advisers provide academic and educational guidance and support to prospective transfer students. Transfer Prep comprises a systemwide program that provides national leadership in advanced cyberinfrastructure through delivery of high-performance computing (HPC) and data systems and software for UC and external researchers, including support for computational and data science, and engagement in collaboration research across all areas of "big data." Supercomputing is essential within industry, developing careers and creating UC programs and advancing diversity.

In FY16-17, this program received $0.2M in Federal Funds.

The San Diego Supercomputer Center (SDSC) is a systemwide program that provides national leadership in advanced cyberinfrastructure through delivery of high-performance computing (HPC) and data systems and software for UC and external researchers, including support for computational and data science, and engagement in collaboration research across all areas of "big data." Supercomputing is essential within industry, developing careers and creating UC programs and advancing diversity.

In FY16-17, this program received $0.1M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $1.4M in assessment funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $1.3M in assessment funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.8M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $10.4M in State General Funds (set-aside).

In FY16-17, this program received $10.4M in State General Funds (set-aside).

In FY16-17, this program received $0.9M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, the program budget was diversified its funding base and sought efficiencies in business and support operations. This program received $1.9M in permanent and one-time restricted funds.

In FY16-17, this program received $2.5M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.4M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.

In FY16-17, this program received $0.2M in permanent and one-time restricted funds. The program provides funding for UC, CSU, CCC articulation officers, educational researchers, colleges and universities, research faculty, Boards: Council of UC Chief Outreach Officers, SAPEP Council (program representatives) national user community; various state and regional agencies; UC research communities; various UC education researchers.
SAPEP - Puente Project: A systemwide program, managed by UC Berkeley on behalf of UC and the California Community Colleges, serves students who are K-12 students, schools, families, and school district partners through after-school programs, summer programs, and school-wide initiatives. As of 2015-16, nearly 4,000 K-8 students participated in UC LINKS. Partnerships with school and community colleges enable students to receive baccalaureate-degree relevant coursework. In 2015-16, nearly 1,600 high school students, and 5,000 community college students, participated in Puente.

SAPEP - Student-Initiated Programs (SIP): A systemwide program, managed by UC Berkeley on behalf of UC and the California Community Colleges, solicits, develops, and funds innovative projects initiated by UC faculty and students with unique community programming ideas to serve the community. As of 2015-16, over 18,000 students were served under this program. In 2016-17, Scout served more than 2,000 students at 320 UC campuses; K-12 students, schools, families; colleges and universities, research faculty, and industry leaders. University of California, Irvine, and the University of San Francisco, UC Chief Diversity Officers, UC Chief Outreach Officers. External: State policymakers, other education segments, donors. UC Provost (Diversity and Engagement). Restricted State Funds: $10.4M in State General Funds (set-aside).

SAPEP - MESA Industry Advisory Board: A statewide intersegmental program, focused on STEM education, that helps students excel academically in math and science to graduate from four-year colleges with degrees in STEM fields. MESA serves nearly 12,800 students through the MESA Regional Intersegmental Alliances. In 2015-16, nearly 5,000 high school students, and 8,500 community college students, were served. MESA serves nearly 1,000 high school students, and 5,000 community college students, served under this program. In 2015-16, nearly 5,000 high school students, and 8,500 community college students, were served.

SAPEP - University-Community Links (UCL): A systemwide program, managed by UC Berkeley on behalf of UC and the California Community Colleges, solicits, develops, and funds innovative projects initiated by UC faculty and students with unique community programming ideas to serve the community. As of 2015-16, nearly 6,000 K-8 students participated in UC LINKS. In 2016-17, nearly 7,500 students were served under this program. In 2016-17, Scout served more than 2,000 students at 320 UC campuses; K-12 students, schools, families; colleges and universities, research faculty, and industry leaders. University of California, Irvine, and the University of San Francisco, UC Chief Diversity Officers, UC Chief Outreach Officers. External: State policymakers, other education segments, donors. UC Provost (Diversity and Engagement). Restricted State Funds: $10.4M in State General Funds (set-aside).
Science and Math Teacher Initiative (CalTeach)
Coordinated Function
The Song-Brown Healthcare Program (CalStateCare) is a coordinated function of the California State University (CSU) campuses and the California Department of Public Health. It is a program that provides financial support to CSU campuses to support the recruitment and preparation of nurses, physician assistants, and nurse practitioners. The program is funded through Proposition 56, which amended the Health and Safety Code to authorize the collection of an additional tax on tobacco products and to provide a source of revenue for healthcare-related purposes. The California Department of Public Health administers the program and provides financial support to CSU campuses to support the recruitment and preparation of nurses, physician assistants, and nurse practitioners. The program was established in 1994 to increase the number of healthcare professionals serving in California.

Social Security / Medicare Sanctions (Billing)
Campus Programs
UC’s Social Security and Medicare Sanctions (Billing) program identifies anyone who has been sanctioned by the Social Security Administration (SSA) or the Medicare program. The program may be used to report individuals who have been sanctioned for Medicare fraud or other Medicare-related issues. The program may also be used to report individuals who have been sanctioned for Medicare fraud or other Medicare-related issues.

Long-Haul HealthCare Workforce and Community Health Act
Coordinated Function
The Teaching, Learning, and Leadership Initiative (TLLI) is a coordinated function of the California State University (CSU) campuses. The program is designed to support the recruitment and preparation of teachers and educational leaders at the CSU campuses. The program provides financial support to CSU campuses to support the recruitment and preparation of teachers and educational leaders at the CSU campuses. The program is funded through Proposition 56, which amended the Health and Safety Code to authorize the collection of an additional tax on tobacco products and to provide a source of revenue for healthcare-related purposes.

Teaching, Learning, and Leadership Initiative
Coordinated Function
The Tobacco-Related Disease Research Program (TRDRP) is a coordinated function of the University of California (UC) campuses. The program is designed to support research on tobacco use and related diseases. The program is funded through Proposition 56, which amended the Health and Safety Code to authorize the collection of an additional tax on tobacco products and to provide a source of revenue for healthcare-related purposes. The program is administered by the University of California Tobacco and Research Program (UCTR), which is an affiliate of the California Tobacco Control Program (CTCP). The program is funded through Proposition 56, which amended the Health and Safety Code to authorize the collection of an additional tax on tobacco products and to provide a source of revenue for healthcare-related purposes.
UC Recruit Tool
UC Programs in Medical Disorders Institute (MIND)
UC Davis Medical Systemwide Program
UC PRIME (PRograms in Medical Education)
UC Programs in Medical Education (PMED)
UCOP-Related Programs
UC Receive Tool
UC OSO/Dual Identity Initiative
University of California Undergraduate Merit Aid (UCUMA)
University of California, Los Angeles (UCLA)

The University of California Observatories (UCO) is a coordinated system of research and public astrophysical observatory facilities. The observatories include the McMath-Pierce Solar Telescope, the Lick Observatory, the Lick Observatory, and the Thirty Meter Telescope (TMT). The observatories are located in the United States and abroad, including Mount Wilson in California, Mount Palomar in California, and Hawaii. The observatories serve the astrophysical community, providing facilities for astronomical research, teaching, and public outreach.

In 2016-17, the budget of the UC Observatory was approximately $17.4M from all fund sources, including $11M in extramural and $6.4M in institutional funds. The institutional funds come from contracts and grants, faculty research and teaching support, private fundraising and endowment income, sales and services revenue, and other operational income. The Observatory is supported by the UC Regents and the California Legislature. The budget is used to support the Observatory's operations and infrastructure, including salaries and benefits, equipment and facilities, travel and conference expenses, and data and analysis services.

- UCOP funding, approximately $17.4M from all fund sources (including $11M extramural and $6.4M institutional funds) to support the UC Observatory.
- Endowment income: $4.5M to the UC MEXUS Scholarship Fund; $291k to the Lowenhaupt Endowment Fund; $11k to the Freeman Foundation Grant; $47.6M in student fee revenue (less $10.9M return on investments).
- Additional funding sources: Federal grants, state and private funds; extramural grants.
- Beneficiaries: UC astronomy and astrophysics community, general public (facility use, outreach). External: Donors
- URL: VP, Research and Graduate Studies; CFO
- Contact: (Name)
- Partner universities and institutions abroad (non-UC): California State University, Fullerton; California State University, Long Beach; California State University, Monterey Bay; California State University, Chico; California State University, Northridge; University of California, Santa Barbara; University of California, San Diego; University of California, Los Angeles; University of California, Berkeley.
- Clients: UC astronomy and astrophysics community, general public, partner universities and institutions.
- URL: VP, Research and Graduate Studies; CFO
- Contact: (Name)
- Funded by: Federal grants, state and private funds; extramural grants.
- Beneficiaries: UC astronomy and astrophysics community, general public (facility use, outreach). External: Donors
- URL: VP, Research and Graduate Studies; CFO
- Contact: (Name)
- Funded by: Federal grants, state and private funds; extramural grants.
- Beneficiaries: UC astronomy and astrophysics community, general public (facility use, outreach). External: Donors
- URL: VP, Research and Graduate Studies; CFO
- Contact: (Name)
- Funded by: Federal grants, state and private funds; extramural grants.
- Beneficiaries: UC astronomy and astrophysics community, general public (facility use, outreach). External: Donors
- URL: VP, Research and Graduate Studies; CFO
- Contact: (Name)
University of California

Research Initiatives - Coordinated Fund (CF)

UC Multicampus Research Programs and Initiatives (MRPI) is a competitive research program that incentivizes systemwide funding for research. UCRI manages the solicitation, review, and grants administration for systemwide research opportunities, and provides a framework for ensuring commitments don’t exceed actual revised income. Future funding may be restored to $8.37M per year in FY15-16.

UCRI operations are funded from a portion of the research program funds set aside for administration. Together, these funds provide approximately $15 million in unrestricted funds. The unrestricted funds are used to support the following: 1) overheads on individual UCRI programs, refer to the final portfolio recommendations made by a second-3 UC-affiliated national labs: LBNL, LANL, LLNL; UC Research Computing and Technology (URCT); UC National Libraries; UC Natural Reserve System (NRS); UC Research Public Affairs; UC Research Administration (ANR); UC Research Directors Council (UCORP); and the Council of UC Research Directors (CSOR). UCRI includes numerous research centers, institutes, and programs managed by UCRI, please see our UCRI organizations web site (LBNL, LANL, LLNL, and UC labs). UCRI's budget varies annually based on awards. Annual MRPI assessment funds, and $790k from the UC Press (UCP) for university-owned/operated endowment funds located at UCOP, UCB, and UCSF. In FY 2016-17 the UC Press received $18.4M in Systemwide Federal Funds; $1.5M from Regents Endowment; $1M in unrestricted State General Funds; and $1.7M from the Tobacco-Related Disease Research Program (TRDRP) for smoking cessation, drug abuse, and other research on smoking and tobacco. UCP also received an additional $1.7M from the Regents Endowment for or otherwise affected by cancer. UCRI oversee research programs administered by the Tobacco-Related Disease Research Program (TRDRP) in support of cancer research, providing culturally sensitive and appropriate prevention and outreach efforts. UCRI provides seed funding to UC faculty to pursue novel cancer research programs, including those at LLNL and LANL. UCRI supports the tenure and promotion process. UCRI oversees a number of other research programs, including those at LLNL and LANL. Beneficiaries: Faculty, researchers, administrative and support staff, UC faculty, national and international independent readers, and students. Clients: UC faculty, students, researchers, and public affairs. UCRI website.

Federal Funds

<table>
<thead>
<tr>
<th>Fiscal Year</th>
<th>Total Federal Funds</th>
<th>Unrestricted State General Funds</th>
<th>RestRICTEd State General Funds</th>
<th>Restricted Total Funds</th>
<th>Unrestricted Other</th>
<th>Restricted Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016-17</td>
<td>1,027,032</td>
<td>1,576,119</td>
<td>1,747,070</td>
<td>2,998,025</td>
<td>8,375,542</td>
<td>10,401,595</td>
</tr>
</tbody>
</table>
University of California, Berkeley

**Fund Summary (FY 16-17)**

<table>
<thead>
<tr>
<th>State General Funds</th>
<th>Unrestricted Other</th>
<th>Unrestricted Self-Fund</th>
<th>Restricted State Funds</th>
<th>Restricted Federal Funds</th>
<th>Total Restricted Funds</th>
<th>Total unrestricted funds</th>
<th>Total Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>$848,751</td>
<td>$99,752</td>
<td></td>
<td>$20,408</td>
<td></td>
<td>$1,068,909</td>
<td>$1,068,909</td>
<td>$1,278,657</td>
</tr>
</tbody>
</table>

**UCOP Budget Total**

- Non-General Fund: Tuition and fees, Investment

---

University of California, Berkeley

**Fund Sources**

- **State General Funds**
  - Budgetary transfers: $1,433,869
  - Appropriated funds: $5,217,276
  - Additional funds: $1,361,213
  - Tuition and fees: $1,373,869

- **Endowments, Gifts, and Private Funds**
  - Expenditures: $40,608
  - Income: $60,000

- **Restricted State Funds**
  - Projects: $38,400

- **Restricted Federal Funds**
  - Projects: $383k

- **Restricted Other Funds**
  - Projects: $384k

- **Total Fund**
  - Restricted: $677,311
  - Total: $774k

---

University of California, Berkeley

**Stakeholder Summary**

- **Beneficiaries:**
  - UC faculty, students, and researchers
  - Researchers, Regents, and the general public

- **Clients:**
  - UC faculty, students, and researchers
  - Federal agencies, state legislative and executive offices

- **Community:**
  - UC faculty, students, and researchers
  - Researchers, Regents, and the general public

- **UCOP Subsidy:**
  - Faculty is managed by UCDC on behalf of the UC system.

---

University of California, Berkeley

**Fund Summary (FY 16-17)**

<table>
<thead>
<tr>
<th>State General Funds</th>
<th>Unrestricted Other</th>
<th>Unrestricted Self-Fund</th>
<th>Restricted State Funds</th>
<th>Restricted Federal Funds</th>
<th>Total Restricted Funds</th>
<th>Total unrestricted funds</th>
<th>Total Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>$848,751</td>
<td>$99,752</td>
<td></td>
<td>$20,408</td>
<td></td>
<td>$1,068,909</td>
<td>$1,068,909</td>
<td>$1,278,657</td>
</tr>
</tbody>
</table>

**UCOP Budget Total**

- Non-General Fund: Tuition and fees, Investment

---

University of California, Berkeley

**Fund Sources**

- **State General Funds**
  - Budgetary transfers: $1,433,869
  - Appropriated funds: $5,217,276
  - Additional funds: $1,361,213
  - Tuition and fees: $1,373,869

- **Endowments, Gifts, and Private Funds**
  - Expenditures: $40,608
  - Income: $60,000

- **Restricted State Funds**
  - Projects: $38,400

- **Restricted Federal Funds**
  - Projects: $383k

- **Restricted Other Funds**
  - Projects: $384k

- **Total Fund**
  - Restricted: $677,311
  - Total: $774k

---

University of California, Berkeley

**Stakeholder Summary**

- **Beneficiaries:**
  - UC faculty, students, and researchers
  - Researchers, Regents, and the general public

- **Clients:**
  - UC faculty, students, and researchers
  - Federal agencies, state legislative and executive offices

- **Community:**
  - UC faculty, students, and researchers
  - Researchers, Regents, and the general public

- **UCOP Subsidy:**
  - Faculty is managed by UCDC on behalf of the UC system.