

— 2009 Annual Refresher Briefing —

UNIVERSITY *of* CALIFORNIA



LAWRENCE BERKELEY



LOS ALAMOS



LAWRENCE LIVERMORE

PROTECTING OUR AMERICA — YOUR NATIONAL LABORATORIES

UNIVERSITY OF CALIFORNIA OFFICE OF THE PRESIDENT — 1111 FRANKLIN STREET — OAKLAND, CA 94607-5200

**2009
Security Refresher
Briefing**

NOTICE TO SECURITY CLEARANCE HOLDERS

Introduction

The purpose of the Annual Refresher briefing is to reinforce the initial security briefing information that you received when your clearance was granted, and to inform you of significant changes in security procedures/requirements promulgated by the Government. A significant change announced last year was the new Federal Credentials for DOE Contractors beginning May 1, 2009. In response, we are working with each of you to make the transition to the new badge. Included in this year's briefing is a summary of an important recent FBI case, a review of new clearance reinvestigation procedures, and the new DOE Form you must use immediately when reporting your foreign travel to "Sensitive Countries." We have also provided you with a sample of the new Foreign Travel Approval form that you must complete prior to your travel to a "Sensitive Country."

Your Responsibility

We encourage you to carefully review the material in this briefing to better understand various security issues, initiatives and policies applicable to your University-sponsored security clearance. For future reference, you can access the revised security reinvestigation procedures and the revised Foreign Travel Approval Form at <http://labs.ucop.edu/security/index.html>

Due Date Response

Please acknowledge your 2009 refresher briefing by January 15, 2010.



Willie Archie
Facilities Security Officer (FSO)
UC Laboratory Management

Robert Van Ness
UC Associate VP Operations & Adm.
UC Laboratory Management

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For Immediate Release

July 16, 2009

United States Attorney's Office

Central District of California

Contact: (213) 894-2434

Former Boeing Engineer Convicted of Economic Espionage in Theft of Space Shuttle Secrets for China

SANTA ANA, CA—A former Rockwell and Boeing engineer from Orange County was remanded into custody this morning after a federal judge convicted him of charges of economic espionage and acting as an agent of the People's Republic of China, for whom he stole restricted technology and Boeing trade secrets, including information related to the Space Shuttle program and Delta IV rocket.

Dongfan "Greg" Chung, 73, of Orange, Calif., who was employed by Rockwell International from 1973 until its defense and space unit was acquired by Boeing in 1996, was found guilty by United States District Judge Cormac J. Carney, who presided over a three-week bench trial last month.

In his ruling read this morning in court, Judge Carney found Chung guilty of conspiracy to commit economic espionage, six counts of economic espionage to benefit a foreign country, one count of acting as an agent of the People's Republic of China and one count of making false statements to the FBI.

Immediately following the reading of the verdicts, Judge Carney remanded Chung into custody, where he will remain until his sentencing, which was scheduled for November 9. Chung had been freed after being arrested by special agents with the Federal Bureau of Investigation and investigators with NASA in February 2008.

Chung, a native of China who is a naturalized United States citizen, held a "secret" security clearance when he worked at Rockwell and Boeing on the Space Shuttle program. He retired from the company in 2002, but the next year he returned to Boeing as a contractor, a position he held until September 2006. At trial last month, the government proved that Chung took and concealed Boeing trade secrets relating to the Space Shuttle and the Delta IV rocket, materials he acquired for the benefit of the PRC.

"Mr. Chung stole restricted technology for the benefit of a foreign nation, and as a result he has lost the freedom he was offered by this nation," said United States Attorney Thomas P. O'Brien. "The stolen technology compromised not only the American company that developed and owned the trade secrets, but national security as well because the secrets could be used by the PRC to develop its own military technology."

Salvador Hernandez, Assistant Director In Charge of the FBI in Los Angeles, stated: "The cost of Mr. Chung's traitorous actions to American security and the economy cannot be quantified, but have now been exposed, and his ability to exploit critical technology has come to an end. FBI counter intelligence agents and NASA received the full cooperation of the Boeing Company in building this three-year investigation, the successful outcome of which marks the first conviction by trial under the Economic Espionage Act of 1996. I'm confident this milestone conviction will serve as a deterrent to would-be spies contemplating theft of precious U.S. secrets." David Kris, Assistant Attorney General for National Security, said: "For years, Mr. Chung stole critical trade secrets from Boeing relating to the Space Shuttle and the Delta IV rocket – all for the benefit of the government of China. Today's verdict should serve as a warning to others willing to compromise America's economic and national security to assist foreign governments. The many agents, analysts and prosecutors who worked on this important case deserve special thanks for their efforts."

The case against Chung resulted from an investigation into another engineer who worked in the United States and obtained sensitive military information for the PRC. That engineer, Chi Mak, and several of his family members were convicted of providing defense articles to the PRC. Chi Mak was sentenced last year to more than 24 years in federal prison (see: <http://www.usdoj.gov/usao/cac/pressroom/pr2008/032.html>). According to the evidence presented during the trial, individuals in the Chinese aviation industry began sending Chung "tasking" letters as early as 1979. Over the years, the letters directed Chung to collect specific technological information, including data related to the Space Shuttle and various military and civilian aircraft. Chung allegedly responded in one undated letter that "I would like to make an effort to contribute to the Four Modernizations of China." In various letters to his handlers in the PRC, Chung referenced engineering manuals he had collected and sent to the PRC, including 24 manuals relating to the B-1 Bomber that Rockwell had prohibited from disclosure outside of the company and "selected federal agencies."

Between 1985 and 2003, Chung made multiple trips to the PRC to deliver lectures on technology involving the Space Shuttle and other programs, and during those trips he met with PRC government officials, to include agents affiliated with the People's Liberation Army. Chung and PRC officials exchanged letters that discussed Chung's travel to China and recommended methods for passing information, including suggestions that Chung use Chi Mak and his wife Rebecca to transmit information. A May 2, 1987 letter from Gu Weihao, an official in the Ministry of Aviation and China Aviation Industry Corporation, discussed the possibility of inviting Chung's wife, who is an artist, to visit an art institute so that Chung could use her trip as an excuse to travel to the PRC. This same letter suggested that passing information to the PRC through Chi Mak would be "faster and safer" and concluded with the statement: "It is your honor and China's fortune that you are able to realize your wish of dedicating yourself to the service of your country."

On September 11, 2006, FBI and NASA agents searched Chung's house and found more than 250,000 pages of documents from Boeing, Rockwell and other defense contractors inside the house and in a crawl space underneath the house. Among the documents found in the crawl space were scores of binders containing decades' worth of stress analysis reports, test results and design information for the Space Shuttle.

Each charge of economic espionage carries a maximum possible penalty of 15 years in federal prison and a \$500,000 fine. The charge of acting as an agent of a foreign government carries a maximum penalty of 10 years imprisonment and a \$250,000 fine. The charges of conspiracy to commit economic espionage and making false statements to federal investigators each carry a maximum possible penalty of five years imprisonment and a \$250,000 fine.

In this morning's ruling, Judge Carney acquitted Chung of one count of obstruction of justice.

The investigation in this case was conducted jointly by the FBI and NASA Counterintelligence. The case was prosecuted by Assistant United States Attorneys Greg Staples (714-338-3535) and Ivy Wang (714-338-3549).

NOTICE TO SECURITY CLEARANCE HOLDERS AND THOSE REQUIRING REINVESTIGATIONS

Federal Officials at the U.S. Department of Energy National Nuclear Security Administration Service Center at Albuquerque, NM have notified University of California Laboratory Management that they intend to process directly, until further notice, all current and future reinvestigations of UC individuals with DOE Access Authorizations "L" and "Q." As a result, this office will no longer directly process your reinvestigation packets. Upon your receipt of the email message described below, you will have 30 days to complete the information for your clearance update. Contact the University's Research Security Officer for assistance with clarification or if you cannot locate your previously completed SF-86 Security Questionnaire. As noted in the email attachments below, the Security Unit within the Laboratory Management Office will complete the Suitability Form.

[Sample E-mail message](#)

U.S. Department of Energy
National Nuclear Security Administration Service Center
P.O. Box 5400
Albuquerque, NM 87185

Dear Clearance Holder:

You have been initiated into the E-QIP system to begin the process for your clearance update. In accordance with DOE Manual 470.4-5, reinvestigations are required every five years for the "Q" level and every ten years for the "L" level. Further information has been provided later in this message.

The following web link address has been provided to complete your reinvestigation paperwork in a timely manner within the given thirty days.

The official DOE reinvestigation letter has been provided below for your reference. This form includes information on the recently implemented web based Electronic QNSP or SF-86.

[Please read Question 21, provided in the Attachment column of this letter, before continuing.](#)

Login to the E-QIP website through the link provided www.opm.gov/e-qip <<http://www.opm.gov/e-qip>> and complete paperwork within the thirty day timeframe. The required forms have been listed below for all individuals currently initiated in E-QIP for reinvestigation to submit to the NNSA/SC by

the thirty-day deadline. (An E-QIP instruction sheet and the listed forms are attached above).

If you require assistance or have any questions or concerns please give us a call.

Reinvestigation specialists: Lawrence Gallegos at 505.845.4733, or Pauline Salazar at 505.845.6343.

Thank you,

Angela K. Harvey

Program Analyst

PMD/PSD

NNSA Service Center

Phone: 505.845.5607

aharvey@doeal.gov

Additional Information and References

DOE clearance holders require a periodic reinvestigation in accordance with DOE M 470.4-5, PERSONNEL SECURITY.

Reinvestigations are due every 5 years for "Q" cleared personnel, and 10 years for "L" cleared).

A new automated process for completing the QNSP has been established by the Office of Personnel Management (OPM). It is a web-based application known as Electronic Questionnaire for Investigations Processing (E-QIP). Every investigation that is processed through OPM must be submitted using E-QIP. Once an employee has been initiated into the E-QIP system, they may complete the on-line process from any E-QIP compatible computer. Please note that at this time E-QIP is not compatible with Macintosh computers. Please read the enclosed E-QIP Instruction Sheet procedures carefully. The SF-86, *Questionnaire for National Security Positions (QNSP)*, Parts 1 and 2 must be completed with current information.

The completed package must be received in the Personnel Security Department (PSD) within 30 days of this request. If the package is not received within 30 days, your access authorization may be in jeopardy of being administratively terminated.

In addition, some forms require original signatures and must be provided to the National Nuclear Security Agency (NNSA) Service Center (SC), separate from the QNSP electronic package submission. Please complete the following documents listed

below and return them to PSD. Additionally, Web links to some forms are listed in the enclosed E-QIP Instruction Sheet.

- ÿ DOE F 5631.18, *Security Acknowledgment* (original signature required);
 - ÿ DOE F 472.1, *Fair Credit Reporting Act* (original signature required);
 - ÿ DOE F 470.1, *Clearance Request/Recertification/Suitability Form* (original signatures required and **usually completed by your employer or on your behalf**);
 - ÿ QNSP Signature Pages; and
 - ÿ Medical Release Form (if answered affirmatively to question 21; original signatures required).
- Print these forms from your completed E-QIP package.

The paper package may be Mailed or sent by Federal Express (preferred) to the following addresses:

Federal Express Address U.S. Department of Energy/NNSA Service Center Personnel Security
Department Reinvestigations ATTN: Lawrence Gallegos or Pauline Salazar
Pennsylvania & H Avenue Albuquerque, NM 87116

Mailing Address U.S. Department of Energy/NNSA Service Center Personnel Security
Department Reinvestigations ATTN: Lawrence Gallegos or Pauline Salazar
P. O. Box 5400 Albuquerque, NM 87185-5400

If you have any questions, please contact one of the following. For e-QIP assistance, please contact Lawrence.

Pauline Salazar
Team Lead Reinvestigations/Reciprocity/Reports
Continues/Reverse Reciprocity
DOE/NNSA/Netgain/PSD
Phone: 505.845.6343
pjsalazar@doeal.gov

Lawrence Gallegos
DOE/NNSA Service Center/Netgain Corporation
Personnel Security Division
Reinvestigations/E-QIP Support
Phone: 505.845.4733
Fax: 505.845.5288
lgallegos@doeal.gov

Enclosures:

Question 21 Memo (PLEASE READ FIRST)

Clearance Request/Recertification/Suitability Form (to be completed by UC Security Unit ONLY)

Security Acknowledgment Form

Fair Credit Reporting Act Form

E-QIP Instruction Sheet

End of Sample E-mail Message

Additional Guidance For Reinvestigations To Avoid Delays

- Upon electronically releasing your e-QIP package to the Service Center at DOE/NNSA Albuquerque you must inform the University Research Security Officer to fax the Clearance/Recertification/Suitability Form to your Sponsor at the DOE Livermore Site Office for approval and forwarding on to the Service Center.
- You must within 24 hours of electronically releasing your e-QIP package Fed Ex your paper package to the Service Center. Your reinvestigation may be held up or returned if the electronic and paper packages are not received within 2 or 3 days of one another.

DOE CONTRACTOR REQUIREMENTS FOR FOREIGN TRAVEL TO SENSITIVE COUNTRIES

In preparing for or performing official foreign travel, contractors must comply with DOE requirements under DOE O 551.C, Official Foreign Travel. Unofficial foreign travels, similarly, are guided by the completion of the following DOE Travel Form: http://bss.fnal.gov/travel/TR_form.pdf

All foreign travel requests must be entered into FTMS within 45 calendar days before the departure date if travel is to a sensitive country or involves a sensitive subject. For the convenience of the traveler, DOE F 551.1, *Request For Approval For Foreign Travel*, can be completed and provided to the University's Research Security Officer for review and forwarding on to the appropriate DOE Counterintelligence Officer for entry into the FTMS. Upon receipt of the completed *Request*, the University's Security Officer is responsible for providing you with an appropriate Foreign Travel Debriefing that you must acknowledge.

DOE's sensitive countries and a sample completed DOE F 551.1 follow.

THE DEPARTMENT OF ENERGY

Listing of Sensitive Countries

Algeria	Commonwealth of
China (People's Rep. Of,	Independent States
Including Tibet and Hong Kong)	*(Formerly the Soviet Union):
Cuba	Armenia
India	Azerbaijan
Iran	Belarus
Iraq	Georgia
Israel	Kazakhstan
Libya	Kyrgyz (Kyrgyzstan)
North Korea, Democratic	Moldova
People's Republic of	Russia
Pakistan	Tajikistan
Sudan	Turkmenistan
Syria	Ukraine
Taiwan	Uzbekistan

*Soviet Republics include the republics which constituted the Soviet Union in 1990 (except for Estonia, Latvia, and Lithuania), whether or not now independent or joined in a union with other republics, and any component territories of these republics which may consider themselves independent.

***THE FORMER SOVIET UNION: (COMMONWEALTH OF INDEPENDENT STATES)¹**

Abkhazian Autonomous Republic
Adjarian Autonomous Republic
Adygeya Republic
Armenia
Azerbaijan
Altai Republic
Bashkir Republic
Belorussia
Buryat Republic
Checheia/Ingushetia Republic
Chuvash Republic
Crimean Republic
Dagestan Republic
Georgia
Gorno-Badakhshan Autonomous Region
Jewish Republic
Kabardino-Balkar Republic
Kalmyk Republic
Karachai-Cherkess Republic
Karakalpak Autonomous Republic
Karelian Republic
Kazakhstan
Khakass Republic
Kirghizia
Komi Republic
Mari Republic
Moldavia Republic
Mordovian Republic
Nakhichevan-Autonomous Republic
Nagorno-Karabakh Autonomous Republic
North Ossetian Republic
Russia
Sakha Republic
South Ossetian Autonomous Region
Tajikistan
Turkemenistan
Tatarstan Republic
Tuva Republic
Udmurt Republic
Ukraine Republic

SAMPLE

U.S. DEPARTMENT OF ENERGY REQUEST FOR APPROVAL OF FOREIGN TRAVEL

This form is provided as a convenience for the collection of Foreign Travel Request data. The form is intended for use as an offline resource to collect data necessary to support the Foreign Travel Management System (FTMS). Completion of the form is not considered sufficient in itself for satisfying DOE Order 551.1A, the data must still be entered into the FTMS for Department of Energy (DOE) tracking and monitoring. Specific questions on Foreign Travel or the completion of this form should be directed to your sites Senior FTMS Organizational Point of Contact (Sr. OPOC).

Section I – Traveler Information (to be completed by Traveler)		
1. Last Name SMITH	First Name JANE	Middle Name or NMN SAMPLE
2. Do you have an SSN? <input type="checkbox"/> Yes <input type="checkbox"/> No		Last 4 digits of SSN (ex.xxx-xx-6789) ▶
3. Passport Type		Passport Number
Passport 1	<input checked="" type="checkbox"/> Regular <input type="checkbox"/> Official <input type="checkbox"/> Diplomatic	123456789
Passport 2	<input type="checkbox"/> Regular <input type="checkbox"/> Official <input type="checkbox"/> Diplomatic	
Passport 3	<input type="checkbox"/> Regular <input type="checkbox"/> Official <input type="checkbox"/> Diplomatic	
4. Gender: <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female		5. Birth Place Country U.S.A
6. Citizenship (1) USA (2) _____		7. Permanent Resident Green Card Holder? <input type="checkbox"/> Yes <input type="checkbox"/> No
8. DOE Facility/Organization Regents of the University of California		12. Employee Type: <input type="checkbox"/> DOE Federal Employee <input type="checkbox"/> Other Federal Employee <input checked="" type="checkbox"/> Contractor <input type="checkbox"/> Foreign National <input checked="" type="checkbox"/> University <input type="checkbox"/> Invitational Traveler If non-DOE specify the name of the employer: _____
9. Local Organization/Department LBNL		
10. Local Facility Materials Science Division		
11. Local ID SMITH		
13. Employment Address Street Address Lawrence Berkeley National Laboratory 1 Cyclotron Road City Berkeley State CA Zip 94720 Country USA		
14. Contact Information		
Phone Type		Phone Number (domestic example: 703-555-5555)
Phone 1	<input checked="" type="checkbox"/> Work Phone <input type="checkbox"/> Work Fax <input type="checkbox"/> Home Phone <input type="checkbox"/> Domestic Cell Phone <input type="checkbox"/> International Cell Phone	(510) 486-1234
Phone 2	<input type="checkbox"/> Work Phone <input checked="" type="checkbox"/> Work Fax <input type="checkbox"/> Home Phone <input type="checkbox"/> Domestic Cell Phone <input type="checkbox"/> International Cell Phone	(510) 486-4321
Phone 3	<input type="checkbox"/> Work Phone <input type="checkbox"/> Work Fax <input checked="" type="checkbox"/> Home Phone <input type="checkbox"/> Domestic Cell Phone <input type="checkbox"/> International Cell Phone	(510) 839-3831
Phone 4	<input type="checkbox"/> Work Phone <input type="checkbox"/> Work Fax <input type="checkbox"/> Home Phone <input type="checkbox"/> Domestic Cell Phone <input type="checkbox"/> International Cell Phone	
Phone 5	<input type="checkbox"/> Work Phone <input type="checkbox"/> Work Fax <input type="checkbox"/> Home Phone <input type="checkbox"/> Domestic Cell Phone <input type="checkbox"/> International Cell Phone	
e-mail Address: jssmith@lbl.gov		Use For Password Reset <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
15. Position/Title Program Manager 4		
16. Indicate whether you have a security clearance. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, indicate the highest level received: <input type="checkbox"/> Top Secret <input type="checkbox"/> Secret <input checked="" type="checkbox"/> Q <input type="checkbox"/> L <input type="checkbox"/> Other		
17. Notes to other OPOCs.		

Traveler Name: Smith, Jane Sample

Section II – General Trip Information (to be completed by Traveler)								
Use additional general trip information pages as required. Account for all funding types estimated for this trip request.								
18. Place of Departure (City, State/Province, Country)					19. Departure Date (mon/dd/yyyy)			
San Francisco, CA USA					Nov/04/2009			
					20. Return Date (mon/dd/yyyy)			
					Nov/14/2009			
21. Estimated travel costs by funding type								
Primary Sponsor	Funding Type	Program Office	Project No.	Task No.	Funding Code	Title	Estimated Airfare	Estimated Other
<input type="checkbox"/>	<input type="checkbox"/> DOE <input type="checkbox"/> Non - DOE <input type="checkbox"/> Foreign <input type="checkbox"/> DOE Overhead <input type="checkbox"/> Salary							
<input type="checkbox"/>	<input type="checkbox"/> DOE <input type="checkbox"/> Non - DOE <input type="checkbox"/> Foreign <input type="checkbox"/> DOE Overhead <input type="checkbox"/> Salary							
<input type="checkbox"/>	<input type="checkbox"/> DOE <input type="checkbox"/> Non - DOE <input type="checkbox"/> Foreign <input type="checkbox"/> DOE Overhead <input type="checkbox"/> Salary							
<input type="checkbox"/>	<input type="checkbox"/> DOE <input type="checkbox"/> Non - DOE <input type="checkbox"/> Foreign <input type="checkbox"/> DOE Overhead <input type="checkbox"/> Salary							
22. Type of Travel:				Give justification of premium travel:				
<input checked="" type="checkbox"/> Airfare – Coach <input type="checkbox"/> Vehicle – Rental <input type="checkbox"/> Airfare – Premium <input type="checkbox"/> Vehicle – Privately Owned <input type="checkbox"/> Train – Coach <input type="checkbox"/> None <input type="checkbox"/> Train – Premium				N/A Unofficial Travel				
23. Names and Organizations of Headquarters personnel with whom trip has been coordinated								
Org. Code	Contact Name							
N/A								
24. Names and Organizations of other personnel with whom you are traveling as a team:								
N/A								
25. Benefit to Government (include benefit to present position and the Department) :								
N/A								
26. Comments								
General comments regarding trip request:								
Tourism - Accompanying spouse to Seminars in Israel.								
Specify any paper attachments to this form:								
N/A - Itinerary								
Place of return (if not the same as the departure city) and reason:								
27. Field TR (Reference) Number								
N/A								
28. Has the traveler contacted his/her Medical Support Staff to ensure awareness of safety and health issues of the country(ies) to be visited? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No								
Comments (1000 characters max.)								
29. Will the traveler be taking DOE or Laboratory owned equipment on this travel? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No								

Traveler Name: Smith, Jane Sample

Section III – Trip Itinerary (to be completed by Traveler)				
Use additional itinerary pages as required. Account for the entire time between departure and return. Complete a separate itinerary for each city/country to be visited and for each personal or leave period.				
30a. Is this part of the trip associated with a conference? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
If yes, specify conference name, start and end dates, country-city of the conference, and the conference URL (if known).				
30b. Will anyone from a DOE-designated sensitive country be in attendance at this conference? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown				
Conference Name		Conference URL (if known)		
31a. Destination Country-City Israel, Tel Aviv		32. Start Date (mon/dd/yyyy) Nov/04/2009		
31b. Airline United/BMI		33. End Date (mon/dd/yyyy) Nov/14/2009		
34a. Select One or More Primary Purpose(s):				
<input type="checkbox"/> Professional conference or workshop		<input type="checkbox"/> Meeting(s) on scientific, technical, project, or programmatic matters		
<input type="checkbox"/> Seminar/Symposium		<input type="checkbox"/> Procurement-related matters		
<input type="checkbox"/> Working group or colloquia (scientific meeting)		<input type="checkbox"/> Official Stop Over		
<input type="checkbox"/> Site Visit		<input checked="" type="checkbox"/> Personal Leave		
<input type="checkbox"/> R and D activities under an informal lab-to-lab or government-to-government agreement		<input type="checkbox"/> Travel for IAEA		
			<input type="checkbox"/> Other(s)	
34b. List other primary purpose: Accompanying husband who is a "XYZ Visiting Professor" during this period, giving talks to three Israeli Universities funded by XYZ Foundation.				
35. Justify Trip Purpose (i.e. topics to be discussed, formal presentation, or paper): I will not give a presentation; I will be an accompanying spouse. My husband field is theoretical chemistry.				
This part of the trip involves:				
36. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Lab-to-Lab agreement?				
37. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No University-to-Lab agreement?				
38. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No International agreement? If yes, enter agreement name: _____				
39. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Will classified information be discussed?				
40. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No Will you be interacting with anyone from a DOE-designated sensitive country?				
41. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Does this Itinerary involve training?				
42. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Will any part of the trip discuss sensitive subjects as defined by DOE's Sensitive Subject List?				
43. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Will any part of the trip involve information that is subject to U.S. Export Control restrictions?				
44. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Meetings with senior government official(s)? Provide official's name, position, and contact information. Describe meeting goals. _____ _____				
45. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Will embassy assistance be required?				
a. If yes, describe. _____ _____				
b. If yes, provide fiscal data. _____ _____				
46. Contacts				
Host Name	Host Phone	Affiliated Institution	Facility to be Visited	Date Visited
Prof Roy Omar	(972)-2-890-8645	Tel Aviv University	Tel Aviv University	Nov 4-5, 2009
Prof Floyd Rabbi	(972)-9-651-0179	Hebrew University	Hebrew University Institute of Science	Nov 6-9, 2009
Prof Jay Zachary	(972)-3-274-5123	Bulgarian University	New Bulgarian University Cognitive Science Dept.	Nov 10-14, 2009
After Hours Name	After Hours Phone	After Hours Name	After Hours Phone	

Traveler Name: Smith, Jane Sample

Reviews and Approvals				
1. Local Approver				
Name	Approver Site	Result: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved <input type="checkbox"/> Pass	Signature	Date (mon/dd/yyyy)
Comments:				
2. Local Approver				
Name	Approver Site	Result: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved <input type="checkbox"/> Pass	Signature	Date (mon/dd/yyyy)
Comments: <p style="text-align: center;">N/A</p>				
3. Local Approver				
Name	Approver Site	Result: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved <input type="checkbox"/> Pass	Signature	Date (mon/dd/yyyy)
Comments:				
4. Head of Organization				
Name	Approver Site	Result: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved <input type="checkbox"/> Pass	Signature	Date (mon/dd/yyyy)
Comments:				
5. Programmatic RPSO				
Name	Approver Site	Result: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved <input type="checkbox"/> Pass	Signature	Date (mon/dd/yyyy)
Comments:				
6. Funding RPSO				
Name	Approver Site	Result: <input type="checkbox"/> Approved <input type="checkbox"/> Disapproved <input type="checkbox"/> Pass	Signature	Date (mon/dd/yyyy)
Comments:				

Print Name: _____

Traveler's Signature

Date Signed

ACKNOWLEDGEMENT

Please email willie.archie@ucop.edu to acknowledge that you have read this version of the University of California 2009 Security Refresher Briefing. Include the following statement in the body of your message

I acknowledge receipt of the University of California 2009 Security Refresher Briefing in compliance with U.S. Department of Energy and U.S. Department of Defense security requirements.

It is important to include your name after the above statement. We will be contacting you if your email statement is not received by February 15, 2010.

Thank you.
[Willie Archie](#)