

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE		PAGE OF PAGES 3	
2. AMENDMENT/MODIFICATION NO. 996		3. EFFECTIVE DATE See Block 16c.		4. REQUISITION/PURCHASE REQ. NO.	
6. ISSUED BY CODE		7. ADMINISTERED BY (If other than Item 6)		5. PROJECT NO. (If applicable)	
U.S. Department of Energy Chicago Service Center 9800 South Cass Avenue Argonne, Illinois 60439		U.S. Department of Energy Berkeley Site Office 1 Cyclotron Road, MS 90-1023 Berkeley, CA 94720		CO DE	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, country, State, and ZIP Code)			( )	9A. AMENDMENT OF SOLICITATION NO.	
The Regents of the University of California Attn: Andrew Boulter 1111 Franklin Street, 11 <sup>th</sup> Floor Oakland, CA 94607-5206					
				9B. DATED (SEE ITEM 11)	
			(X)	10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC02-05CH11231/A000	
CODE 003985512				10B. DATED (SEE ITEM 13) April 19, 2005	
FACILITY CODE					
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS					
The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended. is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 25, and returning ___ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.					
12. ACCOUNTING AND APPROPRIATION DATA (If required) N/A 001					
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.					
A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN CONTRACT/ORDER NO. IN ITEM 10A.					
B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103 (b).					
X C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF FAR 43.103(a)(3)					
D. OTHER (Specify type of modification and authority)					
E. IMPORTANT: Contractor ___ is not, X is required to sign this document and return 2 copies to the issuing office.					
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)					
See Page 2					
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.					
15A. NAME AND TITLE OF SIGNER (Type or print)			16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)		
Nicole O. Rantz, Associate Director Business and Contract Management					
15B. CONTRACTOR/OFFEROR		15C. DATE SIGNED		16B. UNITED STATES OF AMERICA	
Nicole O. Rantz (Signature of person authorized to sign)		4/25/17		By (Signature of Contracting Officer)	
				16C. DATE SIGNED	

Block 14 "DESCRIPTION OF AMENDMENT/MODIFICATION," continued.

The purpose of this modification is to revise Appendix B, Performance Evaluation and Measurement Plan for FY2017 as follows:

A. In Goal 3.0:

Current Language:

HEP: By June 2107, develop a prioritized, coordinated plan for CMB-S4 R&D efforts that takes advantage of the lab's unique capabilities to position ANL to take a leadership role. This should be done in context of planning with all HEP labs involved in CMB-S4 development. (Objective 3.1)

Revised Language:

HEP: ANL, FNAL, LBNL and SLAC should work together to support the AAAC Subpanel "CMB-S4 CDT" process. In parallel with the CDT, the labs should work together to support any other efforts needed in the pre-conceptual design phase. In particular, an updated, coordinated R&D plan should be developed by July 2017, with efforts prioritized to take into account projected available funding. The plan should take advantage of the labs' unique capabilities, position them to take leadership roles that require laboratory infrastructure, and align with critical roles within the CMB-S4 CDT's plan. (Objective 3.1)

B. In Goal 6.0

Current Language:

In measuring the performance of the above Objectives, the DOE evaluator(s) shall consider performance trends and outcomes in the development, deployment and integration of foundational program (e.g., Contractor Assurance, Quality, Financial Management, Acquisition Management, Property Management, and Human Resource Management) systems across the Laboratory. This may include, but is not limited to, minimizing the occurrence of management systems support issues; quality of work products; continual improvement driven by the results of audits, reviews, and other performance information; the integration of system performance metrics and trends; the degree of knowledge and appropriate utilization of established system processes/procedures by Contractor management and staff;

benchmarking and performance trending analysis. The DOE evaluator(s) shall also consider the stewardship of the pipeline of innovations and resulting intellectual assets at the Laboratory along with impacts and returns created/generated as a result of technology transfer, work for others and intellectual asset deployment activities.

Revised Language:

In measuring the performance of the above Objectives, the DOE evaluator(s) shall consider performance trends and outcomes in the development, deployment and integration of foundational program (e.g., Contractor Assurance, Quality, Financial Management, Acquisition Management, Property Management, and Human Resource Management) systems across the Laboratory. This may include, but is not limited to, minimizing the occurrence of management systems support issues; quality of work products; continual improvement driven by the results of audits, reviews, and other performance information; the integration of system performance metrics and trends; the degree of knowledge and appropriate utilization of established system processes/procedures by Contractor management and staff; benchmarking and performance trending analysis. The DOE evaluator(s) shall consider the Laboratory's performance in making progress toward comprehensive collection and submission of peer-reviewed accepted manuscripts for journal articles (and associated metadata) resulting from DOE-funded research to the DOE PAGES portal as called for in the DOE Public Access Plan. The DOE evaluator(s) shall also consider the stewardship of the pipeline of innovations and resulting intellectual assets at the Laboratory along with impacts and returns created/generated as a result of technology transfer, work for others and intellectual asset deployment activities.

- C. All other terms and conditions remained unchanged