| AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT   |   |   |  |                                      | 1. CONTRACT ID CODE   | PAGE OF PAGES             |   |  |  |  |
|--|---|---|--|--------------------------------------|---|---------------------------|---|--|--|--|
| 2. AMENDMENT/MODIFICATION NO. 3. EFFECTIVE DATE  |   |   |  |                                      | EQUISITION/PURCHASE REQ. NO.  | 5. PR                     | 1 5<br>OJECT NO. (If applicable)                      |  |  |  |
| 1245 See Block 16C   |   |   | ak 160   |                                      |   |                           |   |  |  |  |
| 6. ISSUED BY   | CODE  | 892430  |  | 7. A                                 | ADMINISTERED BY (If other than Item 6)  | CODE                      | 06008   |  |  |  |
| SC Chicago Service Center<br>Office of Science - Chicago<br>U.S. Department of Energy<br>9800 South Cass Avenue<br>Lemont IL 60439 |   |   |  |                                      | Berkeley Site Office<br>U.S. Department of Energy<br>One Cyclotron Rd., MS90-1023<br>Berkeley CA 94720                  |                           |   |  |  |  |
| 8. NAME AND  | ADDRESS OF CONTRACTOR (No., street,   | county, State and   | ZIP Code)  | (x)                                  | 9A. AMENDMENT OF SOLICITATION NO.   |                           |   |  |  |  |
| THE REGENTS OF THE UNIVERSITY OF CALIFORNIA<br>Attn: LOURDES DEMATTOS<br>1111 FRANKLIN ST 11TH FL<br>DAKLAND CA 946075201          |   |   |  |                                      | 9B. DATED (SEE ITEM 11)<br>10A. MODIFICATION OF CONTRACT/ORDER NO.<br>DE – AC02 – 05CH11231<br>10B. DATED (SEE ITEM 13) |                           |   |  |  |  |
| CODE PKI   | K5TD16N   | FACILITY COD  | E  |                                      | 04/19/2005  |                           |   |  |  |  |
|  | -   | 11. THIS IT   |  | MEN                                  | DMENTS OF SOLICITATIONS   |                           |   |  |  |  |
| CHECK ONE  | 13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.   A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.   B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).   C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: |   |  |                                      |   |                           |   |  |  |  |
| X  | H.14 entitled Standa<br>D. OTHER (Specify type of modification  |   | ntract Perfor  | rma                                  | nce Evaluation  |                           |   |  |  |  |
| E. IMPORTANT   | r: Contractor □is not   | X is required t   | o sign this document and   | l retu                               | rn1 copies to the issuin  | g office.                 |   |  |  |  |
| DUNS Num<br>UEI: PK<br>The purp<br>FY 2024<br>All othe<br>THIS IS<br>OF THE E<br>IN ACCOR<br>Continue<br><u>Except as prov</u>     | aber: PKK5TD16N<br>KK5TD16N4H1<br>pose of this modifica<br>Performance Evaluation<br>er terms and condition<br>A PERFORMANCE-BASED F<br>ERNEST ORLANDO LAWREN<br>RDANCE WITH THE PROVI  | tion is<br>on and M<br>ns remai<br>MANAGEME<br>CE BERKE<br>SIONS OF | to revise Goa<br>easurement Pl<br>n the same. E<br>NT CONTRACT (<br>LEY NATIONAL<br>THIS CONTRAC | als<br>lar<br>End<br>(PE<br>LA<br>CT |   | J,<br>T AN<br>NTRA<br>AND | ID OPERATION<br>CTOR SHALL<br>PROGRAMS<br>and effect. |  |  |  |
|  |   |   |  | J                                    | acolyn Lanel Byrd   |                           |   |  |  |  |
| 15B. CONTRA  | CTOR/OFFEROR  |   | 15C. DATE SIGNED   |                                      | B. UNITED STATES OF AMERICA   |                           | 16C. DATE SIGNED                                      |  |  |  |
|  |   |   |  |                                      |   |                           | _ 03/11/2024  |  |  |  |

(Signature of person authorized to sign)

Previous edition unusable

(Signature of Contracting Officer)

CONTINUATION SHEET REFERENCE NO. OF DOCUMENT BEING CONTINUED DE-AC02-05CH11231/1245

NAME OF OFFEROR OR CONTRACTOR

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

| ITEM NO. | SUPPLIES/SERVICES   | QUANTITY<br>(C) |     | UNIT PRICE | AMOUNT |
|----------|---|-----------------|-----|------------|--------|
| (A)      | (B)<br>ASSIGNED BY THE U.S. DEPARTMENT OF ENERGY (DOE)  |                 | (D) | (E)        | (F)    |
|          | AND MANAGE AND OPERATE THE LABORATORY. THE  |                 |     |            |        |
|          | LABORATORY IS ONE OF THE DOE'S OFFICE OF SCIENCE  |                 |     |            |        |
|          | (SC) MULTI-PROGRAM LABORATORIES. THE LABORATORY<br>IS A FEDERALLY FUNDED RESEARCH AND DEVELOPMENT |                 |     |            |        |
|          | CENTER (FFRDC) ESTABLISHED IN ACCORDANCE WITH THE   |                 |     |            |        |
|          | FEDERAL ACQUISITION REGULATION (FAR) PART 35 AND  |                 |     |            |        |
|          | OPERATED UNDER THIS MANAGEMENT AND OPERATING  |                 |     |            |        |
|          | (M&O) CONTRACT AS DEFINED IN FAR 17.6 AND DEAR 917.6.   |                 |     |            |        |
|          | Payment:  |                 |     |            |        |
|          | Payment - Direct Payment  |                 |     |            |        |
|          | from U.S. Dept of Treasury<br>Period of Performance: 06/01/2005 to 05/31/2025                     |                 |     |            |        |
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Block 14 "DESCRIPTION OF AMENDMENT/MODIFICATION," continued:

The purpose of this modification is to update Section J, Appendix B, FY 2024 PERFORMANCE EVALUATION AND MEASUREMENT PLAN.

Below is a summary of the contract updates:

## A. DESCRIPTION OF MODIFICATION:

The purpose of this modification is to update Appendix B, Performance Evaluation and Measurement Plan Notable Outcomes.

# 1. Section J, Appendix B, FY 2024 PERFORMANCE EVALUATION AND MEASUREMENT PLAN

**Current Language:** 

## A. In Goal 2.0 Notable Outcomes:

## Notable Outcomes

- **BES/SUF:** Effectively manage and safely execute the assigned LCLS-II-HE project scope in accordance with DOE Order 413.3B. Performance will be assessed based on the work planned and accomplished for the undulator systems during FY 2024. (Objective 2.1)
- **BES/SUF:** Effectively manage and execute the ALS-U project in accordance with DOE Order 413.3B to safely accomplish the planned work per the approved Performance Baseline. Performance will be assessed based on the work planned and accomplished during FY 2024. (Objective 2.2)
- **FES:** Conduct LaserNetUS experiments including proton source studies for radiation biology at the short focal length beamline at the BELLA petawatt laser. (Objective 2.3)

## Is Amended to Read:

## Notable Outcomes

- **ASCR:** In accordance with appropriated funding levels and schedule, stand up the High Performance Data Facility (HPDF) integrated project team to advance design and other project activities essential to preparing for Critical Decision 1. (Objective 2.1)
- **BES/SUF:** Effectively manage and safely execute the assigned LCLS-II-HE project scope in accordance with DOE Order 413.3B. Performance will be

assessed based on the work planned and accomplished for the undulator systems during FY 2024. (Objective 2.1)

- **BES/SUF:** Effectively manage and execute the ALS-U project in accordance with DOE Order 413.3B to safely accomplish the planned work per the approved Performance Baseline. Performance will be assessed based on the work planned and accomplished during FY 2024. (Objective 2.2)
- **FES:** Conduct LaserNetUS experiments including proton source studies for radiation biology at the short focal length beamline at the BELLA petawatt laser. (Objective 2.3)

## B. In Goal 3.0 Notable Outcomes:

## Notable Outcomes

- **ASCR:** Contribute to establishing the Integrated Research Infrastructure program, as measured by contributions to the FY 2024 IRI objectives and participation in the initial IRI program governance structure. (Objective 3.1)
- **BES/SUF:** Deliver a comprehensive, forward-looking out of cycle strategic plan for the ALS, reflecting budgetary considerations. (Objective 3.1)
- **BES/MSE:** Update the strategic plan for the research portfolio supported by BES-MSE. The plan should address staff and portfolio evolution, interaction between theory/computation and experiment, and programmatic prioritization, recognizing budgetary considerations. (Objective 3.1)
- **HEP:** Submit a strategic response to the 2023 P5 Report for the lab by August 31, 2024. Identify which new initiatives recommended by P5 that lab wishes to participate in and document the strengths the lab brings to those. Also identify existing efforts that will continue or strengthen. Identify efforts that will be reduced to enable this. (Objective 3.1)

## Is Amended to Read:

## Notable Outcomes

- **ASCR:** Contribute to establishing the Integrated Research Infrastructure program, as measured by contributions to the FY 2024 IRI objectives and participation in the initial IRI program governance structure. (Objective 3.1)
- **ASCR:** Ensure that all communications related to the HPDF Hub project, including the project and the Departmental cross-cut Integrated Research Infrastructure

program, are aligned with DOE/ASCR goals, strategies, and guidance. (Objective 3.3)

**ASCR:** Ensure that all communications related to Artificial Intelligence between LBNL and SC, DOE, vendors, the Administration and Congress are aligned with DOE/ASCR goals, strategies and guidance. (Objective 3.3)

- **BES/SUF:** Deliver a comprehensive, forward-looking out of cycle strategic plan for the ALS, reflecting budgetary considerations. (Objective 3.1)
- **BES/MSE:** Update the strategic plan for the research portfolio supported by BES-MSE. The plan should address staff and portfolio evolution, interaction between theory/computation and experiment, and programmatic prioritization, recognizing budgetary considerations. (Objective 3.1)
- **HEP:** Submit a strategic response to the 2023 P5 Report for the lab by August 31, 2024. Identify which new initiatives recommended by P5 that lab wishes to participate in and document the strengths the lab brings to those. Also identify existing efforts that will continue or strengthen. Identify efforts that will be reduced to enable this. (Objective 3.1)

## 2. Attached to this modification is a conformed copy of Section J, Appendix B, FY 2024 PERFORMANCE EVALUATION AND MEASUREMENT PLAN implementing the above changes.

All other terms and conditions remain unchanged.