

COLLEGES AND UNIVERSITIES RATE AGREEMENT

EIN: 1956006144A1

DATE:05/23/2018

ORGANIZATION:

FILING REF.: The preceding agreement was dated 05/12/2010

University of California
San Diego Campus

San Diego, CA

The rates approved in this agreement are for use on grants, contracts and other agreements with the Federal Government, subject to the conditions in Section III.

SECTION I: Facilities And Administrative Cost Rates

RATE TYPES: FIXED FINAL PROV. (PROVISIONAL) PRED. (PREDETERMINED)

EFFECTIVE PERIOD

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE(%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
FINAL	07/01/2014	06/30/2017	55.00	On-Campus	Organized Research
PRED.	07/01/2017	06/30/2018	56.00	On-Campus	Organized Research
PRED.	07/01/2018	06/30/2019	57.00	On-Campus	Organized Research
PRED.	07/01/2019	06/30/2021	57.50	On-Campus	Organized Research
PRED.	07/01/2021	06/30/2022	58.00	On-Campus	Organized Research
FINAL	07/01/2014	06/30/2017	26.00	Off-Campus	Organized Research
PRED.	07/01/2017	06/30/2022	26.00	Off-Campus	Organized Research
FINAL	07/01/2014	06/30/2017	53.00	On-Campus	Instruction
PRED.	07/01/2017	06/30/2022	53.00	On-Campus	Instruction
FINAL	07/01/2014	06/30/2017	26.00	Off-Campus	Instruction
PRED.	07/01/2017	06/30/2022	26.00	Off-Campus	Instruction
FINAL	07/01/2014	06/30/2017	43.00	On-Campus	Other Sponsored Activities

ORGANIZATION: University of California San Diego Campus
 AGREEMENT DATE: 5/23/2018

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE (%)</u>	<u>LOCATION</u>	<u>APPLICABLE TO</u>
PRED.	07/01/2017	06/30/2018	45.00	On-Campus	Other Sponsored Activities
PRED.	07/01/2018	06/30/2019	47.00	On-Campus	Other Sponsored Activities
PRED.	07/01/2019	06/30/2021	48.00	On-Campus	Other Sponsored Activities
PRED.	07/01/2021	06/30/2022	48.50	On-Campus	Other Sponsored Activities
FINAL	07/01/2014	06/30/2017	26.00	Off-Campus	Other Sponsored Activities
PRED.	07/01/2017	06/30/2022	23.40	Off-Campus	Other Sponsored Activities
FINAL	01/01/2014	06/30/2017	18.00	(1)	Organized Research
PRED.	07/01/2017	06/30/2022	18.00	(1)	Organized Research
FINAL	07/01/2014	06/30/2017	10.30	Off-Campus	(2) IPA
PRED.	07/01/2017	06/30/2022	10.30	Off-Campus	(2) IPA
PRED.	01/01/2017	06/30/2022	8.34	(3)	
PROV.	07/01/2022	Until Amended			Use same rates and conditions as those cited for fiscal year ending June 30, 2022.

*BASE

ORGANIZATION: University of California San Diego Campus

AGREEMENT DATE: 5/23/2018

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). MTDC excludes equipment, capital expenditures, charges for patient care, rental costs of off-site facilities, student tuition remission, scholarships and fellowships, participant support costs and the portion of each subaward in excess of \$25,000.

(1) Nimitz Marine Facility & Marine Physical Laboratories.

(2) Intergovernmental/Personnel Act Agreements.

(3) Ship Operations Specialized Service Facility.

Base for Ship Operations Specialized Service Facility:

Modified total direct costs, consisting of all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). MTDC excludes equipment, capital expenditures, charges for patient care, rental costs of off-site facilities, student tuition remission, scholarships and fellowships, participant support costs, fuel, Major Overhaul Stabilization Account (MOSA), and the portion of each subaward in excess of \$25,000.

ORGANIZATION: University of California San Diego Campus

AGREEMENT DATE: 5/23/2018

SECTION II: SPECIAL REMARKS

TREATMENT OF FRINGE BENEFITS:

The fringe benefits are specifically identified to each employee and are charged individually as direct costs. The directly claimed fringe benefits are listed below.

TREATMENT OF PAID ABSENCES

Vacation, holiday, sick leave pay and other paid absences are included in salaries and wages and are claimed on grants, contracts and other agreements as part of the normal cost for salaries and wages. Separate claims for the costs of these paid absences are not made. A separate charge is made to Federal projects for vacation benefit leave accruals.

DEFINITION OF EQUIPMENT

Equipment means tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals or exceeds \$5,000.

DEFINITION OF ON-CAMPUS, OFF-CAMPUS AND SPECIAL RATES

DEFINITION OF OFF-CAMPUS RATE

The off-campus rate is applicable to those projects conducted at facilities not owned or leased by the University. However, if the project is conducted in leased space and lease costs are directly charged to the project, then the off-campus rate must be used.

PROJECTS CONDUCTED ENTIRELY ON-CAMPUS OR ENTIRELY OFF-CAMPUS:

Projects conducted entirely on-campus or entirely off-campus will be applied the on-campus or off-campus rate respectively.

PROJECTS CONDUCTED PARTIALLY OFF-CAMPUS AND PARTIALLY ON-CAMPUS:

If the project involves work at both on-campus and off-campus sites, either the on-campus or off-campus rate generally should be applied, consistent with where the majority of the work is to be performed. Salary cost is generally accepted as a measure of work performed in terms of the total project.

USE OF BOTH ON-CAMPUS AND OFF-CAMPUS RATES

The use of both on-campus and off-campus rates for a given project may be justified if both of the respective rates can clearly be identified with a significant portion of salaries and wages of the project. For purposes of this provision, significant is defined as approximately 25% or more of the total costs and a project's total salary and wage costs exceed \$250,000.

OTHER SPECIAL RATES

ORGANIZATION: University of California San Diego Campus

AGREEMENT DATE: 5/23/2018

These rates apply only to the facility or program to which they are identified. If any additional special rates become necessary the establishment of such rates should be coordinated through the cognizant negotiation agency.

The following fringe benefits are treated as direct costs:
OASDI, MEDICARE, RETIREMENT PLAN, WORKERS COMPENSATION, UNEMPLOYMENT,
HEALTH/DENTAL/OPTICAL/LIFE INSURANCE, NON-INDUSTRIAL DISABILITY INSURANCE,
ANNUITANT HEALTH/DENTAL INSURANCE, INCENTIVE AWARD PROGRAM, EMPLOYEE SUPPORT
PROGRAM, SEVERANCE PAY AND TUITION/FEE REMISSION OF CERTAIN STUDENT EMPLOYEES.

NEXT PROPOSAL DUE DATE

A proposal based on actual costs for fiscal year ending 06/30/20, will be due no later than 12/31/20.

ORGANIZATION: University of California San Diego Campus

AGREEMENT DATE: 5/23/2018

SECTION III: GENERAL

A. LIMITATIONS:

The rates in this Agreement are subject to any statutory or administrative limitations and apply to a given grant, contract or other agreement only to the extent that funds are available. Acceptance of the rates is subject to the following conditions: (1) Only costs incurred by the organization were included in its facilities and administrative cost pools as finally accepted; such costs are legal obligations of the organization and are allowable under the governing cost principles; (2) The same costs that have been treated as facilities and administrative costs are not claimed as direct costs; (3) Similar types of costs have been accorded consistent accounting treatment; and (4) The information provided by the organization which was used to establish the rates is not later found to be materially incomplete or inaccurate by the Federal Government. In such situations the rate(s) would be subject to renegotiation at the discretion of the Federal Government.

B. ACCOUNTING CHANGES:

This Agreement is based on the accounting system purported by the organization to be in effect during the Agreement period. Changes to the method of accounting for costs which affect the amount of reimbursement resulting from the use of this Agreement require prior approval of the authorized representative of the cognizant agency. Such changes include, but are not limited to, changes in the charging of a particular type of cost from facilities and administrative to direct. Failure to obtain approval may result in cost disallowances.

C. FIXED RATES:

If a fixed rate is in this Agreement, it is based on an estimate of the costs for the period covered by the rate. When the actual costs for this period are determined, an adjustment will be made to a rate of a future year(s) to compensate for the difference between the costs used to establish the fixed rate and actual costs.

D. USE BY OTHER FEDERAL AGENCIES:

The rates in this Agreement were approved in accordance with the authority in Title 2 of the Code of Federal Regulations, Part 200 (2 CFR 200), and should be applied to grants, contracts and other agreements covered by 2 CFR 200, subject to any limitations in A above. The organization may provide copies of the Agreement to other Federal Agencies to give them early notification of the Agreement.

E. OTHER:

If any Federal contract, grant or other agreement is reimbursing facilities and administrative costs by a means other than the approved rate(s) in this Agreement, the organization should (1) credit such costs to the affected programs, and (2) apply the approved rate(s) to the appropriate base to identify the proper amount of facilities and administrative costs allocable to these programs.

BY THE INSTITUTION:

University of California San Diego Campus

(INSTITUTION)

(SIGNATURE)

Nathan Brostrom

(NAME)

Executive Vice President, CFO

(TITLE)

(DATE)

ON BEHALF OF THE FEDERAL GOVERNMENT:

DEPARTMENT OF HEALTH AND HUMAN SERVICES

(AGENCY)

Arif M. Karim -S

Digitally signed by Arif M. Karim -S
DN: cn=US, ou=U.S. Government, ou=MHS, ou=PSIC,
ou=People, cn=Arif M. Karim -S,
0.9.2342.19200300.100.1.1=2000212895
Date: 2018.05.24 08:49:29 -0500

(SIGNATURE)

Arif Karim

(NAME)

Director, Cost Allocation Services

(TITLE)

5/23/2018

(DATE) 7003

HHS REPRESENTATIVE:

Janet Turner

Telephone:

(415) 437-7820

UNIVERSITY OF CALIFORNIA, SAN DIEGO
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2014
THROUGH JUNE 30, 2016

	ORGANIZED RESEARCH		INSTRUCTION		OTHER SPON. ACTIVITIES		IPAs **	NIMITZ MARINE FACIL.	
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS			
BUILDING DEP.	5.3%		5.8%		7.5%		0.0%		0.0%
INTEREST	4.3%		2.7%		0.5%		0.0%		0.0%
EQUIPMENT	2.7%		1.1%		0.5%		0.0%		0.0%
OPERATIONS & MAINT	14.1%		9.1%		4.5%		0.0%		0.0%
LIBRARY	2.6%		8.3%		4.0%		0.0%		0.0%
GENERAL ADMIN	5.5%		3.4%		4.8%		5.8%	5.5%	
DEPT ADMIN	16.2%		13.5%		16.9%		0.0%	10.5%	
SPON PROJ ADMIN	4.0%		2.5%		4.0%		4.5%	2.0%	
STUDENT SERV ADMIN	0.3%		6.6%		0.3%		0.0%	0.0%	
ADMIN COMPONENTS	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	10.3%	18.0%	18.0%
TOTAL	55.0%	26.0%	53.0%	26.0%	43.0%	26.0%	10.3%	18.0%	18.0%

* Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.8. dated September 10, 2015.
 ** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

CONCUR: *Nath Gysck*
 (SIGNATURE)
 Executive Vice President, CFO
 TITLE
5/22/2018
 DATE

UNIVERSITY OF CALIFORNIA, SAN DIEGO
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2016
THROUGH JUNE 30, 2017

	ORGANIZED RESEARCH		INSTRUCTION		OTHER SPON. ACTIVITIES		NIMITZ MPL/STS	IPAS **
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS		
BUILDING DEP.	5.3%		5.8%		7.5%		0.0%	0.0%
INTEREST	4.3%		2.7%		0.5%		0.0%	0.0%
EQUIPMENT	2.7%		1.1%		0.5%		0.0%	0.0%
OPERATIONS & MAINT	14.1%		9.1%		4.5%		0.0%	0.0%
LIBRARY	2.6%		8.3%		4.0%		0.0%	0.0%
GENERAL ADMIN	5.5%		3.4%		4.8%			5.8%
DEPT ADMIN	16.2%		13.5%		16.9%			0.0%
SPON PROJ ADMIN	4.0%		2.5%		4.0%			4.5%
STUDENT SERV ADMIN	0.3%		6.6%		0.3%		0.0%	0.0%
ADMIN COMPONENTS	26.0%	26.0%	26.0%	26.0%	26.0%	26.0%	18.0%	10.3%
TOTAL	55.0%	26.0%	53.0%	26.0%	43.0%	25.0%	18.0%	10.3%

* Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.8. dated September 10, 2015.

** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

CONCUR: *Mark G. York*
(SIGNATURE)
Executive Vice President, CFO
TITLE
5/29/2018
DATE

UNIVERSITY OF CALIFORNIA, SAN DIEGO
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2017
THROUGH JUNE 30, 2018

	ORGANIZED RESEARCH		INSTRUCTION		OTHER SPON. ACTIVITIES		NIMITZ MPL/STS		IPAs **
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	
BUILDING DEP.	5.5%		5.5%				0.0%		0.0%
INTEREST	4.5%		3.7%				0.0%		0.0%
EQUIPMENT	3.1%		1.4%				0.0%		0.0%
OPERATIONS & MAINT	14.3%		10.7%				0.0%		0.0%
LIBRARY	2.6%		5.7%				0.0%		0.0%
GENERAL ADMIN	6.8%		5.4%		9.8%		7.2%		5.8%
DEPT ADMIN	15.2%		11.2%		8.7%		7.1%		0.0%
SPON PROJ ADMIN	4.0%		2.7%		4.9%		3.7%		4.5%
STUDENT SERV ADMIN	0.0%		0.0%		0.0%		0.0%		0.0%
ADMIN COMPONENTS	26.0%	26.0%	26.0%	26.0%	23.4%	23.4%	18.0%	18.0%	10.3%
TOTAL	56.0%	26.0%	53.0%	26.0%	45.0%	23.4%	18.0%	18.0%	10.3%

* Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHES), C.8, dated September 10, 2015.

** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

CONCUR: M. H. Gork
(SIGNATURE)
Executive Vice President, CFO
TITLE
5/24/2018
DATE

UNIVERSITY OF CALIFORNIA, SAN DIEGO
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2018
THROUGH JUNE 30, 2019

	ORGANIZED RESEARCH		INSTRUCTION		OTHER SPON. ACTIVITIES		NIMITZ MPL/STS		IPAs **
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	
BUILDING DEP.	5.8%		5.5%		5.7%		0.0%		0.0%
INTEREST	4.6%		3.7%		4.4%		0.0%		0.0%
EQUIPMENT	3.5%		1.4%		2.2%		0.0%		0.0%
OPERATIONS & MAINT	14.5%		10.7%		7.0%		0.0%		0.0%
LIBRARY	2.6%		5.7%		4.3%		0.0%		0.0%
GENERAL ADMIN	6.8%		5.4%		9.8%		7.2%		5.8%
DEPT ADMIN	15.2%		11.2%		8.7%		7.1%		0.0%
SPON PROJ ADMIN	4.0%		2.7%		4.9%		3.7%		4.5%
STUDENT SERV ADMIN	0.0%		5.7%		0.0%		0.0%		0.0%
ADMIN COMPONENTS	26.0% *	26.0%	26.0%	26.0% *	23.4%	23.4%	18.0%	18.0%	10.3% 10.3%
TOTAL	57.0%	26.0%	53.0%	26.0%	47.0%	23.4%	18.0%	18.0%	10.3%

* Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.B. dated September 10, 2015.

** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

CONCUR: Meth York
(SIGNATURE)

Executive Vice President, CFO
TITLE

5/24/2018
DATE

UNIVERSITY OF CALIFORNIA, SAN DIEGO
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2019
THROUGH JUNE 30, 2021

	ORGANIZED RESEARCH		INSTRUCTION		OTHER SPON. ACTIVITIES		NIMITZ MPLISTS		IPAs **
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	
BUILDING DEP.	6.1%		5.5%		5.9%		0.0%		0.0%
INTEREST	4.8%		3.7%		4.6%		0.0%		0.0%
EQUIPMENT	3.5%		1.4%		2.3%		0.0%		0.0%
OPERATIONS & MAINT	14.5%		10.7%		7.3%		0.0%		0.0%
LIBRARY	2.8%		5.7%		4.5%		0.0%		0.0%
GENERAL ADMIN	6.8%		5.4%		9.8%		7.2%		5.8%
DEPT ADMIN	15.2%		11.2%		8.7%		7.1%		0.0%
SPON PROJ ADMIN	4.0%		2.7%		4.9%		3.7%		4.5%
STUDENT SERV ADMIN	0.0%		6.7%		0.0%		0.0%		0.0%
ADMIN COMPONENTS	26.0% *	26.0% *	26.0% *	26.0% *	23.4%	23.4%	18.0%	18.0%	10.3% 10.3%
TOTAL	57.5%	26.0%	53.0%	26.0%	48.0%	23.4%	18.0%	18.0%	10.3%

* Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.8. dated September 10, 2015.

** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

CONCUR: M. H. Gust
(SIGNATURE)
Executive Vice President, CFO
TITLE
5/29/2018
DATE

UNIVERSITY OF CALIFORNIA, SAN DIEGO
FACILITIES AND ADMINISTRATIVE COST RATES
FOR THE PERIOD JULY 1, 2021
THROUGH JUNE 30, 2022

	ORGANIZED RESEARCH		INSTRUCTION		OTHER SPON. ACTIVITIES		NIMITZ MPL/STS		IPAs **
	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	ON-CAMPUS	OFF-CAMPUS	
BUILDING DEP.	6.4%		5.5%		6.1%		0.0%		0.0%
INTEREST	5.0%		3.7%		4.7%		0.0%		0.0%
EQUIPMENT	3.5%		1.4%		2.3%		0.0%		0.0%
OPERATIONS & MAINT	14.5%		10.7%		7.4%		0.0%		0.0%
LIBRARY	2.6%		5.7%		4.6%		0.0%		0.0%
GENERAL ADMIN	6.8%		5.4%		9.6%		7.2%		5.8%
DEPT ADMIN	15.2%		11.2%		8.7%		7.1%		0.0%
SPON PROJ ADMIN	4.0%		2.7%		4.9%		3.7%		4.5%
STUDENT SERV ADMIN	0.0%		6.7%		0.0%		0.0%		0.0%
ADMIN COMPONENTS	26.0%	26.0%	26.0%	26.0%	23.4%	23.4%	18.0%	18.0%	10.3%
TOTAL	58.0%	26.0%	53.0%	26.0%	48.5%	23.4%	18.0%	18.0%	10.3%

* Reflects provisions of Appendix III to Part 200 of Uniform Guidance—Indirect (F&A) Costs Identification and Assignment, and Rate Determination for Institutions of Higher Education (IHEs), C.B. dated September 10, 2015.

** IPAs are agreements made under the Intergovernmental Personnel Act (IPA) Mobility Program.

CONCUR: Neil Gorkh
(SIGNATURE)

Executive Vice President, CFO
TITLE

5/29/2018
DATE

UNIVERSITY OF CALIFORNIA, SAN DIEGO
 FACILITIES AND ADMINISTRATIVE COST RATES
 FOR THE PERIOD JANUARY 1, 2017
 THROUGH JUNE 30, 2022

	SHIP PLATFORM SSF
BUILDING DEP.	0.10%
INTEREST	0.00%
EQUIPMENT	0.00%
OPERATIONS & MAINT	0.00%
LIBRARY	0.00%
GENERAL ADMIN	8.24%
DEPT ADMIN	0.00%
SPON PROJ ADMIN	0.00%
STUDENT SERV ADMIN	0.00%
ADMIN COMPONENTS	8.24%
TOTAL	8.34%

CONCUR: Nell Gyrke
 (SIGNATURE)

Executive Vice President, CFO
 TITLE

5/24/2018
 DATE