

HUAWEI - ZTE INVENTORY FAQs

What is the Penetration Level?

- You should search for items above the \$5000 procurement threshold.

What if a student, staff or faculty member has a personal device from Huawei or ZTE and it is connected to our network? Do we add to the inventory?

- No, we are not looking for an inventory of personal devices, even if connected to the network (for example, a student or faculty member's personal tablet/phone is connecting to wi-fi)

Who should we coordinate with to gather the requested information?

- We suggest you coordinate, minimally, with the following offices on your campuses to gather the information requested:
 - Information Security
 - IT Services
 - Procurement
 - Contracts & Grants
 - Intellectual Property and Industry Research Offices
 - Development Offices

Should we include the subsidiaries of Huawei and ZTE?

- Yes, please conduct an inventory of Huawei, ZTE and their subsidiaries.
See attached list of subsidiaries.

SAMPLE INVENTORY LIST

EQUIPMENT AND SERVICES

HUAWEI or subsidiary	Type of Equipment or Service	Purchase or Donation/Gift?	Current Location
Huawei	server	donation	storage
Futurewei	AI Computing Platform	Purchase	AI LAB on campus
Huawei	FusionStorage	donation	unknown
ZTE or subsidiary	Type of Equipment	Purchase or Donation/Gift?	Current Location
ZTE	Tablet (7)	donation	School of Engineering

NOTE: Consider whether major or critical equipment or services currently provided by Huawei or ZTE (and in particular such equipment or services that could fall within the NDAA prohibitions) can be removed and replaced with equipment or services provided by another entity, analyze risks and impediments to such removal and replacement, and formulate response plans in case of adverse government action.

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GIFT FUNDS

HUAWEI or Subsidiary	AMOUNT	DATE (01/01/2014 – PRESENT)	Intended Recipient (not The Regents)
Huawei	\$500,000	08/01/2016	ABCD Deep Learning Lab
ZTE or Subsidiary			
ZTE	50,000	9/2017	Engineering Department Chair

GRANT FUNDS or OTHER RESEARCH SUPPORT

HUAWEI or Subsidiary	AMOUNT	TYPE (GRANT OR OTHER RESEARCH SUPPORT)	DATE SIGNED	EXPIRATION DATE
Huawei	50,000	Other Research Support (specify)	2/01/18	No expiration
Futurewei	250,000	Grant (list name of grant & number)	05/01/2015	05/01/2017
ZTE or Subsidiary				

EDUCATIONAL AND RESEARCH PROGRAM SUPPORT

HUAWEI Or Subsidiary	Recipient	Type Other Support (if not financial)	Start Date (or date received)	End Date
Huawei	ABCDE Institute	Cooperation Agreement	March 2017	March 2021
Huawei	JKLM Institute	Consortium Agreement	December 2018	December 2023
ZTE				

NOTE: While campuses do need to collect and analyze the expiration, termination and renewal provisions of all contracts and agreements with Huawei and ZTE, it is not necessary to include the *termination and renewal provisions* in the March 15th inventory.

Please contact Shanda Hunt in the Office of Ethics, Compliance, and Audit Services with any questions: shanda.hunt@ucop.edu c.510-587-6120

Currently identified subsidiaries/affiliates of Huawei Technologies Company and ZTE Corporation¹

Huawei Technologies Company

(Sources: business databases, Huawei Investment & Holding Co., Ltd., 2017 Annual Report)

1. Amartus, SDN Software Technology and Team
2. Beijing Huawei Digital Technologies, Co. Ltd.
3. Caliopa NV
4. Centre for Integrated Photonics Ltd.
5. Chinasoft International Technology Services Ltd.
6. FutureWei Technologies, Inc.
7. HexaTier Ltd.
8. HiSilicon Optoelectronics Co., Ltd.
9. Huawei Device Co., Ltd.
10. Huawei Device (Dongguan) Co., Ltd.
11. Huawei Device (Hong Kong) Co., Ltd.
12. Huawei Enterprise USA, Inc.
13. Huawei Global Finance (UK) Ltd.
14. Huawei International Co. Ltd.
15. Huawei Machine Co., Ltd.
16. Huawei Marine
17. Huawei North America
18. Huawei Software Technologies, Co., Ltd.
19. Huawei Symantec Technologies Co., Ltd.
20. Huawei Tech Investment Co., Ltd.
21. Huawei Technical Service Co. Ltd.
22. Huawei Technologies Cooperatief U.A.
23. Huawei Technologies Germany GmbH
24. Huawei Technologies Japan K.K.
25. Huawei Technologies South Africa Pty Ltd.
26. Huawei Technologies (Thailand) Co.
27. iSoftStone Technology Service Co., Ltd.
28. JV "Broadband Solutions" LLC
29. M4S N.V.

¹ The University of Minnesota makes no guarantee as to the accuracy or completeness of these lists, which might be both over- and under-inclusive in some respects. This document is a provisional best effort that readers are welcome to use, but they do so at their own risk.

30. Proven Honor Capital Limited
31. PT Huawei Tech Investment
32. Shanghai Huawei Technologies Co., Ltd.
33. TD Tech
34. Tianwen Digital Media Technology (Beijing) Co., Ltd.
35. Toga Networks Ltd

ZTE Corporation

(Sources: business databases, Wikipedia, ZTE Corporation 2017 Annual Report)

1. Anhui Wantong Information Systems Integration Company, Limited
2. Bestel Communications Ltd.
3. CASIC Shenzhen (Group) Company, Limited
4. Chengdu Zhongxing Software Company, Limited
5. Chengdu ZTE Software Company Limited
6. Enablence Technologies Inc.
7. Guangdong New Pivot Technology & Service Company Limited
8. Hengyang ICT Real Estate Co., Ltd.
9. Huanggang Education Valley Investment Holdings Co., Ltd.
10. Intlive Technologies (Private) Ltd.
11. Jiaxing Xinghe Capital Management Company Limited
12. Kaznurtel LLC
13. Kron Telekomunikasyon Hizmetleri A.S.
14. Laxense, Inc.
15. Nanjing Piaoxun Network Technology Co., Ltd.
16. Nationz Technologies, Inc. New Idea Investment Pte. Ltd.
17. Ningbo Zhongxing Technology Co., Ltd. Yunxiang
18. Nubia Technology Ltd.
19. OOO ZTE Russia Co., Limited
20. Pengzhong Xingsheng
21. Pt ZTE Indonesia
22. Puxing Mobil Tech Company Ltd.
23. S.C. First Project SA
24. Shanghai Xingfei Science And Technology Company, Limited
25. Shanghai Zhongxing Communication Co., Ltd.
26. Shanghai Zhongxing Qunli Information Technology Ltd.
27. Shanghai Zhongxing Telecom Equipment Technology & Service Company Limited
28. Shanghai Zte Technologies Co., Ltd.
29. Shenzhen Capital Group Co., Ltd.

30. Shenzhen Changfei Investment Company Limited
31. Shenzhen Force Science And Technology Co., Ltd.
32. Shenzhen Smart Electronics Ltd.
33. Shenzhen Weigao Semi-Conductor Technology Co., Ltd.
34. Shenzhen Zhongxing Hetai Hotel Investment and Management Co., Ltd.
35. Shenzhen Zhongxing ICT Company Limited
36. Shenzhen Zhongxing Microelectronic Technology Company Limited
37. Shenzhen Zhongxing Software Co., Ltd.
38. Shenzhen Zhongxing Telecom Technology & Services Co., Ltd.
39. Shenzhen Zhongxing Xinyu FPC Company Limited
40. Shenzhen ZTE Kangxun Telecom Co., Ltd.
41. Shenzhen ZTE Hetai Hotel Investment Management Ltd.
42. Sizhuo Zhongxing Hangzhou Technology Ltd.
43. Telecom Innovations
44. Wuxi Kaier Technology Co., Ltd.
45. Wuxi Taclink Optoelectronics Technology
46. Wuxi Zhongxing Optoelectronics Technologies Company Limited
47. Xi'an Zhongxing New Software Company Limited
48. Xian Zte Jingcheng Communication Company, Limited
49. Xingtian Communication Technology Tianjin Co., Ltd.
50. Yangzhou Zhongxing Mobile Telecom Equipment Co. Ltd.
51. Zhongxing Software Company Limited
52. Zhuhai Guangtong Automobile Co., Ltd
53. ZTE 9 (Wuxi) Co., Ltd.
54. Zte (Australia) Pty Ltd.
55. Zte Canada Inc.
56. ZTE Cooperatief U.A.
57. ZTE Corporation South Africa (PTY) Limited
58. Zte Czech, S.R.O.
59. ZTE Do Brasil Ltda.
60. ZTE Energy Co., Ltd.
61. ZTE Energy (Tianjin) Company Limited
62. ZTE France SASU
63. ZTE Ghana Limited
64. Zte Group
65. ZTE Group Finance Co., Ltd.
66. ZTE (Hangzhou) Company Limited
67. ZTE (Hong Kong) Ltd.
68. Zte Hrvatska D.O.O.
69. ZTE India R&D Center Private Limited

70. ZTE International Investment Co., Ltd.
71. ZTE Italy, S.r.l. ZTE Kangxun Telecom Company Limited
72. ZTE Malaysia Corporation SDN. BHD.
73. ZTE Mauritius Ltd.
74. ZTE Microelectronics Technology Co., Ltd.
75. ZTE Mobile Tech Company Limited
76. ZTE Netherlands B.V.
77. Zte Nigeria Investment LTD.
78. Zte Norway As
79. Zte Portugal Projectos De Telecomunicacoes, Unipessoal, Lda
80. Zte Romania Srl
81. ZTE Service Deutschland GmbH
82. Zte Singapore Pte. Ltd.
83. ZTE Software Technology (Nanchang) Co.
84. ZTEsoft Technology Company Limited
85. ZTE Supply Chain Co., Ltd
86. ZTE Sweden Ab
87. ZTE Technology & Service Company Limited
88. ZTE Telecom India Private Limited Company Limited
89. ZTE (Thailand) CO., Ltd
90. ZTE Ukraine LLC
91. ZTE (USA) Inc.