2013 UC Compliance & Audit Symposium – Costa Mesa
Monday (February 11)

Time			
8:00 - 9:00 AM	**CONTINENTAL BREAKFAST & REGISTRATION** **Catalina Foyer/Fountain Terrace**		
9:00 – 10:00 AM	**Investigations - Forensics** **w/ D Vilfer (Califorensics)*&**** **Catalina I**		**Communicating Policies –** **Best Practices** **w/ N Capell (UCOP) & Campus** **Policy Managers** **Bristol I**
10:00 – 11:00 AM			
11:00 AM - Noon		**Ten Things You MUST Know Before Taking** **Your Laptop Overseas Catalina II**	
Noon – 1:00 PM	**LUNCH** **Fountain Terrace**		
1:00 – 2:00 PM	**Investigations UC Panel including** **Police, Audit & Compliance** **w/ M Bathke (UCI), E Fischer (UCLA),** **J Young (UCLA), J Lohse (UCOP)*** **Catalina I**	**Export Control Compliance Tools (and** **Screening/Classification Techniques)** **w/ B Warshawsky (UCOP)**** **Catalina II**	**Communicating Policies –** **Best Practices** **(Continued)** **Bristol I**
2:00 – 3:00 PM			
3:00 – 4:00 PM		**International Travel –** **Tools and Case Study** **w/ C Lloyd, B Warshawsky (UCOP)** **Catalina II**	
4:00 – 5:00 PM			

(Location) – Campus Location or Business Affiliation
Session room locations in red
All sessions eligible for audit and compliance continuing education credit
*session eligible for HRCI continuing education credit
**session eligible for MCLE credit

2013 UC Compliance & Audit Symposium – Costa Mesa
Tuesday (February 12)

COMPLIANCE

Time				
7:00 - 8:00 AM	REGISTRATION & BREAKFAST Catalina Foyer/Fountain Terrace			
8:00 – 9:00 AM	General Session: *Higher Education: Our Future Challenges and Opportunities* C Zettel (Regent), S Vacca (UCOP), G Lucas (UCSB) Catalina I			
9:00 – 10:15 AM	General Session: *Organizational Misconduct…A New Perspective* Donald Palmer (UCD)* Catalina I			
10:15 – 10:30 AM	BREAK			
10:30 – 11:45 AM	Clery Act Compliance Challenges – Panel w/ P Roskowski (UCSF) Bristol III	HealthCare Compliance: Government Views w/ C Castles (Jones Day)** Catalina II	Making Ethical Decisions: Considering UC Values, Standards & Other Factors w/ T Bertram-Gallant (UCSD)* Catalina I	Export Control and the Nuances of FRE w/P Schlesinger (UCB), B Warshawsky (UCOP)** Bristol I
11:45 AM – 1:00 PM	LUNCH Fountain Terrace			
1:00 PM – 2:15 PM	Investigation Report Writing w/ J Lohse (UCOP), W Delmendo (UCD), B Cormier (UCLA)* Catalina I	What You Don't Know About the COI Code May Hurt You w/ K Quenneville, D Scannell (UCOP), V Dixon (UCSD)*&** Catalina II	Fraud Risk Management w/ M Jenson (UCR), S Vacca (UCOP)* Bristol III	Registration and Results Reporting on ClinicalTrials.gov: The UCSF and UC Davis Experience w/ D Owensby (UCD) Bristol I
2:20 – 3:30 PM	Animal Care and Use Regulations: Responding to the Challenges w/ M Fabian, J Goodwin, V Caiozzo (UCI)** Bristol I	Electronic Medical Records (EMR): New Age Freedom - Panel w/ M Arvin (UCLA), M Mallory (UCI) Catalina I	Navigating International Partnerships in the UC System: A Compliance Roadmap w/ K Atchison, C Fan, D Malilay, C Squire (UCLA) Catalina II	Laboratory Safety at UC: Past, Present and Future w/ E Young & K Smith (UCOP) Bristol III
3:30 – 3:45 PM	BREAK			
3:45 – 5:00 PM	General Session: *Compliance, Ethics & the Legal Requirements for Plain Language: How the Right Words Really Matter* w/ D Bosley (Plain Language Group) Catalina I			

2013 UC Compliance & Audit Symposium – Costa Mesa
Wednesday Morning (February 13)

COMPLIANCE					
7:00 - 8:00 AM		**REGISTRATION AND BREAKFAST** **Catalina Foyer/Fountain Terrace**			
8:00 – 9:15 AM	**Billing and Coding Roundtable - Advanced Topics** **Facilitator: R Meade (Meade, Roach & Annulis)** **Bristol I**	**Campus Auditors and Policy Officers - Reducing Campus Risks through Collaborative Partnerships** **w/ M Hicks (UCOP),** **R Catalano, (UCSF),** **M Clow (UCSB),** **M Theodossy (UCD)** **Catalina I**		**Equal Employment Opportunity Commission (EEOC) & Office of Federal Contract Compliance Programs (OFCCP)** **w/ S Reed (UCOP)*&**** **Bristol III**	
9:20 – 10:30 AM		**UC Response to Protests & Recommendations of the Robinson/Edley Report** **w/ L Tierney (UCOP)** **Catalina I**	**PHS COI and UC Compliance: How Are We Doing Now?** **w/ J Hall (UCOP),** **G Park (UCI)** **Catalina II**	**Violence Prevention** **w/ R Spaur (UCM)*** **Bristol III**	
10:30 – 10:45 AM		**BREAK**			
10:45 AM – Noon	**UC Records Management Compliance Concerns** **w/ L Sletten (UCOP)*** **Catalina I**	**Now You See It, Now You Don't: Response to a Data Breach** **w/C Washington (UCOP),** **M Arvin (UCLA)** **Catalina II**	**"Can they do that?" - The Social Media Challenge** **w/ M Clow (UCSB)*** **Bristol III**	**Recordkeeping Matters: FDA Part 11 Compliance** **w/ R Nosowsky (UCOP)**** **Bristol I**	

2013 UC Compliance & Audit Symposium – Costa Mesa
Wednesday Afternoon (February 13)

<table>
<tr>
<td rowspan="7">AUDIT</td>
<td>Noon – 1:00 PM</td>
<td colspan="2">LUNCH AND SPEAKER w/ R Meade (Meade, Roach & Annulis)
Fountain Terrace</td>
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<tr>
<td>1:10 – 2:00 PM</td>
<td>Export Controls I - Overview of Risks
w/ B Warshawsky (UCOP), M Copeland (UCI)**
Catalina I</td>
<td>How to Kick Start Your Audit Planning and Risk Assessment
w/ J Jue (UCB), D Meier (UCSD)
Catalina II</td>
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<tr>
<td>2:00 – 2:15 PM</td>
<td colspan="2">BREAK</td>
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<tr>
<td>2:15 – 3:05 PM</td>
<td>Export Controls II -Auditing Export Controls
w/ T Hamilton (LBL), B Long (UCSC),
R Tarsia (UCSB), H Templin (UCI),
B Warshawsky (UCOP)
Catalina I</td>
<td>Performance Management: Theories, Realities & Approaches to Auditing
w/ J Jones (UCB), T Kucker (UCM)
Catalina II</td>
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<td>3:10 – 4:00 PM</td>
<td>P200 Plan - Improving & Sustaining the Procurement Process
w/ H Hisgilov (UCOP)
Catalina I</td>
<td>Memorandums of Understanding & Special Agreements: Understanding the Risks
w/ G Crickette, N Hamill (UCOP)
Catalina II</td>
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<tr>
<td>4:10 – 8:00 PM</td>
<td colspan="2">Dinner & Group Activity:
Developing A Consultative Approach to Audits and Special Projects
w/ J Pastor (JPA International, Inc.)
Catalina I</td>
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2013 UC Compliance & Audit Symposium – Costa Mesa
Thursday (February 14)

AUDIT			
7:30 - 8:30 AM	**BREAKFAST** **Pacific Ballroom I**		
8:30 – 9:20 AM	**Navigating Thunderstorms: Managing Risks in the Cloud** w/ D Kinser, J McDonald (UCSD) **Pacific Ballroom II**	**Emerging Healthcare Issues: How Will They Impact Hospital Reimbursement?** w/ L Laubach, S Hartzel (Moss Adams) **Pacific Ballroom III**	
9:25 – 10:15 AM	**Visa Regulations: What Auditors Need to Know** w/ L Yorita (UCLA) **Pacific Ballroom II**	**Tips for Success: Outpatient Treatment Site Audits** w/ S Mancera (UCLA) **Pacific Ballroom III**	
10:15 – 10:30 AM	**BREAK**		
10:30 – 11:20 AM	**Keeping the Aid Office OFF the Front Page: Financial Aid, Regulations and Audits** w/ L Yorita (UCLA) **Pacific Ballroom II**	**Mobile Device Security - Is There an App for That?** w/ D Curry (UCLA), G Loge (UCD) **Pacific Ballroom III**	
11:25 AM – 12:15 PM	**Research: Risks and Hot Topics** w/ K Allen, B Morgan, N Lewis (UCI) **Pacific Ballroom II**	**Epic Revenue Cycle Auditing – Where Do I Start?** w/ H Collins (UCSF) **Pacific Ballroom III**	
12:15 – 1:15 PM	**LUNCH AND SPEAKER w/ N Brostrom (UCOP)** **Pacific Ballroom I**		
1:15 – 2:05 PM	**International Activities I – Panel** w/ R Manlapaz, E Auriti, D Pichla & M Hicks (UCOP) **Pacific Ballroom II**	**Accuracy in Research Billing** w/ R Meade (Meade Roach) **Pacific Ballroom III**	
2:10 – 3:00 PM	**International Activities II - Emerging Risks & Audit Involvement with International Activities w/ J Jue (UCB)** **Pacific Ballroom II**		
3:00 – 3:15 PM	**BREAK**		
3:15 – 4:05 PM	**How to Add Value in a Construction Audit** w/ G Buchanan, J Gillie (UCSD) **Pacific Ballroom II**	**Getting Started with Data Analytics** w/ J Oringel (Visual Risk IQ) **Pacific Ballroom III**	
4:10 – 5:00 PM	**Online Education: Addressing Emerging Trends and Risks in Higher Education** w/ J Jue (UCB) **Pacific Ballroom II**	**Effective Use of Data Mining Tools in Audits** w/ T Poon & M Lee (UCSF), L Bishin (UCR) **Pacific Ballroom III**	