

2013 UC Compliance & Audit Symposium – San Francisco

Monday (January 28)

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|------------------|---|---|--|
| 8:00 - 9:00 AM | CONTINENTAL BREAKFAST & REGISTRATION Reflection Foyer | | |
| 9:00 – 10:00 AM | Investigations - Forensics w/ D Vilfer (Calforensics)*&** Reflection I & II | | Research Compliance Advisory Committee In-Person Meeting [Only open to RCAC members] Prism |
| 10:00 – 11:00 AM | | | |
| 11:00 AM - Noon | | | |
| Noon – 1:00 PM | LUNCH Reflection I & II | | |
| 1:00 – 2:00 PM | Investigations UC Panel including Police, Audit & Compliance w/ P Berlin & R Catalano (UCSF), L Kraus (UCD), J Lohse (UCOP)* Reflection I & II | Export Control Compliance Tools (and Screening/Classification Techniques) w/ B Warshawsky (UCOP)** Reflection III | Research Compliance Advisory Committee In-Person Meeting (Continued) |
| 2:00 – 3:00 PM | International Travel – Tools and Case Study w/ C Lloyd, B Warshawsky (UCOP) Reflection III | | |
| 3:00 – 4:00 PM | | | |
| 4:00 – 5:00 PM | | | |

(Location) – Campus Location or Business Affiliation

Session room locations in red

All sessions eligible for audit and compliance continuing education credit

*session eligible for HRCI continuing education credit

**session eligible for MCLE credit

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COMPLIANCE

| | | | | |
|--------------------|---|--|---|---|
| 7:00 - 8:00 AM | REGISTRATION & BREAKFAST Spectrum | | | |
| 8:00 – 9:00 AM | General Session: <i>Higher Education: Our Future Challenges and Opportunities</i> C Zettel (Regent), S Vacca (UCOP), N Brostrom (UCOP) Reflection I & II | | | |
| 9:00 – 10:15 AM | General Session: <i>Organizational Misconduct...A New Perspective</i> Donald Palmer (UCD)* Reflection I & II | | | |
| 10:15 – 10:30 AM | BREAK | | | |
| 10:30 – 11:45 AM | Clery Act Compliance Challenges – Panel w/ P Roskowski (UCSF), D Zuidema (UCSC) Prism | HealthCare Compliance: Government Views w/ C Castles (Jones Day)** Glimmer | Making Ethical Decisions: Considering UC Values, Standards & Other Factors w/ T Bertram-Gallant (UCSD)* Reflection I & II | Export Control and the Nuances of FRE w/P Schlesinger (UCB), B Warshawsky (UCOP)** Reflection III |
| 11:45 AM – 1:00 PM | LUNCH AND SPEAKER w/ Peter Taylor (UCOP) Spectrum | | | |
| 1:00 PM – 2:15 PM | Investigation Report Writing w/ J Lohse (UCOP), W Delmendo (UCD), B Cormier (UCLA)* Reflection III | What You Don't Know About the COI Code May Hurt You w/ S Ngai, D Scannell (UCOP), V Dixon (UCSD)*&** Reflection I & II | Fraud Risk Management w/ M Jenson (UCR), S Vacca (UCOP)* Prism | Registration and Results Reporting on ClinicalTrials.gov: The UCSF and UC Davis Experience w/ M Berro (UCSF), D Owensby (UCD) Glimmer |
| 2:20 – 3:30 PM | Animal Care and Use Regulations: Responding to the Challenges w/ A Ekstrand & V Lukas (UCD)** Glimmer | Electronic Medical Records (EMR): New Age Freedom - Panel w/ M Arvin (UCLA), E Kahaner (UCSF) Reflection III | Navigating International Partnerships in the UC System: A Compliance Roadmap w/ G Schnetzler, G Lopez, M Berro & B Flynn (UCSF) Reflection I & II | Laboratory Safety at UC: Past, Present and Future w/ E Young & K Smith (UCOP) Prism |
| 3:30 – 3:45 PM | BREAK | | | |
| 3:45 – 5:00 PM | General Session: <i>Compliance, Ethics & the Legal Requirements for Plain Language: How the Right Words Really Matter</i> w/ D Bosley (Plain Language Group) Reflection I & II | | | |

2013 UC Compliance & Audit Symposium – San Francisco

Wednesday Morning (January 30)

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|------------|------------------|---|---|---|---|
| COMPLIANCE | 7:00 - 8:00 AM | REGISTRATION AND BREAKFAST Spectrum | | | |
| | 8:00 – 9:15 AM | Billing and Coding Roundtable - Advanced Topics Facilitator: R Meade (Meade Roach) Glimmer | Campus Auditors and Policy Officers - Reducing Campus Risks through Collaborative Partnerships w/ M Hicks (UCOP), R Catalano, (UCSF), M Clow (UCSB), W Riley (UCB), M Theodossy (UCD) Reflection III | Research Misconduct: Case Study w/ M Generales (UCSD) Reflection I & II | Equal Employment Opportunity Commission (EEOC) & Office of Federal Contract Compliance Programs (OFCCP) w/ M Belk (UCOP)*&** Prism |
| | 9:20 – 10:30 AM | | UC Response to Protests & Recommendations of the Robinson/Edley Report w/ L Tierney (UCOP) Reflection III | PHS COI and UC Compliance: How Are We Doing Now? w/ J Hall (UCOP), E Boyd (UCSF) Reflection I & II | Violence Prevention w/ R Spaur (UCM)* Prism |
| | 10:30 – 10:45 AM | BREAK | | | |
| | 10:45 AM – Noon | UC Records Management Compliance Concerns w/ L Sletten (UCOP)* Reflection III | Now You See It, Now You Don't: Response to a Data Breach w/C Washington (UCOP), D Yano-Fong (UCSF) Prism | "Can they do that?" - The Social Media Challenge w/ M Clow (UCSB)* Reflection I & II | Recordkeeping Matters: FDA Part 11 Compliance w/ R Nosowsky (UCOP)** Glimmer |

2013 UC Compliance & Audit Symposium – San Francisco

Wednesday Afternoon (January 30)

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| AUDIT | Noon – 1:00 PM | LUNCH AND SPEAKER John Stobo (UCOP) Spectrum | |
| | 1:10 – 2:00 PM | Export Controls I - Overview of Risks w/ B Warshawsky (UCOP), L DeMattos (UCOP)** Reflection I & II | How to Kick Start Your Audit Planning and Risk Assessment w/ J Jue (UCB), D Meier (UCSD) Reflection III |
| | 2:00 – 2:15 PM | BREAK | |
| | 2:15 – 3:05 PM | Export Controls II -Auditing Export Controls w/ T Hamilton (LBL), B Long (UCSC), R Tarsia (UCSB), H Templin (UCI), B Warshawsky (UCOP) Reflection III | Performance Management: Theories, Realities & Approaches to Auditing w/ J Jones (UCB), T Kucker (UCM) Reflection I & II |
| | 3:10 – 4:00 PM | P200 Plan - Improving & Sustaining the Procurement Process w/ H Hisgilov (UCOP) Reflection III | Memorandums of Understanding & Special Agreements: Understanding the Risks w/ G Crickette, N Hamill (UCOP) Reflection I & II |
| | 4:10 – 8:30 PM | Dinner & Group Activity: <i>Developing A Consultative Approach to Audits and Special Projects</i> w/ J Pastor (JPA International, Inc.) Spectrum | |

2013 UC Compliance & Audit Symposium – San Francisco

Thursday (January 31)

AUDIT

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|---------------------|---|---|
| 7:30 - 8:30 AM | BREAKFAST Reflection Foyer | |
| 8:30 – 9:20 AM | Navigating Thunderstorms: Managing Risks in the Cloud w/ D Kinser, J McDonald (UCSD) Reflection I & II | Emerging Healthcare Issues: How Will They Impact Hospital Reimbursement? w/ L Laubach, S Hartzel (Moss Adams) Reflection III |
| 9:25 – 10:15 AM | Visa Regulations: What Auditors Need to Know w/ L Yorita (UCLA) Reflection I & II | Tips for Success: Outpatient Treatment Site Audits w/ S Mancera (UCLA) Reflection III |
| 10:15 – 10:30 AM | BREAK | |
| 10:30 – 11:20 AM | Keeping the Aid Office OFF the Front Page: Financial Aid, Regulations and Audits w/ S Dimotakis (UCLA) Reflection III | Mobile Device Security - Is There an App for That? w/ D Curry (UCLA), G Loge (UCD) Reflection I & II |
| 11:25 AM – 12:15 PM | Research: Risks and Hot Topics w/ K Allen, B Morgan, N Lewis (UCI) Reflection I & II | Epic Revenue Cycle Auditing – Where Do I Start? w/ H Collins (UCSF) Reflection III |
| 12:15 – 1:15 PM | LUNCH AND SPEAKER Joe Ford (Bank of the West) (N) Reflection I & II | |
| 1:15 – 2:05 PM | International Activities I – Panel w/ R Manlapaz, E Auriti, B Bock & M Hicks (UCOP) Reflection I & II | Accuracy in Research Billing w/ R Meade (Meade Roach) Reflection III |
| 2:10 – 3:00 PM | International Activities II - Emerging Risks & Audit Involvement with International Activities w/ W Riley, J Jue (UCB) Reflection I & II | |
| 3:00 – 3:15 PM | BREAK | |
| 3:15 – 4:05 PM | How to Add Value in a Construction Audit w/ G Buchanan, J Gillie (UCSD) Reflection III | Getting Started with Data Analytics w/ J Oringel (Visual Risk IQ) Reflection I & II |
| 4:10 – 5:00 PM | Online Education: Addressing Emerging Trends and Risks in Higher Education w/ W Riley, J Jue (UCB) Reflection III | Effective Use of Data Mining Tools in Audits w/ T Poon & M Lee (UCSF), L Bishin (UCR) Reflection I & II |