

FY	LOB	LOC	Prj Code	Name/Title of Audit	Planned Hours	Primary Index Code	Est. Qtr Completion
<b><u>Prior Year Audits Not Completed (DNFs):</u></b>							
FY18	Campus	UCB	DNF	General	300.0		1
<b>(a) Subtotal - Planned Carry Forward</b>					300.0		
<b><u>Planned New Audits (PNs):</u></b>							
FY18	Campus	UCB	PN	Affiliated Organizations Berkeley Resource Center for Online Education and New Academic	300.0	H.h.02	4 Dorothy
FY18	Campus	UCB	PN	Ventures	300.0	A.c.05	4 Jennifer
FY18	Campus	UCB	PN	Bowles Hall	300.0	H.h.02	3 Dorothy
FY18	Campus	UCB	PN	Business Continuity	300.0	N.c.04	2 Robert
FY18	Campus	UCB	PN	Campus Shared Services	400.0	A.b.01	3 Dorothy
FY18	Campus	UCB	PN	Human Resources — Management and Supervisor Training	300.0	J.b.02	2 Dorothy
FY18	Campus	UCB	PN	Information and Privacy — Data Usage in Online Services	300.0	G.a.01	4 Chad
FY18	Campus	UCB	PN	Information and Technology — Electronic Data Warehouse and			
FY18	Campus	UCB	PN	Business Intelligence Tools	300.0	G.a.02	3 Chad
FY18	Campus	UCB	PN	Organized Research Units	300.0	E.c.01	3 Jennifer
FY18	Campus	UCB	PN	Scholarships	300.0	O.a.02	4 Robert
FY18	Campus	UCB	PN	Self-Supporting Operations	300.0	O.c	2 Jennifer
FY18	Campus	UCB	PN	Student Information System Post Implementation Review	300.0	G.b.04	2 Chad
FY18	Campus	UCB	PN	Executive Compensation and Chancellor Associate, system	300.0	J.b.06	3 Robert
FY18	Campus	UCB	PN	Outside Professional Activities, system	50.0	J.b.06	3 Robert
FY18	Campus	UCB	PN	Fair Work/Fair Wage, system	150.0	H.f.04	4 Chad
<b>(b) Subtotal - Planned New Audits</b>					4200.0		
FY18	Campus	UCB	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>	700.0		
FY18	Campus	UCB	PNF	<b>(d) Audit Follow Up (lump sum)</b>	402.0		
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>					5602.0		
<b><u>Planned Advisory Services:</u></b>							
FY18	Campus	UCB	SC	Operational Efficiency	300	N.e.03	2 Jennifer
FY18	Campus	UCB	SC	Vice Chancellor Transition Reviews	600	N.e.05	1 Jaime
FY18	Campus	UCB	SC	IDC Recovery Data Analysis	100	E.d.07	1 Robert
<b>(e) Subtotal - Planned Advisory Services</b>					1000		
<b><u>Unplanned Advisory Services (by Category) <sup>(2)</sup></u></b>							
FY18	Campus	UCB	SC	<b>Unplanned SC</b>	524		
FY18	Campus	UCB	SE	<b>Unplanned SE</b>	200		
FY18	Campus	UCB	SI	<b>Unplanned SI</b>	200		
FY18	Campus	UCB	SP	<b>Unplanned SP</b>	40		
FY18	Campus	UCB	SU	<b>Unplanned SU</b>	200		
FY18	Campus	UCB	SR	<b>Unplanned SR</b>	0		
<b>(f) Subtotal - Unplanned Advisory Services</b>					1164		
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>					2164		
FY18			IN	<b>Planned Investigation Hours (lump sum)</b>	400		
<b>Total Audits, Advisory Services, and Investigations</b>					8166.0		

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(\*)</sup> 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

<sup>(3)</sup> IT Indicators: A – application review  
I – integrated review  
T – technical review  
S – system development or post-implementation review  
G – general controls review