
UNIVERSITY OF CALIFORNIA
OFFICE OF THE PRESIDENT



UNIVERSITY OF CALIFORNIA
INSTITUTIONAL FINANCIAL AID
PROGRAMS

*A Report to the Governor and Legislature
in Response to Supplemental Report Language
to the 2005-06 State Budget Act*

OFFICE OF THE VICE PRESIDENT
STUDENT AFFAIRS
STUDENT FINANCIAL SUPPORT

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OVERVIEW

Supplemental Report Language to the 2005-06 Budget Act directs the University of California to report to the Legislature each year on its institutional aid programs. This document responds to that request by providing specific statistics and other information requested by representatives from the California Department of Finance, the Office of the Legislative Analyst, and other parties. Section numbers correspond to items in the complete set of requested statistics, attached as Appendix A.

The Goals of the University's Undergraduate and Graduate Financial Aid Programs

The financial aid programs available to University of California students do more than provide individual students with financial assistance to help cover their expenses. Considered together, they are critical to the University's success in carrying out its missions of instruction and research. Viewing undergraduate and graduate financial aid programs in terms of the University's missions is fundamental to understanding these programs and their success.

The University's Instructional Mission and Financial Assistance for Undergraduates

California's Master Plan for Higher Education calls for the University to select its undergraduates from among the top one-eighth of graduating high school seniors. The University's undergraduate financial assistance program is built around the goal of ensuring that financial concerns are not a barrier to eligible students choosing to attend the University. Consequently, most undergraduate financial assistance at UC is distributed on the basis of family financial circumstances. This assistance is intended to make the University accessible to students who could not otherwise afford to attend UC.

The University's Research Mission and Financial Assistance for Graduate Students

The University's graduate student enrollment is tied most directly to the University's research mission. The first point of enrolling graduate students is not to serve a designated pool of Californians – indeed, there is no Master Plan goal for graduate enrollment analogous to that for undergraduate enrollment – but rather to further both the University's role in helping the state meet its professional workforce needs and the University's research mission, which makes important contributions to the California economy. These contributions are maximized when the University attracts the top candidates from the pool of prospective graduate level students.

This means that the goal of graduate financial support differs substantially from that of undergraduate support. Graduate financial support is intended not simply to make the University accessible, but also to serve as an important recruitment tool, the success of which is tied closely to whether UC's offers of financial assistance are competitive with those made by other universities competing for the same students. Thus, while most graduate students are needy, graduate level assistance at UC is distributed largely based on merit in order to increase its effectiveness at recruiting strong graduate students.

I. INSTITUTIONAL AID FOR UNDERGRADUATE STUDENTS

1. Basic Information on budget proposal

1.1 The dollar amount of the proposed budget-year change in UC institutional aid (from 2005-06 to 2006-07) is \$1,892,042 to support new enrollment.

1.2 The base budget for UC institutional aid has been/will be:

2004-05	\$229,860,443
2005-06	\$252,536,091
2006-07 (projected)	\$254,428,133

Budgeted institutional financial aid primarily represents the University Student Aid Program (USAP). It also includes \$10.4M in budgeted programs also funded by the Education and Registration Fees that were decentralized to the UC campuses in 1998 (e.g., the Regents' Scholarship Program), as well as \$473,500 in scholarships for students studying abroad and in Washington D.C. Excluded are all non-budgeted forms of support, such as gifts, endowment income, or local campus funds used for student financial support. Figures are for the academic year only.

1.3 No policy changes are planned for 2006-07 UC undergraduate institutional financial aid support. UC had initially planned to implement a \$2M program to help offset the fee increase for needy middle-income students. However, with no fee increase now anticipated for 2006-07, there is no need for this program. Additional University Student Aid Program dollars for undergraduate students will be awarded on the basis of the principles outlined in the Education Financing Model (EFM).

2. Basic Information on Impact of Budget Proposal

	Prior Academic Year (2004-05) (Actual)	Current Academic Year (2005-06) (Estimated)	Budget Academic Year (2006-07) (Estimated)
2.1 Number of UC need-based grant recipients*	53,086	52,393	52,505
2.2 Average UC grant amounts	\$3,718	\$4,151	\$4,187
2.3 Minimum grant**	\$375	No change	No change
Maximum grant**	\$9,065	\$9,498	\$9,534
2.4 Work-loan			
Range minimum	\$6,102	\$6,216	\$6,125
Range maximum	\$11,760	\$11,959	\$12,323
Expectation	\$8,850	\$9,330	\$9,880
Percent in range	48.6%	54.2%	60.6%
Work			
Range minimum (6 hrs. per week)	\$2,732	\$2,859	\$2,128
Range maximum (20 hrs. per week)	\$5,693	\$5,916	\$5,567
Expectation	\$4,170	\$4,516	\$4,211
Percent in range	48.6%	54.2%	60.6%
Loans			
Range minimum (5% of post-graduation salary)	\$3,370	\$3,357	\$3,997
Range maximum (9% of post-graduation salary)	\$6,067	\$6,043	\$6,756
Expectation	\$4,680	\$4,814	\$5,669
Percent in range	48.6%	54.2%	60.6%

* Headcount of need-based grant recipients

** Maximum and minimum represent the 95th and 5th percentiles, respectively

Explanatory Notes

The loan and work expectation in 2004-05 presented here has been revised from earlier estimates. Actual data from the 2004-05 academic year were made available for the first time in January 2006. The 2004-05 estimate is lower than the earlier estimate (\$9,172) for three reasons. First, parent contributions increased faster than expected (3.15%), due presumably to the improving economy. Second, the anomalous admissions cycle in 2004-05 resulted in a lower proportion of first-year students than was anticipated. This

had a significant impact on financial aid simulations because it meant that the proportion of UC Cal Grant B students with fee coverage was higher than it has been in prior years. This meant that UC students were eligible for more in Cal Grant funding than was initially anticipated. Finally, consistent with fewer new freshmen, who typically live on-campus, it appears that a greater proportion of students were choosing lower-cost options (living off-campus or with their parents) than would have been predicted using the data that were available before January.

The figures simulated for 2005-06 and 2006-07 recognize the increase in parent contributions among UC grant-eligible students noted above. This, it is assumed, is part of the growing economy and is a “real” change and explains the estimated slight drop in the number of students eligible for UC grant in 2005-06. However, the composition of Cal Grant B students was modeled on the 2003-04 academic year rather than the 2004-05 academic year, which appears to be an anomaly.

The loan/work expectation in 2004-05 was nearly \$300 higher than that in 2003-04. While the University only used 20% of its new fee revenue for financial aid that year, it also re-directed funding previously used (in 2003-04) for a middle-income grant program towards low-income students in 2004-05. The increases between 2005-06 and 2006-07 (\$480 and \$550, respectively) are approximately the increase in non-fee related costs (e.g., books and supplies, living costs) experienced by UC students. This is expected since a 25% return-to-aid is enough to cover the fee increases in 2005-06 and no fee increases will be experienced in 2006-07.

The University modified the way it calculates the manageable range of working and borrowing slightly for 2006-07. The new range of manageable working was revised downward because the number of weeks that a student is expected to work over twelve months was reduced from 45 to 41 (four fewer weeks of full-time work in the summer and three more weeks of part-time work during the academic year). The new range of manageable borrowing, on the other hand, was revised upward in recognition of the recent low interest rates on student loans. A new assumption was also incorporated that students would experience salary increases during their years of loan repayment (affects the measure of the affordability of their in-school borrowing). Together, these changes do not lead to any significant change in the measure of overall manageability working and borrowing of the actual work/loan expectation (the position in the manageable range). The changes do, however, change the proportion of the work and loan expectation that is met by each component: the loan component increased and the work component decreased.

2.5 For prior year (2004-05), data on UC need-based grant recipients:

	Minimum [†]	Average	Maximum [†]
Income*	\$0	\$31,338	\$76,852
Estimated family contribution**	\$0	\$2,476	\$9,438
Financial need**	\$8,730	\$17,527	\$24,445
Average Age (as of 9/1/04)	18.00	21.32	27.87
Average Cumulative GPA (UC courses)	2.00	2.94	3.79

* Income measured as parental income for dependent students and student income for independent students

** Based on federal methodology

† Maximum and minimum represent the 95th and 5th percentiles, respectively

2.6 For the current year (2005-06) and the budget year (2006-07) these profiles are expected to change very little. UC has seen a small drop in the percent of its students who are low-income in 2004-05 and will continue to watch this trend. However, evidence suggests that, rather than a change in the kind of students we are enrolling, this is an artifact of the improving economy in California as a whole. UC does expect a drop in the number of its student eligible for Pell Grants as a result of the update to state tax allowances in federal need analysis.

3. Basic information on impact of any change to budget proposal

For every 1% change in return-to-aid:

3.1 The “return-to-aid” on UC fees has always applied to the new revenue only. Therefore, because the state has agreed to “buy out” the anticipated fee increases, there will be no return-to-aid in 2006-07 upon which to simulate the effects on students of a 1% increase or decrease.

4. Mandatory Fee Waiver/Exemption programs

Data are provided below for the Cal Vet and AB 540 programs, which are the University’s two largest waiver/exemption programs. The University is in the process of collecting more detailed information about other much smaller fee and tuition waiver/exemption programs, but this information is not yet available.

2002-03 Academic Year

	Cal Vet	AB 540
4.1 Number of recipients	2,596	561
4.2 Minimum amount*	\$1,749	\$4,160
4.2 Average amount	\$3,373	\$11,192
4.2 Maximum amount*	\$3,564	\$12,395
4.3 Aggregate cost of program	\$8,757,781	\$6,278,870
For UC need-based aid recipients only:		
4.4 Number of need-based recipients	685	184
4.5 Minimum income*	\$0	\$0
Average income	\$47,886	\$22,711
Maximum income*	\$101,000	\$53,000
Minimum EFC*	\$0	\$0
Average EFC	\$4,414	\$1,660
Maximum EFC*	\$11,331	\$7,672
Minimum Age*	17.96	17.94
Average Age (as of 9/1/02)	20.70	20.50
Maximum Age*	24.64	25.71
Minimum Cumulative GPA*	1.95	1.99
Average Cumulative GPA	2.84	2.9
Maximum Cumulative GPA*	3.7	3.72

* Maximum and minimum represent the 95th and 5th percentiles, respectively.

2003-04 Academic Year

	Cal Vet	AB 540
4.1 Number of recipients	2,896	781
4.2 Minimum amount*	\$40	\$4,737
4.2 Average amount	\$4,191	\$11,322
4.2 Maximum amount*	\$4,984	\$14,210
4.3 Aggregate cost of program	\$12,137,247	\$8,842,289
For UC need-based aid recipients only:		
4.4 Number of need-based recipients	744	248
4.5 Minimum income*	\$0	\$0
Average income	\$51,467	\$21,409
Maximum income*	\$103,906	\$64,718
Minimum EFC*	\$0	\$0
Average EFC	\$5,252	\$1,803
Maximum EFC*	\$12,578	\$8,587
Minimum Age*	17.95	18.02
Average Age (as of 9/1/03)	20.77	20.68
Maximum Age*	25.32	25.78
Minimum Cumulative GPA*	1.92	1.73
Average Cumulative GPA	2.81	2.91
Maximum Cumulative GPA*	3.65	3.83

* Maximum and minimum represent the 95th and 5th percentiles, respectively

2004-05 Academic Year

	Cal Vet	AB 540
4.1 Number of recipients	2,483	1,027
4.2 Minimum amount*	\$2,842	\$5,656
4.2 Average amount	\$5,377	\$15,258
4.2 Maximum amount*	\$5,684	\$16,956
4.3 Aggregate cost of program	\$13,350,066	\$15,670,011
For UC need-based aid recipients only:		
4.4 Number of need-based recipients	597	297
4.5 Minimum income*	\$0	\$0
Average income	\$49,170	\$23,986
Maximum income*	\$102,042	\$70,000
Minimum EFC*	\$0	\$0
Average EFC	\$4,634	\$2,292
Maximum EFC*	\$13,062	\$11,243
Minimum Age*	18.04	18.20
Average Age (as of 9/1/04)	20.86	20.76
Maximum Age*	25.36	24.43
Minimum Cumulative GPA*	2.03	1.91
Average Cumulative GPA	2.87	2.93
Maximum Cumulative GPA*	3.67	3.77

* Maximum and minimum represent the 95th and 5th percentiles, respectively

5. Putting Institutional Aid Proposal in Broader Context

5.1 Need-based aid recipients, family resources, costs, and gift aid

	<i>Academic year 2003-04 (Actual)</i>	<i>Academic Year 2004-05 (Actual)</i>	<i>Academic Year 2005-06 (Estimated)</i>
Total # recipients of need-based aid*	79,627	78,955	81,340
(a) Aggregate Cost of attendance	\$1,442,739,984	\$1,553,749,199	\$1,686.4 million
(b) Aggregate EFC	\$302,928,926	\$308,988,414	\$339.0 million
(c) Aggregate need (a-b)	\$1,139,811,058	\$1,244,760,785	\$1,347.5 million
(d) aggregate Federal gift aid	\$160,134,890	\$157,385,331	\$151.9 million
(e) aggregate state gift aid	\$203,690,448	\$243,128,655	\$246.7 million
Cal Grants	\$194,920,990	\$234,128,655	\$237.7 million
Other state aid	\$8,769,457	\$9,111,355	\$9 million
(f) aggregate UC need-based grants	\$187,250,969	\$197,354,322	\$220.0 million
(g) aggregate UC merit-based awards	\$31,641,446	\$38,246,718	\$39.1 million
(h) aggregate other UC gift aid	\$11,800,352	\$13,784,157	\$14.1 million
(i) aggregate private gift aid	\$16,588,643	\$16,468,271	\$16.9 million
(j) aggregate remaining need to be met by work, borrowing or other means (c – [d through i])	\$528,704,311	\$578,393,330	\$658.7 million

* Headcount of students who received need-based aid and whose files had complete cost of attendance and EFC data.

5.2 Other Financial Aid

	<i>Academic Year 2003-04</i>	<i>Academic Year 2004-05</i>	<i>Academic Year 2005-06 (Estimated)</i>
Work study	\$25,407,549	\$24,724,488	\$25.4 million
Federal	\$23,786,787	\$22,594,344	\$22.6 million
Other	\$1,620,762	\$2,130,144	\$2.8 million
Loans	\$339,965,321	\$361,297,252	\$391.2 million
Federal Subsidized	\$230,367,856	\$236,723,035	\$243.3 million
Federal Unsubsidized	\$31,661,236	\$30,016,581	\$32.0 million
UC	\$1,305,794	\$1,208,360	\$1.2 million
Outside agency	\$6,702,094	\$10,662,373	\$17.0 million
Parent (PLUS)	\$69,928,341	\$82,686,903	\$97.8 million

5.3 Typical financial aid packages

Note: Federal SEOG funds are awarded on the same basis as UC grants and are included in the UCgrant figures below.

2003-04 Academic Year

Family Income

	<i>\$20,000</i>	<i>\$40,000</i>	<i>\$60,000</i>	<i>\$80,000</i>
On-campus Budget	\$19,300	\$19,300	\$19,300	\$19,300
Parent Contribution	\$0	\$1,765	\$5,690	\$11,400
Pell Grant	\$4,050	\$2,300	\$0	\$0
Cal Grant	\$6,535	\$4,984	\$4,984	\$0
UC Grant	\$155	\$1,691	\$66	\$0
Loan/Work	\$8,560	\$8,560	\$8,560	\$7,900 ¹

2004-05 Academic Year

Family Income

	<i>\$20,000</i>	<i>\$40,000</i>	<i>\$60,000</i>	<i>\$80,000</i>
On-campus Budget	\$20,930	\$20,930	\$20,930	\$20,930
Parent Contribution	\$0	\$1,770	\$5,640	\$12,610
Pell Grant	\$4,050	\$2,300	\$0	\$0
Cal Grant	\$7,235	\$5,684	\$5,684	\$0
UC Grant	\$795	\$2,326	\$756	\$0
Loan/Work	\$8,850	\$8,850	\$8,850	\$8,320

¹ Conceptually, the University expects all its students to work and borrow the same amount. However, for higher-income families, the combination of the loan/work expectation and the expected parent contribution may exceed the cost of attendance. In these cases, either the parent or the student will be able to finance a UC education for less than their full expectation. In the examples here, the loan/work has been reduced and the federal parent contribution held constant

2005-06 Academic Year

Family Income

	<i>\$20,000</i>	<i>\$40,000</i>	<i>\$60,000</i>	<i>\$80,000</i>
On-campus Budget	\$22,190	\$22,190	\$22,190	\$22,190
Parent Contribution	\$0	\$2,460	\$5,730	\$13,140
Pell Grant	\$4,050	\$1,600	\$0	\$0
Cal Grant	\$7,692	\$6,141	\$6,141	\$0
UC Grant	\$1,118	\$2,659	\$989	\$0
Loan/Work	\$9,330	\$9,330	\$9,330	\$9,050

II. INSTITUTIONAL AID FOR GRADUATE ACADEMIC STUDENTS

1. Basic Information on budget proposal

1.1 The dollar amount of the proposed budget-year change in UC institutional aid (from 2005-06 to 2006-07) is \$2,594,651 to support new enrollment.

1.2 The base budget for UC institutional aid has been/will be:

2004-05	\$85,727,087
2005-06	\$91,023,302
2006-07 (projected)	\$94,677,325

Budgeted institutional financial aid primarily represents the University Student Aid Program (USAP). However, it also includes \$26.6M in budgeted programs also funded by the Education and Registration Fees that were decentralized to the UC campuses in 1998 (e.g., the Graduate Block Grant), as well as \$133,333 in scholarships for students studying in Washington D.C. Excluded are all non-budgeted forms of support, such as gifts, endowment income, or local campus funds used for student financial support. Figures are for the academic year only.

1.3 UC is proposing three changes in policy that would affect UC institutional aid in 2006-07. In 2006-07, UC non-resident tuition for non-California resident graduate students will remain the same as in 2005-06. In addition, international Ph.D. students who advance to candidacy will have no non-resident tuition charged. In the past, these students had their nonresident tuition reduced to 25% of the total. Finally, any savings realized as a result of strategic sourcing on each campus will be used to support graduate students.

2. Basic Information on Graduate Academic Student Support

	2002-03	2003-04	2004-05††
Number of recipients* of UC scholarships, fellowships, or grants	14,382	15,387	14,660
Average amount of UC awards***	\$9,475	\$10,074	\$10,563
Maximum** UC award	\$28,632	\$30,808	\$32,633
Per capita net stipend (gift aid, TA or RA compensation less fees)†	\$12,660	\$12,684	\$13,152

* Based on full-year equivalent enrollment during the year indicated.

** Based on 95th percentile of award recipients

*** Based on full-year equivalent enrollment.

† Per capita figures include all students (not just aid recipients).

†† Figures for 2004-05 are for the academic year only (excluding summer) because full-year data are not available as of January 2006. Also, beginning in 2004-05, net stipend figures include the value of fee exemptions and waivers, which were not included in prior years.

3. Basic information on impact of any change to budget proposal

For every 1% change in return-to-aid:

The “return-to-aid” on UC fees has always applied to the new revenue only. Therefore, because the state has agreed to “buy out” the anticipated fee increases, there will be no return-to-aid in 2006-07 on which to simulate the effects on students of a 1% increase or decrease.

4. Mandatory Fee Waiver/Exemption programs

Data are provided below for the Cal Vet and AB 540 exemption programs. The University is in the process of collecting more detailed information about other fee and tuition exemption programs, but this information is not yet available.

Note that for all tables, the maximum and minimum values represent the 95th and 5th percentiles, respectively, of the award. Also, figures for 2004-05 are for the academic year only (excluding summer) because full-year data are not available as of January 2006.

2002-03 Academic Year

	<i>Cal Vet</i>	<i>AB 540</i>
Number of waivers/exemptions granted	22	57
Minimum amount	\$2,286	\$3,710
Average amount	\$3,856	\$10,292
Maximum amount	\$7,488	\$11,329
Aggregate cost	\$84,837	\$586,640

2003-04 Academic Year

	<i>Cal Vet</i>	<i>AB 540</i>
Number of waivers/exemptions granted	27	73
Minimum amount	\$1740	\$4082
Average amount	\$5,655	\$10,044
Maximum amount	\$15,313	\$14,211
Aggregate cost	\$152,674	\$733,213

2004-05 Academic Year

	<i>Cal Vet</i>	<i>AB 540</i>
Number of waivers/exemptions granted	31	135
Minimum amount	\$2,594	\$7,470
Average amount	\$5,919	\$14,335
Maximum amount	\$7,004	\$16,956
Aggregate cost	\$183,513	\$1,935,290

III. INSTITUTIONAL AID FOR GRADUATE PROFESSIONAL STUDENTS

1. Basic Information on budget proposal

1.1 The dollar amount of the proposed budget-year change in UC institutional aid (from 2005-06 to 2006-07) is \$ \$397,381 to support enrollment growth. In addition, \$1,125,086 funded by the temporary fee needed to offset the results of the *Kashmiri v. The University of California* lawsuit will be used to support students paying the fee.

1.2 The base budget for UC institutional aid has been/will be:

2004-05	\$26,431,125
2005-06	\$35,304,247
2006-07 (projected)	\$35,767,342

Budgeted institutional financial aid primarily represents the University Student Aid Program (USAP). However, it also includes \$8.2M in budgeted programs also funded by the Education and Registration Fees that were decentralized to the UC campuses in 1998 (e.g., the Graduate Block Grant). Excluded are all non-budgeted forms of support, such as gifts, endowment income, and any professional degree fee revenue used for student financial support. Figures are for the academic year only.

1.3 In 2006-07, UC is returning to aid a portion of the fee revenue associated with the temporary fee described in 1.1, above. Both the fee and the return-to-aid associated with it are temporary.

2 Basic Information on Graduate Professional Student Support

Law

	2002-03	2003-04	2004-05
Number of students with grant award	1,521	1,625	1,474
Average amount of UC scholarship, fellowship or grant award***	\$5,815	\$6,946	\$8,187
Per capita net stipend**	(\$8,815)	(\$12,693)	(\$15,018)
Percent of students borrowing	82%	82%	81%
Average annual loan debt of borrowers	\$19,542	\$21,971	\$24,219
Estimated average cumulative borrowing*	\$51,152	\$55,732	\$62,884

Business

	2002-03	2003-04	2004-05
Number of students with grant award	782	805	875
Average amount of UC scholarship, fellowship or grant award***	\$5,624	\$6,395	\$7,715
Per capita net stipend**	(\$11,335)	(\$15,680)	(\$12,956)
Percent of students borrowing	54%	58%	56%
Average annual loan debt of borrowers	\$21,203	\$24,433	\$28,266
Estimated average cumulative borrowing*	\$39,849	\$40,928	\$51,361

Medicine

	2002-03	2003-04	2004-05
Number of students with grant award	1,984	2,050	1,767
Average amount of UC scholarship, fellowship or grant award***	\$7,644	\$9,880	\$8,966
Per capita net stipend**	(\$4,020)	(\$6,194)	(\$11,820)
Percent of students borrowing	78%	81%	78%
Average annual loan debt of borrowers	\$19,001	\$21,564	\$24,645
Estimated average cumulative borrowing*	\$63,158	\$66,062	\$75,781

Other Health Sciences

	2002-03	2003-04	2004-05
Number of students with grant award	1,979	1,950	1,843
Average amount of UC scholarship, fellowship or grant award***	\$4,451	\$6,308	\$5,656
Per capita net stipend**	(\$5,325)	(\$7,633)	(\$9,841)
Percent of students borrowing	76%	79%	80%
Average annual loan debt of borrowers	\$18,499	\$21,376	\$23,248
Estimated average cumulative borrowing*	\$51,579	\$51,724	\$58,316

Other Programs With Professional School Fees

	2002-03	2003-04	2004-05
Number of students with grant award	280	248	279
Average amount of UC scholarship, fellowship or grant award***	\$5,268	\$5,500	\$6,439
Per capita net stipend**	(\$1,228)	(\$3,044)	(\$2,413)
Percent of students borrowing	69%	73%	71%
Average annual loan debt of borrowers	\$17,939	\$20,310	\$20,972
Estimated average cumulative borrowing*	\$45,104	\$51,173	\$54,959

Other Programs Without Professional School Fees

	2002-03	2003-04	2004-05
Number of students with grant award	1,845	1,803	1,623
Average amount of UC scholarship, fellowship or grant award***	\$5,386	\$6,360	\$6,736
Per capita net stipend**	(\$1,245)	(\$2,797)	(\$3,279)
Percent of students borrowing	51%	55%	58%
Average annual loan debt of borrowers	\$11,602	\$14,102	\$15,086
Estimated average cumulative borrowing*	\$21,067	\$22,245	\$32,214

* Estimated average cumulative borrowing for those graduating from program that year; includes only debt incurred while attending graduate professional school and does not include undergraduate debt or other borrowing.

** Negative numbers indicate net tuition and fees paid out of pocket

*** Includes funds from a variety of sources including return-to-aid from professional fee revenue, gifts and endowments, and systemwide USAP aid.

3. Basic information on impact of any change to budget proposal

3.1 The “return-to-aid” on UC fees has always applied to the new revenue only.

Therefore, because the state has agreed to “buy out” the anticipated fee increases, there will be no return-to-aid in 2006-07 on which to simulate the effects on students of a 1% increase or decrease.

4. Mandatory Fee Waiver/Exemption programs

Data are provided below for the Cal Vet and AB 540 exemption programs. The University is in the process of collecting more detailed information about other fee and tuition exemption programs, but this information is not yet available.

Note that for all tables, the maximum and minimum values represent the 95th and 5th percentiles, respectively, of the award. Also, figures for 2004-05 are for the academic year only (excluding summer) because full-year data are not available as of January 2006.

2002-03 Academic Year

	Cal Vet	AB 540
Number of waivers/exemptions granted	93	104
Minimum amount	\$3,429	\$3,773
Average amount	\$7,349	\$10,681
Maximum amount	\$16,568	\$11,322
Aggregate cost	\$683,400	\$1,110,839

2003-04 Academic Year

	Cal Vet	AB 540
Number of waivers/exemptions granted	97	102
Minimum amount	\$1,622	\$4,082
Average amount	\$9,795	\$8,416
Maximum amount	\$15,347	\$12,490
Aggregate cost	\$950,145	\$858,440

2004-05 Academic Year

	Cal Vet	AB 540
Number of waivers/exemptions granted	89	187
Minimum amount	\$3,134	\$6,122
Average amount	\$12,184	\$12,745
Maximum amount	\$20,313	\$14,939
Aggregate cost	\$1,084,386	\$2,383,357

APPENDIX A**DESCRIPTION OF DATA REQUESTED FROM THE UNIVERSITY OF CALIFORNIA*****Part 1—Undergraduate Financial Aid*****1. Basic information on budget proposal**

1.1 dollar amount of proposed budget-year change

1.2 base budget for prior year (PY) actual, current year (CY) estimated, and budget year (BY) projected

1.3 In years in which UC is proposing a policy change that would affect institutional aid for any undergraduate student group, UC would describe this change in its January legislative budget report (that is, the annual budget support documentation it will now provide to legislative budget staff no later than January 10).

2. Basic information on impact of budget proposal

For PY, CY, and BY:

2.1 number of UC needbased grant recipients, disaggregated into major programs (e.g., separate report on any middle-income fee grant program)

2.2 average UC needbased grant amount, disaggregated into major programs (e.g., separate report on any middle-income fee grant program)

2.3 minimum/maximum UC needbased grant amount, disaggregated into major programs (e.g., separate report on any middle-income fee grant program)

2.4 the work-loan expectation for undergraduates, separating out the work expectation from the loan expectation, and providing the range as well as where the expectation falls within this range.

For the PY only:

2.5 minimum, average, and maximum recipient family income (parent income for dependent students, student income for independent students), expected family contribution (EFC), financial need (per federal methodology), age, and GPA for UC

needbased grant recipients, disaggregated into major programs (e.g., separate reporting on any middle-income fee grant program).For the CY and BY:

2.6 A description of how the current-year change/budget-year proposal likely would change the typical recipient profile(s)

3. Basic information on impact of any change to budget proposal

For every 1 percent change in return-to-aid:

3.1 associated dollar amount

3.2 change in average grant amount

3.3 change in work-loan expectation, separating out the change in work expectation from the change in loan expectation, and estimating where this expectation would fall within the range for that year.

4. Mandatory fee waiver programs

For PY-2, PY-1, and PY, broken down for (a) Cal Vet program, (b) AB 540 tuition-exemption program, and (c) all other fee waiver/exemption programs:

4.1 number of fee waivers granted

4.2 minimum, average, and maximum amount of fee waiver granted

4.3 aggregate cost of those fee waivers

4.4 number of fee-waiver recipients receiving need-based financial aid

4.5 of those receiving needbased financial aid, minimum, average, and maximum recipient family income (parent income for dependent students, student income for independent students), EFC, financial need, age, [note: we don't have family size on the systemwide financial aid database] and GPA

5. Putting institutional aid proposals in broader context

For PY-1, PY, and CY:

5.1 Aggregate needs analysis*

* This table would identify the total number of undergraduates and the number of those who are financially needy. Of financially needy students, it would show: (a) aggregate cost of attendance, (b) aggregate EFC, and (c) aggregate financial need. It then would

show: (d) aggregate federal gift aid, (e) aggregate state gift aid broken out by Cal Grant/other, (f) aggregate UC needbased grants, (g) aggregate UC merit-based awards (i.e., UC scholarships), (h) other UC “gift” support (e.g., value of UC tuition waivers/exemptions, UC nonneed grants, etc.), (i) private funding (e.g., outside agency, foundation, etc. awards), (10) work study from all sources, (11) remaining need to be covered by work, borrowing, and/or other means).

5.2 Aggregate work-study broken out by federal/other, and aggregate loans broken out by subsidized loans, unsubsidized loans, and Parent (PLUS) loans.

5.2 Typical financial aid packages*

*This table/graphic would show the financial aid package received by a typical dependent student with a family income of: (a) \$20,000; (b) \$40,000; (c) \$60,000; and (d) \$80,000.

Part 2—Graduate Financial Aid

1. Basic information on budget proposal

1.1 dollar amount of proposed budget-year change

1.2 base budget for PY, CY, and BY

1.3 In years in which UC is proposing a policy change that would affect institutional aid for any graduate student group, UC would describe this change in its January legislative budget report.

2. Basic information on impact of budget proposal

For PY, PY-1, and PY-2:

2.1 number of recipients of UC scholarships, fellowships, and/or grants

2.2 average amount of combined UC scholarship, fellowship, and/or grant award

2.3 maximum amount of combined UC scholarship, fellowship, and/or grant award

2.4 average net stipend (i.e., combined gift aid, TA compensation, and RA compensation from all sources less tuition/fees) per capita

3. Basic information on impact of any change to budget proposal

For every 1 percent change in return-to-aid:

3.1 associated dollar amount

3.2 change in average UC combined scholarship, fellowship, and/or grant award assuming no change in the number of recipients from the prior year.

3.3 change in the number of recipients with a UC scholarship, fellowship, and/or grant award assuming no change in the average combined UC scholarship, fellowship, and/or grant award from the prior year.

3.4 change in average net stipend per capita

4. Mandatory fee waiver programs

For PY-2, PY-1, and PY, broken down for (a) Cal Vet program, (b) AB 540 tuition-exemption program, and (c) all other fee waiver/exemption programs:

4.1 number of fee waivers granted

4.2 minimum, average, and maximum amount of waiver/exemption granted

4.3 aggregate cost of those waivers/exemptions

Part 3—Professional School Financial Aid

1. Basic information on budget proposal

1.1 dollar amount of proposed budget-year change

1.2 base budget for PY, CY, and BY

1.3 In years in which UC is proposing a policy change that would affect institutional aid for any professional school student group, UC would describe this change in its January legislative budget report.

2. Basic information on impact of budget proposal

For PY-2, PY-1, PY, broken down by (a) Law, (b) Business, (c) Medicine, (d) Other Health Sciences, (e) Other Programs with Professional School Fees, and (f) Other Professional Programs without Professional School Fees:

2.3 number of students with UC scholarship, fellowship, and/or grant award

2.4 average amount of combined UC scholarship, fellowship, and/or grant award

2.4 average net stipend (i.e., combined gift aid, TA compensation, and RA compensation from all sources less tuition/fees) per capita)

2.5 percent of students borrowing

2.6 annual loan debt of borrowers

2.7 estimated cumulative loan debt of borrowers while attending UC professional school program

3. Basic information on impact of any change to budget proposal

For every 1 percent change in return-to-aid:

3.1 associated dollar amount

3.2 change in average UC combined scholarship, fellowship, and/or grant award assuming no change in the number of recipients from the prior year.

3.4 change in the number of recipients with a UC scholarship, fellowship, and/or grant award assuming no change in the average combined UC scholarship, fellowship, and/or grant award from the prior year.

3.5 change in average per capita net stipend

4. Mandatory fee waiver programs

For PY-2, PY-1, and PY, broken down for (a) Cal Vet program, (b) AB 540 tuition-exemption program, and (c) all other fee waiver/exemption programs:

4.1 number of waivers/exemptions granted

4.2 minimum, average, and maximum amount of fee waiver granted

4.3 aggregate cost of those fee waivers