<table>
<thead>
<tr>
<th>CHANGE NUMBER</th>
<th>PUBLICATION DATE</th>
<th>REVISION DATE</th>
<th>SUMMARY</th>
</tr>
</thead>
<tbody>
<tr>
<td>09/23/20</td>
<td>9/10/20</td>
<td></td>
<td>Brief Design Build – New Contract type</td>
</tr>
<tr>
<td>06/23/20</td>
<td>6/22/20</td>
<td></td>
<td>New contract using combination of new docs and standard DB docs</td>
</tr>
<tr>
<td>06/19/20</td>
<td>06/17/20</td>
<td></td>
<td>CM at Risk and Design Build - Supplementary Conditions</td>
</tr>
<tr>
<td>04/11/20</td>
<td>03/31/20</td>
<td></td>
<td>Addition of Federal Agency recovery language</td>
</tr>
<tr>
<td>04/11/20</td>
<td>03/31/20</td>
<td></td>
<td>UCIP Versions of Long Form, CM at Risk, Design Build and Multiple Prime – Supplementary Conditions</td>
</tr>
<tr>
<td>04/11/20</td>
<td>03/31/20</td>
<td></td>
<td>Changes to CPL language and addition of Drone/UAV liability insurance requirement</td>
</tr>
<tr>
<td>04/11/20</td>
<td>03/31/20</td>
<td></td>
<td>UCIP Versions of Long Form, CM at Risk, Design Build and Multiple Prime – Supplementary Conditions</td>
</tr>
<tr>
<td>04/11/20</td>
<td>03/31/20</td>
<td></td>
<td>Added/changed language to UCIP policy regarding off-site fabrication</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Changes to access of UCIP Policy</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Design Build (UCIP and Non-UCIP) – General Conditions</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Delete sub-articles from 3.26 and 7.3.7</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Assorted changes including addition of BCCA language in Art 3 and minor changes to art’s 3, 8, 10 &amp; 15</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Long Form, Brief Form, Informal Form, and Multiple Prime – Supplementary Conditions</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Change “Rainy to Adverse in Art. 8 Contract time Modification</td>
</tr>
<tr>
<td>04/06/20</td>
<td>03/31/20</td>
<td></td>
<td>Long Form, Brief Form, Informal Form, CM at Risk, Design Build, Multiple Prime, JOC, Mini – Supplementary Conditions</td>
</tr>
<tr>
<td>20-001-G</td>
<td>01/02/20</td>
<td>01/02/20</td>
<td>UC Seismic Program Guidelines</td>
</tr>
<tr>
<td>19-007-C</td>
<td>11/12/19</td>
<td>09/13/19</td>
<td>UC Seismic Program Guidelines added as RD4.3 within the Resource Directory</td>
</tr>
<tr>
<td>19-006-D</td>
<td>11/12/19</td>
<td>09/12/19</td>
<td>Best Value – PayApp</td>
</tr>
<tr>
<td>19-005-P</td>
<td>10/08/19</td>
<td>10/08/19</td>
<td>Best Value, Application for Payment document: added requirement of separate monthly reports for skilled labor</td>
</tr>
<tr>
<td>19-004-P</td>
<td>11/08/19</td>
<td>09/03/19</td>
<td>Definition of Construction</td>
</tr>
<tr>
<td>19-003-C</td>
<td>10/25/19</td>
<td>09/26/19</td>
<td>Prevailing Wage Determination Date</td>
</tr>
<tr>
<td>19-002-C</td>
<td>10/25/19</td>
<td>09/12/19</td>
<td>Updated hyperlinks to Department of General Services website, and site for eligibility requirements</td>
</tr>
<tr>
<td>19-001-C</td>
<td>10/25/19</td>
<td>09/05/19</td>
<td>Cost Proposal Exhibit</td>
</tr>
<tr>
<td>18-007-D</td>
<td>09/04/18</td>
<td>09/04/18</td>
<td>CM at Risk – Bid Form</td>
</tr>
<tr>
<td>18-006-P</td>
<td>09/04/18</td>
<td>08/31/18</td>
<td>Prevailing Wage Determination</td>
</tr>
<tr>
<td>18-005-P</td>
<td>09/04/18</td>
<td>08/31/18</td>
<td>Updated Best Value forms: BV.0 – Evaluation Committee Bidders Roster</td>
</tr>
<tr>
<td>18-004-P</td>
<td>09/04/18</td>
<td>08/24/18</td>
<td>Stop Payment Notices</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>BV.3 – Score Analysis</td>
</tr>
<tr>
<td></td>
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<td></td>
<td>BV.4 – Campus Summary Analysis</td>
</tr>
<tr>
<td></td>
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<td></td>
<td>Policy Table</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Update Volume 3, Chapter 4 to reflect current state of DSA, OSHPD, UC Fire Marshal, and UC Building Official programs, as well as other code compliance matters such as storm water.</td>
</tr>
<tr>
<td>Code</td>
<td>Date</td>
<td>Changes</td>
<td></td>
</tr>
<tr>
<td>---------</td>
<td>------------</td>
<td>-------------------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td>15-003-P</td>
<td>04/23/18</td>
<td>Revisions to the policy table in Volume 1, Chapter 5 following UCOP governance restructuring.</td>
<td></td>
</tr>
<tr>
<td>15-002-D</td>
<td>02/21/18</td>
<td>Regents Policy 8103 on Capital Project Matters (Policy) provides certain authorities to the President of the University</td>
<td></td>
</tr>
<tr>
<td>15-001-C</td>
<td>02/21/18</td>
<td>Certificate of Beneficial Occupancy, Temporary Certificate of Occupancy, and Certificate of Occupancy now all available to download as fillable PDFs online</td>
<td></td>
</tr>
<tr>
<td>17-008-P</td>
<td>09/01/17</td>
<td>Glossary Changes to the definitions for Beneficial Occupancy, Field Observation, Field Order, Inspection, Inspection Agency, Notice of Completion, Prevailing Wage Rates, Punch List, and Testing Laboratory. Additions to the Glossary include Special Inspection.</td>
<td></td>
</tr>
<tr>
<td>17-007-C</td>
<td>09/01/17</td>
<td>Insurance Changes to UCIP General Conditions (e.g., coverage, Contractor obligations, Additional Insurance, Waiver of Subrogation) and non-UCIP General Conditions (insurance requirements to match some UCIP language), new Builder’s Risk Coverage Summary in anticipation of new coverage effective September 1, 2017</td>
<td></td>
</tr>
<tr>
<td>17-006-C</td>
<td>09/01/17</td>
<td>Assignment Agreements Assignment Agreements now differentiated between Assignment of Work Agreement and Assignment of Materials or Equipment Agreement, both downloadable from Resource Directory</td>
<td></td>
</tr>
<tr>
<td>16-006-C</td>
<td>12/20/16</td>
<td>UCIP EMR Increases EMR to 1.25 from 1.15, requires Bidders to meet EMR and create safety plan for Subcontractors who do not meet 1.25, requires Subcontractors either to meet 1.25 or take part in Bidder’s safety plan</td>
<td></td>
</tr>
<tr>
<td>16-005-C</td>
<td>12/22/16</td>
<td>Job Order Contracts (JOC) Changes to Adjustment Factor, terminology, definitions, and use of The Gordian Group’s proprietary software (Construction Task Catalog)</td>
<td></td>
</tr>
<tr>
<td>16-004-C</td>
<td>05/26/16</td>
<td>UCIP Details Changes to UCIP coverage and insurance provider now Liberty Mutual Insurance.</td>
<td></td>
</tr>
<tr>
<td>16-003-C</td>
<td>05/26/16</td>
<td>EDPA Lite Launched EDPA Lite, a pared-down version of the EDPA suited for simpler projects where the expected fee and reimbursables do not exceed $100k. The new contract features simplified project phases, less exhibits, and more flexible programmatic studies.</td>
<td></td>
</tr>
<tr>
<td>16-002-P</td>
<td>05/26/16</td>
<td>Delegations of Authority Rescinded DA2220, DA2575, DA2574, DA2576, and replaced them with DA2596, DA2597, DA2598, DA2599, respectively.</td>
<td></td>
</tr>
<tr>
<td>16-001-C</td>
<td>05/26/16</td>
<td>UC Fair Wage Added UC Fair Wage language to the Facilities Manual, General Conditions in construction and design contracts, RFPs, RFQs, and Ads for Bids per President’s July 2015 announcement.</td>
<td></td>
</tr>
<tr>
<td>15-009-C</td>
<td>06/30/15</td>
<td>MasterFormat 50 Division Templates Added two versions of &quot;sample&quot; Division 1 Templates using 50 division MasterFormat to the Long Form Contract Templates page.</td>
<td></td>
</tr>
<tr>
<td>15-008-C</td>
<td>06/30/15</td>
<td>Survival Clause Added new section “Survival Clause” to all Design and Consultant Agreements (PSA, EDPA, EDPA Blanket, EDPA CM at Risk, CMA, and Master Arch/Eng for Design Build).</td>
<td></td>
</tr>
<tr>
<td>15-007-P</td>
<td>06/30/15</td>
<td>Gender Inclusive Facilities Added new Resource Directory &quot;RD4 - Project Programmatic Guidelines&quot; to house various guidelines associated with a project’s programmatic needs including new guidelines for Gender Inclusive Facilities.</td>
<td></td>
</tr>
<tr>
<td>15-006-C</td>
<td>04/30/15</td>
<td>New CM at Risk Templates Optional template for campus use in Construction Manager at Risk delivery method. Templates are intended to be a guide for CM entities in the bidding of project sub trade packages in accordance with UC requirements.</td>
<td></td>
</tr>
<tr>
<td>15-005-C</td>
<td>03/02/15</td>
<td>UCIP (New Broker-New Documents) Updated all relevant UCIP documents to accurately reflect the change in UC’s insurance broker and UCIP administrator.</td>
<td></td>
</tr>
<tr>
<td>15-004-C</td>
<td>04/29/15</td>
<td>DIR/Labor Compliance Monitoring (SB584E) Revised and updated Bid Advertisement, Bid Form, General Conditions, and Agreement for all contract families (Design and Construction), including UCIP as applicable to address recent changes to the Labor Code.</td>
<td></td>
</tr>
</tbody>
</table>
ITB’s. requiring the listing of subcontractor license numbers has been added to Bid Forms and Supplementary Conditions.

Accounting methods.

requests. can be used to request further breakdown/documentation for contractor change order a single template, in order to clarify UC coverage requirements.

order to simplify documents.

calculated for the amount of construction work only and to be collected when the letter of/to removed nonfunctional links.

template. The new Agreement allows campuses to hire a Construction Manager to serve Contractor/Designer /Consultant Insurance Certificates have been merged and updated into Certificate of Insurance (All Contract Families) Certificate of Insurance (All Contract Families)

Effective July 1, 2014 for all construction contract families. New PCC §4104 language AB44-Requirements for Subcontractor License Number on Bids (All Contract Offer (BAFO), after the proposal deadline. Express language allowing for the issuance of addenda relating to the use of Best and Final Design Build – Proposal Deadline Clarifications

Volume 5, Chapter 3, Section 3.3 revised for UC procedures to be consistent with DOF Practices.

many delivery methods.

Merged CMA contract templates (Multi-Prime and Design Services) into a single contract template. The new Agreement allows campuses to hire a Construction Manager to serve 

Cost Proposal Exhibit

Added two forms to the existing Cost Proposal Exhibit. Both these forms are optional and can be used to request further breakdown/documentation for contractor change order requests.

Accounting Records (PSA, EDPA, MSTR ARCH/ENG Agreement Language) Professional Service and Design Agreements now allow for the use of Generally Accepted Accounting Principles (GAAP) OR International Financial Reporting Standards (IFRS) accounting methods.

UCIP Minimum Safety and Health Qualifications (Insurance Manual/ITB’s/Exhibit 1B) The UCIP Insurance Manual, Health and Safety Qualification Form (Exhibit 1B), and Instructions to Bidders (ITB’s) have been updated with respect to OSHA violations.

Construction Management Agreement (CMA/Exhibit G) Merged CMA contract templates (Multi-Prime and Design Services) into a single contract template. The new Agreement allows campuses to hire a Construction Manager to serve many delivery methods.

Advertising for Consultant Selection Volume 3, Chapter 2, Section 2.2.1 “Advertising”. Revised language for ease of use, and removed nonfunctional links.

CMAR Bid Bonds version A and B clarification (CMAR, LF) Revised the instructional language to clarify the use of CMAR bid bond versions A & B. Added UCIP language to SITB’s and cleaned up some other basic formatting issues.

As-built Drawings requirement in the Mini Form Added “Optional” language for the requirement of As-build drawings in the Mini Form Supplementary Conditions.

Payment of UCIP Premiums (CMAR & DB) UCIP GCs, SCs, ITB/RFP for CMAR and DB contracts revised. Premiums now will be calculated for the amount of construction work only and to be collected when the letter of intent/proceed is awarded to the CM/Contractor or Design Builder, as applicable.

Instructions to Bidders (LF,BF,MP) Instructions to Bidders and Bid Forms templates for the Long Form, Brief Form, and Multiple Prime contracts (LF & MP only for UCIP) have been merged for ease of use.

Approval Process for Bond Funded Projects Volume 3, Chapter 5, Section 3.3 revised for UC procedures to be consistent with DOF practices.

Design Build – Proposal Deadline Clarifications Express language allowing for the issuance of addenda relating to the use of Best and Final Offer (BAFO), after the proposal deadline.

AB44-Requirements for Subcontractor License Number on Bids (All Contract Families) Effective July 1, 2014 for all construction contract families. New PCC §4104 language requiring the listing of subcontractor license numbers has been added to Bid Forms and ITB’s.

Certificate of Insurance (All Contract Families) Updated Certificate of Insurance templates for all contract families (including UCIP).

Records Retention Vol. 3, Chapter6 updated to reflect changes due to the new Capital Resources Records Retention Schedule.

Self-Certification Form Contractor/Designer/Consultant Self-Certification form merged into a single template, in order to simplify documents.

Certificate of Insurance (All Contract Families) Contractor/Designer/Consultant Insurance Certificates have been merged and updated into a single template, in order to clarify UC coverage requirements.
February 2012 (FM 12-002-C)

Chapter 1 (JOC Guidelines) revised to reflect the change.

Supplementary ITBs, General Conditions and Supplementary Conditions (for all UCIP contracts) revised for optional language in case a Facility wishes to offer off-site coverage for a certain project. Insurance Manual updated to reflect the same change.

Mini Form Bid Form revised to clarify optional compensable delay language.

Notice of Completion edited in all construction contracts making notary acknowledgment optional. ‘Stop notices’ changed to ‘stop payment notices’ per statutory changes. Edits made to the JOC Guidelines on the FM, Supplementary ITBS and Ad for Bids made to reflect that. Editorial edits made to the Agreement.

Vol.4, Chapter 4 revised to reflect the same.

Several sections revised in all families to reflect correct list of exclusions for extra work and statutory requirements for timely recording of the NOC. Revised ITBs, supplemental ITBS and Bid Bonds for consistent instructions on the two stated versions of Bid Bonds.

Vol.5, Part II, Ch.8 revised to provide clarification for substantial change order language. Added section to address contract amendments within the Design-Build and CM@Risk delivery methods. No change in policy was made with this edit.

Vol.5, Chapter 7 and self certification DB RFP edited to allow one proposal per proposer.

Vol.3, Chapter 2 revised to relax UC policy that extended beyond PCC in retention of Consultant Selection.

Vol.5, Part II, Chapter 3 revised to provide clarification for substantial change order language. Added section to address contract amendments within the Design-Build and CM@Risk delivery methods. No change in policy was made with this edit.

Consultant Selection: Vol.3, Chapter 2 revised to relax UC policy that extended beyond PCC in retention of architects and other consultants. Language added to allow appointment of an Executive Design Professional with a preliminary or “P” approval. PSA revised to prohibit its use to retain a consultant to perform any design services.

Procurement Agreement, SBE and Design-Build RFP

University Furnished Information for DB and Information available to Bidders for all other contract families updated to include link to OP Procurement agreements with various vendors. Vol.4, Chapter 4 revised to reflect the same.

DB RFP edited to allow one proposal per proposer. SBE categories UC can use changed with Prop 209. Vol.5, Chapter 7 and self certification form edited to reflect that.

Several sections revised in all families to reflect correct list of exclusions for extra work and statutory requirements for timely recording of the NOC. Revised ITBs, supplemental ITBS and Bid Bonds for consistent instructions on the two stated versions of Bid Bonds.

Vol.5, Part II, Ch.8 revised to provide clarification for substantial change order language. Added section to address contract amendments within the Design-Build and CM@Risk delivery methods. No change in policy was made with this edit.

Design Professional Experience Form: New Consultant Experience Form added to Design and Consultant contract templates and as part of the Qualification Packet (RD 2.3 in Volume 3) within consultant selection.

Notice of Completion: NOC form revised in all contract families to note although acknowledgement is optional, the record might still refuse to record a non-notarized copy. Vol.5, Part II, Ch.8 revised to reflect NOC to be filed within 15 days of Final Completion.

Payment Bond: Payment Bond revised for all construction contract families to reference the correct California Civil Code

Substantial Change Orders: Vol.5, Part II, Chapter 3 revised to provide clarification for substantial change order language. Added section to address contract amendments within the Design-Build and CM@Risk delivery methods. No change in policy was made with this edit.

Design Professional Experience Form: New Consultant Experience Form added to Design and Consultant contract templates and as part of the Qualification Packet (RD 2.3 in Volume 3) within consultant selection.

Notice of Completion and Prequalification Comparison Chart

Notice of Completion edited in all construction contracts making notary acknowledgment optional. ‘Stop notices’ changed to ‘stop payment notices’ per statutory changes. Edits made to Vol.5, Part II, Ch.8, Sections 8.5 & 8.7. Prequalification comparison chart revised as RD1.11 in Vol.5, Figures, to reflect edits from February 2012 (FM 12-002-C).

Contracting Requirements Table: New quick reference table (RD1.12 in Volume 3) within consultant selection.

General & Supplementary Conditions – Notices and Insurance

SCs- Mini Form edited to reflect the insurance change listed above. Editorial changes to JOC SC to match article numbers from the GC.

Revised Conditional and Unconditional Waiver

Conditional and Unconditional waivers on progress and final payments revised across all construction contract families to reflect the revised Civil Code sections 8132-8138 that went into effect July 1, 2012. Mini Form Bid Form revised to clarify optional compensable delay language.
12-007-C 06/11/2012 06/07/2012 General Conditions – Design and Construction Contracts  
Design Contracts: Revised article 14 across all families to show increased maximum penalties for prevailing wage violations per revised Labor Code; added additional insurance language, additional trades as excluded parties and revised endorsements in article 11 for all UCIP families.  
Design Contracts: Revised all Design and Consultant Agreements to show increased maximum penalties for prevailing wage violations per revised Labor Code; added severability of interest provision to general conditions; revised reimbursable expenses to be consistent across all families. Deleted Transportation Per Diem & Mileage Reimbursement Form as a duplicate.  
Vol. 5, Bibliography, RDS.18 edited to cite correct Regents Policy, Obsolete policy 6037 deleted.

12-006-P 05/31/2012 05/29/2012 UC CEQA Handbook related  
Clariﬁed document review and approval to align with the delegated process (Vol.3, Part I, Ch.5, Section 5.2). Increased number of exempt classes of projects from 29 to 36 (Vol.3, Part I, Ch.5, Section 5.2.3). Updated links to the UC CEQA handbook in Vol.2, Ch.5 and Vol.3, Part I, Ch.5.

12-005-D 05/25/2012 05/22/2012 New Navigation and file type policies for Contracts on FM  
New navigation pages created for each construction contract family with links to individual Word instructions followed by the respective contract template. All zip files and PDFs eliminated. Links revised to target new homepages in the following locations: Vol.4, Part I, Chapters 1, 2, 3, 4, and 5; Vol.5, Part II, Chapters 2, 4 and 5.

12-004-D 04/06/2012 04/06/2012 Records Retention  
Edits made to Vol.3, Part I, Chapter 3 to include links for information on Records Retention as available on the OP Information Technology Services website.

12-003-C 03/12/2012 03/07/2012 Insurance Changes  
Builder’s Risk  
Per new Builder’s Risk Policy, all construction contract families General Conditions revised to reflect Builder’s Risk Coverage ends at Final Completion as listed on the NOC.  
Additional Insured and Certificate of Insurance Form  
UCIP and non-UCIP General Conditions revised for all construction contract families to edit additional insured language. Language edited in certificate of insurance as well-different provisions added for UCIP vs. non-UCIP certificate.  
Vol.5, Part II, Chapters 1 and 7 edited to reflect the above changes.

12-002-C 02/29/2012 02/24/2012 Prequalification Documents-Conﬁdentiality Information  
Prequalification questionnaire revised so bidders can choose to mark certain information “conﬁdential” when submitting the form.  
Prequalification Evaluation form revised to include point system or pass/fail evaluation on the form. All forms on prequal site on UCOP Approved Contract Templates page. Outdated forms from the FM in forms of RDs removed in Volume 5.

12-001-C 02/10/2012 02/10/2012 Instructions to Bidders-Blind bidding for Alternates & Bid Protest Appeal timing  
ITB (all contracts) and RFP (DB) revised to reﬂect identity of bidders to be concealed from person or persons selecting Alternates to determine the low bidder.  
Edited Bid Protest Appeal filing language for consistency (no change in procedure). Mini Form-edited ITB to reﬂect no public announcement of bid results is required. Editorial changes in Mini Form Supplementary Conditions & Bid Form.  
Vol.5, Part I, Ch.5.2.5, 6, and 9 edited to reﬂect the above changes.

Statute mandated guidelines added to Vol.5, Part I, Ch.1, Section 1.6. New section added on the approved contracts template page for BV Contract Modifications to various forms across all families. Best Practices for Best Value Selection added. Several templates added to assist in the process.

New section added on the approved contracts template page for LCP contract modifications to the Supplementary Conditions in accordance with changes to State law (AB436).  
Vol.5, Part I, Ch.4, Section 4.5 revised to reﬂect information on debarred contractors.  
Vol.5, Part II, Ch.4, Section 4.2 revised to reﬂect requirement for UC to monitor and enforce payment of prevailing wage for State bond funded projects after 1/1/12.

Revised certiﬁcate of insurance added to all design and construction contract families - requirements for Additional Insured coverage and for contractual liability coverage under Professional Liability policies edited.  
General Conditions edited for all contract families for revised language.

11-047-P 09/15/2011 09/15/2011 Guidelines for Acceptance of Construction Related Donations  
Section 6.8.6 added to Vol2, Ch.6 in order to reference and link to Donation Guidelines (Opinion of Counsel Stephen Morrell), Links to Volunteer Labor (Vol4, Part I, Ch.5, Section 5.4.25) and Volunteer Waiver Forms (Vol4, Part I, Attachment 13) added.

11-046-P 09/09/2011 09/09/2011 Informal Competitive Bidding Procedures  
Revised Vol 5, Part I, Ch.1, Section 1.1 and 1.2 to include procedures for Informal Competitive Bidding.

UC system-wide Builder’s Risk Insurance Policy was renewed. The new coverage summary was added to all contract families; the revised application and the premium calculation sheet were added to Vol5, Part II, Ch.1, Section 1.6.4

11-044-P 08/26/2011 08/26/2011 New (Revised) Seismic Safety Policy  
A new combined policy for Seismic Safety was issued by the President’s ofﬁce replacing three (3) separate policies. Changes made to Vol.3, Part I, Ch.5, Section 5.3.

11-043-C 08/08/2011 08/08/2011 Mini Form Contract—New Look  
The Mini Form was converted from one big ﬁle to individual contract templates similar to other contract formats. No contract language changes made. PDFs for all forms created as well as a homepage for the Mini Form.

11-042-C 07/29/2011 07/25/2011 Brief Form Contract – Various Forms  
Editorial changes: Cost Proposal, Instructions to Bidders, Escrow Agreement and Selection of Retention Option revised in Brief Form to reﬂect those changes made in FM Revision #s FM 11-019-C, 24-C, and 25-C in LF and other contract families, but not made in the Brief Form at that time.
Revised nondiscrimination language in all contract families (construction and design contracts) to comply with law and to clarify requirements.
Sections affected: LF 14.2.3.1, BF 14.1.1.1, MF 13.1, CM@R 14.2.3.1, DB 14.1.3.1, JOC 14.2.3.1, PSA VI.A.1, EDPA 11.1.1.
Additionally, JOC GC; Sections 9.5.2 and 9.5.3 revised to clarify procedure and timing for payment of Final Payment and release of Retention. This revision was made in FM 11-018-C but got accidentally deleted in later revisions.

For all contract families, Advertisement for Bids modified to add equal employment opportunity / nondiscrimination language to strengthen provision; statement added that contract work is a public work subject to Labor Code sec. 1771 as required by Labor Code sec. 1781.

11-039-C 07/25/2011 07/12/2011 Escrow Agreement – Address for Correspondence
Common Escrow Agreement form created for all contract families. OP address deleted from page 1 and the facility address clarified as address for all correspondence to avoid confusion for banks, resulting in statements, etc. being sent to OP instead of Facility.

11-038-C 07/12/2011 07/08/2011 Waiver & Release on Progress and Final Payment
Word “subcontractor” deleted from all forms in all contract families to allow for use by contractor and subcontractor; Application for Payment # added to all forms. Forms have been consolidated such that same forms are applicable to all contract families.

Language added in Advertisements for Bids regarding Equal Employment Opportunity. Bidders may be required to show evidence of its EEO policy. All contract families updated.

11-036-C 07/08/2011 07/08/2011 JOC Sample Scope Revisions
Sample scope descriptions revised in Agreement. The previous scope examples were vague and misleading and they should not be used as samples. New examples have been added.

11-035-C 07/08/2011 07/08/2011 Insurance Changes (all construction and design contract documents)
Revised Supplementary Conditions to require UC be named as additional insured on General Liability and Business Auto Policies. Added new ACORD certificate of insurance form; revised special provisions on Certificate of Insurance to comply with BUS-63.

11-034-P 07/06/2011 07/06/2011 Small Business Enterprise (SBE) Information
Revised the time of collection of information for contracts awarded to SBE, WBE and DVBE from the end of the project to the time of award.

11-033-P 07/06/2011 07/06/2011 Consultant Selection
Revised Vol.3, Part I, Ch.2, Section 2.2 to reflect the change in Delegation of Authority for appointment of Design Professionals (DA 2220). Flowchart created by UCSB, edited by UCOP added as well to clarify the process.

11-032-D 06/21/2011 06/21/2011 Navigational Changes-Vol. 3, 4, and 5
Various chapters converted from PDFs to HTMLs and links fixed on new HTML files for ease of navigation and consistency throughout the Facilities Manual.

11-031-P 06/20/2011 06/17/2011 Record Retention
Vol. 3, Part I, Ch. 6: Amended and updated to coordinate with and link to current policy documents

11-030-P 06/20/2011 06/17/2011 Equipment Purchase
Vol. 4, Part I, Ch. 3, Section 3.1.4 revised to add requirement that language of BUS-43, Exhibit C, Appendix A, Article 9 be added in its entirety to all contracts, purchase orders and sub-agreements for the purchase of equipment, materials or supplies

11-029-C 06/17/2011 06/16/2011 PSA-Confidential Information & Insurance Limits
Added language in Section III.K. to clarify contractor’s obligation regarding confidential information when legal process demands disclosure. Added “minimum” in Section V.B.1.c. to per claim & aggregate limits for Professional Liability Insurance.

11-028-P 06/14/2011 06/14/2011 Code and Regulatory Compliance
Various changes to applicable codes and regulations in Vol. 4, Ch. 4

11-027-P 06/10/2011 06/10/2011 Assignment of Construction Contracts
Vol. 5, Part II, Ch. 1, Section 1.4: Reference added to the Accounting Manual. RD2.40 “Assignment of Subcontractors” deleted

11-026-C 06/06/2011 06/06/2011 UCIP Cost Proposal
Insurance & Bonds percentage revised from 2% to 0.75%. Added contractor certification that no cost for insurance provided by University is included. UCIP Safety and Health Declaration—Corrected misspelling

11-025-D 06/06/2011 06/06/2011 Equipment Rental Rates & Definition of Contract
General Conditions and Cost Proposal revised to specify the reference for the maximum rental charge shall be those published by CalTrans at http://www.dot.ca.gov/hq/construc/equipmnt.html
General Conditions revised for definition of “Contract” to reference definition in Article 2 or 3 of Agreement. Editorial changes to definition of “Contract” in Agreement.

11-024-C 06/06/2011 06/06/2011 Retention-Risk of Bank Failure
General Conditions modified so the Contractor and its surety shall be at risk for failure of the bank selected for deposit of the retention. Added same language to Escrow Agreement for Deposit of Securities and Selection of Retention Options. Section 5.5.2 revised to reflect same language. Section 5.2 Percent of retention changed from 10% to 5% to conform to law.

11-023-C 06/06/2011 06/06/2011 CMA Ownership of Documents
Revised Article 8 to clarify UC’s ownership of all documents, materials and systems created for a Project and CM’s obligation to deliver them.

11-022-D 06/06/2011 06/06/2011 Compensable Delay Language (Bid Form)
Article 7 in Bid Form (for LF and BF) revised for correct language and reference to CM at Risk language taken out.

11-021-C 06/06/2011 06/06/2011 Information Available to Bidders
Comment #2 of the Cover Sheet for Information Available to Bidders changed to reflect that “all relevant information must be provided”.
11-018-C 05/27/2011 05/25/2011 General Conditions

For JOC:

- Sections 9.5.1 and 9.8 revised to provide procedure for payment of Final Payment and release of Retention
- Section 7.3.2 revised to clarify requirements of exclusive scope agreement.
- Sections 4.6.4 clarified to require the lowest of 3 bids for non-priced work.
- Various editorial and clarifying changes.

11-017-P 05/23/2011 11/12/2010 Prequalification into Confidentiality

Subsection 6 added to Vol.5, Part I, Ch. 4, Section 4.3.2 in order to provide guidance on the confidentiality of information provided by potential bidders during prequalification.

For Multiple Prime:

- Article 7.3.4 revised to clarify requirements of exclusive scope agreement.
- Various editorial and clarifying changes.

11-016-G 05/20/2011 05/04/2011 JOC Guidelines

Added guidelines for Job Order Contracting as part of Vol. 4, Part II, Book 7, Section 1.3.7

11-015-C 05/18/2011 12/21/2010 Anti-collusion Language

Need for anti-collusion affidavit determined from the bidder. Language added to the Bid Form.

11-014-P 05/10/2011 05/09/2011 UC Policies

Consolidated all Regents and Presidential Policies related to the Facilities Manual in one location-Vol. 1, Ch. 5, fixed links, deleted rescinded policies, updated obsolete policies with new.


Broad guidelines, for construction projects funded through ARRA. Vol.5, Part I, Ch. 3, Section 3.5.1

11-012-C 04/19/2011 04/18/2011 Conditional & Unconditional Waiver & release Upon Final Payment

Terminated added to the exhibits for LF, BF, CM@Risk and DB. JOC Contract templates.

11-011-C 04/06/2011 04/06/2011 Field Order Form

Updated notes section of the Field Order form in the Brief Form and Design-Build Form to reflect changes made in 2008. Notes are already current in the other forms of contracts.

11-010-D 04/04/2011 04/04/2011 Archives of Changes

All hyperlinks removed in the arch_changes.pdf. The search engine was producing false positive results from links in the Archive of Changes document, showing bad/outdated links.

11-009-D 04/04/2011 04/04/2011 Volunteer Labor

"Volunteer Labor”- “Waiver of Liability, Assumption of Risk, and Indemnity Agreement” for adult and minor volunteer participants. When receiving volunteer services, UC still requires anti-collusion affidavit determined from the bidder. Language added to the Bid Form.

11-008-D 04/04/2011 04/04/2011 Prevailing Wage Rates Language edit

Vol. 5, Part II, Ch. 4 Section 4.2.1 Point 4 wording changed from “maintenance” to “construction”.

11-007-D 04/04/2011 04/04/2011 Statement of Experience

Statement of Experience need not be used with the Mini Form. Vol. 5, Part I, Ch. 8, Section 8.5.3

11-006-P 04/04/2011 04/04/2011 Competitive Bidding

The Regents rescinded the outdated competitive bidding policy in January of 2007. The policy was superfluous since UC follows competitive bidding as obligated by statute. Vol. 1, Ch. 5

11-005-P 04/04/2011 04/01/2011 UC Governance and Org

Updates reflect most recent UC governance & organization. Vol. 1, Ch. 3

11-004-P 03/24/2011 03/21/2011 Faculty as Consulting Architects

The Regents rescinded the policy forbidding UC architects to work on UC projects in January of 2007. Any architect may compete for a project. Link to DA 2134 replaced with DA 2220. Vol. 3, part I, Ch. 2, Section 2.12 deleted.
ARCHIVE OF CHANGES TO THE FACILITIES MANUAL

A complete list of archive changes can be found at http://www.ucop.edu/facil/fmc/facilman/documents/arch_chngs.pdf

The changes listed above are numbered sequentially by using the following format:

11 - 001 - D or P or C or G

{year} {change number} {letter designating whether a change is to a document, policy/instruction, contract or guidelines}

Changes to contract templates that correct errors or otherwise do not modify the terms of the contract and are labeled "D"

Questions or comments concerning this document may be sent to:

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