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SANTA BARBARA • SANTA CRUZ

OFFICE OF THE PROVOST AND EXECUTIVE VICE PRESIDENT FOR ACADEMIC AFFAIRS

OFFICE OF THE PRESIDENT 1111 Franklin Street, 12th Floor Oakland, California 94607-5200

March 14, 2022

CHANCELLORS

RE: Deans' Salary Structure: Adjustment of Salary Bands Guidance on Compensation for Deans Participating in the Health Sciences Compensation Plan (HSCP)

Dear Colleagues:

In 2009, the Regents approved transferring academic deans from the Senior Management Group (SMG) personnel program to the Academic Personnel program in conjunction with the issuance of APM - 240, Deans, and the creation of the Deans' Salary Structure. The Deans' Salary Structure consists of three salary bands, each with minimum and maximum levels. It was initially envisioned that the Deans' Salary Structure would be reviewed annually and adjusted for market competitiveness and inflation. In practice, the Salary Structure has been reviewed approximately every four years; the Deans' Salary Structure was updated in 2014 and then most recently in 2018. Since that adjustment, the California Consumer Price Index changed by approximately 8.9% and the need to maintain market competitiveness warrants further revision to the salary structure.

Following analysis of the market and the salaries of the deans paid on this structure, and with recommendations from the Executive Vice Chancellors/Provosts and the Vice Provosts/Vice Chancellors for Academic Affairs, I have approved an adjustment to the Deans' Salary Structure. To account for inflation and to move closer to competitive market salaries, I have approved 1) increasing the minimum of each band by 10%, 2) increasing the maximum of Bands 1 and 2 by 10%, and 3) increasing the maximum of Band 3 by 15%, effective July 1, 2022. The adjusted Deans' Salary Structure salary bands are presented below.

Deans' Salary Structure, effective July 1, 2022

| Salary Band | Minimum | Maximum |
|-------------|-----------|-----------|
| Band 1 | \$199,700 | \$509,900 |
| Band 2 | \$239,600 | \$611,100 |
| Band 3 | \$287,500 | \$800,400 |

All deans with appointments starting on or after July 1, 2022 must meet the new minima. It is not required that current deans who fall below the adjusted minimum for their band be raised to the new minimum. Compensation for acting or interim deans is to be set in accordance with normal campus practice and need not adhere to the Deans' Salary Structure.

Chancellors retain authority to appoint deans with a salary up to the maximum of the salary structure (Band 3) and to increase salary for sitting deans due to merit, equity, or retention up to that maximum. All salary actions above the maximum of Band 3 require pre-approval by the Regents.

Chancellors have authority to determine to which band a particular decanal position is assigned based on compensable factors and market conditions. To determine an appointment salary for a new dean or a merit, equity, or retention salary increase for sitting deans, please refer to the Academic Personnel Manual (APM) policy 240, Deans, specifically section 240-18 Salary. A list of compensable factors and other information is posted on the Academic Personnel and Programs website at https://www.ucop.edu/academic-personnel-programs/compensation/deans-salary-structure/index.html.

The larger increase in Band 3 accommodates the request from Vice Provosts/Vice Chancellors for Academic Affairs for more flexibility for setting salaries for deans in schools of medicine.

Health Sciences Compensation Plan (HSCP). Health sciences deans may participate in the HSCP under the terms of APM - 670, Health Sciences Compensation Plan and APM - 671, Conflict of Commitment and Outside Activities of Health Sciences Compensation Plan Participants. As participants in the HSCP, deans are eligible for optional university additional compensation that may be paid, in accordance with fund source restrictions, as negotiated additional compensation (the Y component) beyond the base salary. Health Sciences deans may also receive incentive/bonus compensation (the Z component). Negotiated Y and Z components are not covered compensation for the University of California Retirement Plan and are not considered in the calculation of the base salary applied to the Deans' Salary Structure salary bands.

Included in this issuance is a guidance document that outlines principles regarding additional university compensation for deans who participate in the HSCP, including limits on the use of the Y component and stipends.

Questions regarding the implementation of this adjustment to the Deans' Salary Structure should be directed to Director Gregory Sykes, Academic Personnel and Programs, at Gregory.Sykes@ucop.edu or (510) 987-0201.

Sincerely,

Michael T. Brown, Ph.D.

Michael J. Brown

Provost and

Executive Vice President for Academic Affairs

Enclosure: 2021-22 Deans' Salary Structure Analysis

Guidance on Compensation for Deans Participating in the Health Sciences

Compensation Plan

cc: President Drake

Academic Council Chair Horwitz

Executive Vice President and Chief Financial Officer Brostrom

Executive Vice President, Chief Operating Officer Nava

Executive Vice President Byington Executive Vice Chancellors/Provosts

Vice Provost Carlson Vice President Lloyd

Vice Provosts/Vice Chancellors Academic Affairs

Assistant Vice Provosts/Assistant Vice Chancellors Academic Personnel

Deputy General Counsel Woodall Associate Vice President Nation Associate Vice Provost Lee

Interim Executive Director Henderson

Director Grant Director Sykes

Academic Data and Compensation Analyst Jue