



OFFICE OF THE PROVOST AND
EXECUTIVE VICE PRESIDENT FOR ACADEMIC AFFAIRS

OFFICE OF THE PRESIDENT
1111 Franklin Street, 12th Floor
Oakland, California 94607-5200

February 1, 2019

CHANCELLORS
LABORATORY DIRECTOR MICHAEL WITHERELL
ACADEMIC COUNCIL CHAIR ROBERT MAY
ANR VICE PRESIDENT GLENDA HUMISTON

Re: Issuance of Revised Academic Personnel Manual Section 028, Disclosure and Review of Financial Interest in Private Sponsors of Research (APM - 028)

Dear Colleagues:

I am formally transmitting revised Academic Personnel Manual Section 028, Guidelines for Disclosure and Review of Financial Interest in Private Sponsors of Research (APM - 028). The revised policy, which is effective immediately, can be found online at: <https://www.ucop.edu/academic-personnel-programs/academic-personnel-policy/policy-issuances-and-guidelines/index.html>.

The revisions to APM - 028 rescind the substantive policy language of APM - 028. The substantive policy language of APM - 028 has been replaced by the UC Policy on Disclosure of Financial Interests and Management of Conflicts of Interest in Private Sponsors of Research which can be found online at: <https://policy.ucop.edu/doc/2000678/COI-700-U>. Still in effect are the Guidelines for Disclosure and Review of Principal Investigator's Financial Interest in Private Sponsors of Research contained in APM - 028.

I wish to thank all members of the University community for their efforts to revise this policy. Your contributions are deeply appreciated.

Sincerely,

A handwritten signature in blue ink that reads "Michael".

Michael T. Brown, Ph.D.
Provost and
Executive Vice President for Academic Affairs

Enclosure

February 1, 2019

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cc: President Napolitano
Executive Vice Chancellors/Provosts
Executive Vice President & Chief Financial Officer Brostrom
Executive Vice President, Chief Operating Officer and Chief of Staff Nava
Executive Vice President Stobo
Senior Vice President and Chief Compliance and Audit Officer Bustamante
Senior Vice President Gulbranson
Vice President & Chief Investment Officer Bachher
Vice President & General Counsel Robinson
Vice President Budil
Vice President Duckett
Vice President Ellis
Vice Provost and Chief Outreach Officer Gullat
Vice Provost Carlson
Vice Provosts/Vice Chancellors of Academic Affairs/Personnel
Vice Chancellors for Research
Academic Personnel Directors
Deputy General Counsel Woodall
Chief Policy Advisor Kao
Executive Director Baxter
Executive Director Chester
Executive Director Peterson
Senior Counsel Auriti
Senior Counsel Morodomi
Chief of Staff and Director Henderson
Chief of Staff Levintov
Director Grant
Director Lee
Director Myjer
Manager Donnelly
Manager Smith
Academic HR Manager Jordan
HR Manager Crosson
Policy Analyst Miller
Policy Analyst Wilson