

SUMMARY

ENGAGEMENTS WITH THE REGENTS' AUDIT FIRM

<u>Audit</u>	<u>Initiation of Engagement</u>	<u>Required Approval</u>	<u>Fund Source</u>
UC	VP--Financial Management	The Regents	General Funds
UCRP	VP--Financial Management	The Regents	UCRP Funds
A-133	VP--Financial Management	VP--Fin Mgmt.	Federal Overhead/ Educational Fee Funds
NCAA	VP--Financial Management	VP--Fin Mgmt.	Educational Fee Funds
Bond Programs	VP--Financial Management	VP--Fin Mgmt.	Program Revenues
Hospital Audits	Chancellor or Designee	VP--Fin Mgmt.	Program Revenues
Other Financial Audits	Chancellor, Vice President, DOE Lab Director (or Designee)	VP--Fin Mgmt.	Campus Funds OP Funds DOE Lab Funds
Consulting Engagements	Chancellor, Vice President, DOE Lab Director (or Designee)	Chancellor, President, DOE Lab Director	Vice Campus Funds OP Funds DOE Lab Funds (or Designee)