

UNIVERSITY OF CALIFORNIA



CALL FOR PROPOSALS AND GUIDELINES ***Academic Year 2009-2010***

Campus Review Required
Deadlines Vary
See Last Page of this Publication

PACIFIC RIM RESEARCH PROGRAM
University of California, Office of the President
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Oakland, CA 94607-5200

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Also available at: <http://www.ucop.edu/research/pacrim/>

PACIFIC RIM RESEARCH PROGRAM

Executive Committee 2008-2009

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Department of History
Santa Cruz

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Professor Sabine Frühstück
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SANTA CRUZ CAMPUS

Professor Anna Tsing
Department of Anthropology

AGRICULTURE AND NATURAL RESOURCES

Dr. Edwin Grosholz
Environmental Science and Policy
Davis

I. INTRODUCTION

The University of California (UC) Pacific Rim Research Program (PRRP) supports collaborative research by UC faculty, graduate students, and their colleagues at other institutions. Inaugurated in 1986 as part of a University-wide Pacific Rim initiative, it is governed by an Executive Committee of faculty representatives from all UC campuses and is administered by the Office of the President. It offers competitive grants totaling nearly \$700,000 annually.

II. SCOPE

The Pacific Rim Research Program promotes the study of the Pacific Rim as a distinctive region. For the purposes of this Program, the term "Pacific Rim" encompasses all areas and nations that border the Pacific Ocean, including Southeast Asia, the Pacific islands, and Pacific Latin America. The Program places priority on research that is new, specific to the region, and collaborative -- reaching across national boundaries and bridging academic disciplines. Proposals may come from any discipline and should address questions that contribute to an understanding of the Pacific Rim region as a whole.

III. CRITERIA FOR AWARDS

Proposals will be evaluated for scholarly merit, originality, purpose, relationship to existing research, theoretical framework, methodology, qualifications and role of each investigator and collaborator, adequacy of available resources, justification for the budget, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results.

In addition to these features, successful proposals ordinarily include the following:

- investigation across national, cultural, linguistic, and/or regional boundaries;
- focus on interactions, flows, or major issues affecting the Pacific Rim region;
- collaboration of scholars in different countries and, where appropriate, different disciplines.

Multi-disciplinary, multi-regional research is encouraged. Studies of single countries may be funded if the proposal articulates broader regional significance. "Collaboration" is broadly defined and need not be required in all aspects of the research. Collaborative projects should be aimed at facilitating, whenever possible, long-term ties between the UC, institutions, and individuals throughout the Pacific Rim. Projects should enable researchers who have not previously approached Pacific Rim subjects to interact with colleagues who are experts on

regional issues. For graduate students, international collaboration will often be in the form of institutional affiliation abroad or faculty sponsorship in the host country.

Studies of flora, fauna, diseases, minerals, and other natural phenomena that occur in the Pacific Rim will be considered only if they significantly enhance understanding of the Pacific Rim and its peoples. Such proposals will receive more favorable consideration if they address cultural, social, or policy issues and include collaborators from both social science/humanities and natural science disciplines.

Prospective applicants are encouraged to discuss their proposals with campus research office staff or faculty representatives on the University-wide Executive Committee to evaluate appropriateness to the Program. The Pacific Rim Research Program does not normally support proposals focused solely on Mexico and the United States. Investigators on such proposals are encouraged to explore funding opportunities offered by the UC MEXUS program.

IV. AWARD CATEGORIES

UC faculty and staff who are eligible to be Principal Investigators on their campus may seek Research Grants, Workshop and Planning Grants, Faculty Development Grants, and Mini-grants.

Graduate students may apply for Advanced Graduate Research Fellowships, Workshop and Planning Grants, and Mini-grants. A graduate student applicant for a Workshop and Planning Grant must obtain sponsorship from a faculty member who will have budgetary and project oversight responsibilities.

All successful applicants must maintain eligibility during the life of the grant. Grants are not transferable to non-UC institutions. Each applicant may submit no more than one proposal per annual competition cycle, exclusive of mini-grants.

A. RESEARCH GRANTS

1. Faculty/Staff: Research Grants fund collaborative projects of varying sizes. One-year grants in 2008-09 ranged from \$10,000 to \$30,000, with a median award of \$15,000. Awards are ordinarily granted for one year, but two-year projects will also be considered, with second-year funding contingent on the submission of an interim report demonstrating satisfactory progress in the first year. Proposals for projects that are part of larger research programs funded by external sources should specify the programmatic and funding relationship between the proposed research and the project(s) already funded.

2. Graduate Students: Advanced Graduate Research Fellowships support graduate students in a year of dissertation research or its equivalent. Research Grants to graduate students in 2008-09 ranged from \$3,270 to \$22,000, with a median award of \$20,329.

Students may apply for a maximum of \$22,000 in funding for a year of research, with budget justification. Budget requests for less than a year of funding should be adjusted proportionally. If more than one year of research can be justified, the graduate student may reapply for an additional year of funding. Graduate students whose research is part of a larger group project should clearly identify their individual roles in the project. In some cases it may be more appropriate to apply under a faculty grant, which can support graduate students.

B. WORKSHOP AND PLANNING GRANTS

Workshop and Planning Grants enable investigators to refine a hypothesis or line of inquiry, develop a strategy for implementation, and share or disseminate research findings. The grant shall not exceed \$15,000. Workshops should lead to a publication reflecting an advance in the state of knowledge of a field; planning meetings should lead to a proposed program of collaborative research. Campus centers are eligible to apply for workshop and planning grants through a designated Principal Investigator.

C. FACULTY DEVELOPMENT GRANTS

Faculty Development Grants support UC faculty in developing new directions in Pacific Rim research through short-term (one to three months) residence in the region. All UC faculty with PI status may apply, either for the PI's own travel, or on behalf of a collaborator in another Pacific Rim country who will be hosted on the PI's home campus. The award shall not exceed \$10,000. The proposal should aim at facilitating collaboration or field work on a project. If the residence occurs during the academic year, the applicant is responsible for making appropriate arrangements for a leave of absence from the university. During the period of residence, the applicant may not be remunerated for teaching or research-related activities by the host institution.

D. MINI-GRANTS

Mini-grants will be awarded twice during 2009-10, in amounts up to \$3,000. See “Mini-grant Application information” on page 12.

V. RESEARCH SCHEDULE

Grants are awarded on a fiscal-year basis (July 1 - June 30). Grant recipients should consult with Program staff regarding specific scheduling questions.

VI. PROPOSAL SUBMISSION AND REVIEW PROCEDURES

The Pacific Rim Research Program has a two-tier review process. All proposals except for mini-grants must first undergo campus review. Successful proposals are forwarded to the Office of the President for systemwide review and selection. For mini-grant application information, see page 12.

A. CAMPUS REVIEW

Proposals must be submitted online at <http://www.ucop.edu/research/pacrim/> for review and preliminary selection by the appropriate campus committee. The campus committee forwards finalists for consideration by the PRRP Executive Committee and the Office of the President. Campuses set their own deadlines and guidelines for submission of proposals. Please see the back cover of this brochure for campus deadlines. Each campus may submit a total of twelve Research, Advanced Graduate Research Fellowships, Workshop/Planning, and Faculty Development Grant proposals. As many as three of these may be Faculty Development Grant Proposals. Local reviewers should be aware that student proposals will be considered in a common pool with faculty proposals in the final selection process. Agriculture and Natural Resources may submit up to four proposals. ANR faculty with joint appointments with a campus must submit proposals through the campus. Campus administrators may determine whether to review the proposals together or separately.

Selected proposals will be forwarded to the Pacific Rim Research Program together with a cover letter from the Chancellor's representative listing all proposals submitted to the campus, including the unsuccessful ones, for the Program record. The campus review committees should provide the Executive Committee with a summary of the proposals they forwarded and a narrative explanation describing how the campus selected which proposals to forward. The proposals selected for systemwide review should not be ranked.

All proposals selected by the campuses are due in the Program Office by Tuesday, February 17, 2009.

B. FINAL SELECTION PROCESS

The Program Office at the Office of the President will assign the proposals forwarded from the campuses to the PRRP Executive Committee members for review. The Executive Committee meets in April to make final selection of proposals recommended to the Office of Research for funding. Upon approval by the Vice Provost for Research at the Office of the President, letters informing applicants of their award status will be mailed to all applicants. Announcements are usually made in May.

VII. REPORTING

Principal Investigators and Advanced Graduate Research Fellowship recipients must submit a brief report (1,500-4,000 words) on completed projects to the Program Office at the Office of the President by December 1st in the year the project is completed. The report should be suitable for dissemination to a general audience. Appended to the report should be a list of research activities, names of all participants including research assistants, copies of publications generated by the project, and a financial report. Copies of publications that appear after the reporting deadline should be submitted to the Program Coordinator when they become available.

Principal Investigators with projects funded for two years must submit an interim report to the Program Office at the Office of the President by April 15 of the first fiscal year. The Executive Committee will review the report for satisfactory progress before the second-year funds are released.

Unexpended funds at the project's conclusion must be returned to the Program Office. Failure to submit a final report or return unexpended funds will jeopardize future grant eligibility.

The Program maintains a web archive and publishes an annual newsletter. Project results described in progress reports and final reports, as well as in publications submitted to the Program, may be published in synopsis form.

The PRRP participates in the UC California Digital Library's (CDL) e-Scholarship repository Program and welcomes submissions of working papers, articles, conference proceedings, and other scholarly materials to be electronically published under the PRRP's auspices. For more information about the repository, please refer to the CDL's website: <http://www.cdlib.org/escholarship/>.

VIII. PROJECT EXTENSIONS

No-cost grant extensions can usually be approved for a period of up to one year when additional time is required to assure completion of the original project. Exceptions to the one-year maximum extension will be granted only in extraordinary cases.

Requests for no-cost grant extensions should be made in writing and submitted by email to the Program Officer, and should include 1) reasons for the extension, 2) the requested extension period, 3) a brief progress report, and 4) a budget report.

IX. PROPOSAL FORMAT and INSTRUCTIONS

A.) FACULTY Research, Workshop/Planning, and Faculty Development Grants Application Instructions

(1) Contact your campus liaison officer. (See contact list on the final page of this Call.) Each campus has its own deadline and application procedure in addition to the following.

(2) Apply online at: <http://www.ucop.edu/research/pacrim/>

(3) Type or upload the following information in the spaces provided:

- Applicant's Title (choose one) Mr./Ms./Dr./Professor
- Applicant's Name:
- Campus:
- Department:
- Mailing Address:
- Phone:
- Fax:
- Website:

Project Information

- Project Title:

- Project Type: (Research/Planning-Workshop/Development)
- Amount Requested: (First year) (Second year) Total:
- Project duration:
- Co-investigators:
- Collaborators:
- Budget:

1.) A detailed budget and budget justification must be submitted with the proposal, using the worksheet provided on the online application. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of the project. The budget should adhere to the following guidelines:

a. Salary/Stipend: allowable for research assistants on faculty grants. The role of research assistants on faculty grants should be clearly defined. Funds may not be used for faculty salaries or other payments to UC faculty.

b. Travel: should include destination, purpose, number of trips, economy-class airfare, daily food and lodging expenses, and number of days covered. The applicant should make every effort to obtain the most reasonable rates. The PRRP does not use federal per diem rates.

c. Meetings/Conferences: should include purpose, number of participants, potential participants, and details of meeting-related expenses.

d. Research Supplies: may include acquisition of data (specify type of data), project-specific expendable supplies, and consulting fees (with justification and not to exceed \$500 per person) to non-UC collaborators when necessary to obtain technical services. Durable general-purpose equipment such as computers and video cameras is usually not allowed.

Budgets for two-year projects should specify expenditures for each year. Other funding applied for or received from foundations and collaborating institutions, including amount of the grant, must be listed.

Subsequent changes to the submitted budget require prior approval from

the Pacific Rim Research Program.

- Department Budget Officer Name and Contact Information:
- Campus Contracts and Grants Officer Name and Contact Information:
- Graduate Students Employed and Budget (if applicable):
- List other agencies to which you have applied, and any funds related to this project that have already been received. If you are requesting new funds for an ongoing project, provide justification for the added contribution to knowledge that could be supplied by PRRP funding. Pending applications for outside funding will not influence PRRP decisions to fund a project.
- Project Abstract: (200-word limit)
- Project Narrative:
Description of the project, maximum 2,000 words. Project descriptions for **Research Grants** or **Advanced Graduate Research Fellowships** should describe the purpose of the proposed project, relationship to existing research, Pacific Rim focus, theoretical framework, methodology, role of each investigator and collaborator, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results. A bibliography (not included in the 2,000-word limit) should follow the project description. Applications for **Faculty Development Grants** should include a statement of objectives of the proposed residence. Investigators who wish to include appendices such as questionnaires, descriptions of technical procedures, letters of endorsement, or related publications by members of the research team are free to do so, but the primary vehicle for presenting the project is the project description. Proposals should be written in language accessible to non-specialists.
- Project Keywords
- Curriculum Vitae: This should include two-page resumes for named investigators, listing relevant activities and publications for the past five years only.
- Appendices: e.g. collaborators' CVs, supplementary materials, etc., (if applicable)
- Endorsements (if applicable): Letters of support or commitment from international collaborators must be included. Applications for Faculty Development Grants should include a letter of invitation from the host

institution.

- Documentation of Compliance with Research Regulations. Applicants must ensure that the research meets all federal and state regulations, including those concerning human and animal subjects. It is not necessary to obtain human subjects approval prior to submitting a grant application, but funds will not be released until institutional approval is secured. Applicants should consult with the Office of Research or its equivalent on their home campus to familiarize themselves with requirements.

B.) ADVANCED GRADUATE RESEARCH FELLOWSHIP APPLICATION INSTRUCTIONS

(1) Contact your campus liaison officer. (See contact list on the final page of this Call.) Each campus has its own deadline and application procedure in addition to the following.

(2) Apply online at: <http://www.ucop.edu/research/pacrim/>

(3) Type or upload the following information in the spaces provided:

- Applicant's Title (choose one) Mr./Ms./Dr./Professor :
- Applicant's Name:
- Campus:
- Department:
- Mailing Address:
- Phone:
- Fax:
- Website:
- Project Information
- Project Title:
- Project Type: (Research/Planning-Workshop)
- Research Area:
- Amount Requested:
- Collaborators: Letters of support or commitment from international collaborators must be included (if applicable).
- Itemized Budget:
A detailed budget and budget justification must be submitted with the proposal, using the worksheet provided on the online application. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of

the project. The budget should follow the following guidelines:

a. Graduate student applicants for Advanced Graduate Research Fellowships should apply for funding to cover actual research and travel costs, rather than requesting payment as a stipend or at graduate student research (GSR) rates.

b. Student fees and non-resident tuition. Advanced Graduate Research Fellowships are intended for direct support of graduate student research; they are not intended for UC student fees and tuition. Graduate student applicants are encouraged to seek withdrawn or planned leave of absence status during extended field trips where permitted by campus regulations.

c. Benefits: allowable for graduate students when not reimbursed by campus.

d. Travel: should include destination, purpose, number of trips, economy-class airfare, daily food and lodging expenses, and number of days covered. The applicant should make every effort to obtain the most reasonable rates. The PRRP does not use federal per diem rates.

e. Meetings/Conferences: should include purpose, number of participants, potential participants, and details of meeting-related expenses. Conference travel to professional meetings to disseminate research is not eligible for funding.

f. Research Supplies: may include acquisition of data (specify type of data), project-specific expendable supplies, and consulting fees (with justification and not to exceed \$500 per person) to non-UC collaborators when necessary to obtain technical services. Durable general-purpose equipment such as computers and video cameras is usually not allowed. Research assistance is not allowed on advanced graduate research grants.

Budgets for two-year projects should specify expenditures for each year. Other funding applied for or received from foundations and collaborating institutions, including amount of the grant, must be listed.

- Department Budget Officer Name and Contact Information:
- Campus Contracts and Grants Officer Name and Contact Information:
- Graduate Students Employed and Budget (if applicable):
- Other Sources of Support (if applicable). List other agencies to which you have applied, and any funds related to this project that have already been received. If you are requesting new funds for an ongoing project, provide justification for the added contribution to knowledge that could be supplied by PRRP funding. Pending applications for outside funding will not influence PRRP decisions to fund a project.

- Project Abstract: (200-word limit)
- Project Narrative:

Description of the project, maximum 2,000 words. Project descriptions for **Research Grants** or **Advanced Graduate Research Fellowships** should describe the purpose of the proposed project, relationship to existing research, Pacific Rim focus, theoretical framework, methodology, role of each investigator and collaborator, anticipated scholarly products, tentative schedule, and plan for dissemination of the research results. A bibliography (not included in the 2,000-word limit) should follow the project description. Investigators who wish to include appendices such as questionnaires, descriptions of technical procedures, letters of endorsement, or related publications by members of the research team are free to do so, but the primary vehicle for presenting the project is the project description. Proposals should be written in language accessible to non-specialists.
- Project Keywords:
- Curriculum Vitae: This should include two-page resumes for named investigators, listing relevant activities and publications for the past five years only.
- Transcripts: graduate student's most recent transcript
- Appendices:
- Endorsements:

A letter of support from the student's faculty advisor evaluating the soundness and originality of the project, the work and potential of the graduate student applicant, and the likelihood that the student will advance to candidacy prior to the grant period. Additional letters of support from faculty on the student's dissertation committee are also welcome. Applicants should supply the faculty member's email address. S/he will be automatically prompted to submit the letter electronically.
- Documentation of Compliance with Research Regulations:

Applicants must ensure that the research meets all federal and state regulations, including those concerning human and animal subjects. It is not necessary to obtain human subjects approval prior to submitting a grant application, but funds will not be released until institutional approval is secured. Applicants should consult with the Office of Research or its equivalent on their home campus to familiarize themselves with requirements.

MINI-GRANT APPLICATION INFORMATION

Review PRRP criteria (section III above) to make sure that your proposal is appropriate for this granting program.

Mini-grants will be awarded twice in amounts up to \$3,000. Graduate students may use the awards for dissertation research and pilot field work. Faculty may secure a mini-grant for any research-related project that meets the PRRP grants criteria, with the exception of travel to attend a conference.

Mini-grant proposals are submitted directly to the PRRP Program Office at <http://www.ucop.edu/research/pacrim/>

1. **Deadlines:** During the 2009-10 cycle, mini-grant proposals will be reviewed two times, with the following submission deadlines:

October 15, 2008 and February 17, 2009

2. Decisions for funding are usually made within a month of submission. Successful applicants will be notified soon thereafter. They should fill out a Transfer of Funds Form sent to them with the award letter and return it to the Budget Analyst at the Office of Research so that the funds may be transferred to the appropriate campus account.
3. To allow sufficient time for funding decisions and fund transfers, there should be at least a one-month lead time between the submission deadline and the proposed project activities.
4. Mini-grants are awarded for a one-year term. At the conclusion of the project, a report summarizing research activities, along with a financial report, must be submitted to the Program Coordinator at the Office of the President.

PACIFIC RIM RESEARCH PROGRAM MINI-GRANT APPLICATION INSTRUCTIONS

- 1) Apply online at: <http://www.ucop.edu/research/pacrim/>
- 2) Type or upload the following information in the spaces provided:
 - Applicant's Title (choose one) Mr./Ms./Dr./Professor :
 - Applicant's Name:
 - Campus:
 - Department:
 - Mailing Address:
 - Phone:
 - Fax:
 - Website:

Project Information

- Project Title:
- Project Narrative (maximum 1,250):
- Project Keywords:
- Curriculum Vitae: This should include two-page resumes for named investigators, listing relevant activities and publications for the past five years only.
- Itemized Budget: A detailed budget and budget justification must be submitted with the proposal, using the worksheet provided on the online application. Pacific Rim Research Program funds may be used for direct costs and, when campus regulations require it, line items for direct administrative support of the project. The purchase of durable general-purpose equipment, such as computers and video cameras, is usually not allowed.
- Faculty Advisor's Endorsement (required for graduate students) Applicants should supply the faculty member's email address. S/he will be automatically prompted to submit the letter electronically.
- Transcript (most recent transcript required for graduate students):
- Appendices:

PROPOSAL SUBMISSION DEADLINES FOR CAMPUS REVIEW

(established by campus)

Please contact the campus liaison officer listed below for campus-specific application procedures.

<p align="center">BERKELEY DEADLINE: November 17, 2008 CAMPUS CONTACT: Shelley Sprandel Research Administration and Compliance Office 2150 Shattuck Avenue, Suite 313 510/ 642-8122 • spore@berkeley.edu Required campus procedures: http://www.spo.berkeley.edu/fund/limited.html#pacrim</p>	<p align="center">DAVIS DEADLINE: November 11, 2008 CAMPUS CONTACT: Sheryl Soucy-Lubell Office of Research, Limited Submissions 1850 Research Park, Suite 300 530/747-3832 • limsubmissions@ucdavis.edu http://research.ucdavis.edu/limitedsubmissions</p>
<p align="center">IRVINE DEADLINE: December 5, 2008 CAMPUS CONTACT: Marie Richman Office of the Vice Chancellor for Research 160 Aldrich Hall 949/824-2898 • richman@uci.edu</p>	<p align="center">LOS ANGELES DEADLINE: December 5, 2008 CAMPUS CONTACT: Elizabeth Leicester Asia Institute, 11288 Bunche Hall 310/825-0007 • eleicester@international.edu www.international.ucla.edu/asia</p>
<p align="center">MERCED DEADLINE: January 9, 2009 CAMPUS CONTACT: Thea Vicari Sponsored Project Office 4225 N. Hospital Rd, Atwater, CA 95303 209/724-4318 • tvicari@ucmerced.edu</p>	<p align="center">RIVERSIDE DEADLINE: December 17, 2008 CAMPUS CONTACT: Jane Schultz Office of Research 200 University Office Building 951/827-7986 • Jane.schultz@ucr.edu</p>
<p align="center">SAN DIEGO DEADLINE: January 9, 2009 CAMPUS CONTACTS: Jason DeFay (for faculty) Office of Research Affairs 858/534-3556 • jdefay@ucsd.edu Linda Vong (for students) Office of Graduate Studies 858/822-2938 • lkvong@ucsd.edu</p>	<p align="center">SAN FRANCISCO DEADLINE: November 24, 2008 CAMPUS CONTACT: Mary Lyall Office of Sponsored Research 3333 California St. Suite 320 415/502-5213 • mary.lyall@ucsf.edu</p>
<p align="center">SANTA BARBARA DEADLINE: November 17, 2008 CAMPUS CONTACT: Carla Whitacre Research Development 3227 Cheadle Hall 805/893-3925 • whitacre@research.ucsb.edu http://www.research.ucsb.edu/resources/documents/limitedsub/current/PacRim.pdf</p>	<p align="center">SANTA CRUZ DEADLINE: January 5, 2009 CAMPUS CONTACT: Lisa Nishioka CGIRS 303 Social Sciences 1 831/459 2833 / global@ucsc.edu</p>

ANR
DEADLINE: January 30, 2009
CAMPUS CONTACT: Lynn Deetz
 Principal Contracts and Grants Officer
 Division of Agriculture and Natural Resources
 1111 Franklin St., 6th Floor, Oakland, CA 94607-5200
 510/987-0050 • Lynn.Deetz@ucop.edu