

UC Multi-Campus Research Programs & Initiatives (MRPI)

2009 Peer Review Outcomes*

Steven Beckwith, Vice President, Research & Grad Studies, UCOP

*Outcomes presented here include full funding recommendations from the peer review panels. Due to budgetary uncertainty, some proposals have since been designated pending/waitlisted. Many of those already designated for funding have had their budgets reduced.



UCMRPI Program Overview

MRPIs are collaborative research activities involving three or more campuses that provide stimulus and cohesion for thematic topics important to UC and to California and that serve as a resource for the UC system.

<u>MRPIs</u> should have systemwide impact, realize systemwide benefits and enhance UC competitiveness overall.

UCMRPI Program Overview (2)

MRPI funds currently support existing MRUs that have been in existence for 3-60+ years, but have never previously been competitively reviewed.

Existing MRUs were competed with new MRPI proposals in order to identify those programs or ideas (and allocate research dollars) to best meet overall program goals.

Applicants could request 1-5 years of support.



Scope of Review

- Estimated Annual Funds Available:\$ 12.4 MOver 5 years:\$ 55 M-70 M
- 139 Proposals Submitted totaling \$346M in requests
- Proposals reviewed in 1 of 6 expert panels:
- Arts & Humanities
- Social & Behavioral
- International & Area Studies
- Emerging Sciences & Technology
- Biological & Health Sciences
- Critical California Issues (esp Energy & Environment)



Review Process

Proposals received preliminary score by 2 assigned reviewers within their disciplinary panel (each reviewer assigned approx 10 proposals)

Disciplinary Panels scored proposals and created rank-ordered list through an iterative process.

Each panel was guaranteed minimum allocation of \$5M or 10% of total funds requested in that panel (whichever is greater) totaling \$40M of the \$55M-70M available

Portfolio Review Panel composed of Panel Chairs and 2 other experts made recommendations as to funds distribution across entire Program



Review Outcomes*

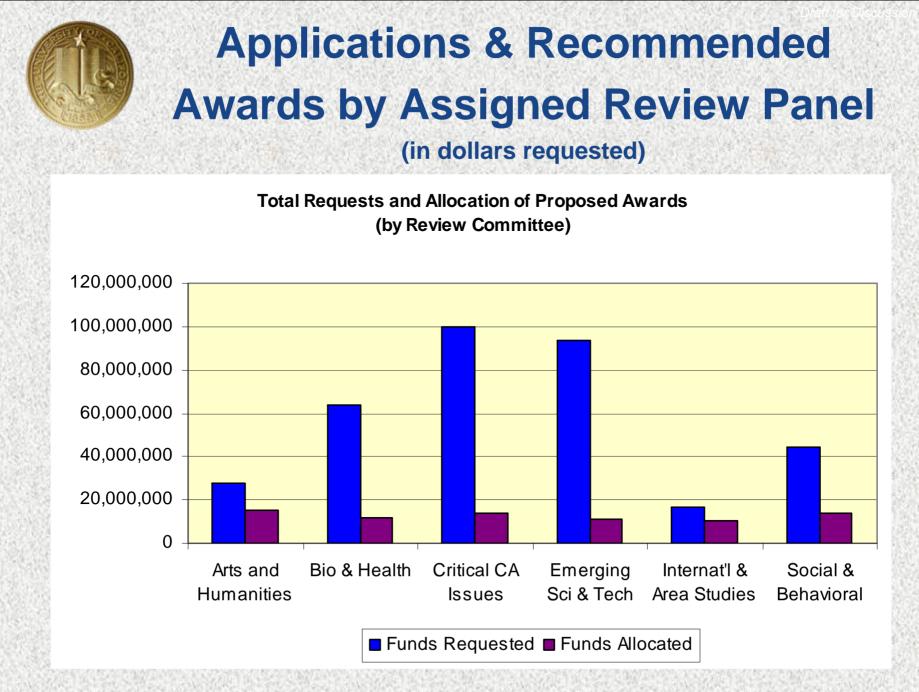
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Existing MRU Recommended Funding Success Rate

| * | by Number of Apps | by Funding Requested |
|--|----------------------|----------------------|
| All Applications Submitted | 139 | \$346,418,254 |
| All Apps. Funded or Waitlisted | 37 | \$76,109,907 |
| % Funded (all Apps) | 27% | 22% |
| MRUs Submitting Applications | 35* | \$82,867,846 |
| MRUs Funded or Waitlisted | 20 | \$38,882,696 |
| % MRUs Funded/WL of MRU applications | 57% | 47% |
| % of MRUs funded/WL out of All funded | 54% | 51% |
| % of new MRPIs out of Total funded/WL | 46% | 49% |

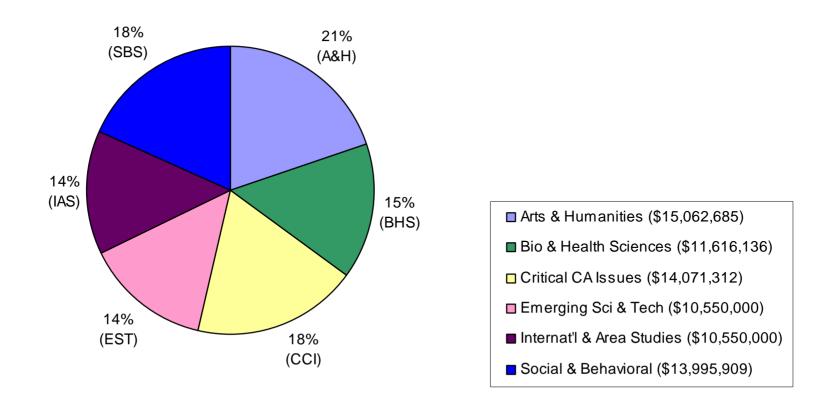
*1 MRU (IGPP) submitted 5 Apps; 1 MRU (Energy Inst.) submitted 2 Apps. These are counted once.





Allocation of Recommended Award Funds by Assigned Review Committee (by percent of total)

Allocation of Total Available Funding to each Committee

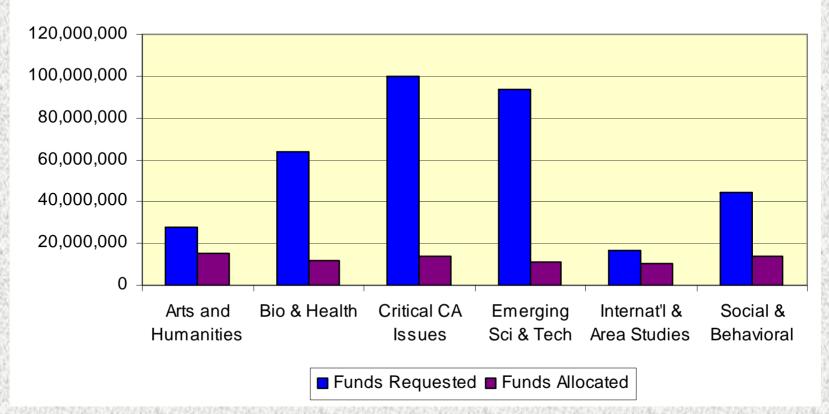




Allocation of Recommended Award Funds Relative to Requests per Assigned Committee

(by dollars)

Total Requests and Allocation of Proposed Awards (by Review Committee)





Arts & Humanities Review Panel

Chair Pauline Yu, Ph.D. President, American Council of Learned Societies New York, New York

Members

Sheldon Brown, MFA Professor of Visual Arts UC San Diego

Ralph J. Hexter, Ph.D. President Hampshire College Richard Leppert, Ph.D. Professor of Cultural Studies and Comparative Literature University of Minnesota

Julia Simon, Ph.D. Professor of French Eighteenth-Century Literature and Culture UC Davis



Biological & Health Sciences

Review Panel

James Sabry, M.D., Ph.D. President and CEO Arete Therapeutics, Inc. and Chairman of the Board, Cytokinetics, Inc. Arete Theapeutics, Inc.

Members

Thomas A. Baillie, Ph.D., D.Sc. Dean, School of Pharmacy University of Washington, Seattle

Peter Jackson, Ph.D. Director, Staff Scientist Genentech, Inc.

Jerome Schultz, Ph.D. Professor and Chair of Bioengineering UC Riverside Tim Stearns, Ph.D. Professor of Biology and of Genetics Stanford University

Eugeni Vaisberg, Ph.D. Consultant Institute for Neurodegenerative Diseases UCSF



Critical California Issues Review Panel

Chair John Gage, M.B.A. Partner, Greentech Kleiner Perkins Caufield & Byers

Members

Meg Caldwell, J.D. Senior Lecturer in Law Stanford University

Jane Long, Ph.D. Associate Director Energy and Environment Lawrence Livermore National Laboratory Barry Gold, D.Sc. Initiative Lead Marine Conservation Initiative Gordon & Betty Moore Foundation

Norm Miller, Ph.D. Hydro Meteorologist, Group Leader Earth Sciences Division Lawrence Berkeley National Lab



Emerging Sciences & Technology Reviewer Panel

Chair Arati Prabhakar, Ph.D. General Partner U.S. Venture Partners Menlo Park, CA

> Elias Towe, Ph.D. Albert and Ethel Grobstein Memorial Professor Electrical and Computer Engineering, and Materials Science and Engineering Carnegie Mellon University

Tonya Kuhl, Ph.D. Professor of Chemical Engineer and Materials Science, and Biomedical Engineering UC Davis

Michael S. Turner, Ph.D. Rauner Distinguished Service Professor Departments of Astronomy and Astrophysics, and Physics, and the College; Enrico Fermi Institute; Kavli Institute for Cosmological Physics The University of Chicago

Shrinivas R. Kulkarni, Ph.D. John D. and Catherine T. MacArthur Professor of Astronomy and Planetary Science; Director, Caltech Optical Observatories Cal Tech

Members

David Auston, Ph.D. Retired – former president of The Kavli Foundation Oxnard, CA

Phillip Bucksbaum, Ph.D. Director, Ultrafast Science Center, SLAC Stanford University

Alan R. Bishop, Ph.D. Associate Director Theory, Simulation and Computation Directorate Los Alamos National Laboratory

Gary Fedder, Ph.D. Prof of ECE & Robotics; Director of ICES ECE: Electrical & Computer Engineering; Robotics Institute, ICES Carnegie Mellon University



International & Area Studies Review

Panel

Stephen Haber, Ph.D. Professor of History Department of Political Science and Hoover Institution Stanford University

Members

Phillip Hoffman, Ph.D. Rea A. and Lela G. Professor of Business Economics and History Humanities and Social Sciences Cal Tech

Miles Kahler, Ph.D. Professor International Relations and Pacific Studies

University of California, San Diego

Kerry Odell, Ph.D. Professor of Economics and Mary W. Johnson Professor in Teaching Scripps College



Social & Behavioral Review Panel

Chair Ronald Rindfuss, Ph.D. Robert Paul Ziff Distinguished Professor and Carolina Population Center Faculty Fellow, Sociology University of North Carolina and East-West Center University of Hawaii, Honolulu, HI

Members Robin Maria DeLugan, Ph.D. Assistant Professor, Anthropology UC Merced

Anuradha Luther Maitra, Ph.D. CEO Floreat, Inc. Howard Pinderhughes, Ph.D. Associate Professor & Chairperson Social & Behavioral Sciences UC San Francisco

Sean Randolph, J.D., PhD., President Economic Institute Bay Area Council San Francisco, CA



Portfolio Review Panel

Chair Randolph Hall, Ph.D. Vice Provost for Research Daniel J. Epstein Department of Industrial and Systems Engineering University of Southern California Los Angeles, CA

Members

John Gage, M.B.A. Partner,Greentech Kleiner Perkins Caufield & Byers Menlo Park, CA

Stephen Haber, Ph.D. Professor of History Department of Political Science and Hoover Institution Stanford University

Arati Prabhakar, Ph.D. General Partner U.S. Venture Partners Menlo Park, CA

Ronald Rindfuss, Ph.D. Robert Paul Ziff Distinguished Professor and Carolina Population Center Faculty Fellow, Sociology, University of North Carolina and East-West Center, University of Hawaii, Honolulu, HI James Sabry, M.D., Ph.D. President and CEO Arete Therapeutics, Inc. and Chairman of the Board, Cytokinetics, Inc. Arete Theapeutics, Inc. Hayward, CA

Michael Witherell, Ph.D. Vice Chancellor for Research UC Santa Barbara

Pauline Yu, Ph.D. President American Council of Learned Societies New York, New York