



OFFICE OF THE SENIOR VICE PRESIDENT —  
BUSINESS AND FINANCE

OFFICE OF THE PRESIDENT  
1111 Franklin Street  
Oakland, California 94607-5200

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BEATRICE CARDONA  
GRACE CHIU  
HAI DOAN

RENATA ELLIS  
MABEL LAI  
BARBARA VANDEN BORRE

Re:	Release:	1552
	Service Request:	80740
	Error Reports	None
	Programs:	PPI730, PPNETCLC, PPOT1552 (one-time)
	CICS Programs	None
	Copymembers:	CPWSW88S, CPWSXIC2, CPWSXIVR, CPWSXPLN
	Include Members:	PPPV400A
	DDL Members:	None
	Bind Members:	PPOT1552
	CICS Maps:	None
	Forms:	None
	Table Updates:	Code Translation Table, Data Element Table, Gross-to-Net Table, CICS Help
	Urgency:	Date Mandated (see Timing of Installation)

This release addresses the following service request.

### **Service Request 80740**

At their November 19, 2003 meeting, The Regents approved significant changes in the UC-managed investment options available to participants in the UCRS Defined Contribution Plan and Tax-Deferred 403(b) Plan. To be effective in April 2004, there will be three major changes to the UC-managed funds:

- The fund mix of the Multi-Asset Fund will be substantially changed, and the fund will be renamed the Balanced Growth Fund (BGF).
- A new fund option, called the Treasury Inflation-Protected Securities (TIPS) Fund will be offered both as a component of the new Balanced Growth Fund and as a stand-alone investment option.
- The Money Market Fund will be closed and merged into the Savings Fund.

Below are the GTN numbers and its Descriptions:

339	TD-BGF
342	TD-TIPS-%
343	NTD-BGF
344	NTD-TIPS
345	DCP-BGF
346	DCP-TIPS

347	DCP CAS-BGF
348	DCP CAS-TIPS
349	SS-BGF Deduction
350	SS-TIPS Deduction
356	TD-TIPS
357	TD-BGF-%
394	SS-TIPS Contribution
395	SS-BGF Contribution

Service Request 80740 asked that the following processes be modified:

### **Control Table Updates**

- **Code Translation Table**

Code translations must be established for GTN numbers 339, 356, 357, 342, 343, 344, 345, 346, 347, 348, 349, 350, 394, and 395. In addition, code translations must be added for new DCP Plan Codes (EDB 0129) of 'O' (BGF) and 'T' (TIPS).

- **Data Element Table**

New DCP Plan Codes of 'O' (BGF) and 'T' (TIPS) should be added to the list of valid DCP Plan Codes (EDB 0129).

- **Gross-to-Net Table**

Gross to Net (GTN) numbers 339, 342, 343, 344, 345, 346, 347, 348, 349, 350, 356, 357, 394, and 395 (Balanced Growth Funds and Treasury Inflation-Protected Securities Funds in the UCRS Defined Contribution Plan, and Tax-Deferred/Non-Tax Deferred 403(b) Plans) must be established on the GTN table to which future contributions can be directed. A year-to-date balance should be recorded for all the GTN numbers. An effective date should be required for GTN numbers 339, 342, 343, 344, 356, and 357.

Gross-to-Net numbers 155 (DCP Casual Money Market), 156 (DCP Casual Multi-Asset), 203 (Tax Deferred Money Market), 215 (DCP Career Money Market), 216 (DCP Career Multi-Asset), 228 (Tax Deferred Multi-Asset - Flat Dollar Amount), 229 (Tax Deferred Multi-Asset - Percentage of Salary), 236 (Tax Deferred Money Market - Percentage of Salary), 252 (Non-Tax Deferred Multi-Asset), 293 (Tax Deferred Money Market - Flat Dollar Amount), 385 (SS DCP Money Market - Employer Contribution), 386 (SS DCP Multi-Asset - Employer Contribution), 392 (SS DCP Money Market - Employee Deduction), and 393 (SS DCP Multi-Asset - Employee Deduction) should be marked as inactive ('I').

### **One-time Process**

Effective April 1, 2004, the Multi-Asset Fund and the Money Market Fund will be closed for future contributions.

A One-time program should be developed to move the employees' Multi-Asset Fund deductions to the new Balanced Growth Fund, and move the employees' Money Market Fund deductions to the existing Savings Fund. In addition, the BRSC Effective Date should be set to the process date (Date one-time is actually run).

If the employee's DCP Plan Code is 'A' (DCP Multi-Asset Fund), the DCP Plan Code should be replaced with 'O' (DCP Balanced Growth Fund). If the employee's DCP Plan Code is 'M' (DCP Money Market Fund), the DCP Plan Code should be replaced with 'S' (DCP Savings Fund).

An Employee Change File should be produced. No one-time detail report is necessary; the standard audit report (PPP1800, DATA BASE AUDIT REGISTER) can be produced using the output one-time ECF File as input to PPP180.

### **Compute Process**

The Compute Process should be modified such that the DCP Multi-Asset Fund and DCP Money Market Fund are no longer taken from the employee's pay. If the employee's DCP Plan Code is 'O' and the GTN number is associated with the Balanced Growth Fund, the appropriate DCP amount should be taken. If the employee's DCP Plan Code is 'T' and the GTN number is associated with the TIPS Fund, the appropriate DCP amount should be taken.

### **UCRS Interface File Changes**

The UCRS Interface file produced as part of the month-end PPS (Payroll/Personnel System) process should include the new UCRS Plan Codes 08, 09, 37, 38, 48, 49, 67, 68, 77, 78, 80, and 87 associated with appropriate GTN Numbers 339, 342, 343, 344, 345, 346, 347, 348, 349, 350, 356, 357, 394, and 395 on the Contribution Segment of the file.

### **IVR Extract File Changes**

The IVR Extract file containing changes to employees' data should include the new Gross-to-Net Deduction Amounts, Year-to-Date Deduction Amounts for GTN Numbers 339, 342, 343, 344, 345, 346, 347, 348, 349, 350, 356, 357, 394, and 395.

The appropriate Effective Dates should be recorded for GTN Numbers 339, 342, 343, 344, 356, and 357. An Effective Date of '01/01/1900' (Sybase Low Date) should be recorded for GTN Numbers 345, 346, 347, 348, 349, 350, 394, and 395.

### **Programs**

#### **PPI730**

Currently, PPI730 produces the UCRS Interface File that contains employee level data, Service Credit data, Contribution data, and Tax data.

The program has been modified to add new UCRS Plan Codes 08, 09, 37, 38, 48, 49, 67, 68, 77, 78, 80, and 87, and to associate new GTN Numbers 339, 342, 343, 344, 345, 346, 347, 348, 349, 350, 356, 357, 394, and 395 with the appropriate UCRS plan codes.

#### **PPNETCLC**

PPNETCLC has been modified take the appropriate deductions for DCP Balanced Growth Fund or DCP Treasury Inflation-Protected Securities Fund when the employee's DCP Plan Codes is 'O' or 'T' respectively. In addition, logic has been removed for deductions related to DCP Money Market Funds and DCP Multi-Asset Funds.

#### **PPOT1552**

Employees currently participating in the Tax Deferred Multi-Asset Funds (Flat Dollar Amount or Percentage of Salary) are moved to the appropriate new Balanced Growth Funds. Employees currently participating in the Tax Deferred Money Market Funds (Flat Dollar Amount or Percentage of Salary) are moved to the appropriate existing Savings Funds (Flat Dollar Amount or Percentage of Salary). The BRSC Effective Dates associated with the appropriate fund are set to the process date. AN ECF Change File is produced for audit purposes.

### **Copymembers**

CPWSW88S

DCP PLAN Codes of 'O' and 'T' have been added to the 88 level, and DCP Plan Codes of 'A' and 'M' have been removed from the 88 level.

CPWSXIC2

Currently, Rush Checks Process references the Deferred Compensation Table.

- The following GTN numbers have been added to the existing Deferred Compensation Table:

339 (TD – BGF)  
342 (TD TIPS, Percentage)  
356 (TD – TIPS)  
357 (TD (BGF, Percentage)

- The following GTN numbers have been removed from the existing Deferred Compensation Table:

236 (TD Money Market, Percentage)  
293 (TD Money Market)

CPWSXIVR

- The following GTN numbers have been added to the table entries associated with IVR Group Code of '1'

6339 – Tax Deferred Balanced Growth YTD  
6356 – Tax Deferred TIPS (Treasury Inflation-Protected Securities) YTD

- The following GTN numbers have been added to the table entries associated with IVR Group Code of '2'

6357 – Tax Deferred Balanced Growth % YTD  
6342 – Tax Deferred TIPS % YTD

- The following GTN numbers have been added to the table entries associated with IVR Group Code of '3'

6345Y – DCP (Defined Contribution Plan) Career Balanced Growth YTD  
6346Y – DCP Career TIPS YTD

6347Y – DCP (Defined Contribution Plan) Casual Balanced Growth YTD  
6348Y – DCP Casual TIPS YTD

6395Y – FSS (Faculty Summer Salary) DCP Balanced Growth YTD (Employer Contribution)  
6394Y – FSS DCP TIPS YTD (Employer Contribution)

6349Y – FSS DCP Balanced Growth YTD (Employee Deduction)  
6350Y – FSS DCP TIPS YTD (Employee Deduction)

- The following GTN numbers have been added to the table entries associated with IVR Group Code of '4'

6343 – Non-Tax Deferred (NTD) Balanced Growth YTD  
6344 – Non-Tax Deferred (NTD) TIPS YTD

### CPWSXPLN

New Plan Codes 08, 09, 37, 38, 48, 49, 67, 68, 77, 78, 80, and 87 with its associated GTN numbers have been added to the Plan Code Array.

### Include members

#### PPPV400A

88 level field names DCP-BALANCED-GROWTH FUND and DCP-TIPS-FUND have been added to the existing list of valid DCP Plan Codes.

Existing 88 level field names DCP-PLAN-CODE-MONEY-MKT-FUND and DCP-PLAN-CODE-MULTI-ASSET-FUND have been removed from the existing list of valid DCP Plan Codes.

### Table Updates

#### Code Translation Table

Code translations have been added to the Code Translation Table for GTN numbers 339, 342, 343, 344, 345, 346, 347, 348, 349, 350, 356, 357, 394, and 395. In addition, code translations have been added for DCP Plan Codes (EDB 0129) of 'O' and 'T'.

#### Data Element Table

Valid DCP Plan Codes of 'O' and 'T' have been added for EDB 0129.

#### Gross-to-Net Table

GTN entries have been added to the GTN Table for GTN numbers 339, 342, 343, 344, 345, 346, 347, 348, 349, 350, 356, 357, 394, and 395. In addition, existing GTN entries for GTN numbers 155, 156, 203, 215, 216, 228, 229, 236, 252, 293, 385, 386, 392, and 393 have been marked as inactive.

### CICS Help

The On-Line help entry has been modified as follows:

- EDB 0129 – DCP Plan Codes of 'O' and 'T' have been added to the Code Interpretation section, and the obsolete DCP Plan Codes of 'A' and 'M' have been moved to the Previously Valid Codes section.

### Production JCL

Create production JCL for one-time program PPOT1552 using the test JCL provided with this release as a model. Sample JCL is in PDS PAYDIST.R1552.JCL.

### Test Plan

An installation Test Plan is provided with this release.

### Installation Instructions

An Installation Instructions document is provided with this release.

### Timing of Installation

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The installation of this release is Date Mandated.

This release must be installed after the last compute with March earnings has been run and before the first compute with April earnings is run.

In addition, the installation of this release is also dependent upon the processing of the IVR/Web transactions via the Daily PPP130 Process. Before installation of this release, the last set of IVR/Web transactions delivered to campuses the afternoon of April 2, 2004 that may contain old deductions related to 403(b) Multi-Asset Funds and 403(b) Money Market Funds, and DCP related Multi-Asset Funds and DCP related Money Market Funds deductions must be processed via the Daily PPP130. After the completion of that Daily PPP130 run, this release must be installed in production, including the GTN updates and the one-time PPOT1552 run, and campuses must be ready to accept the set of IVR/Web transactions delivered to the campuses in the afternoon of April 5, 2004 that may contain new deductions related to 403(b) Balanced Growth Funds and 403(b) Treasury Inflation-Protected Securities Funds, and DCP related Balanced Growth Funds and DCP related Treasury Inflation-Protected Securities Funds for processing via the Daily PPP130 Process.

If there are any questions, please send electronic mail to [Jackson.Quan@ucop.edu](mailto:Jackson.Quan@ucop.edu), or call at (510) 987-0464.

Jackson Quan

cc: Jerry Wilcox