

**Annual Report on Compensated Outside Professional Activities**  
**Reporting Period: July 1, 2021 to June 30, 2022**  
**Deans and Certain Other Full-Time Faculty Administrators**

Please Note:

- 1) All faculty may engage in outside professional activities (OPA) in accordance with Academic Personnel Manual Section 025 (APM-025) or Section 671 (APM-671). Faculty appointed to a full-time academic-year appointment may engage in OPA for up to 39 days per academic year; faculty appointed to a full-time fiscal-year appointment may participate in OPA for a maximum of 48 days per fiscal year. Faculty may engage in OPA that are within the course and scope of University employment and are unlikely to raise conflict of commitment issues with no maximum so long as such activities do not interfere with a faculty member's obligations to the University. Additional restrictions governing compensated OPA are placed on faculty appointed to a career, acting, or interim dean or faculty administrator title under APM-240, Deans or APM-246, Faculty Administrator (100% Time). Faculty appointed to full-time administrative positions under these policies may engage in up to 12 workdays per fiscal year of compensated OPA before using vacation leave, but are required to deduct accrued vacation leave for any compensated OPA performed in excess of the 12 workdays until they reach the 48 day limit for fiscal-year appointees. Academic-year appointees do not accrue vacation leave and therefore are limited to 39 days per academic year without use of vacation leave.
- 2) Deans and faculty administrators may serve on no more than three for-profit external boards (not entities of the University of California) for which they receive compensation and for which they have governance responsibilities (APM 240-20 (c)(1); APM 246-20 (c)(1)).
- 3) Full-time deans and faculty administrators are subject to APM-025 with the exception that full-time deans and faculty administrators who participate in the Health Sciences Compensation Plan (APM-670) are subject to APM-671.
- 4) Reporting may reflect a "zero" entry under "Time Served (Days)" category below if the compensated outside professional activity was conducted during non-University hours.

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Employee Name	Location	Title	Name of Org (Prof'l Svc Provided for)	Non-Profit=N Profit=P	Role	Time Served (Days)	Vacation Taken (Days)	Cash Comp (\$)	Deferred Comp (\$)	Description of Deferred Comp	Other Comp (\$)	Description of Other Comp	Strike Price Per Share (\$)	Comments
ALDANA, GERARDO V.	UCSB	DEAN, COLLEGE OF CREATIVE STUDIES	The Walt Disney Company	P	Consultant	5	0	\$2,000						
ALLEN, RICHARD M.	UCB	INTERIM DEAN, DIVISION OF MATHEMATICAL AND PHYSICAL SCIENCES	Google	P	Consultant	4	0	\$11,500						
ALLEN, RICHARD M.	UCB	INTERIM DEAN, DIVISION OF MATHEMATICAL AND PHYSICAL SCIENCES	Google	P	Visiting Faculty	28	20	\$92,262						
ANTONY, JAMES	UCSD	DEAN, GRADUATE DIVISION	King Saud University of Health Sciences	N	Strategic Plan Consultant	2	0	\$5,000						
ATEKWANA, ESTELLA A.	UCD	DEAN, COLLEGE OF LETTERS AND SCIENCE	T and F Geoscience and Engineering	P	Consultant	5	0	\$19,833						
ATEKWANA, ESTELLA A.	UCD	DEAN, COLLEGE OF LETTERS AND SCIENCE	Botswana International University of Science and Technology	N	Director	3	0	\$1,540						
BLAKE, NICQUET	UCSF	DEAN, GRADUATE DIVISION AND VICE CHANCELLOR, STUDENT ACADEMIC AFFAIRS	Medical University of South Carolina (MUSC)	N	Grant reviewer	2	0	\$500						
CHAKRABARTI, VISHAAN	UCB	DEAN, COLLEGE OF ENVIRONMENTAL DESIGN	Practice for Architecture and Urbanism, DPC	P	Founder	2	0	\$38,329						
CHAKRABARTI, VISHAAN	UCB	DEAN, COLLEGE OF ENVIRONMENTAL DESIGN	Practice for Architecture and Urbanism, DPC	P	Creative Director	0	0	\$209,067						The design work performed as Creative Director is a Category III activity, within the course and scope of University employment. Professors of Architecture are expected, as part of their appointment, to engage in design work, just as Professors of Creative Writing or Art might engage in novel writing and painting. The firm is an S Corporation; hence, profits that might seem to be realized at one point in time may change if subsequent costs within the firm's fiscal year are realized. Since the firm operates on a fiscal year and the University OPA reporting operates on an academic year, the profit report is difficult to align, and therefore, caution is necessary when seeking to infer Dean Chakrabarti's income from the outside activity. Dean Chakrabarti stepped down from his official duties in September 2021. While dean his design work as Creative Director was part of his job as a Professor of Architecture, the time spent on this activity did not count towards his maximum OPA days.
CHEMERINSKY, ERWIN	UCB	DEAN, SCHOOL OF LAW	BarBri	P	Consultant	1	0	\$10,000						
CHRISTIE, CHRISTINA A.	UCLA	DEAN, GRADUATE SCHOOL OF EDUCATION AND INFORMATION STUDIES	Claremont Graduate University	N	Professional Development Instructor	1	0	\$2,000						Providing a workshop.
CLARK, DOUGLAS S.	UCB	DEAN, COLLEGE OF CHEMISTRY	Finnegan, Henderson, Farabow, Garrett & Dunner	P	Expert Witness	7	3	\$33,600						Fermentation and dairy products cases.
CROOMS, LISA O.	UCSD	DEAN, RADY SCHOOL OF MANAGEMENT	UCLA Anderson School Program Review	N	Reviewer	1	0	\$1,500						External program reviewer
DUBINETT, STEVEN M.	UCLA	INTERIM DEAN, DAVID GEFFEN SCHOOL OF MEDICINE	University of Colorado	N	Board Member	1	0	\$1,000						External Advisor Board for the Colorado Clinical and Translational Science Institute
DUBINETT, STEVEN M.	UCLA	INTERIM DEAN, DAVID GEFFEN SCHOOL OF MEDICINE	Tufts University	N	Committee Member	1	0	\$2,000						External Advisory Committee for the Tufts Clinical and Translational Science Institute
DUBINETT, STEVEN M.	UCLA	INTERIM DEAN, DAVID GEFFEN SCHOOL OF MEDICINE	Emory University	N	Speaker	1	0	\$3,000						Pollet Memorial Lecture
DUBINETT, STEVEN M.	UCLA	INTERIM DEAN, DAVID GEFFEN SCHOOL OF MEDICINE	EarlyDiagnostics	P	Member, Board of Directors	0	0	\$0				300,000 stock shares	\$0.0001	
DUBINETT, STEVEN M.	UCLA	INTERIM DEAN, DAVID GEFFEN SCHOOL OF MEDICINE	LungLife AI, Inc.	P	Member, Board of Directors	1	0	\$0				25,000 stock shares	\$0.025	
DUBINETT, STEVEN M.	UCLA	INTERIM DEAN, DAVID GEFFEN SCHOOL OF MEDICINE	UT Southwestern	N	Member, External Advisory Board	1	0	\$500						UTSW/UTMDACC Lung SPORE External Advisory Board
FAN, CHI-FUN CINDY	UCLA	VICE PROVOST, INTERNATIONAL STUDIES AND GLOBAL ENGAGEMENT	University Grants Committee of Hong Kong	N	Chair	5	0	\$13,968						Grant Committee - Review Panels

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FAN, CHI-FUN CINDY	UCLA	VICE PROVOST, INTERNATIONAL STUDIES AND GLOBAL ENGAGEMENT	York University	N	Presenter	0	0	\$240						Keynote Speaker
FLANAGAN, JOHN	UCB	DEAN, SCHOOL OF OPTOMETRY	Carl Zeiss Meditec	P	Consultant	7	0	\$22,500						Consultant to research and development team at Carl Zeiss Meditec.
GAINES, STEVEN	UCSB	DEAN, DONALD BREN SCHOOL OF ENVIRONMENTAL SCIENCE AND MANAGEMENT	Ocean Analytics LLC	P	Consultant	2	0	\$5,500						
GALVAN, ADRIANA	UCLA	DEAN, UNDERGRADUATE EDUCATION	Center for the Developing Adolescent	N	Consultant	2	0	\$5,000						
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	OrthoFix Medical	P	Consultant	3	0	\$2,200						Clinical events committee
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	Relievant	P	Consultant	0	0	\$89						Clinical Trial National PI
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	PrinterPrezz	P	Board Member	0	0	\$0				150,000 stock shares	N/A, Still Private, No Stock Sales	Clinical Use Advisory Board
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	Depuy	P	Consultant	0	0	\$108						Consulting on new implants
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	S-I Bone	P	Consultant	0	0	\$87						Consulting on use of new implant
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	Cerapedics	P	Consultant	0	0	\$150						Local Co-PI for National Study
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	Spinal Balance	P	Consultant	1	0	\$0				100,000 stock shares	N/A, Still Private, No Stock Sales	Scientific Advisor and Study Advisor
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	Life Walker	P	Consultant	2	0	\$0				22,500 stock shares	N/A, Still Private, No Stock Sales	Scientific Advisor to the Board of Directors
GARFIN, STEVEN R.	UCSD	INTERIM DEAN, SCHOOL OF MEDICINE	SeaSpine	P	Board Member	2	0	\$3,403						Scientific advisory board
GILLISS, CATHERINE	UCSF	DEAN, SCHOOL OF NURSING	Johns Hopkins School of Medicine	N	External Advisory Board - CTSI	1	0	\$1,000						
GILLISS, CATHERINE	UCSF	DEAN, SCHOOL OF NURSING	Chimerix	P	Board Member	7	0	\$46,000						
HUNT, DARNELL MONTEZ	UCLA	DEAN, DIVISION OF SOCIAL SCIENCES	DreamWorks Animation - Film and Television	P	Consultant	6	0	\$15,222						Consultant on television and film scripts and screenings regarding diverse characters and stereotypes.
KELMAN, ARI	UCD	INTERIM DEAN, COLLEGE OF LETTERS AND SCIENCE	Oxford University Press	N	Director	3	0	\$2,000						
KITE, BRIAN EDWARD	UCLA	INTERIM DEAN, SCHOOL OF THEATER, FILM, AND TELEVISION; ACADEMIC ASSISTANT TO THE CHANCELLOR	Teatr Muzyczny	N	Consultant	9	0	\$5,000						Casting and directing production of Irena.
KOCH, PAUL L.	UCSC	DEAN, DIVISION OF PHYSICAL AND BIOLOGICAL SCIENCES	Annual Review of Earth and Planetary Sciences	N	Editor	8	0	\$500						
LEE, HERBERT, III	UCSC	VICE PROVOST, ACADEMIC AFFAIRS	Hong Kong Research Grants Council	N	Reviewer	1	0	\$180						Reviewed grant proposals
LIU, TSU-JAE KING	UCB	DEAN, COLLEGE OF ENGINEERING	Intel Corporation	P	Member, Board of Directors	9	0	\$105,000	\$220,000				Restricted stock units (RSUs) subject to time-based vesting.	RSUs granted on May 12, 2022 with a target value on the grant date of approximately \$220,000 consisting of 4,828 RSUs; these RSUs vest 100% in one year on May 12, 2023. These RSUs do not have a strike price.
LIU, TSU-JAE KING	UCB	DEAN, COLLEGE OF ENGINEERING	MaxLinear Incorporated	P	Board member	6	3	\$53,750	\$219,969				Restricted stock units (RSUs) subject to time-based vesting.	RSUs granted on May 26, 2022 with a target value on the grant date of \$219,968.65.90, consisting of 5,802 RSUs, which vests on the earlier to occur of May 1, 2023 or the date immediately preceding the next annual meeting of stockholders; vesting assumes continued service on MaxLinear's board of directors. These RSUs do not have a strike price.
LYNCH, CHRISTOPHER	UCR	DEAN, BOURNS COLLEGE OF ENGINEERING	IOP Publishing	N	Editor	3	0	\$4,500						
MAURER, WILLIAM M.	UCI	DEAN, SCHOOL OF SOCIAL SCIENCES	Filene Research Institute	N	Presenter	1	0	\$1,500						
MNOOKIN, JENNIFER L.	UCLA	DEAN, SCHOOL OF LAW	State & Federal Courts Webinar Series	N	Presenter	1	0	\$500						Live Webinar on Reliability and Validity of Forensic Science Evidence
MURTHY, JAYATHI Y.	UCLA	DEAN, HENRY SAMUEL SCHOOL OF ENGINEERING AND APPLIED SCIENCE	Infosys Foundation	N	Member of Jury	4	0	\$10,000						Service on jury of Infosys Prize.
MURTHY, JAYATHI Y.	UCLA	DEAN, HENRY SAMUEL SCHOOL OF ENGINEERING AND APPLIED SCIENCE	University of Toronto	N	Reviewer	3	0	\$1,000						Service on the review committee for the Department of Mechanical and Industrial Engineering.

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NYAMATHI, ADELINE M.	UCI	DEAN, SCHOOL OF NURSING	College of Nursing, Imam Abdulrahman Bin Faisal University, Saudi Arabia	P	Consultant	1	1	\$1,000						Review of Graduate Program
NYAMATHI, ADELINE M.	UCI	DEAN, SCHOOL OF NURSING	College of Nursing, Imam Abdulrahman Bin Faisal University, Saudi Arabia	P	Consultant	2	1	\$1,000						Review of Undergraduate Nursing Program
PAPAEFTHYMIU, MARIOS C.	UCI	DEAN, BREN SCHOOL OF INFORMATION AND COMPUTER SCIENCE	Florida International University	N	Consultant	3	0	\$5,000						
PISANO, ALBERT PAUL	UCSD	DEAN, JACOBS SCHOOL OF ENGINEERING	The Vin Group	N	Consultant	2	0	\$60,000						VinFuture Prize Selection Committee
POGLIANO, KIT J.	UCSD	DEAN, DIVISION OF BIOLOGICAL SCIENCES	Linnaeus Bioscience	P	Company Founder	1	0	\$0	\$432,000	90% equity interest				
POLLOCK, TRESA MARIE	UCSB	INTERIM DEAN, COLLEGE OF ENGINEERING	The Metals Minerals and Materials Society	N	Reviewer / Editor	8	0	\$30,000						
REDDY, MICHAEL S.	UCSF	DEAN, SCHOOL OF DENTISTRY	Journal of Dental Education, American Dental Education Association	N	Reiewer / Editor	3	0	\$6,700						Review of manuscripts and acting as editor.
STAMOS, MICHAEL JERRY	UCI	DEAN, SCHOOL OF MEDICINE	Clinkenbeard Ramsey Spackman & Clark, LLP	P	Consultant	1	0	\$5,050						
STAMOS, MICHAEL JERRY	UCI	DEAN, SCHOOL OF MEDICINE	Hutton & Hutton Law Firm, LLC	P	Consultant	1	0	\$2,500						Retainer
STAMOS, MICHAEL JERRY	UCI	DEAN, SCHOOL OF MEDICINE	Richard Wideman atty	P	Consultant	0	0	\$900						
STAMOS, MICHAEL JERRY	UCI	DEAN, SCHOOL OF MEDICINE	Best Doctors	P	Consultant	2	0	\$10,050						Weekend activity
STETTER, MARK D.	UCD	DEAN, SCHOOL OF VETERINARY MEDICINE	Mar Veterinary Health	P	Consultant	2	0	\$2,000						
UHRICH, KATHRYN E.	UCR	DEAN, COLLEGE OF NATURAL AND AGRICULTURAL SCIENCE	Sage Publications	P	Editor	6	0	\$15,000						
WILLIAMSON, IAN OCLETO	UCI	DEAN, PAUL MERAGE SCHOOL OF BUSINESS	Merage Institute	N	Consultant	1	0	\$15,000						
WILLIAMSON, IAN OCLETO	UCI	DEAN, PAUL MERAGE SCHOOL OF BUSINESS	UCI	N	Instructor	7	0	\$20,000						Taught in a self-supporting program in Spring 2022 for overload compensation. It was a regular academic course granting students credit towards their degree requirement.
WOLF, ALEXANDER L.	UCSC	DEAN, SCHOOL OF ENGINEERING	Florida International University	N	Board member	4	0	\$5,000						

**Annual Report on Compensated Outside Professional Activities  
for Reporting Period July 1, 2021 through June 30, 2022  
Deans and Certain Other Full-Time Faculty Administrators  
Executive Summary**

This report fulfills a Regents' requirement that compensation for Outside Professional Activities (OPA) for Deans and Certain Other Full-time Faculty Administrators be submitted annually. Since these academic administrators are also faculty and not members of the Senior Management Group (SMG), the Academic Personnel Program governs the reporting.

### **History**

In November 2009, the Regents approved the deans' salary structure and transfer of deans from the SMG to the Academic Personnel Program. In accordance with the November 2009 Regents' Action Item, APM-240, Deans was issued effective January 1, 2010<sup>1</sup>. In May 2010, the Regents approved a corollary action transferring a subset of full-time faculty administrator positions, henceforth referred to as "Certain Other Full-Time Faculty Administrator" positions, from SMG to the Academic Personnel Program under APM-246, Faculty Administrators (100% Time)<sup>2</sup>. As part of these approvals, it was required that a report on OPA for the transferred population (deans and certain other full-time faculty administrators) be submitted annually to the Regents<sup>3</sup>.

### **Policy**

In accordance with Academic Personnel Policy Section 025, Conflict of Commitment and Outside Activities of Faculty Members (APM-025) and Section 671, Conflict of Commitment and Outside Activities of Health Sciences Compensation Plan Participants (APM-671), chancellors are responsible for managing and reporting annually on outside professional activities that have the potential to interfere with a faculty member's full-time professional obligations to the University. Either APM-025 or APM-671 applies to all faculty members who are not SMG members, including deans and certain other full-time faculty administrators reported here. Under these policies, faculty appointed to a full-time academic-year appointment may engage in OPA for up to 39 days per year; faculty appointed to a full-time fiscal-year appointment may participate in OPA for a maximum of 48 days per year unless the faculty member is part of a Health Sciences Compensation Plan and a more restrictive limit is specified in the School Implementing Procedures. In addition, faculty may engage in OPA that are within the course and scope of University employment and are unlikely to raise conflict of commitment issues with

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<sup>1</sup> Full-time academic deans, including those holding acting/interim appointments, are governed by APM-240, Deans, effective January 1, 2010. A dean with a concurrent title of vice chancellor or a dean reporting solely to the chancellor is subject to SMG policies. University Extension deans and Student Affairs deans are not subject to APM-240, <https://www.ucop.edu/academic-personnel-programs/files/apm/apm-240.pdf>

<sup>2</sup> APM-246, Faculty Administrators (100% Time): <https://www.ucop.edu/academic-personnel-programs/files/apm/apm-246.pdf>

<sup>3</sup> See Schedule of Reports to the Regents: <https://regents.universityofcalifornia.edu/documents/pdf/schedule-of-reports.pdf>

no maximum so long as such activities do not interfere with a faculty member's obligations to the University.

The purpose of these policies is to provide a framework for ensuring that potential conflicts of commitment are identified and appropriately managed, while providing flexibility for faculty to participate in a wide assortment of professional activities outside of their University responsibilities, often activities that enhance their performance as faculty members and administrators. In addition to requiring faculty to obtain pre-approval before engaging in activities that are most likely to create a conflict of commitment, another key provision of APM-025 and APM-671 is the requirement that faculty annually report OPA to the chancellor unless the OPA is within the course and scope of University employment and therefore unlikely to raise conflict of commitment issues. (See below for the reporting requirements for Deans/Faculty Administrators covered under APM-240 and APM-246.) The University is strongly committed to the value of OPA; such activities help drive the economic engine of the state, fulfill our commitment to public service, and provide practical experience and knowledge valuable to teaching, research, and creative activity.

The University is cognizant of the value derived by both faculty and the University from faculty members' engagement in OPA. The University is also mindful of increased responsibilities assumed by faculty appointed to administrative titles. As a condition of employment in the dean or full-time faculty administrator titles and in recognition of the value of OPA, tempered with the need to balance those activities with administrative duties, the following restrictions apply (APM-240 and APM-246):

- Compensated board service is limited to no more than three for-profit boards that are not entities of the University of California and for which there are governance responsibilities;
- All compensated and uncompensated OPA, including consulting, must be reported annually to the chancellor;
- Fiscal-year deans and full-time faculty administrators may engage in a maximum of 48 calendar days of compensated and uncompensated OPA per fiscal year of which up to 12 University workdays may be used for compensated OPA before deducting from vacation leave balances. Any workdays devoted to compensated Category I and II OPA beyond 12 must be taken as vacation days, unless work is performed during non-University hours.

### **Current Report**

This annual report covers compensated OPA that occurred from July 1, 2021 through June 30, 2022. A total population of 139 administrators (122 deans and 17 certain other full-time faculty administrators) were eligible to report. Of this population, 38 administrators (36 deans and two other faculty administrators), or 27 percent, reported compensated OPA. A total of 63 compensated activities totaling \$912,227 in cash compensation that occurred over 196 workdays were reported.

All 63 compensated activities during the reporting period are reported by the campuses to be within policy (APM-025, APM-671, APM-240, and APM-246). This includes OPA earnings thresholds established under APM-671 for administrators with concurrent appointments in the Health Sciences Compensation Plan.

**Deans and Certain Other Full-Time Faculty Administrators  
Grouped By Compensation Range**

<b>Number of Deans and Certain Other Full-Time Faculty Administrators Receiving Compensation</b>	<b>Range (Total Cash Compensation Received by Deans or Certain Other Full-Time Faculty Administrators for Outside Professional Activities)<sup>4</sup></b>
5	\$0 to \$999
8	\$1,000-\$4,999
16	\$5,000-\$19,999
5	\$20,000-\$49,999
1	\$50,000-\$99,999
3	Over \$100,000

The median cash compensation for each professional activity was \$2,500. Twenty-nine (29) non-profit and thirty-four (34) for-profit organizations were served. Stocks, stock options, and restricted stock units were granted to three individuals.

The table below tallies the OPA days used without surrendering vacation days and OPA days for which vacation days were surrendered for the 38 deans and certain other full-time faculty administrators reporting compensated OPA for 2021-22. Deans and faculty administrators may select a “zero” entry under “Time Served (Days)” category below if the compensated outside professional activity was conducted during non-University workday hours or was within the course and scope of University employment. Partial days reported were rounded up to full days.

**Deans and Certain Other Full-Time Faculty Administrators  
Non-Profit versus For-Profit Activities**

<b>Type of Organization/ Business</b>	<b>OPA Days Served without surrendering vacation</b>	<b>Vacation Days Surrendered for OPA</b>	<b>Total OPA Days Served</b>
Total Non-Profit	82	0	<b>82</b>
Total For-Profit	114	28	<b>142</b>
<b>Grand Total</b>	<b>196</b>	<b>28</b>	<b>224</b>

<sup>4</sup> For deans and certain faculty administrators with concurrent appointments in the Health Sciences Compensation Plan, OPA earnings thresholds established under APM-671 apply.

This is moderate use of available days. The 38 deans and faculty administrators reported here were entitled by policy to a total of 456 days during which they could have engaged in compensated OPA without surrendering vacation days. Of those 38 deans and faculty administrators, 36 (95 percent) used fewer than 12 workdays for compensated OPA and therefore were not required to surrender vacation days. If all 139 deans and other faculty administrators used the 12-day allotment, there would have been 1,668 workdays during which they could have engaged in compensated OPA.

Outside professional activities contribute to the University's stated missions of teaching, research, and public service. Compliance with APM-025, APM-671, APM-240, and APM-246 ensures that such OPA do not conflict with the deans' and faculty administrators' primary professional allegiance and commitment to the University. In line with these principles, the attached report ensures accountability and transparency for such outside activities.