



Annual Report on
University Private Support

2001-02

UNIVERSITY OF CALIFORNIA

Annual Report on
University Private Support
2001-2002

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The Annual Report on University Private Support 2001-2002 can be viewed online at:
<http://www.ucop.edu/uer/instadv/annual/welcome.html>

This report is a compilation of private support data, campus narratives, and foundation rosters prepared by each campus. The method of rounding may produce the appearance of minor inconsistencies in various totals and percentages, but the differences do not affect the accuracy of the data.

PRIVATE SUPPORT

For the third consecutive year, the University of California raised more than \$1 billion in private support from alumni and friends, corporations, and foundations. For the fiscal year ended June 30, 2002, the total for gifts, grants and pledges totaled \$1.171 billion, just 0.6 percent off from the previous year when donors contributed \$1.178 billion.

The 2001-02 results reflect the generous support for major fundraising campaigns at the Los Angeles and San Francisco campuses and for the efforts of a number of campuses that are preparing for comprehensive campaigns.

The 2001-02 total is down slightly (a decrease of 4.2 percent) from UC's fundraising record set two years ago when alumni and other donors contributed more than \$1.222 billion.

The slight downturn follows the prevailing wisdom that stock market performance is a leading indicator of contributions to higher education – when the stock market is down, gifts are down, as well. Indeed, gifts of securities decreased 54 percent from the previous year; however, gifts for capital improvement and endowment increased.

Also challenging the conventional wisdom, however, gifts from non-alumni individuals during 2001-02 increased by 15 percent, while gifts from alumni fell by 27 percent. Gifts and grants from charitable foundations, which for tax purposes must base their contributions on the performance of the markets the previous year, showed an increase of just 0.2 percent from the previous year, while corporate contributions increased 6.7 percent from the previous year.

The University of California's remarkable achievement with private support in excess of a billion dollars for each of the last three years is a continuing testament to the high regard in which the University is held by its alumni and friends, corporations, and foundations. Additionally, this milestone is a recognition of UC's far-reaching educational, research, economic and public service contributions to the state, nation and world.

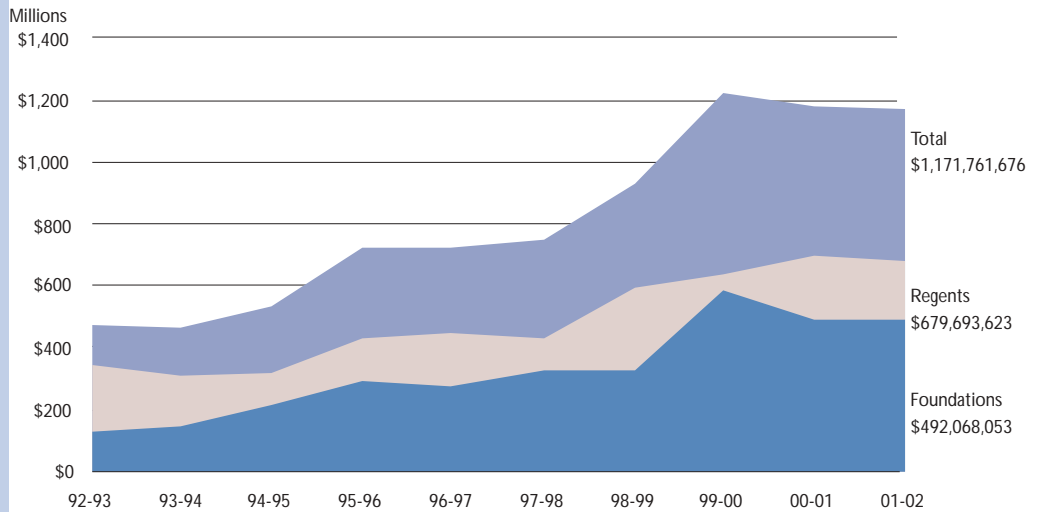
The results underscore the continued confidence among donors in the quality of the University of California's programs and the importance of its core mission. Of course, it is also a reflection of the hard work of the professional fundraising staff and the countless volunteers who assist in the University's fundraising efforts, especially the campus foundation trustees who are listed in this report.

Annual Report on University Private Support 2001-2002

**Private Support to Regents and Foundation
for Fiscal Year 2001-2002**

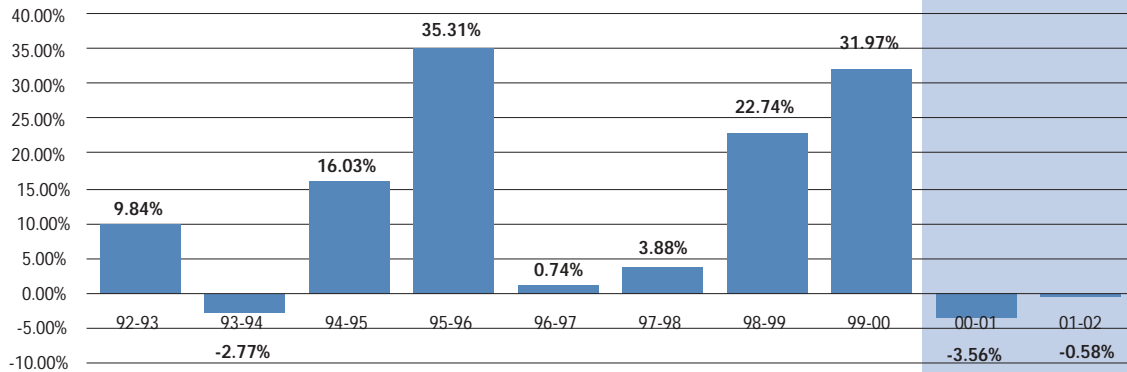
CAMPUS	REGENTS	FOUNDATIONS	TOTAL
Berkeley	\$176,768,164	\$63,649,236	\$240,417,400
Davis	56,779,883	53,235,548	110,015,431
Irvine	15,735,989	19,890,374	35,626,363
Los Angeles	148,981,153	210,483,379	359,464,532
Merced	22,234,843	0	22,234,843
Riverside	23,224,822	8,371,304	31,596,126
San Diego	54,775,888	45,595,640	100,371,528
San Francisco	137,325,038	67,742,611	205,067,649
Santa Barbara	32,358,053	19,004,239	51,362,292
Santa Cruz	8,963,246	4,095,722	13,058,968
Universitywide Programs	2,546,544	0	2,546,544
TOTAL	\$679,693,623	\$492,068,053	\$1,171,761,676

Source: University of California Office of the President

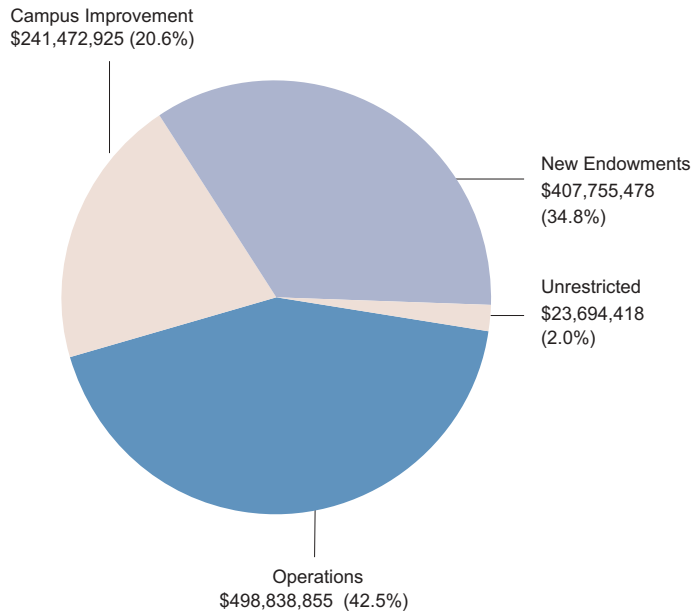


PRIVATE SUPPORT

Ten-Year Support: Year-to-Year Change



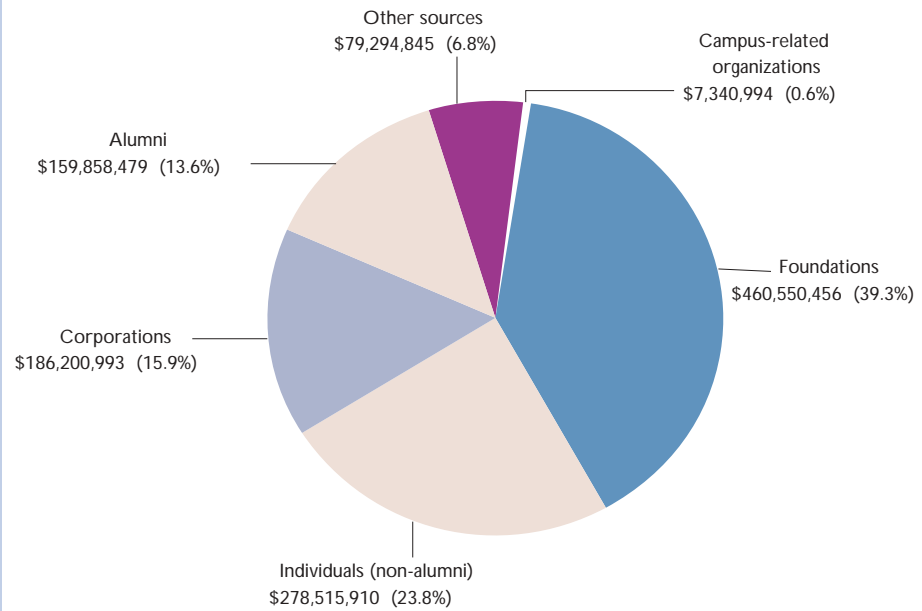
Gifts for Endowment, Campus Improvement and Operations



In the last decade, the University has raised a total of more than \$8 billion in private support, making it a leader among institutions of higher learning nationally. Private gifts and grants for 2001-02, including those directed to The Regents and the campus foundations, totaled \$1,171,761,676, representing a .06 percent decrease from last year.

The greatest increase was in gifts for endowments, which almost doubled over the previous year, followed by gifts directed to campus improvement, which increased by 24.4 percent. At the same time, gifts directed to university operations declined 33 percent from last year and unrestricted gifts were off by 25.9 percent.

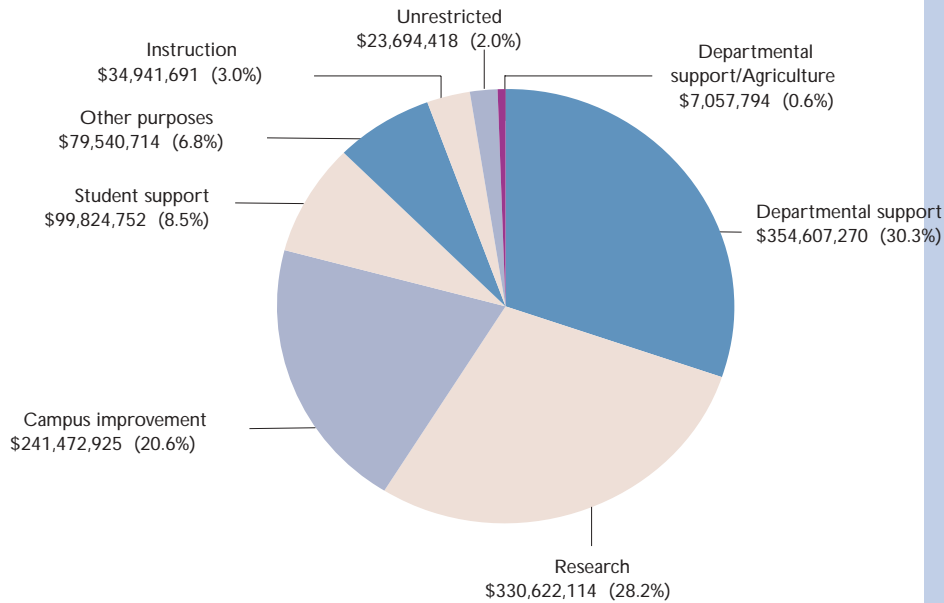
Gift Source



In a reversal from last year, gifts from non-alumni individuals rose by 15.0 percent, totaling \$278,515,910, while gifts from alumni fell 27.0 percent. Surprisingly, foundation gifts held steady, increasing by 0.2 percent, while corporate gifts increased by 6.7 percent.

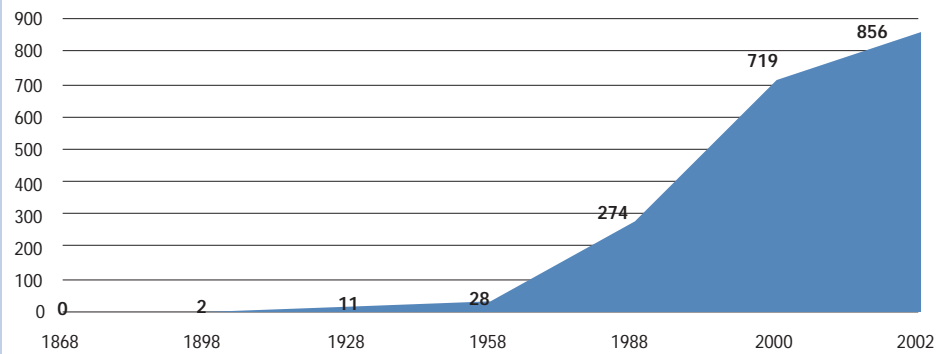
PRIVATE SUPPORT

Gift Purpose



The largest percentage increase occurred with gifts, grants and pledges designated for campus improvement, which rose 24.4 percent from last year, followed by research support, which increased 21.3 percent. Departmental support received the largest dollar amount of support, totaling \$354,607,270, an increase of just 3.3 percent from the previous year. These gains, however, were offset by a severe decrease in gifts for other purposes, a category that includes the range of non-instructional services. This category declined 47.5 percent from last year. Other areas that declined in 2001-02 included departmental support for agriculture, 39.8 percent; instructional support, 35.7 percent; unrestricted gifts, 25.9 percent; and student support, 16.2 percent.

Endowed Chairs



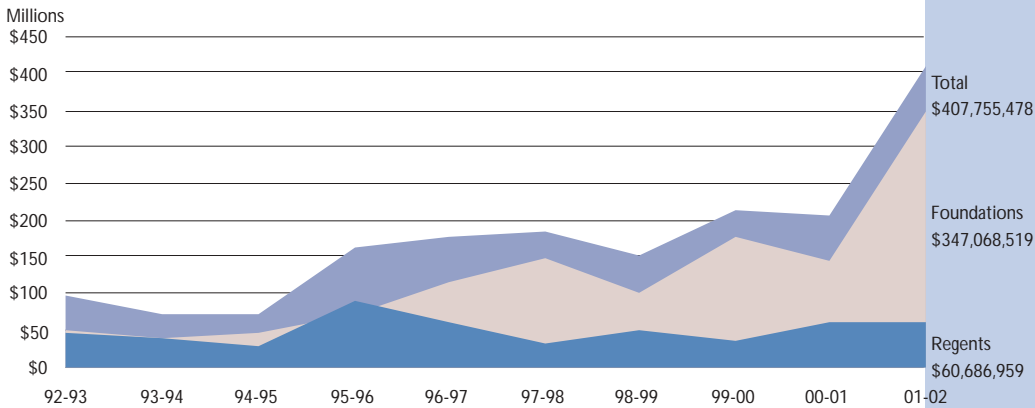
In its first 92 years, the University received gifts to endow a total of 28 chairs. By 1980, an additional 52 chairs had been established, Now, 22 years later, the total stands at 856 endowed chairs and professorships, which are distributed across the campuses and provide support for the teaching, research, and public service activities of incumbents and their departments.

The list of chairs on each campus can be viewed at:

www.ucop.edu/uer/instdv/endowedchairs.html

PRIVATE SUPPORT

Additions to Endowment: \$408 million



YEAR	REGENTS		FOUNDATIONS		TOTAL
1992-93	\$47,167,719	47.4%	\$ 52,333,233	52.6%	\$ 99,500,952
1993-94	37,427,188	50.2%	37,121,158	49.8%	74,548,346
1994-95	28,949,540	38.7%	45,799,736	61.3%	74,749,276
1995-96	88,772,584	55.1%	72,260,031	44.9%	161,032,615
1996-97	62,213,632	35.2%	114,479,717	64.8%	176,693,349
1997-98	33,229,661	18.3%	148,286,625	81.7%	181,516,286
1998-99	49,304,263	32.8%	100,991,901	67.2%	150,296,164
1999-00	36,413,766	17.0%	177,178,480	83.0%	213,592,246
2000-01	60,908,962	29.5%	145,823,645	70.5%	206,732,607
2001-02	60,686,959	14.9%	347,068,519	85.1%	407,755,478

Gifts and pledges for endowment increased dramatically over last year, for a total of \$407,755,478, almost double the amount from the previous year. This is a particularly important asset that can help to ensure the future financial health of the University. The importance of the campus foundations continues to be realized, as they received 85.1 percent of new endowment gifts in 2001-02.

Gifts by Area: \$1,171,761,676

	TOTAL	PERCENTAGE
Agriculture and natural resources	\$ 67,599,387	5.8%
Arts, letters and sciences	107,064,910	9.1%
Athletics	22,074,041	1.9%
Business/management	27,946,789	2.4%
Engineering	115,511,732	9.9%
Financial aid undesignated by discipline and student programs	35,556,234	3.0%
Health sciences	566,683,469	48.4%
Law	14,589,594	1.2%
Libraries	12,186,005	1.0%
Other*	155,232,677	13.2%
Other professional schools	36,001,468	3.1%
Veterinary medicine	11,315,369	1.0%
TOTAL	\$1,171,761,676	100.0%

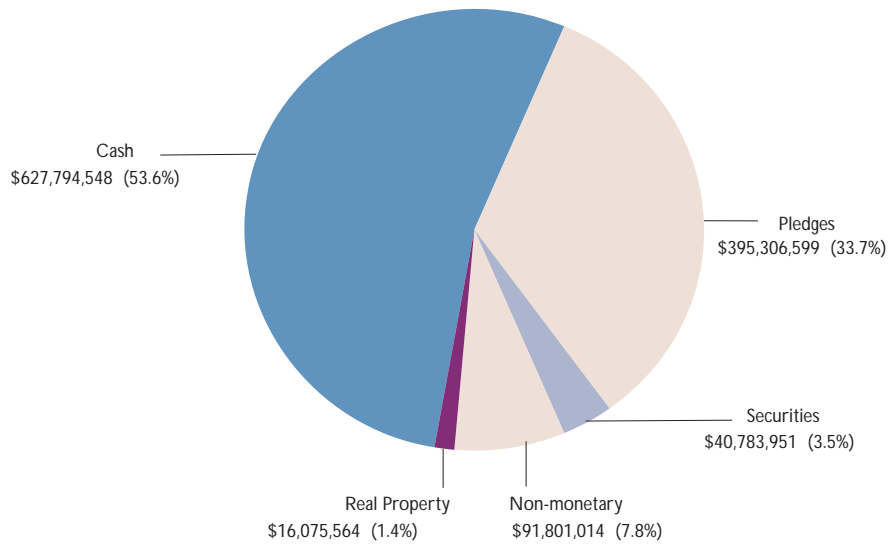
* Chancellor and annual funds, alumni associations, energy labs, museums, public programs, etc.

Gifts for Health Sciences: \$566,683,469

	TOTAL	PERCENTAGE
Biological sciences	\$ 11,897,209	2.1%
Biomedical sciences	5,282,952	0.9%
Dentistry	15,827,852	2.8%
Medicine	437,043,580	77.1%
Medical center including gifts for construction and renovation	5,641,752	1.0%
Miscellaneous health sciences	74,565,316	13.2%
Nursing	3,955,327	0.7%
Optometry	1,294,641	0.2%
Pharmacy	1,807,825	0.3%
Public health	9,367,017	1.7%
TOTAL	\$566,683,469	100.0%

PRIVATE SUPPORT

Gifts by Type of Asset

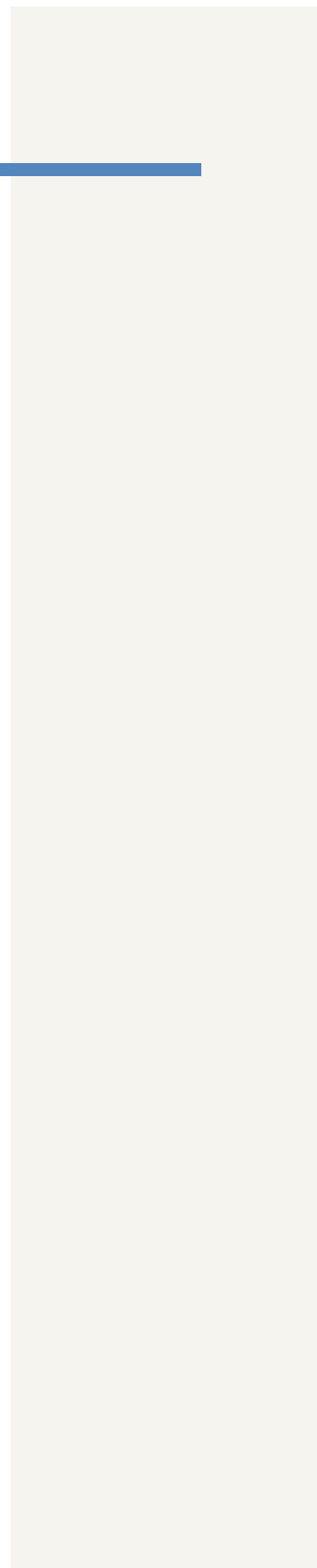


Non-monetary gifts, including gifts-in-kind, showed the largest percentage increase, 198.2 percent over last year, while gifts of real property increased by 22.2 percent. This may have more to do with the value of real estate remaining strong in spite of a declining economy. Cash gifts represented the largest dollar amount, totaling \$627,794,548, an increase of a modest 3.8 percent from last year. Not surprisingly, there was a 54.1 percent decline in gifts of securities and 10.3 percent decline in new pledges.

NAME OF CAMPAIGN	GOAL	DURATION	GIFTS/PLEDGES BY 6/30/02
BERKELEY			
No campaign underway at this time			
DAVIS			
50th Anniversary Campaign	\$50,000,000	1996-2002	\$83,851,759
Center for the Arts	30,000,000	1997-2002	30,465,520
Campaign for Tahoe, CA&ES and Research	13,000,000	1996-2001	13,000,000
Dairy Campaign, Veterinary Medicine	2,650,000	1999-2001	2,520,000
Daniel J. Dykstra Chair, School of Law	400,000	2000-2002	188,617
M.I.N.D. Institute, UCD Medical Center	4,500,000	1998-2001	2,540,000
Engineering III	350,000	1998-2001	352,072
Seed Biotechnology Center	1,500,000	1998-2001	1,410,725
Transplant Hope, UCD Medical Center	1,000,000	1998-2006	218,198
Joe Tupin Professorship, UCD Medical Center	500,000	2000-2003	511,317
Aggie Band	250,000	1999-2001	348,250
Tennis Court, Student Affairs	300,000	2000-2002	276,000
Opportunities for Distinction, Division of Biological Sciences	8,500,000	2000-2005	579,000
Consumer and Investor Advocacy, School of Law	350,000	2001-2006	0
Stadium Campaign	7,000,000	2001-2004	854,000
TOTAL	120,300,000		137,115,458
IRVINE			
Biomedical Research Center	25,500,000	1997-2001	25,486,322
Earth Science System Building	8,310,000	1997-2003	8,310,000
School of the Arts	17,100,418	1997-2002	22,400,418
TOTAL	50,910,418		56,196,740
LOS ANGELES			
Campaign UCLA	2,400,000,000	1995-2005	2,017,894,387
MERCED			
Ernest and Julio Gallo School of Management Endowment	10,000,000	2002-2005	5,500,000
Endowed chair campaign	5,000,000	2002-2006	500,000
TOTAL	15,000,000		6,000,000
RIVERSIDE			
No campaign underway at this time			
SAN DIEGO			
Campus in planning phase for a capital campaign			
SAN FRANCISCO			
The Campaign for UCSF	1,400,000,000	1999-2005	920,761,718
SANTA BARBARA			
Campus in planning phase for a capital campaign			
SANTA CRUZ			
Center for Adaptive Optics, Natural Sciences	3,000,000	2000-2002	0
Center for Justice, Tolerance and Community, Social Sciences	10,000,000	1999-2004	170,139
Center for Ocean Health, Natural Sciences	7,333,000	1998-2001	5,165,000
Child Care Center, Student Affairs	2,500,000	1999-2005	11,633
Institute for Humanities Research, Humanities Division	5,000,000	2001-2005	3,425
Physical Sciences Building, Natural Sciences	7,000,000	1999-2002	25,100
University Center, Chancellor's Office	400,000	1999-2004	429,719
TOTAL	35,233,000		5,805,016
GRAND TOTAL	\$4,021,443,418		\$3,143,773,319

THE CAMPUSES

2001-2002



University Private Support Program

Alumni and friends of UC Berkeley contributed nearly record amounts of private support in 2001-02 for programs to help students and faculty excel, despite unfavorable economic times.

Private gifts to UC Berkeley reached more than \$240.4 million between July 1, 2001 and June 30, 2002 – the second-highest ever in a single year. This is an extraordinary total for a campus without a school of medicine or teaching hospital, and in a year with sluggish economic growth.

The total raised represents 70,216 contributions from alumni and friends worldwide. This is the third-highest number of individual gifts received in a year at UC Berkeley.

The fundraising totals reflect the increased importance of private funds, which help UC Berkeley bolster its endowment and support scholarships, fellowships, professorships, research, facilities, and public service programs.

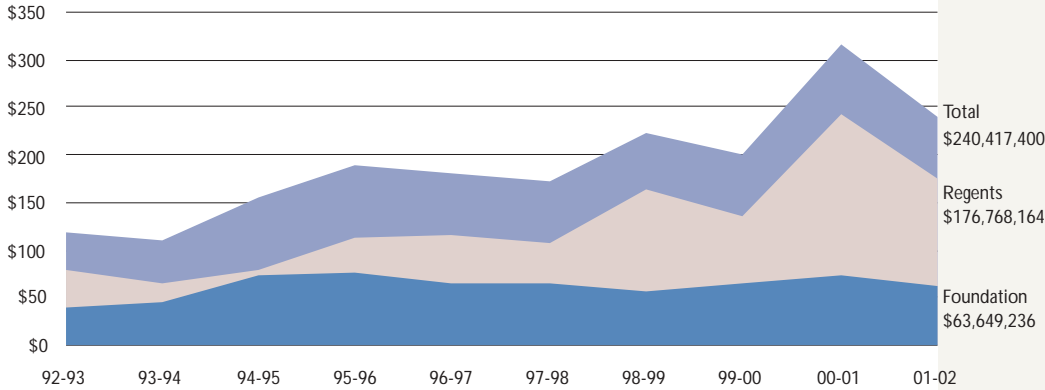
As a public university that has seen its share of state support dwindle – from more than half of the campus's budget in 1985 to 34 percent today – UC Berkeley has worked diligently to forge stronger connections to its alumni and friends around the world.

“The generosity of our alumni and friends worldwide allows us to support the programs, students and faculty that make Berkeley the world's most distinguished public research university,” said Chancellor Robert M. Berdahl. “Private support is key to Berkeley's continued academic excellence.”

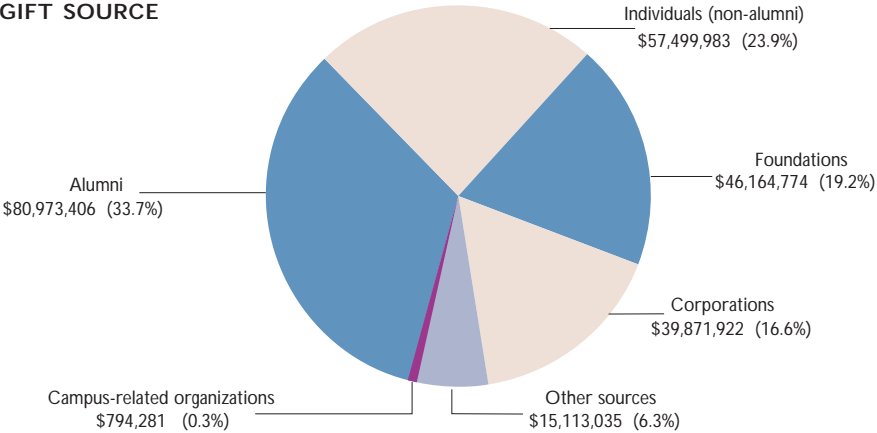
Major gifts and pledges in the 2001–02 fiscal year, including gifts from corporations and foundations, are as follows:

- A preliminary distribution of \$7.5 million from the estate of Anselmo John Macchi to support undergraduate and graduate fellowships in engineering and the physical sciences.
- \$5 million from Intel Corp. co-founder Gordon E. Moore, a 1950 UC Berkeley graduate, and his wife, Betty, for the Berkeley Health Sciences Initiative.
- A \$3-million bequest from the estate of Aldo De Benedictis for graduate fellowships and to endow two distinguished professorships in the College of Chemistry.

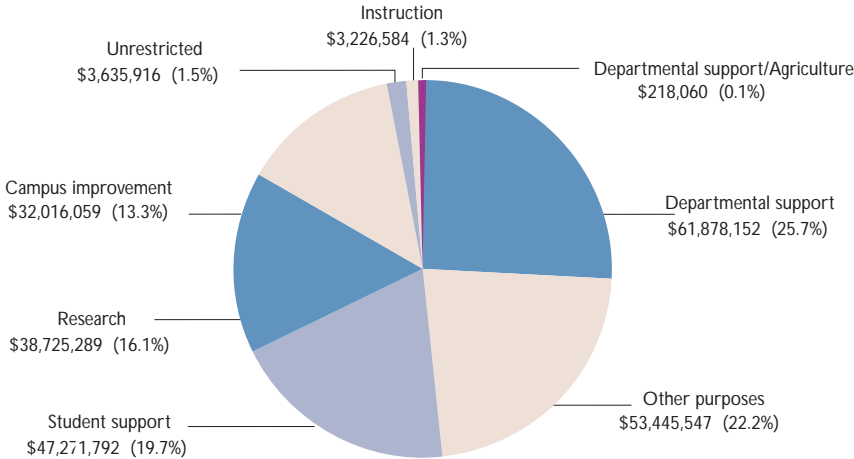
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

For the first time in its history, UC Davis received more than \$100 million in gifts in a single year. Donors gave the campus \$110,015,431 for 2001-02, a 42 percent increase over the prior year's gift total of \$77.35 million, which represents the previous UC Davis record for private contributions in a year.

A \$35 million contribution from Robert and Margrit Mondavi, UC Davis' largest gift ever and one of the five largest individual gifts in University of California history, buoyed the 2002 campus total. This gift from the legendary Napa Valley wine maker and his wife has named both the Robert Mondavi Institute for Wine and Food Science at UC Davis and the Robert and Margrit Mondavi Center for the Performing Arts, which opened in fall 2002.

The Mondavi gift accounts for a dramatic increase in the share of the campus total that came from non-alumni individuals: from 19.5 percent in 2001 to 47 percent (\$51.55 million) this year. Foundations provided \$21.14 million (19 percent) and corporations \$19.87 million (18 percent), respectively, of the 2002 total. Gifts from UC Davis alumni accounted for 5 percent or \$5.73 million of the total, but those contributions more than doubled the \$2.75 million total that came from alumni the previous year.

Gift totals from corporations and non-profit organizations other than foundations remained consistent with 2001 levels. Corporations gave UC Davis \$19.87 million in 2002, 93 percent of their 2001 total, while other non-profit organizations increased their gift total by 17 percent to \$11.07 million for the year.

"We are particularly pleased to see such strong support from all of our constituencies," said Chancellor Larry Vanderhoef. "The dramatic growth in gifts from individuals is especially encouraging, suggesting as it does the growing value alumni and friends place upon the teaching, research and community service provided by UC Davis and the other UC campuses."

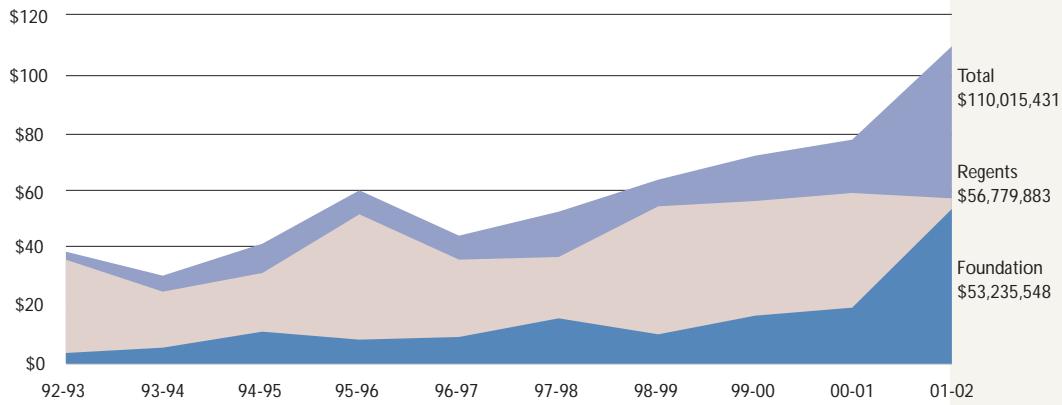
In fact, four of the eight \$1 million-plus gifts to UC Davis in 2002 came from individuals or their estates. In addition to the Mondavi gift, these included: \$2.09 million from Barbara K. Jackson, primarily for the performing arts center and a faculty chair in the music department; a \$1.85 million bequest from the estate of Gladys S. Smith for undergraduate and medical student scholarships; and a \$1.38 million charitable unitrust from alumnus Michael R. Floyd, D.V.M., for the School of Veterinary Medicine.

UC Davis also received gifts in excess of \$1 million from four nonprofit organizations, including: the Whitaker Foundation, which awarded \$12.08 million to a new Department of Biomedical Engineering in the College of Engineering; \$1.6 million from the Claire Giannini Fund for an equine performance laboratory in the School of Veterinary Medicine; the American Cancer Society Inc., which gave \$1.11 million to three research projects; and two grants from the David and Lucile Packard Foundation with a combined value of \$1.02 million.

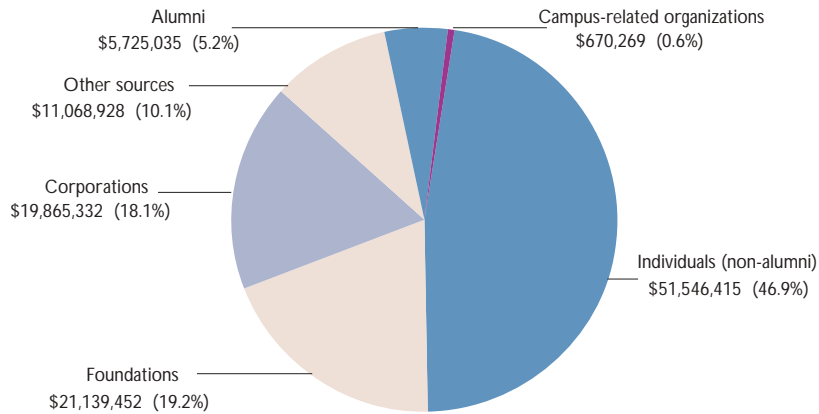
The Mondavi gift also meant that the bulk of the campus gift total – \$46.7 million or 42.5 percent – went to campus improvements. This was nearly seven times what donors gave for that purpose in 2001. Research projects and programs received more than \$32.12 million, nearly 30 percent of the 2002 gift total.

Other categories that showed an increase in donor support over last year were student support, which received \$9.74 million; unrestricted funds, which rose to \$1.67 million; and support for classroom instruction, which more than doubled to \$581,742.

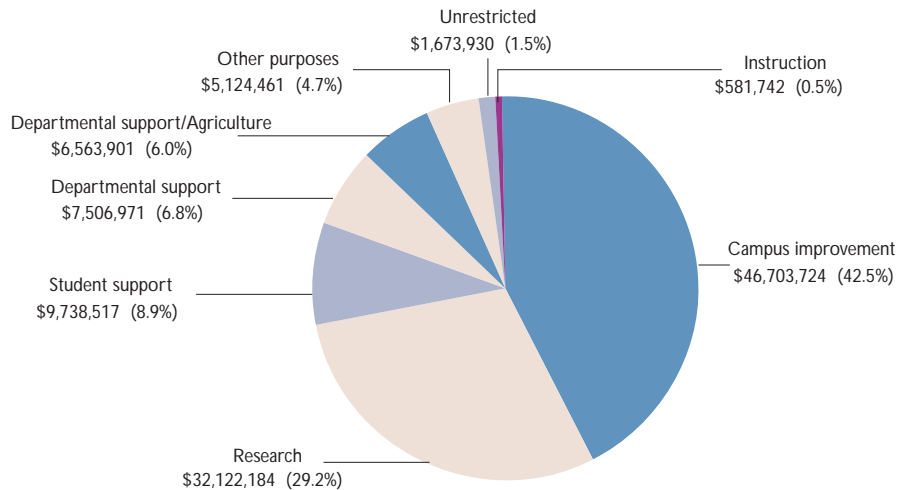
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

Alumni and corporate giving to UC Irvine held strong in 2001-02, though total private donations to the campus dropped to \$35.6 million from \$52 million in 2000-01. The decline is largely attributed to a nationwide economic downturn over the past year.

Alumni donated \$1.7 million, and corporations gave slightly more than last year at \$12.9 million. Foundations contributed \$11.6 million; individuals other than alumni donated \$5.9 million; other nonprofit organizations gave \$3.5 million.

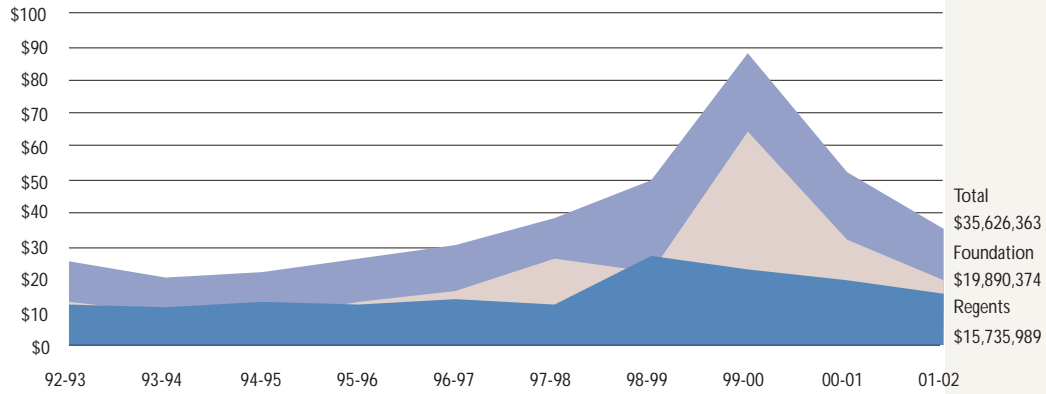
“We are particularly grateful for the generosity of our donors in the last year,” said Chancellor Ralph J. Cicerone, “especially in light of the economic uncertainty and tragic events of Sept. 11 that had a ripple effect throughout the year.

“Looking to the future, Tom Mitchell, our new vice chancellor for university advancement and a nationally recognized leader in fundraising and organizational development, will bring new momentum to our advancement efforts,” Cicerone said. “Tom has an outstanding record of fundraising success at quality universities, and we are counting on him to work with our outstanding community leaders to drive our progress in this key area.”

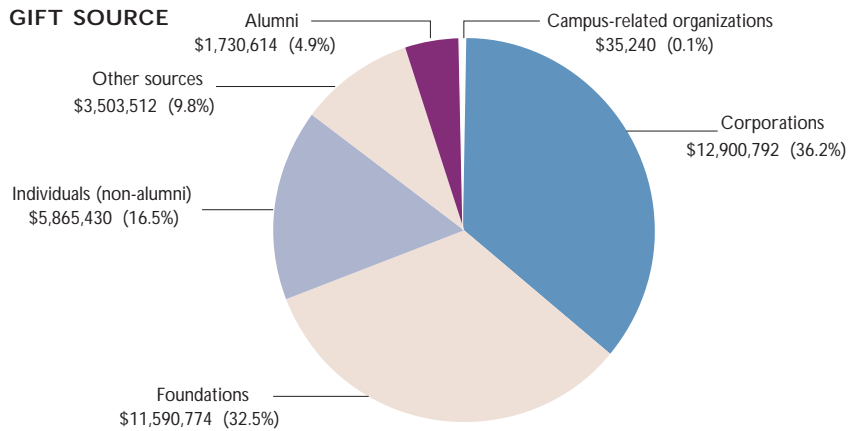
There were a number of significant contributions to UCI in 2001-02 that included: \$2.5 million from the Emulex Corp. to support research by the California Institute for Telecommunications and Information Technology (Cal-IT2), one of four California Institutes for Science and Innovation created to ensure California maintains its leadership in the telecommunications and information and technology marketplace; \$1.5 million from the William J. Link Family Trust to establish an endowed chair in engineering; and \$1 million from the Kavli Foundation for an endowed chair in earth system sciences.

A bequest of \$1 million from Helen L. Russell supported cancer research and established an endowment to be used at the chancellor’s discretion for campus priorities.

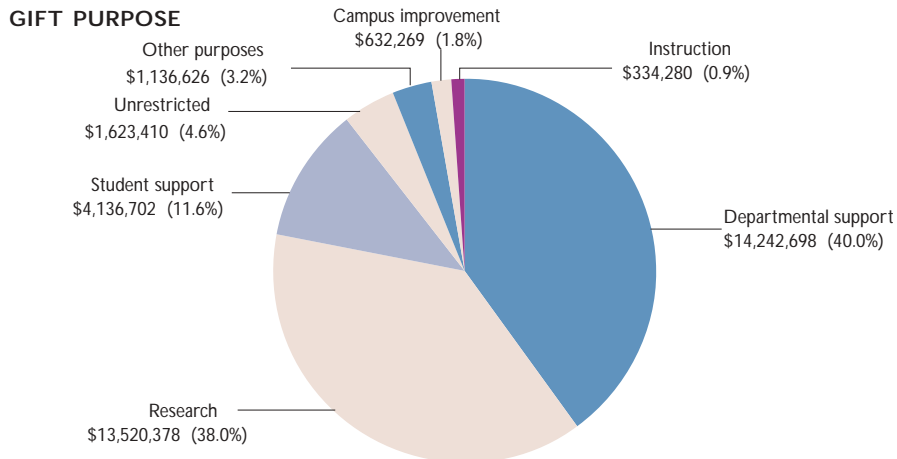
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

UCLA achieved its most successful fundraising performance in campus history – setting a record for the University of California system – by receiving more than \$509.4 million* in private gifts and grants during the past fiscal year.

This remarkable accomplishment by Campaign UCLA was attained despite the nation's uncertain economic climate. Capping the effort was a landmark pledge of \$200 million in May from entertainment executive and philanthropist David Geffen.

The largest single donation of its kind ever made to a school of medicine in the United States, Geffen's gift was also the largest ever received in the UC system. To acknowledge his generosity, the school was named the David Geffen School of Medicine at UCLA.

The \$509.4 million fundraising total reflects gifts and pledges received between July 1, 2001, and June 30, 2002, elevating the total for Campaign UCLA to more than \$2.017 billion. That equals 84 percent of the \$2.4 billion overall goal for the campaign, which concludes in 2005. The previous fundraising record for UCLA was nearly \$330 million, set in 1999-2000.

"We are enormously grateful for the support our donors provide," Chancellor Albert Carnesale said, "because government funding alone does not meet the needs of a world-class public research university. With the UCLA family's continuing commitment to our mission of teaching, research and service, I believe that even greater days lie ahead."

Campaign UCLA chairman Bob Wilson said the record year was particularly gratifying given the decision in March to extend the fundraising initiative until 2005, boosting the goal from \$1.6 billion to \$2.4 billion. "Our donors have responded magnificently to the challenge," Wilson said. "Much work remains to be accomplished, but I'm confident that the campaign will continue to build on its previous successes."

Dennis Slon, associate vice chancellor, development, agrees, saying he is encouraged by the large number of individual donors who support Campaign UCLA. "We have so many friends who appreciate the vital role the university plays, not only in Southern California but throughout the world," Slon said. "Their generosity helps UCLA attract and retain outstanding faculty and students and improve facilities campuswide."

Launched in 1997 with an initial goal of \$1.2 billion, Campaign UCLA is the most ambitious fundraising effort ever undertaken by a public university. The campaign supports academic and research programs and provides financial aid for graduate and undergraduate students. To meet substantial capital improvement needs, the campaign is securing funds to restore buildings dating back to 1929 and construct new facilities for the life sciences and medicine, physics and astronomy, the visual and performing arts, and other campus units.

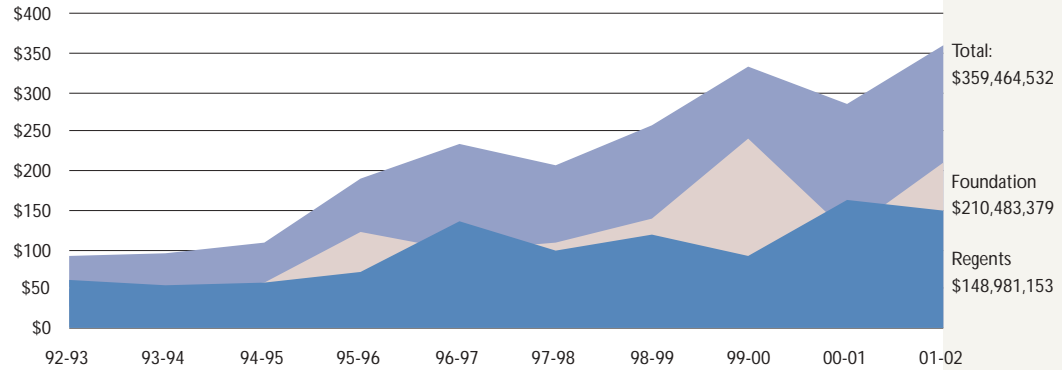
In addition to the pledge from David Geffen, among the numerous highlights of the past fiscal year is a gift of \$5 million from Southern California real estate executive Richard S. Ziman to help form a new center within the Anderson School at UCLA. The center conducts research on the rapidly changing real estate industry.

Another highlight is a gift of \$3 million from Amgen Inc. supporting the adult oncology wing of UCLA's replacement hospital, currently under construction on the Westwood campus. The gift is in memory of Dora Menchaca, an Amgen employee whose death was a direct result of the September 11, 2001 tragedy.

**The total reported on the following page excludes that portion of new pledges that exceed the five-year requirement under The Regents reporting convention.*

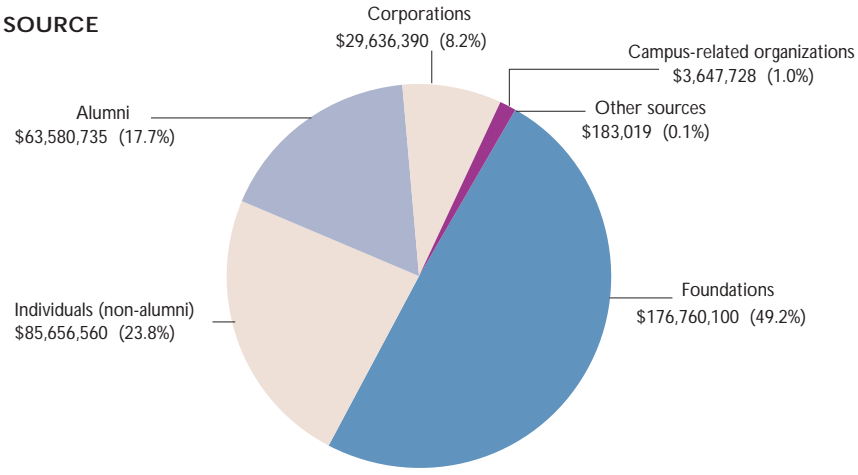
LOS ANGELES

TOTAL GIVING (\$ MILLIONS)

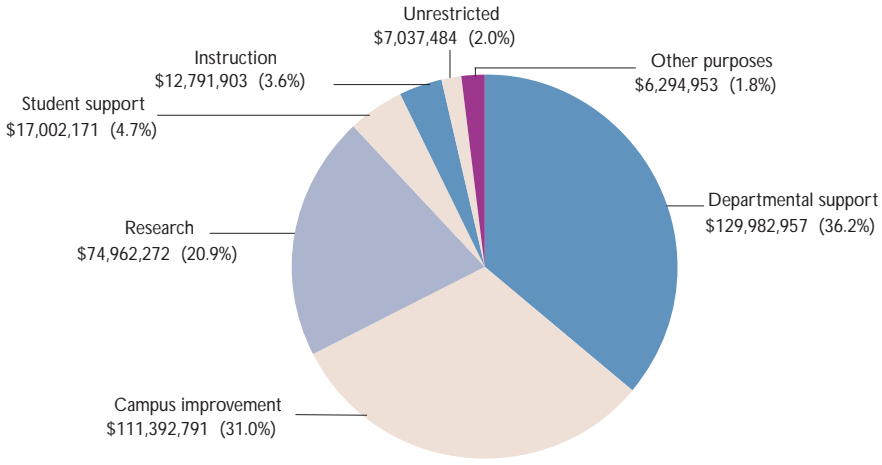


*Excludes certain pledges received in the 2001-02 fiscal year that were not counted because they exceed the five-year duration for inclusion in this report.

GIFT SOURCE



GIFT PURPOSE



University Private Support Program

Donors demonstrated a remarkable display of private support for UC Merced in 2001-02, contributing more than \$22 million in gifts, grants and pledges to enhance development of the 10th UC campus. This figure represents a 340 percent increase in private giving from the previous year and critical funding for the campus.

“For UC Merced to receive this level of giving from individuals, private industry and foundations before the main campus opens is extraordinary and overwhelming,” said Chancellor Carol Tomlinson-Keasey. “The generosity of supporters of UC Merced and the San Joaquin Valley speaks to their strong desire to help make this campus a reality.

“In return, we are committed to building a premier research university for the 21st century, creating new knowledge in areas of critical importance and providing our students with an educational experience of the highest quality.”

Led by a grant from the David and Lucile Packard Foundation exceeding \$12 million, this record year attracted commitments to fund scholarships, capital projects, library resources and four endowed faculty chairs, increasing the commitment to fund a total of 13 endowed chairs. The award from the prestigious Packard Foundation has permitted acquisition of lands and habitat to develop the UC Merced campus, a natural reserve on campus and a preserve to protect sensitive vernal pool habitat, as well as funding for a scholarship endowment to benefit San Joaquin Valley students.

The Modesto-based E&J Gallo Winery tops the list of corporate benefactors, with a \$5 million founding gift for a school of management to be named in honor of company founders Ernest and Julio Gallo. The school will focus on cutting-edge business research and establish extensive global linkages with leading corporations in a variety of industries.

Another leading donor, the Wells Fargo Foundation, has established a \$1 million scholarship fund for San Joaquin Valley students enrolling at UC Merced. The fund will be divided between entering freshmen and students transferring from community colleges. SBC Pacific Bell has created a scholarship fund to benefit high-achieving engineering students.

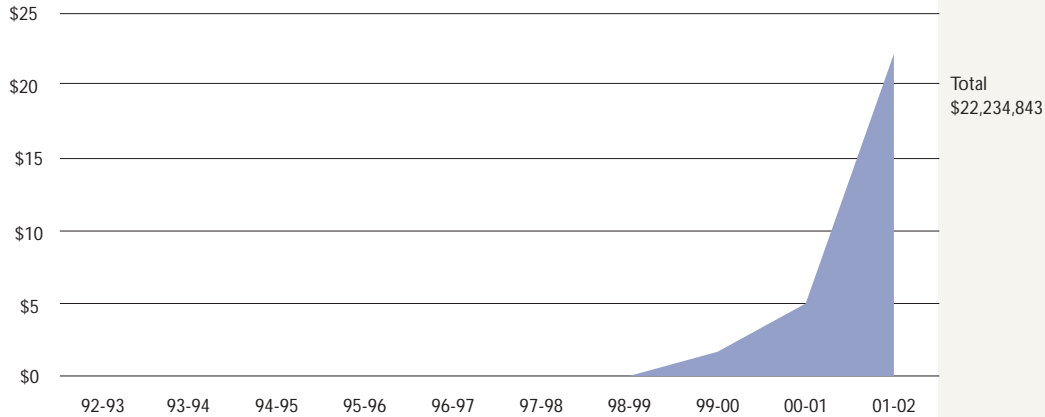
Providing major support for on-campus facilities, Joseph Edward Gallo has donated \$2 million for a recreation center. The founder of Joseph Farms in Merced County, he is considered a pioneer in California's thriving cheese industry.

Members of the UC Merced Foundation Board of Trustees continue to make considerable contributions to campus development, which in this year included funding of two endowed chairs at the \$500,000 level. Trustee Tony Coelho, a former U.S. congressman, endowed a chair in public policy and trustee Krishna Thondapu, M.D., and his family funded an endowed chair in an area yet to be determined. The Thondapu family of Merced also hosted a fundraising event to support special collections for the campus library.

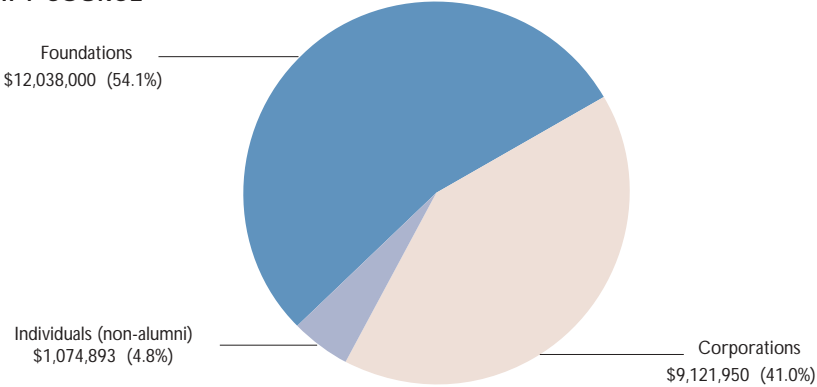
The *Merced Sun-Star*, a local newspaper, donated a chair in mass communications and the UC Office of the President, which administers the UC system of 10 campuses and three national laboratories for the federal government, endowed a presidential chair.

With the guidance of several trustees, volunteer supporters of UC Merced have formed the Chancellor's Associates, a group dedicated to raising discretionary funds for the chancellor to apply toward critical projects not eligible for state funding. Sixty members had joined the organization by fiscal year's end, committing to a \$1,000-per-year, tax-deductible contribution.

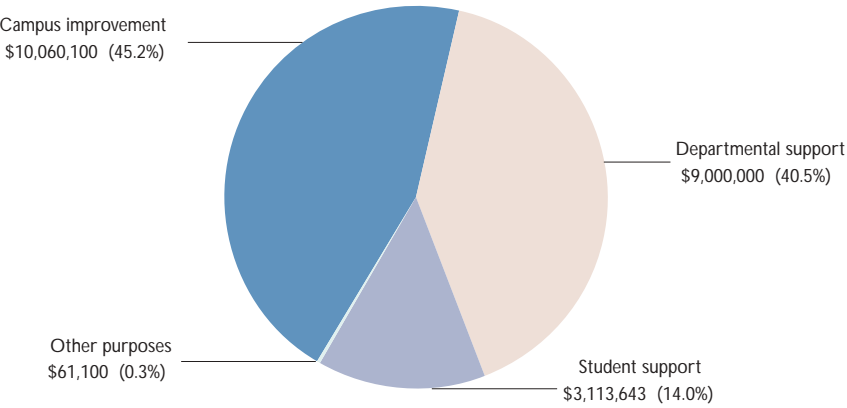
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

UC Riverside received a record \$31,596,126 in private support for 2001-02. This comprised a “baseline” of \$17 million in private and corporate gifts and foundation awards, plus a significant gift of intellectual property. Achieving this level of gift funding is especially meaningful given the challenging economic environment and financial uncertainty facing individuals, corporations and foundations.

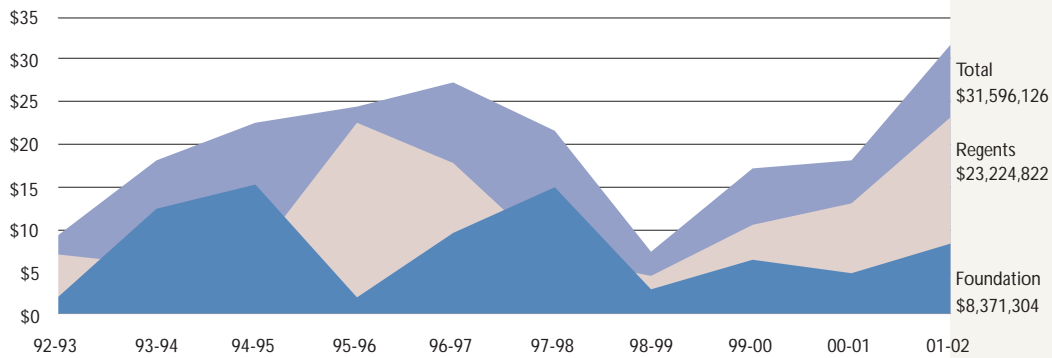
Particularly noteworthy is the rise in baseline funding to a \$17 to \$18 million level for the last three years, compared with a baseline level of \$10 to \$12 million during the previous five to seven years.

“These are impressive results that demonstrate the commitment and dedication of the many supporters and friends of this campus,” said Chancellor France A. Córdova. “They position us well during the silent phase of our capital campaign.”

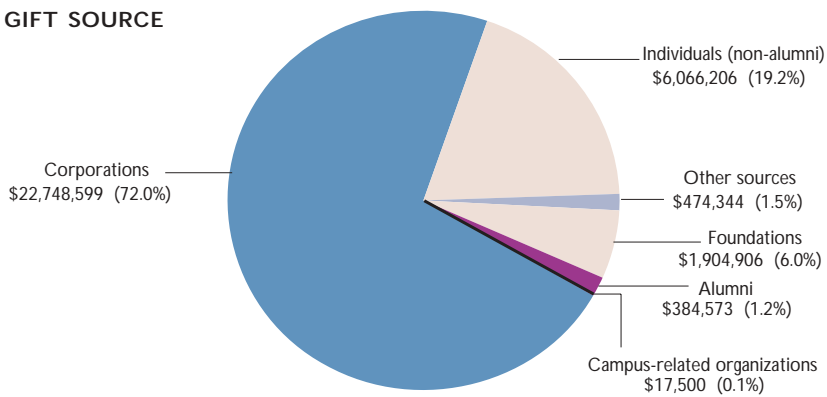
Significant gifts made during the year include:

- A \$5 million pledge from A. Anthony Culver for the Barbara and Art Culver Center of the Arts in downtown Riverside, an important off-campus presence for the College of Humanities, Arts and Social Sciences. This center will provide studio and collaborative spaces for arts faculty and graduate students, while furnishing the UCR/California Museum of Photography with desperately needed storage space for its growing collections.
- A \$1 million pledge from the Bourns Family Foundation to support UC Riverside’s College of Engineering Center for Environmental Research and Technology (CE-CERT) program, bringing the Bourns family support to the College of Engineering to more than \$7 million.
- A significant gift of intellectual property from the DuPont Co., which will be further developed by UC Riverside. It has the potential as a commercial product to enable growers to successfully combat the weeds that compete against commodity crops in California farms and fields and to do so without leaving behind environmentally toxic chemicals that require remediation.
- Major foundation grants supporting programs in the College of Humanities, Arts and Social Sciences, and Graduate School of Education. Included are two grants totaling \$603,000 from the Ford Foundation to develop interdisciplinary research on race, gender and identity; a grant of \$350,000 from the Sikh Foundation toward an endowed faculty chair in Sikh studies; as well as grants totaling \$463,409 from the W.K. Kellogg Foundation for the Hispanic Border Leadership Institute in the Graduate School of Education.
- A donation of high-tech equipment valued at \$260,500 from Conexant Systems Inc. of Newport Beach to Bourns College of Engineering. The equipment is used to support research in the Center for Nanoscale Science and Engineering for the conventional microfabrication of devices and microelectromechanical systems in a clean-room environment.

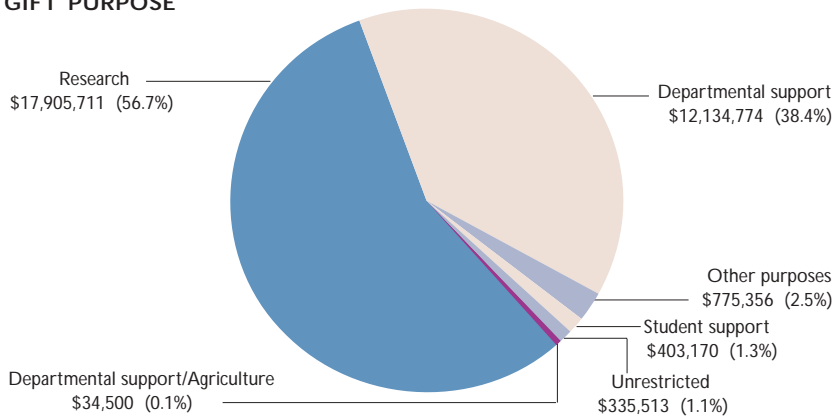
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

Private support for UC San Diego in 2001-02 amounted to \$100.3 million and the number of friends and alumni contributing to the campus increased 15 percent over the prior year. The total reflects an 17 percent decrease from the prior fiscal year's total, which is primarily attributed to the economic slowdown of the past year.

"UCSD is grateful and very honored to have such an increase in the number of gifts from our supporters," said Chancellor Robert C. Dynes. "Their support of UCSD during challenging times illustrates the level of their commitment to our mission."

Private giving helped support UCSD's students, faculty and new initiatives. Highlights among the private support received during 2001-02 are:

- A \$10 million gift from the William J. von Liebig Foundation established a center for entrepreneurship at UCSD's Jacobs School of Engineering;
- A newly created scholarship fund designated for transfer students was made possible by a \$249,600 gift from Sheldon and Susan Engelhorn of Cardiff-by-the-Sea, California; and
- Numerous donors established new endowed faculty chairs, helping recruit and support our outstanding faculty.

The William J. von Liebig Center for Entrepreneurism and Technology Advancement is funded by a \$10 million gift from the William J. von Liebig Foundation of Naples, Florida. The center, founded in September 2001, fosters commercialization of UCSD research and educates students about the process of moving innovations from the laboratory into the marketplace.

Private support to UC San Diego in 2001-02 provided more than \$2 million for undergraduate scholarships. The Engelhorn Family Scholarship Fund, the largest fund designated for transfer students in UCSD history, was established to encourage students to realize their goal of earning a UCSD degree.

According to the Engelhorns, they selected transfer students as the beneficiaries of their scholarship fund because these students are very committed to furthering their education and earning an undergraduate degree.

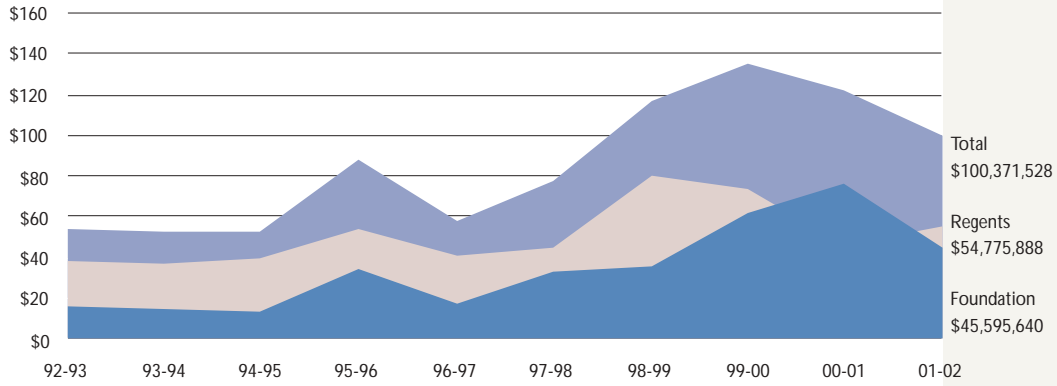
During 2001-02, UC San Diego established 10 new endowed chairs, helping the campus recruit and retain its world-class faculty: Harry E. Gruber Professor of Computer Science and Information Technologies Chair, endowed by various donors; Cymer Corp. Endowed Chair, endowed by Cymer Corp.; Conexant Endowed Chair in High-Performance Communications Devices and Circuits, endowed by Conexant Systems Inc.; and the Structural Systems Research Chair, endowed by various donors.

Qualcomm Inc. endowed several chairs last year, including chairs in embedded microsystems, mobile computing, sensor networks, and telecommunications and information technologies.

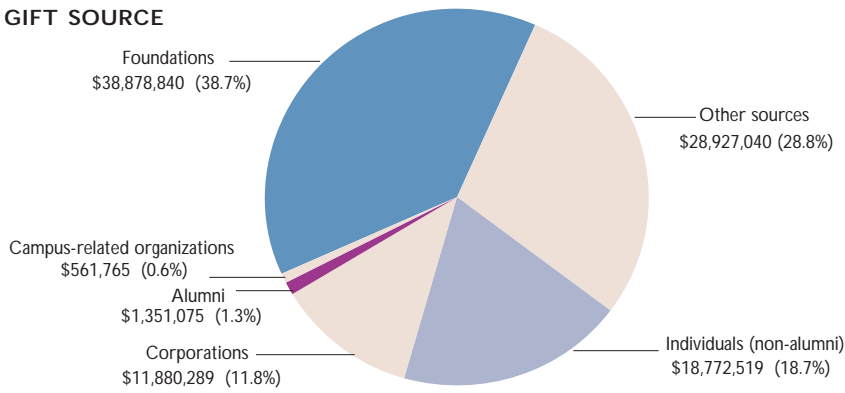
In addition, the Chancellor's Associates created two new chairs, in biological sciences and political science.

SAN DIEGO

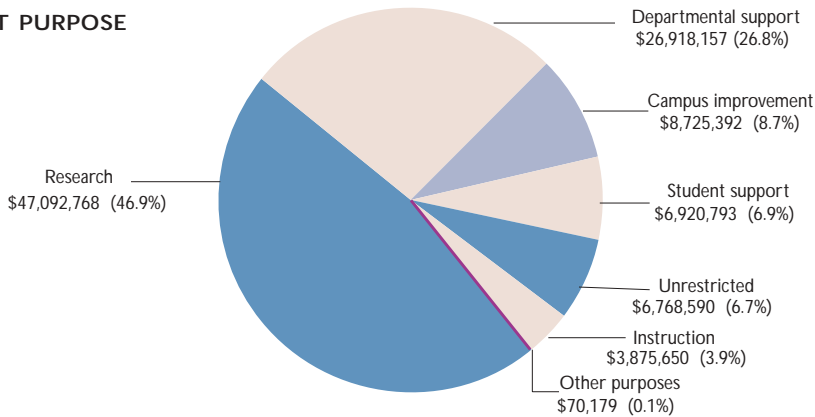
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

Gifts, private grants and pledges to UC San Francisco totaled more than \$205 million, reflected in 21,392 contributions from 17,516 donors, making 2001-02 the third consecutive year that the campus raised more than \$200 million. This is a remarkable accomplishment for a campus devoted solely to the health sciences.

In October 2001, UCSF launched the public phase of “The Campaign for UCSF”, a \$1.4 billion, seven-year fundraising effort designed to enable UCSF to retain its leadership position in health science research and education in the 21st century. As of June 30, 2002, approximately \$920 million had been committed toward the \$1.4 billion goal, with three years remaining in the campaign.

The campaign will accelerate progress in teaching, research, clinical care and community health – areas in which the campus is already a world leader. The scope of this campaign is unprecedented in UCSF’s history. It will support new and existing initiatives at nearly every campus site, including construction of the new Mission Bay campus and revitalization of the main campus site at Parnassus Heights.

Private support for the new Mission Bay campus remained strong in 2001-02. Of the initial \$210 million goal for Mission Bay, donors have already contributed \$170 million, or 80 percent of the total. Among the next priorities for the Mission Bay development will be the construction of facilities to host the Institute for Quantitative Biomedical Research, one of four California Institutes for Science and Innovation created by Governor Gray Davis.

During the quiet phase of the campaign, UCSF received impressive support from its foundation board members. Of the 30 current foundation directors, all 30 have made annual gifts, 23 have made major commitments to the campaign totaling \$83,263,118 and 18 have made major commitments specifically to Mission Bay totaling \$52.8 million.

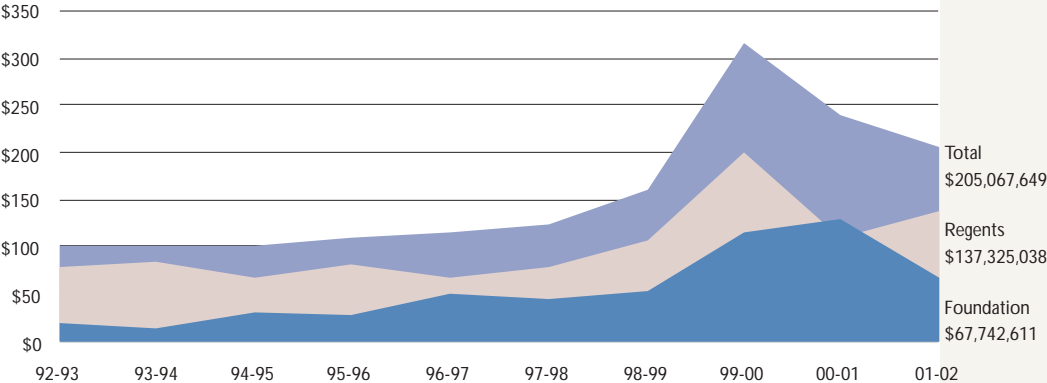
The following new Mission Bay leadership gifts and pledges came from UCSF Foundation members in 2001-02: \$1 million from Jannine and Robert L. MacDonnell; \$1 million from Ute C. L. and William K. Bowes, Jr., bringing their commitment to the project to \$5 million; \$900,000 from Emily S. and David S. Pottruck; \$500,000 from Susan C. and William E. Oberndorf; and \$100,000 from Elizabeth P. and W. Clarke Swanson, Jr.

Other donors also played a significant role in private support for Mission Bay in 2001-02, including: \$5 million from Betty I. and Gordon E. Moore; \$1 million from The Richard and Rhoda Goldman Foundation; and \$1 million from Patricia C. and F. Warren Hellman.

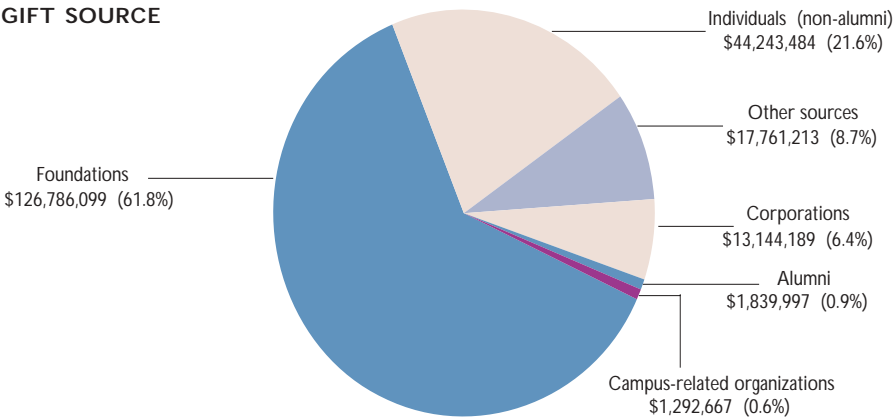
Another notable gift in 2001-02 included a \$14.5 million grant to support the Immune Tolerance Network and a \$4.1 million grant to the Islet and Cellular Transplantation Facility at the UCSF Diabetes Center from the Juvenile Diabetes Foundation International.

SAN FRANCISCO

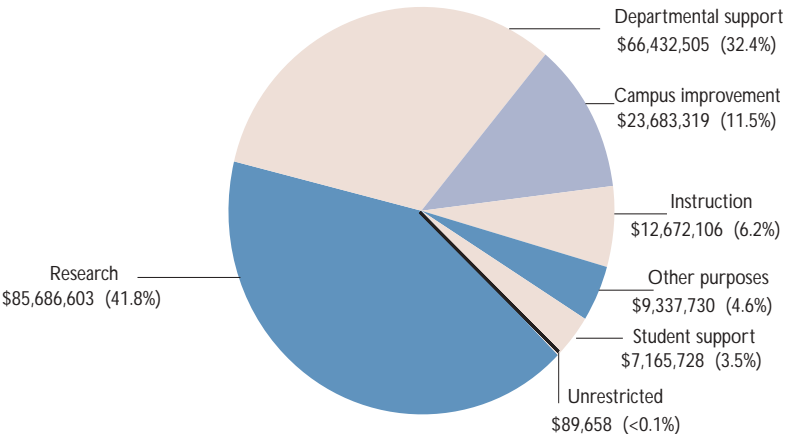
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

In a climate of economic uncertainty, alumni and friends of UC Santa Barbara demonstrated their strong support for the campus by contributing a record \$51.3 million in philanthropic gifts and pledges in 2001-02. The year was the most successful ever for the campus, surpassing the banner fundraising achievement of the previous year by more than \$3 million. In the past three years, annual private support for the campus from individuals, corporations and foundations has more than doubled.

"I am grateful for the extraordinary generosity of UCSB's caring friends and alumni and for the tireless efforts of all our colleagues in helping us set a new record for fundraising last year," said Chancellor Henry T. Yang. "Together we raised nearly \$52 million – that's a million a week! We look forward to building on this momentum as we continue to set new standards of excellence for our great university."

Major gifts and grants for teaching, research, student and programmatic support were distributed across the disciplines. UCSB received a total of 16,900 individual contributions, up nearly seven percent from the previous year. A number of generous benefactors made permanent investments in UCSB's future in the form of endowments amounting to more than \$24 million. Income generated by such gifts provides perpetual funding for specific purposes designated by donors.

Major endowments included two gifts totaling \$7.5 million from the Kavli Foundation and the Kavli Institute, established by UCSB Foundation trustee Fred Kavli. The gifts are for the Institute for Theoretical Physics, which was named in his honor.

In addition, four endowed faculty chairs were established with contributions of \$1 million and more by UCSB Foundation trustee Fred Gluck (for the director of the Kavli Institute for Theoretical Physics); Mitsubishi Chemical Corp. (chemical engineering); Warren and Katharine Schlinger (chemical engineering); and the William Bowes Foundation, Franklin and Catherine Johnson Foundation and Rathmann Family Foundation (biochemistry and molecular biology). The Amgen Foundation also established a laboratory for the chair holder in biochemistry and molecular biology. Endowed chairs and related support make it possible for universities to offer incentives to retain outstanding professors and to recruit new ones.

"These fundraising results are a reflection of the enthusiastic work by foundation trustees, faculty, campus leaders, the professional development staff, and the significant generosity of trustees, friends, alumni and parents, coupled with a growing recognition of the outstanding programs at a transformed university," said Gary Greinke, associate vice chancellor for development.

Corporate and foundation support for research and technology transfer also increased substantially. The California NanoSystems Institute, a research enterprise operated jointly by UCSB and UCLA and one of four California Institutes for Science and Innovation, received more than \$10 million to advance knowledge critical to the future of the state's economy.

Other gifts and grants included \$2.5 million from the family foundation of television producer Marcy Carsey, a trustee of the UCSB Foundation, to help build a Center for the Study of Film, Television and New Media; and \$1.2 million from the W. M. Keck Foundation to develop new techniques and technologies for the conservation of natural resources and environmental restoration.

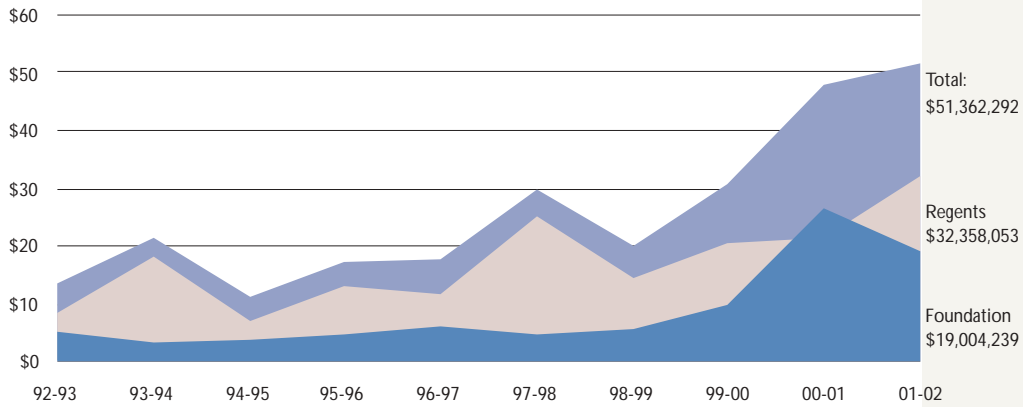
In addition, unrestricted support, an important source of discretionary funding for campus priorities, rose nearly 62 percent to \$2.3 million.

"Private giving is essential to UCSB's continued success," said John M. Wiemann, vice chancellor for institutional advancement, of which development is a part. "That our donors recognize the importance of philanthropic support to this campus is extremely gratifying."

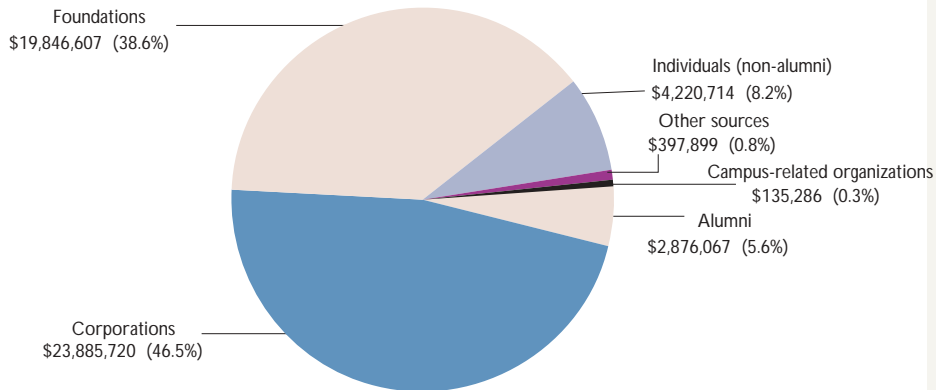
Today, state funding accounts for only about one-third of UCSB's total budget and is designated for fundamental expenses. Private giving works in concert with the state's commitment. This public-private partnership plays a significant and increasing role in building UCSB's reputation for scholarship, research, teaching and the quality of its students.

SANTA BARBARA

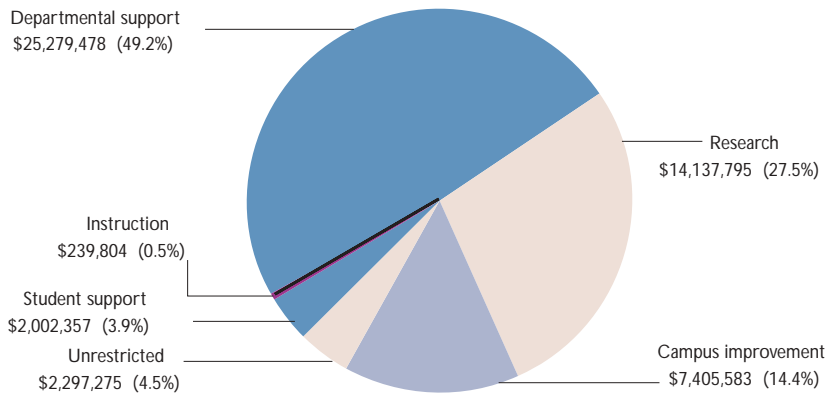
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

Environmental research, graduate student fellowships and library improvements were among initiatives receiving strong support from donors at UC Santa Cruz in 2001-02. Overall, the campus received more than \$13 million in private support.

“This generous support for research and teaching at UCSC is particularly impressive in a time of economic uncertainty,” said Chancellor M.R.C. Greenwood. “It recognizes our commitment to increase understanding of our environment, and promotes the enhancement of our library collections and of graduate education, among many other important priorities.”

The W. M. Keck Foundation of Los Angeles awarded \$1 million to UCSC to support ongoing research on the environmental toxicology of trace metals. The grant will enable the campus to purchase state-of-the-art equipment for conducting trace metal research, an area in which UCSC has been a world leader for many years.

New instrumentation obtained with the grant will enable UCSC researchers to continue to make major advances in understanding the global cycling of trace metals throughout the environment and their effects on biological systems. Trace metals include toxic elements such as lead and mercury, as well as biologically essential elements that can be toxic at high concentrations.

“This award builds on the strengths of the UCSC campus for interdisciplinary research on trace metals in the environment,” said professor Russell Flegal, chair of environmental toxicology, who will coordinate the award.

Integrating science, technology, engineering, policy, and society (“STEPS”) to solve environmental problems is the goal of a \$500,000 gift from alumnus Gordon Ringold and his wife, Tanya Zarucki. Their donation helps launch the new STEPS Institute for Innovation in Environmental Research.

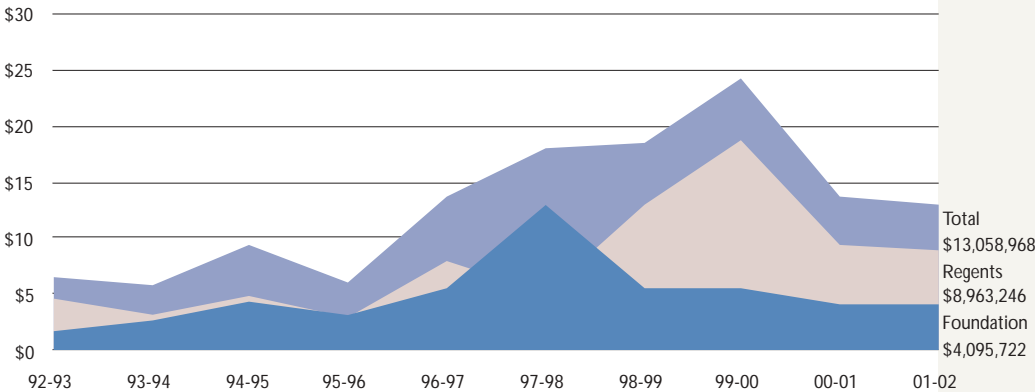
The institute will focus on the three global environmental changes caused by human activities over the past century: climate change enhanced by our expanding industrial societies; the genetic restructuring of all ecosystems caused by the global transport of species and changing global environments; and transformation of all the earth’s major water systems through alteration of rivers and lakes, increased use of oceanic resources, and diffusion of environmental toxins.

“The STEPS Institute is one that I feel really builds on the tremendous diversity and strength in the environmental sciences that UCSC has already established,” said Ringold.

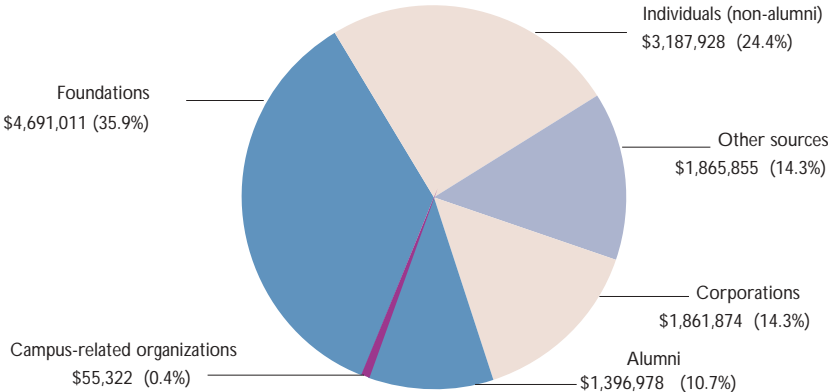
Renowned nature photographer Philip Hyde added another element to UCSC’s environmental resources by donating the archive of his 50-year career – valued at more than \$1 million – including prints, negatives, correspondence and field notes. “I liked the idea of my archive being held in the library of a university that has ties to the environment and has a strong environmental program,” the photographer said.

SANTA CRUZ

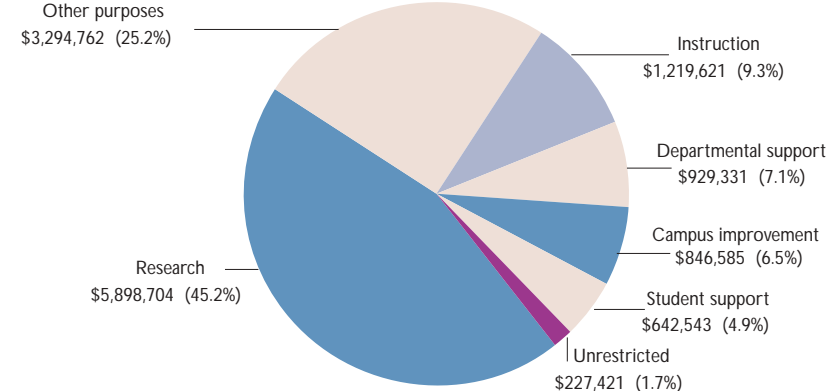
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



University Private Support Program

The Universitywide programs include multi-campus research units; the three national laboratories that UC manages under contract with the federal government; the Mathematics, Engineering, Science Achievement (MESA) student outreach program; the Puente Project, another important and successful outreach for students statewide; the University of California Press; the Education Abroad Program; and a portion of the division of Agriculture and Natural Resources, which also has programs on the Berkeley, Davis and Riverside campuses. The programs also include COSMOS (California State Summer School for Math Sciences), a summer residency program for entering 9th through 12th grade students with exceptional aptitude in math and science.

Gifts to support UC Cooperative Extension reported previously in this section are now reported by UC Davis, which processes the gifts to support the Cooperative Extension programs in California's 58 counties.

Multi-campus research units include the Humanities Research Institute, and the state funded Breast Cancer Research and Tobacco Research Programs, among others.

The U.S. Department of Energy national laboratories include the E. O. Lawrence Berkeley National Laboratory, the Lawrence Livermore National Laboratory and the Los Alamos National Laboratory in New Mexico.

The MESA program assists educationally disadvantaged students at pre-college, community college and university levels to excel in math and science and ultimately to attain degrees in math-based fields.

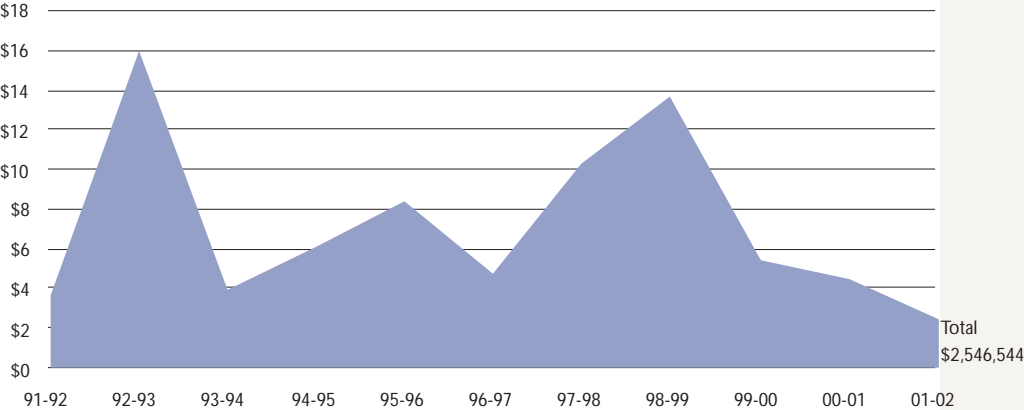
The Puente Project has helped tens of thousands of educationally under-served students to succeed in college through its innovative combination of accelerated writing instruction, academic counseling and mentoring by community professionals. In California, Puente is located in 54 community colleges and 35 high schools. Its goal is to prepare and enroll students in four-year institutions such as UC.

The Education Abroad Program provides for foreign study for nearly 2,500 at more than 140 institutions in 34 countries. In most cases, UC students are fully integrated into the academic curriculum of the foreign host university, and UC students study alongside their native counterparts. Through its exchanges, partnerships and initiatives, EAP expands the scope of education at UC by giving students access to the vast new sources of knowledge available worldwide, to special pedagogical methods and field study opportunities, and to widely varying cultural, social, political and economic environments.

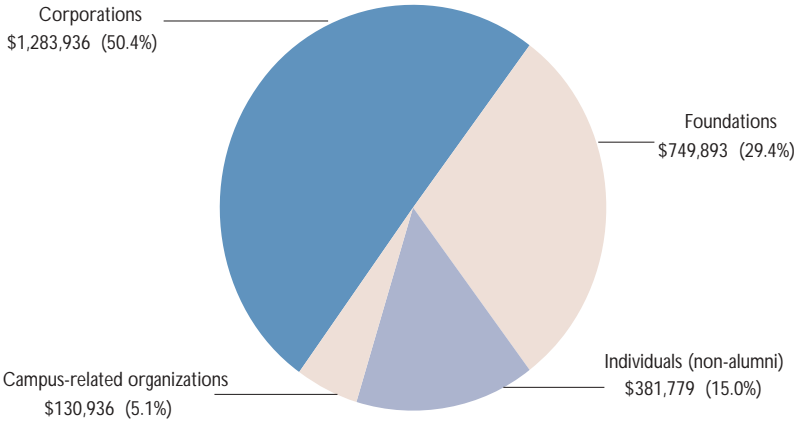
University of California Press, the nonprofit publishing arm of UC, is one of the nation's largest university publishers. It has earned worldwide recognition for its innovative titles and excellence in book design and production. Each year, it publishes 180 new clothbound books, 90 paperback books and 33 scholarly journals. About a third of its books are written by UC faculty.

UNIVERSITYWIDE AND MULTI-CAMPUS PROGRAMS

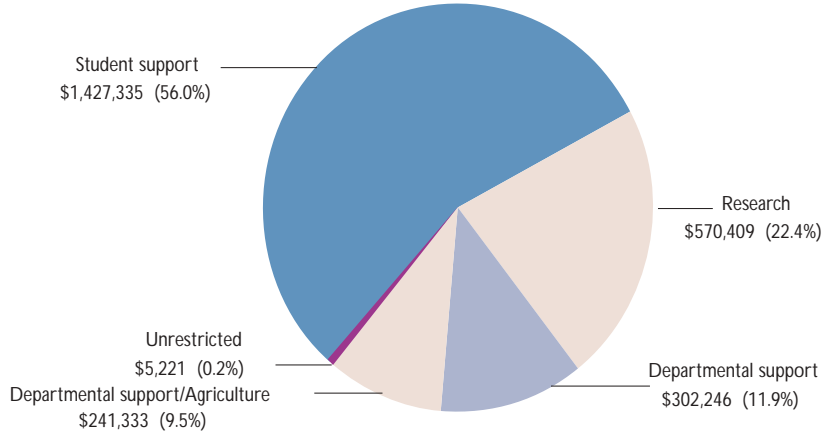
TOTAL GIVING (\$ MILLIONS)



GIFT SOURCE



GIFT PURPOSE



PRIVATE SUPPORT

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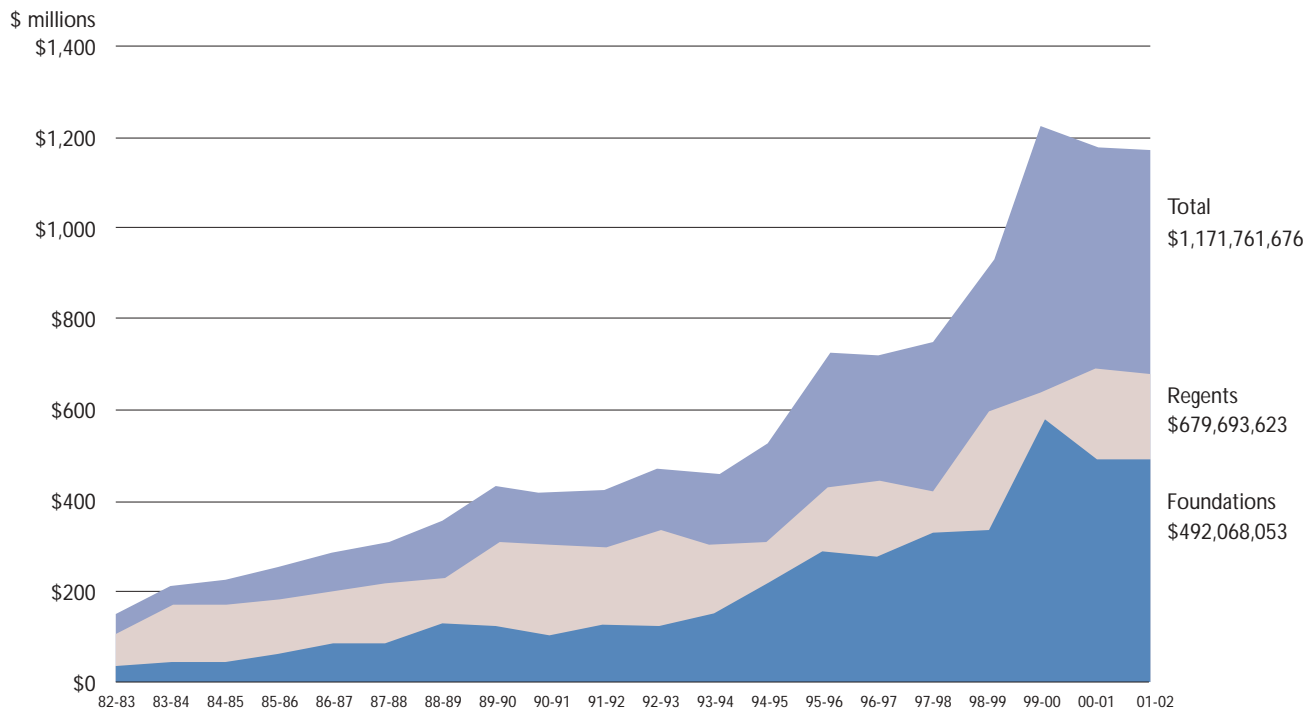
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PRIVATE SUPPORT

Appendix I

Private Support to the Regents and the Campus Foundations 1982-83 to 2001-02

Fiscal Years	Regents	Foundations	Total
1982-1983	123,063,779	34,430,545	157,494,324
1983-1984	168,686,124	47,010,365	215,696,489
1984-1985	178,479,512	49,133,944	227,613,456
1985-1986	186,253,924	68,757,418	255,011,342
1986-1987	207,593,332	86,031,331	293,624,663
1987-1988	223,256,391	89,903,475	313,159,866
1988-1989	233,701,000	133,156,000	366,857,000
1989-1990	315,323,651	120,750,398	436,074,049
1990-1991	311,795,189	102,919,879	414,715,068
1991-1992	305,503,837	124,544,336	430,048,173
1992-1993	341,073,528	131,279,500	472,353,028
1993-1994	308,864,760	150,391,500	459,256,260
1994-1995	315,773,829	217,079,565	532,853,394
1995-1996	429,052,653	291,949,785	721,002,438
1996-1997	450,199,449	276,118,749	726,318,198
1997-1998	427,768,819	326,731,603	754,500,422
1998-1999	595,840,889	330,229,035	926,069,924
1999-2000	638,217,019	583,950,217	1,222,167,236
2000-2001	691,139,190	487,480,139	1,178,619,329
2001-2002	679,693,623	492,068,053	1,171,761,676



PRIVATE SUPPORT

Appendix II Total giving for 10 Years

BERKELEY	Regents	Foundation	Total
1992-1993	78,438,980	40,774,181	119,213,161
1993-1994	65,815,131	44,879,561	110,694,692
1994-1995	81,026,533	75,059,341	156,085,874
1995-1996	112,114,655	76,819,873	188,934,528
1996-1997	115,924,899	66,503,031	182,427,930
1997-1998	107,792,918	66,444,170	174,237,088
1998-1999	164,095,171	57,605,864	221,701,035
1999-2000	136,624,495	64,737,343	201,361,838
2000-2001	241,235,905	74,086,442	315,322,347
2001-2002	176,768,164	63,649,236	240,417,400

DAVIS	Regents	Foundation	Total
1992-1993	35,030,079	3,630,285	38,660,364
1993-1994	25,041,832	4,770,071	29,811,903
1994-1995	30,888,864	10,624,937	41,513,801
1995-1996	51,485,649	7,946,743	59,432,392
1996-1997	35,327,053	8,815,495	44,142,548
1997-1998	36,912,732	15,191,582	52,104,314
1998-1999	53,912,431	9,749,898	63,662,329
1999-2000	55,850,099	16,380,453	72,230,552
2000-2001	58,661,631	18,690,926	77,352,557
2001-2002	56,779,883	53,235,548	110,015,431

IRVINE	Regents	Foundation	Total
1992-1993	12,144,105	12,792,797	24,936,902
1993-1994	11,306,196	9,392,172	20,698,368
1994-1995	13,517,932	8,131,772	21,649,704
1995-1996	12,400,546	13,206,160	25,606,706
1996-1997	14,205,593	15,980,561	30,186,154
1997-1998	12,502,888	26,332,212	38,835,100
1998-1999	27,359,702	22,185,857	49,545,559
1999-2000	23,530,837	64,354,184	87,885,021
2000-2001	19,889,875	32,150,903	52,040,805
2001-2002	15,735,989	19,890,374	35,626,363

LOS ANGELES	Regents	Foundation	Total
1992-1993	61,544,957	28,806,397	90,351,354
1993-1994	52,658,329	42,273,583	94,931,912
1994-1995	55,188,744	54,916,233	110,104,977
1995-1996	69,670,752	121,086,027	190,756,779
1996-1997	134,906,270	96,891,237	231,797,506
1997-1998	98,955,078	108,111,736	207,066,814
1998-1999	116,602,554	137,853,869	254,456,423
1999-2000	90,385,117	240,415,961	330,801,078
2000-2001	160,993,230	121,937,425	282,930,655
2001-2002	148,981,153	210,483,379	359,464,532

MERCED	Regents	Foundation	Total
1992-1993	n/a	n/a	n/a
1993-1994	n/a	n/a	n/a
1994-1995	n/a	n/a	n/a
1995-1996	n/a	n/a	n/a
1996-1997	n/a	n/a	n/a
1997-1998	n/a	n/a	n/a
1998-1999	10,000	n/a	10,000
1999-2000	1,592,153	n/a	1,592,153
2000-2001	5,038,993	n/a	5,038,993
2001-2002	22,234,843	n/a	22,234,843

RIVERSIDE	Regents	Foundation	Total
1992-1993	7,192,103	1,920,614	9,112,717
1993-1994	5,591,212	12,631,789	18,223,001
1994-1995	7,094,318	15,421,871	22,516,189
1995-1996	22,596,975	2,078,716	24,675,691
1996-1997	17,710,848	9,688,798	27,399,646
1997-1998	6,793,537	14,954,880	21,748,417
1998-1999	4,506,572	2,855,403	7,361,975
1999-2000	10,693,862	6,404,166	17,098,028
2000-2001	13,076,803	4,928,570	18,005,373
2001-2002	23,224,822	8,371,304	31,596,126

SAN DIEGO	Regents	Foundation	Total
1992-1993	38,019,279	15,483,797	53,503,076
1993-1994	36,773,492	15,182,592	51,956,084
1994-1995	39,819,272	12,911,301	52,730,573
1995-1996	54,475,569	34,322,666	88,798,235
1996-1997	40,956,531	17,178,541	58,135,072
1997-1998	45,243,617	32,188,946	77,432,563
1998-1999	80,848,581	35,481,507	116,330,088
1999-2000	73,975,115	61,392,612	135,367,727
2000-2001	46,387,460	75,510,302	121,897,762
2001-2002	54,775,888	45,595,640	100,371,528

SAN FRANCISCO	Regents	Foundation	Total
1992-1993	79,691,820	20,918,228	100,610,048
1993-1994	86,375,311	15,261,986	101,637,297
1994-1995	70,031,638	31,901,964	101,933,602
1995-1996	82,304,726	28,613,163	110,917,889
1996-1997	66,478,618	49,836,904	116,315,523
1997-1998	78,970,156	46,097,928	125,068,083
1998-1999	107,589,767	53,556,880	161,146,647
1999-2000	200,941,595	114,606,068	315,547,664
2000-2001	110,735,877	129,218,433	239,954,310
2001-2002	137,325,038	67,742,611	205,067,649

SANTA BARBARA	Regents	Foundation	Total
1992-1993	8,461,620	5,089,105	13,550,725
1993-1994	18,244,402	3,281,367	21,525,769
1994-1995	7,244,108	3,698,127	10,942,235
1995-1996	12,660,501	4,741,977	17,402,478
1996-1997	11,904,008	5,738,385	17,642,394
1997-1998	25,188,127	4,639,958	29,828,085
1998-1999	14,410,864	5,382,366	19,793,230
1999-2000	20,439,663	10,124,817	30,564,479
2000-2001	21,175,104	26,855,494	48,030,597
2001-2002	32,358,053	19,004,239	51,362,292

SANTA CRUZ	Regents	Foundation	Total
1992-1993	4,711,769	1,864,097	6,575,866
1993-1994	3,127,752	2,718,379	5,846,131
1994-1995	4,823,654	4,414,019	9,237,673
1995-1996	2,961,648	3,134,460	6,096,108
1996-1997	8,010,621	5,485,798	13,496,419
1997-1998	5,187,371	12,770,191	17,957,562
1998-1999	12,910,490	5,557,391	18,467,881
1999-2000	18,796,177	5,534,613	24,330,790
2000-2001	9,401,848	4,101,617	13,503,465
2001-2002	8,963,246	4,095,722	13,058,968

Gift data

The gift data included in the Annual Report on the University Private Support Program is compiled using the UC system convention for gift reporting (i.e., includes pledges when made, not paid; outright gifts and private grants, not contracts; and gifts from foreign sources).

Regents

The Regents of the University of California is the corporate body entrusted by the enabling legislation with the University's organization and administration.

Campus foundations

Campus foundations, originally established at the Los Angeles campus in 1945 and the Berkeley campus in 1948 as alumni foundations to secure private support for student aid, are independently incorporated as nonprofit, public benefit corporations. They serve as the primary fundraising arm for their respective campuses. The strength of the foundations rests with the direction provided by their community-based volunteer trustees. Each foundation is staffed by University personnel. They are located on each campus.

SOURCES OF GIFTS

This category identifies the original source of gifts and grants to The Regents and the campus foundations.

INDIVIDUALS

Alumni

Alumni are defined as former undergraduate or graduate students, full- or part-time, who have earned some credit toward a degree offered by a particular campus of the University of California.

Individuals (non-alumni)

All individual donors who are not alumni are covered by this classification, including: parents; enrolled students; faculty; staff; and trustees.

ORGANIZATIONS

Campus-related organizations

This classification covers student groups, alumni associations, and University support groups, but not campus foundations. A transfer to The Regents from a campus foundation is reported in the classification appropriate to the original donor when the gift was received by the campus foundation.

Corporations

This classification covers both for-profit entities and non-profit entities that are funded by for-profit entities.

For-profit entities are defined as corporations, businesses, partnerships, and cooperatives that have been organized for profit-making purposes, including corporations owned by individuals and families, incorporated professional individuals, and other closely-held companies.

Examples of non-profit entities that are funded by for-profit entities include: industry or trade associations; professional, union, or lobbying organizations; and non-profit organizations funded by one or more companies or individuals operating for profit, including corporate foundations.

Foundations

This classification covers private tax-exempt entities established and operated exclusively for charitable purposes; non-profit foundations or charitable trusts, including funds or endowments designated by the Internal Revenue Service as grant-making foundations; community foundations; family foundations; or charitable trusts. This classification excludes corporate foundations (which are classified as for-profit entities), campus foundations, or quasi-government entities such as the National Endowment for the Humanities.

Other sources

This classification covers all non-profit organizations other than those described above, including fundraising consortia, religious organizations, and higher educational institutions or associations.

PURPOSES OF GIFTS

This category identifies the intended use of private support as designated by the donor or grantor.

Research

This classification applies to private support that is restricted to scientific, technical, and humanistic investigation, including salaries and other support of research projects.

Student support

This classification includes support that is restricted to non-repayable financial aid to undergraduate or graduate students, including scholarships, fellowships, awards, prizes, and private support for work-study students, as well as gift funds to support loans to be repaid by undergraduate and graduate students.

PRIVATE SUPPORT

Glossary

Instruction

This classification is restricted to support for seminars, conferences, lecture programs, and teaching awards, including salaries, honoraria, and employee benefits.

Campus improvement

This classification includes support for ongoing operation of the physical plant, including its buildings and grounds, other facilities, and equipment. It also includes gifts and private grants of real and personal property, including equipment and works of art for use by the University. It also covers gifts and private grants that are restricted by the donor or grantor to purchase buildings, other facilities, equipment, and land for use by the University; or to construct or carry out major renovation of buildings and other facilities; or to retire indebtedness. In addition, the classification applies to gifts of library materials and monetary support that are restricted to acquire, restore, and preserve books, periodicals, manuscripts, maps, and related materials; to acquire audiovisual and other equipment; or to support other activities of campus libraries.

Departmental support

This classification covers gifts that are restricted by the donor to a particular academic division, department, or entity, but otherwise unrestricted. It also covers gifts that are restricted by the donor to a particular academic division, department, or unit, and further restricted by the donor to a particular purpose for which no other purpose category is listed, including endowed chairs. In addition, it covers gifts and grants that have been given for support of agricultural research and public service, which the Berkeley, Davis, and Riverside campuses and the systemwide office break out separately, but excludes instruction and student financial aid.

Other purposes

This classification covers support of non-instructional services beneficial to individuals and groups external to the University (e.g., exhibits, museums, patient care, public service, and similar facilities and programs); and gifts restricted by the donor for endowment, which maintains the principal in perpetuity, but otherwise unrestricted by the donor as to use of the endowment income.

Unrestricted

This classification includes gifts made by the donor for current use without restriction, regardless of any subsequent administrative designation or purpose.

TYPE OF ASSETS

This category identifies the form (type of asset) of a gift at the time it is transferred to the University or the campus foundation.

Cash

This classification covers currency, coins, checks, money orders, and bank drafts.

Securities

This classification includes stocks, bonds, and related instruments such as promissory (mortgage) notes and insurance policies if maintained rather than surrendered for cash.

Real property

This classification applies to real estate, including land, buildings, and other improvements; and to oil, mineral, and related rights. Real property is reported at its fair market value.

Pledge

This classification applies to the promise to make a gift or private grant, the amount of the gift or grant to be paid within five years by the donor, usually in installments.

Non-monetary items

This classification applies to personal or company property (except securities and real property), including works of art, books, intellectual property, and scientific and other equipment. Non-monetary gifts are reported at their fair market value.