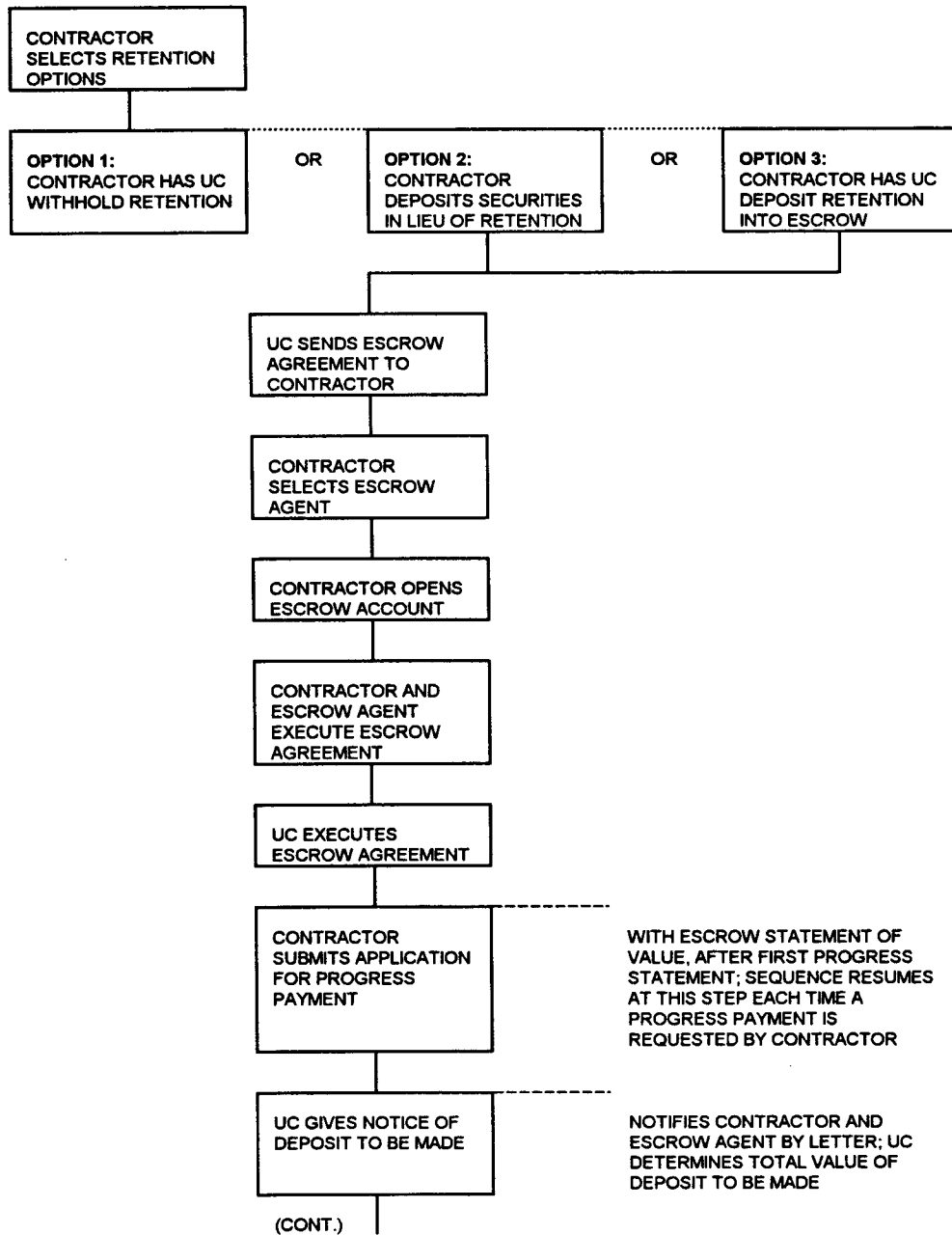


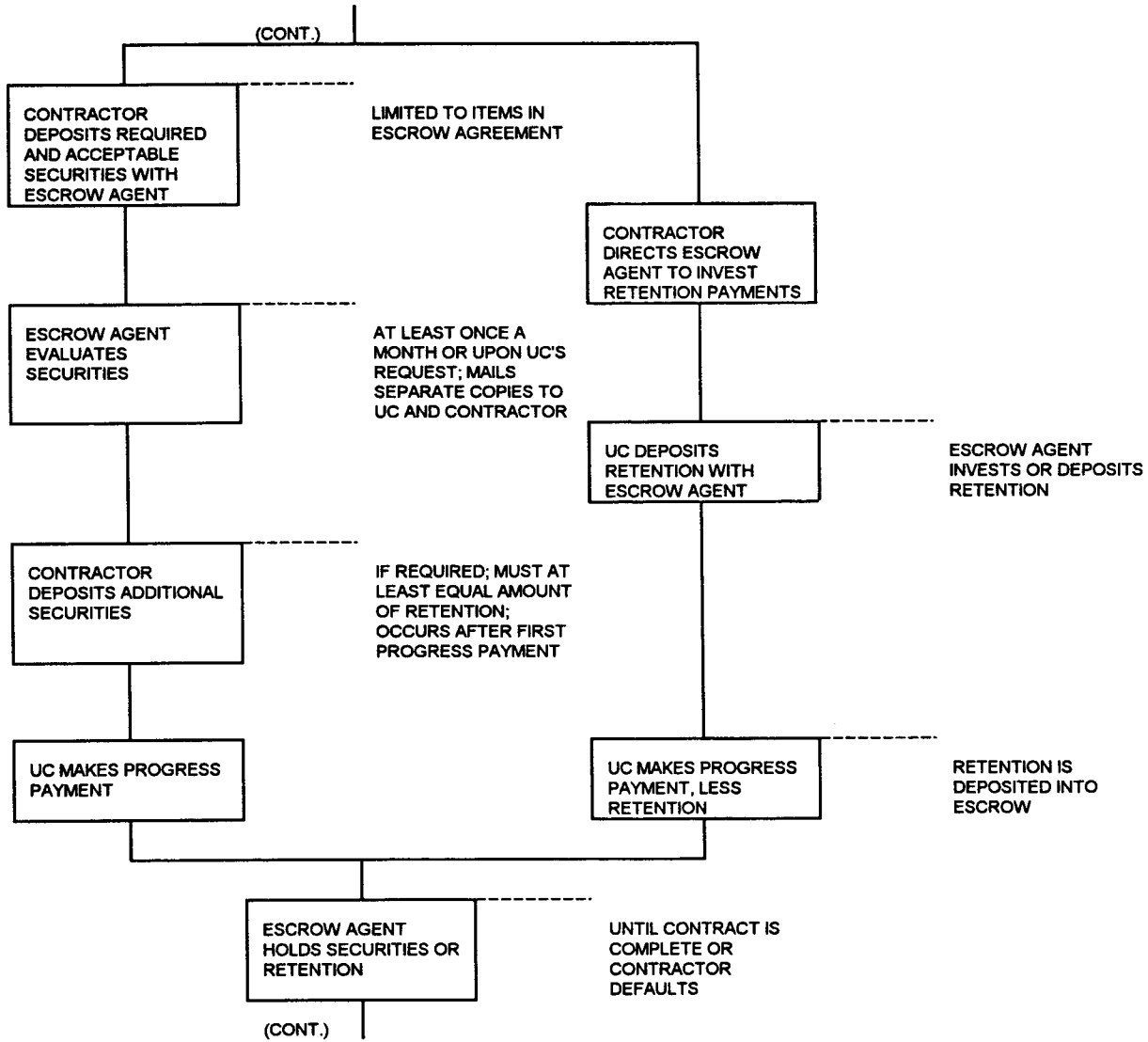
**Resource Directory (RD) 1: Figures**

**RD1.9 FLOW CHART: DEPOSIT OF SECURITIES IN LIEU OF RETENTION AND DEPOSIT OF RETENTION INTO ESCROW**  
(see [II]:5.5.2)



**Resource Directory (RD) 1: Figures**

**RD1.9 FLOW CHART: DEPOSIT OF SECURITIES IN LIEU OF RETENTION AND DEPOSIT OF RETENTION INTO ESCROW (cont.)**



# Resource Directory (RD) 1: Figures

**RD1.9 FLOW CHART: DEPOSIT OF SECURITIES IN LIEU OF RETENTION AND DEPOSIT OF RETENTION INTO ESCROW (cont.)**

