

Application for Payment Exhibit

Cover Sheet and Instructions

APPROVED DOCUMENT—This document is approved by the Office of the President and Office of the General Counsel for use by the Facility and is available on computer diskette (see Introduction to the Facilities Manual, "Approved Documents").

PURPOSE OF DOCUMENT:	Provides a standard form for Design Builder payment applications.				
CROSS-REFERENCES TO FACILITIES MANUAL (FM):	[I]:4.6.5				
CONTENTS:	Application for Payment form with Schedules 1 through 4				
FOR USE WITH:	Design Build Contract Documents				
COMPLETED BY:	√	Filling In	√	Adding Text	No Data Required
ITS USE IS:	√	Required		Optional	

Completion Instructions:

1. The Design Builder completes the form, including Schedules 1 through 4 (as applicable).

Modifications and Additions:

None

Comments:

None

Project Name: { }

Project No.: { }

APPLICATION FOR PAYMENT

Number: _____ Period to: _____

TO UNIVERSITY:
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, {Facility Name}
AND UNIVERSITY'S REPRESENTATIVE:

FROM DESIGN BUILDER:
ADDRESS:
PROJECT NAME:
PROJECT NUMBER:
FACILITY:
CONTRACT DATE:
APPLICATION DATE:

CHANGE ORDER SUMMARY:

<u>Additions</u>	<u>Deductions</u>	
Change Orders approved in previous months: { }		Total: { }
Change Orders approved this month:		
Number: _____	Date Approved: _____	_____
_____	_____	_____
_____	_____	_____
	Total:	_____

NET CHANGE BY CHANGE ORDERS: _____

Application is made for payment under the Contract as shown below and in Schedule 1 attached hereto:

1. ORIGINAL CONTRACT SUM	\$
2. NET CHANGE BY CHANGE ORDERS	\$
3. CONTRACT SUM TO DATE (Line 1 ∨ Line 2)	\$
4. TOTAL AMOUNT COMPLETED TO DATE (Column E on Schedule 1)	\$
5. RETENTION: 5% of Completed Work (Column H on Schedule 1)*	\$
a. Current Value of Securities Deposited in Escrow	\$
b. Current Value of Retention Deposited in Escrow	\$
c. Retention Held by University	\$
Current Retention Value (a + b + c)	\$
*Pursuant to Article 9.2.2 of the General Conditions.	
6. TOTAL EARNED LESS RETENTION (Line 4 less Line 5)	\$
7. TOTAL AMOUNT PREVIOUSLY PAID	\$

Project Name: { }

Project No.: { }

- 8. CURRENT PAYMENT DUE (Line 6 less Line 7) \$
- 9. BALANCE TO FINISH, PLUS RETENTION (Line 3 less Line 6) \$

The undersigned Design Builder hereby represents and warrants to University that all Work, for which Certificates For Payment have previously been issued and payment received from University, is free and clear of all claims, stop notices, security interests, and encumbrances in favor of Design Builder, any Subcontractor, and any other persons or firms entitled to make claims by reason of having provided labor, materials, or equipment related to the Work.

The following Schedules are attached and incorporated herein, and made a part of this Application For Payment:

- Schedule 1 Cost Breakdown
- Schedule 2 Certification of Current Market Value of Securities in Escrow in Lieu of Retention
- Schedule 3 List of Subcontractors
- Schedule 4 Declaration of Releases of Claims

Design Builder

By: _____
(Name)

(Title)

Project Name: { }

Project No.: { }

DECLARATION

I, _____ hereby declare that I am the _____ of Design Builder submitting this Application For Payment; that I am duly authorized to execute and deliver this Application For Payment on behalf of Design Builder; and that all information set forth in this Application For Payment and all Schedules attached hereto are true, accurate, and complete as of its date.

I declare, under penalty of perjury, that the foregoing is true and correct and that this declaration was subscribed at _____, _____, State of _____ on _____, 20__.

(Signature)

(Print Name)

Project Name: { }

Project No.: { }

PROJECT NAME: _____

APPLICATION NUMBER: _____

PROJECT NUMBER: _____

APPLICATION DATE: _____

FACILITY: _____

PERIOD TO: _____

CONTRACT DATE: _____

DESIGN BUILDER: _____

SCHEDULE 1
TO
APPLICATION FOR PAYMENT
COST BREAKDOWN

A	B	C	D	E	F	G	H
ITEM NO.	DESCRIPTION OF WORK ACTIVITY OR OTHER ITEM	SCHEDULED VALUE	% COMPLETE TO DATE	TOTAL AMOUNT COMPLETED TO DATE (C x D)	TOTAL AMOUNT COMPLETED ON PRIOR APPLICATION FOR PAYMENT	AMOUNT OF THIS APPLICATION (E - F)	RETENTION (5% x E)

Project Name: { }

Project No.: { }

*Notes –Work of Phase 1 and Phase 2 shall be entered as a separate schedule of value items per General Conditions Article 9.

PROJECT NAME:

DESIGN BUILDER:

PROJECT NUMBER:

APPLICATION NUMBER:

SCHEDULE 2
TO
APPLICATION FOR PAYMENT

CERTIFICATION OF CURRENT MARKET VALUE
OF SECURITIES IN ESCROW IN LIEU OF RETENTION

As of _____, 20__ (not earlier than 5 days prior to the date of the Application For Payment of which this certification is a part), the aggregate market value of securities on deposit in Escrow

Account No. _____ with _____
(Escrow Agent)

is _____ Dollars (\$_____).

(Escrow Agent)

(Design Builder)

By:

(Name)

(Title)

Date: _____

By:

(Name)

(Title)

Date: _____

NOTE:

Notary acknowledgment for Design Builder and Escrow Agent must be attached.

Project Name: { }

Project No.: { }

PROJECT NAME:

DESIGN BUILDER:

PROJECT NUMBER:

APPLICATION NUMBER:

SCHEDULE 4
TO
APPLICATION FOR PAYMENT

DECLARATION OF RELEASE OF CLAIMS

Design Builder hereby certifies that attached hereto are releases and waivers of claims and stop notices from all Subcontractors furnishing labor, services, or materials covered by the Certificate For Payment dated , 20____, except those listed below:

(Name of Design Builder)

By: _____
(Name)

(Title)

Date: _____