Navigating International Partnerships in the UC System: A compliance Roadmap



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Agenda

- I. Types of International Agreements
- II. Managing International Agreements
- III.UCLA International Agreements Website
- IV.Student Exchange
- V. Draft Policy on International Activities
- VI.Insurance and Risk Management
- VII. Financial Policies on Working Internationally

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Types of International Agreements

What is an international agreement?

- Binding Master Affiliation Agreement (MAA)
- Memorandum of Understanding (MOU)
- Student Exchange Agreement
- Other

International Institute of Tropical Agriculture - Cameroon

- Interdisciplinary International Research and Training Center
- MAA to collaborate
- Apply for research funding by multiple US universities
- Student exchange



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Utrecht agreement

- Faculty exchange
- Student language program
- Joint colloquia & conferences
- Visiting professorship at UCLA in Humanities and Social Sciences



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Peking University

- Joint Research Institute
- Bilateral student exchange
- 3+2 pilot program
- Collaborative research
- Development of alumni relations



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St. Petersburg State University of Information Technologies, Mechanics and Optics

- Collaborative funding for conferences
- Student internships for Russian language exchange students
- Student startup education program exchange
- Developing training and research



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Managing International Agreements

UCLA Policy 980

- Delegates final signature authority for binding international agreements, student exchange agreements and non-template MOUs to Vice Provost for International Studies and Vice Provost New Collaborative Initiatives
- Delegates final signature authority to Deans and ORU Directors for non-binding template MOU
- Defines process for review and assent by Deans, especially for any budgetary commitment
- Review team for coordination: 2 vice provosts, Legal Affairs, OIRM, other, depending on activities (Grad Division, C & G, etc)

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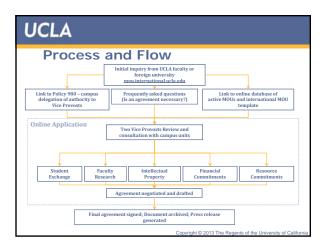
Principles for the Policy

- Policy defines when an agreement is appropriate and necessary
- Justify commitment of campus resources (personnel, facilities, library resources, IP, etc)
- Identify and address university risks
- Allow coordination with existing agreements across campus (Master Affiliation Agreement and Amendments)
- Ensure consistency with federal, state and university policies
- Set a maximum 5-year expiration
- Make it easy!

Coherent Campuswide Approach

- Acknowledged lines of authority for review, authorization, implementation and assessment
- Single point of entry (mou.international.ucla.edu)
- Different levels of access to agreements for external audience, the larger UCLA community and MOU administrators
- Single repository for all binding and non-binding agreements

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What doesn't it include?

- Research applications for funding (falls under C&G delegation)
- Training of UCLA students for affiliated non-UC facilities such as hospitals, clinics, social service agencies where faculty must be appointed to the faculty (Policy 970 and Academic Regulation 750)
- Establishment of new programs not previously approved (e.g. Joint degree Anderson with NUS)
- Substantive Change to mission, objectives, courses, programs, that would create an Academic Senate, possibly UCOP, and WASC accreditation review



International Agreements at UCLA

- UCLA has had 338 international agreements with 59 countries, of which 32 are developing countries
 - 118 University of California EAP student exchange agreements
 - 20 Student Exchange Agreements
 - 103 Memoranda of Understanding
 - 14 Master Affiliation Agreements
 - 83 Other agreements
- Over 1/3 of all agreements are with developing countries
- 272 International Agreements active as of January 1, 2013

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Student Exchange — The Usual Suspects? Brazil: Universidade Federal de Santa Catarina China: Peking University; Hong Kong University of Science and Technology France: École Normale Supérieure, Institut d'Etudes Politiques de Paris Germany: Hertie School of Governance Japan: Nagoya University Korea: Seoul National University Mexico: Centro de Investigacion y Docencia Economicas; Universidad de Guadalajara Netherlands: Utrecht University Russia: M.V. Lomonosov Moscow State University Spain: Pompeu Fabra University Spain: Pompeu Fabra University Switzerland: University College London-Statistics; University College London-Economics

Student Exchange - An Unbalancing Act

| 2008-2013 | Inbound | | Outbound | |
|---------------------|---------|----|----------|---|
| | U | G | U | G |
| All programs | 121 | 49 | 6 | 8 |
| Department specific | 9 | 7 | 3 | 6 |

- Strategic planning vs. Ad hoc
- Course taught in English
- · Credit transfer
- Financial aid

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Draft Policy on International Activities

- University of California Draft Policy on International Activities (July 2012)
- Proposed to replace the Administrative Guidelines for the Establishment and Operation of Foreign Affiliate Organizations" (8/15/2005) and the "Administrative Guidelines for the Establishment and Operation of University of California Foreign Operations" (8/15/2005) (Guidelines)
- Review

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Insurance and Risk Management

Insurance Challenges- Scenarios

- •Leasing office space or a residence
- Hiring a car van or bus
- Being loaned a vehicle
- Using a tour agency
- Chartering a vessel
- Hiring in country staff and assistants
- Using independent contractors

International Activity Insurance Considerations

- •What coverage does the UC provide abroad?
 - Travel
 - Foreign Liability
 - •Foreign Workers' Compensation
 - Property
 - Auto

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What is Required of our International Partners?

- •How well developed is the legal system?
- •Determine availability of insurance
- •Compulsory what is legally required?
- Comply with Regents Standing Orders 100.4

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Financial Policies on Working Internationally

International Activities Finding an International Partner

STRONG RECOMMENDATION:

Partner with an in-country university or agency that can facilitate logistics and necessary personnel to support your activity.

Benefits:

- Eliminates tax and employment law issues
- Consolidates payments; reduces cash needs
- Functions as intermediary to gov't, other locals
- Resolves a myriad of issues. Worth the cost.

International Activities "It's a Jungle/Desert/Mountain Out There"

- Operating in a remote site
- Cash and barter-based economies
- Paying day laborers, guides, cooks
- Tracking expenditures and obtaining receipts

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International Activities Life in the Big City

- Make payment arrangements before leaving
- When to use POs, wires, credit cards, cash
- Monitor delegated authority leases, contracts, and other commitments
- Other concerns

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International Activities Educating our Campus

Training - Stewardship Roundtable

- UCLA offers quarterly seminars designed to help UCLA managers deal with situational and operational challenges that may arise as they work to support the academic mission and to manage campus resources responsibly, including overseas activities.
- The training is done through analysis and discussion of case studies which highlight a range of issues related to the appropriate use of University resources and involve real-life situations.
- The seminars are hosted by a panel of experts in various disciplines who provide policy guidance on the topics being discussed.

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