

FY	LOB	LOC	Type	Prj Code	Name/ Title of Audit	Planned Hours	Primary Index Code	Est. Qtr Completion
<b>Prior Year Audits Not Completed (DNFs):</b>								
FY16	Campus	SC	DNF			0		
<b>(a) Subtotal - Planned Carry Forward</b>						0		
<b>Planned New Audits (PNs):</b>								
FY16	Campus	SC	PN	SC-16-01	IT Cloud Computing	430	G.a.01	Q2
FY16	Campus	SC	PN	SC-16-02	Building Management Systems on Public Networks	450	G.b.07	Q3
FY16	Campus	SC	PN	SC-16-03	Safety of Faculty & Staff on Field Research Assignments	400	I.b.03	Q2
FY16	Campus	SC	PN	SC-16-04	Campus Key and Building Access Controls	450	K.b.02	Q3
FY16	Campus	SC	PN	SC-16-05	Joint Ventures, MOU's, Partnerships and Affiliations	455	H.h.02	Q2
FY16	Campus	SC	PN	SC-16-06	Campus Policy and Guidance Implementation	350	N.c.06	Q3
FY16	Campus	SC	PN	SC-16-07	Student Miscellaneous Fee Governance	325	O.a.04	Q4
FY16	Campus	SC	PN	SC-16-08	Annual Report on Executive Compensation (AREC)	100	J.b.06	Q4
FY17	Campus	SC	PN	SC-16-09	Chancellor's Expenses - BFB G-45 bi-yearly	100	J.b.07	Q4
FY16	Campus	SC	PN	SC-16-10	Construction	250	K.a.02	Q3
FY16	Campus	SC	PN	SC-16-11	IT Security (PCI Compliance or Access Controls)	200	J.b.07	Q3
FY16	Campus	SC	PN	SC-16-12	Title IX (no need to budget hours)	0		Q4
<b>(b) Subtotal - Planned New Audits</b>						<b>3510</b>		
FY16	Campus	SC	PS		(c) Planned Supplemental Audits (lumpsum)	281		
FY16	Campus	SC	PNF		(d) Audit Follow Up (lump sum)	125		
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>						<b>3916</b>		
<b>Planned Advisory Services:</b>								
FY16	Campus	SC	SC	SC-16-50	NCAA Report Annual Review	58	M.a.01	Q3
FY16	Campus	SC	SC	SC-16-51	Accessibility Governance – Accessible Technology Committee (ATC)	80	Z.a.02	Q1
FY16	Campus	SC	SC	SC-16-52	Strategic Plan - Silicon Valley	150	N.a.01	Q2
FY16	Campus	SC	SC	SC-16-53	ETC Borland Proposal	325	H.g.03	Q1
FY16	Campus	SC	SC		Student Intern Program	60		
FY16	Campus	SC	SC		IAS Annual Risk Assessment	180		
FY16	Campus	SC	SC		Compliance/CECO Support	60		
FY16	Campus	SC	SC		BAS Financial Reporting	40		
FY16	Campus	SC	SC		Investigation Workgroup	40		
FY16	Campus	SC	SC		ITSC Committee	40		
FY16	Campus	SC	SC		BAS Support	40		
FY16	Campus	SC	SC		UC Laboratory Audit Committee Support	80		
FY16	Campus	SC	SC		Limited Scope Consultations/Spec. Projects (SC) (Max 4 hours)	279		
<b>(e) Subtotal - Planned Advisory Services</b>						<b>1432</b>		
<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>								
FY16	Campus	SC	SE		External Audit Coordination (SE)	8		
FY16	Campus	SC	SI		Internal Control & Accountability (CRSA) (SI)	1		
FY16	Campus	SC	SP		IPA, COI & Other (SP)	1		
FY16	Campus	SC	SU		Compliance Support (SU)	1		
FY16	Campus	SC	SR		Systems Development, Reengineering Teams (SR)	20		
<b>(f) Subtotal - Unplanned Advisory Services</b>						<b>31</b>		
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>						<b>1463</b>		
IN	<b>Planned Investigation Hours (lump sum)</b>					<b>430</b>		
<b>Total Audits, Advisory Services, and Investigations</b>						<b>5809</b>		

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(\*)</sup> **1 = Top 10 scores, 2 = next top 10 scores, 3 = all others**

<sup>(3)</sup> IT Indicator: A – application review

I – integrated review

T – technical review

S – system development or post-implementation review

G – general controls review