

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk ⁽¹⁾			Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽²⁾	Est. Qtr Completion
					1	2	3					
Planned New Audits (PNs):												
<u>Health Sciences</u>												
FY16	HS	UCLA	PN	Network Clinics	1			2,000	B.c.02			4
FY16	HS	UCLA	PN	Clinical Laboratory	1			500	B.b.01			4
FY16	HS	UCLA	PN	Affiliation Agreements	1			400	B.f.01			4
FY16	HS	UCLA	PN	Skilled Nursing Facility	1			450	B.b.08			4
FY16	HS	UCLA	PN	Accountable Care Act Alliances	1			350	B.f.03			3
FY16	HS	UCLA	PN	Radiology	1			475	B.b.05			3
FY16	HS	UCLA	PN	Patient Business Services	1			350	B.i.01			3
FY16	HS	UCLA	PN	Hospital-administered Construction Projects	1			425	K.a.02			4
FY16	HS	UCLA	PN	Physicians' Billing Office	1			350	B.i.03			4
FY16	HS	UCLA	PN	Recruitment Costs	1			300	J.b.04			3
FY16	HS	UCLA	PN	Housestaff Duty Hors	2			425	B.j.01			4
FY16	HS	UCLA	PN	Information Technology - Change Controls	2			400	G.b.04		G	4
FY16	HS	UCLA	PN	I.T. Security - Advisory	2			160	G.b.07		G	4
FY16	HS	UCLA	PN	Per Diem Employees	2			380	J.b.05			3
FY16	HS	UCLA	PN	Main Cashiering Office	2			400	H.b.02			3
FY16	HS	UCLA	PN	Fundraising Effectiveness	2			300	L.a.05			3
FY16	HS	UCLA	PN	Nutrition - Outsourced Food Vendors	2			350	B.b.09			3
FY16	HS	UCLA	PN	Volunteer Program	2			375	B.b.10			4
FY16	HS	UCLA	PN	Mobile Device Security	2			250	G.b.03		G	4
FY16	HS	UCLA	PN	Operation Mend - Advisory	2			120	L.a.07			4
FY16	HS	UCLA	PN	Parking Subsidies	2			300	M.a.04			3
<u>Administration</u>												
FY16	CAM	UCLA	PN	Bruin Café - Cashiering Audit	3			215	H.b.02			3
FY16	CAM	UCLA	PN	Housing Information Technology	2			315	G.b.01		G	2
FY16	CAM	UCLA	PN	OCH - Maintenance Shop	3			265	H.g.05			2
FY16	CAM	UCLA	PN	UCLA Catering	2			315	M.a.08			3
FY16	CAM	UCLA	PN	Vending Procurement and Inventory	3			265	M.a.08			1
FY16	CAM	UCLA	PN	Dining Services Purchasing (Non-Food)	2			315	H.f.04			3
FY16	CAM	UCLA	PN	Mail, Document, and Distribution Services	3			470	M.b.05			2
FY16	CAM	UCLA	PN	Business Continuity	1			300	G.b.08		G	4
FY16	CAM	UCLA	PN	Infrastructure Security - Consolidation	1			300	G.b.02		G	4
FY16	CAM	UCLA	PN	Equipment Inventory and Property and Evidence Management	3			475	I.c.02			1
FY16	CAM	UCLA	PN	Human Resources and Payroll Center - North	1			500	H.e.01			3
FY16	CAM	UCLA	PN	Contracting and Project Management (DPMO)	2			350	K.a.02			1
FY16	CAM	UCLA	PN	General Operations (DPMO)	2			300	K.b.02			2
FY16	CAM	UCLA	PN	Equipment Inventory Review (F&IS)	3			350	H.g.02			2
FY16	CAM	UCLA	PN	General Operations (M&A)	2			400	K.b.02			3
FY16	CAM	UCLA	PN	F&T - Vehicle and Vessel Management	3			320	M.b.04			2
FY16	CAM	UCLA	PN	Parking Permit Inventory	2			210	M.a.04			2
FY16	CAM	UCLA	PN	E&T - Cashiering	2			335	H.b.02			1
FY16	CAM	UCLA	PN	Cooperage Division: Taco Bell, Bruin Buzz, Curbside, Tsunami, Kerkoff Coffee House	3			200	M.a.08			2
FY16	CAM	UCLA	PN	Human Resources - Payroll	2			300	H.e.01			1
FY16	CAM	UCLA	PN	General Controls	2			250	G.b.07		G	1
FY16	CAM	UCLA	PN	Food Services - Point of Sales System	2			250	G.b.07		A	2
FY16	CAM	UCLA	PN	Employee Time and Attendance Reporting	2			300	H.e.01			1
FY16	CAM	UCLA	PN	Materials and Equipment Purchasing	2			350	H.f.04			1
FY16	CAM	UCLA	PN	Project Closeout Process	3			350	K.a.01			3
FY16	CAM	UCLA	PN	Recharge Process	3			350	H.i.01			3
FY16	CAM	UCLA	PN	Foundation	1			500	L.a.03			1
FY16	CAM	UCLA	PN	Athletics	1			400	M.a.01			4
FY16	CAM	UCLA	PN	Purchasing	1			400	H.f.04			4
FY16	CAM	UCLA	PN	CashNet Process and Reconciliation	1			400	H.b.01		I	4
FY16	CAM	UCLA	PN	Academic Department - 1	2			500	A.b.01			1
FY16	CAM	UCLA	PN	Academic Department - 2	2			500	A.b.01			4
FY16	CAM	UCLA	PN	Academic Department - 3	2			500	A.b.01			4
FY16	CAM	UCLA	PN	Executive Compensation - Chancellor's Expenses (G-45)	2			200	H.c.03			3
FY16	CAM	UCLA	PN	Executive Compensation - Annual Review of Executive Compensation (AREC)	2			250	J.b.06			3
(b) Subtotal - Planned New Audits								<u>21,060</u>				
FY13		UCLA	PS	(c) Planned Supplemental Audits (lumpsum)				3,055				
FY13		UCLA	PNF	(d) Audit Follow Up (lump sum)				1,500				
Total Planned Audit Program (a+b+c+d) ⁽¹⁾								<u>25,615</u>				
Planned Advisory Services:												
		UCLA		Advisory Services				7,000				
Total Advisory Services (e+f) ⁽¹⁾								<u>7,000</u>				
FY13		UCLA	IN	Planned Investigation Hours (lump sum)				1,600				
Total Audits, Advisory Services, and Investigations								<u>34,215</u>				

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

^(*) 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators:

A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review