

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk ^(*) 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽³⁾	Est. Qtr Completion	
Prior Year Audits Not Completed (DNFs):											
FY14			DNF								
Planned New Audits (PNs):											
ASUCLA											
FY14	CAM	UCLA	PN	Lu Valle Commons Store	3	220	M.a.02			TBD	
FY14	CAM	UCLA	PN	Operations Divisor: Food Service Operations	3	240	M.a.08			TBD	
FY14	CAM	UCLA	PN	South Divisor: Court of Sciences Center & Café Synaps	3	100	M.a.02			TBD	
FY14	CAM	UCLA	PN	Publications Accounting	3	220	O.b.03			TBD	
FY14	CAM	UCLA	PN	Main Cashier's Office	1	120	H.b.02			TBD	
FY14	CAM	UCLA	PN	Cash Count Verifications	1	60	H.b.02			TBD	
FY14	CAM	UCLA	PN	Ratex Point of Sales System	1	240	G.b.02		G	TBD	
Facilities Management											
FY14	CAM	UCLA	PN	Central Warehouse Inventory Review	3	400	H.g.05			3	
FY14	CAM	UCLA	PN	Project Estimation Process	2	400	K.a.02			3	
FY14	CAM	UCLA	PN	Custodial Services Review	2	350	K.b.02			1	
FY14	CAM	UCLA	PN	Key Security	2	300	K.b.02			1	
Capital Programs											
FY14	CAM	UCLA	PN	Fund Management	1	350	K.a.01			1	
FY14	CAM	UCLA	PN	Personnel / Payroll Review	1	350	H.e.01			2	
FY14	CAM	UCLA	PN	Contract Management	1	400	K.a.01			1	
Events & Transportation											
FY14	CAM	UCLA	PN	F&T - Recharge Audit	3	280	M.a.04/H.i.01			2	
FY14	CAM	UCLA	PN	Parking Citation Contract Review	3	325	M.a.04		I	1	
FY14	CAM	UCLA	PN	E&T - Cashiering	1	340	M.a.04/H.b.02			3	
FY14	CAM	UCLA	PN	Vanpool Program	3	160	M.c.03			1	
FY14	CAM	UCLA	PN	UCLA Events Office	3	225	M.a.06			2	
Administration											
FY14	CAM	UCLA	PN	H&HS - Access Controls	3	320	O.c.02		I	3	
FY14	CAM	UCLA	PN	H&HS - Information Technology Equipment Inventory	3	240	H.g.02			3	
FY14	CAM	UCLA	PN	H&HS - Construction	1	365	K.a.01			1	
FY14	CAM	UCLA	PN	H&HS - Assignments	3	365	O.c.02		I	3	
FY14	CAM	UCLA	PN	OCH - Food Inventory	3	420	O.c.01		I	3	
FY14	CAM	UCLA	PN	UA - Maintenance Shop	3	420	O.c.02		I	2	
FY14	CAM	UCLA	PN	University Guest House	3	240	M.a.07		I	1	
FY14	CAM	UCLA	PN	CSA - Asset Management	3	520	H.g.03			2	
FY14	CAM	UCLA	PN	ITS - Recharges	3	375	H.i.01			1	
FY14	CAM	UCLA	PN	ITS - Enterprise Exchange	1	375	G.b.02		G	TBD	
FY14	CAM	UCLA	PN	ITS-Technology Infrastructure Fee (TIF)	1	200	G.b.06		G	2	
FY14	CAM	UCLA	PN	ITS-Technology Infrastructure Fee-Allocation to Contract and Grants	1	200	E.d.07			3	
FY14	CAM	UCLA	PN	CTO - Ticket Inventory	3	255	H.g.05			3	
FY14	CAM	UCLA	PN	UCPD - Records Management	3	275	G.a.02			2	
FY14	CAM	UCLA	PN	UCPD - Travel and Accounts Payable	2	325	H.c.01/H.c.04			1	
FY14	CAM	UCLA	PN	Human Resources and Payroll Center - South	1	500	H.e.01			2	
Campus											
FY14	CAM	UCLA	PN	Annual Review of Executive Compensation (AREC)	3	400	J.b.06	X	I	3	
FY14	CAM	UCLA	PN	Chancellor's Expenses (G-45)	3	200	H.c.03	X		TBD	
FY14	CAM	UCLA	PN	Compensation	1	200	J.B.01	X		3	
FY14	CAM	UCLA	PN	Foundation	1	500	L.a.03			1	
FY14	CAM	UCLA	PN	Athletics	1	400	M.a.01			TBD	
FY14	CAM	UCLA	PN	Lab Safety	1	300	I.b.03			TBD	
FY14	CAM	UCLA	PN	CashNet Process and Reconciliation	1	400	H.b.01		I	TBD	
Health Sciences											
FY14	HS	UCLA	PN	Revenue Cycle - Hospital	1	1000	B.i.01		I	3	
FY14	HS	UCLA	PN	IT Business Continuity	1	475	G.b.08		G	4	
FY14	HS	UCLA	PN	Network Clinic 1	1	425	B.c.02			2	
FY14	HS	UCLA	PN	Network Clinic 1	1	425	B.c.02			3	
FY14	HS	UCLA	PN	Network Clinic 1	1	425	B.c.02			4	
FY14	HS	UCLA	PN	Network Clinic 1	1	425	B.c.02			4	
FY14	HS	UCLA	PN	House Staff Duty Hours (ACGME Compliance)	1	400	B.j.01			4	
FY14	HS	UCLA	PN	Mobile Device Security	2	300	G.b.03		G	3	
FY14	HS	UCLA	PN	Rape Treatment Center	1	550	B.g.01			3	
FY14	HS	UCLA	PN	Revenue Cycle - Physician	1	600	B.i.03		I	3	
FY14	HS	UCLA	PN	Dialysis	1	450	B.b.10			3	
FY14	HS	UCLA	PN	International Relations	1	450	B.a.01			2	
FY14	HS	UCLA	PN	Laundry	1	400	B.b.02			3	
FY14	HS	UCLA	PN	Internal Joint Ventures	2	400	H.h.02			3	
FY14	HS	UCLA	PN	Child Life	2	376	B.g.02			4	
FY14	HS	UCLA	PN	Santa Monica Nutrition Cashiering	2	400	B.b.09			4	
(b) Subtotal - Planned New Audits						20,376					
FY14			PS	(c) Planned Supplemental Audits (lumpsum)		3,700					
FY14			PNF	(d) Audit Follow Up (lump sum)		1,500					
Total Planned Audit Program (a+b+c+d)⁽¹⁾						25,576					
Total Advisory Services (e+f)⁽¹⁾						6,700					
Ext. Audit Coordination, SE						3200					
Internal Control & Accountability, SI						800					
IPA, COI & Other, SP						0					
Compliance Support, SU						500					
Systems Dev., Reengineering Teams, etc., SR						500					
FY14	IN			Planned Investigation Hours (lump sum)		1,600					
Total Audits, Advisory Services, and Investigations						<u>33,876</u>					

udit Program and Advisory Services on Schedule 2 Activity Repo

ump sums for each applicable AS category (i.e. - SC, SE

top 10 scores, 2 = next top 10 scores A – application review

⁽³⁾ IT Indicators:

I – integrated review

T – technical review

S – system development or post-implementation review

G – general controls review