

FY	LOB	LOC	Prj Code	Name/Title of Audit	Planned Hours	Primary Index Code	Est. Qtr Completion
Prior Year Audits Not Completed (DNFs):							
FY18			DNF	<insert projects>			
				(a) Subtotal - Planned Carry Forward	0		
Planned New Audits (PNs):							
FY18	C	UCSD	PN	Student Business Services	400	0.a.04	2
FY18	C	UCSD	PN	Single Operating Fund Initiative (SOFI) Allocations	300	H.d.01	2
FY18	C	UCSD	PN	Cashiering Compliance	300	H.b.02	2
FY18	C	UCSD	PN	SIO – Marine Physical Lab	400	E.c.01.28	4
FY18	C	UCSD	PN	University Centers Operations	350	O.c.01	4
FY18	C	UCSD	PN	Recharge Centers	300	H.i.01	2
FY18	C	UCSD	PN	Physics – Department Audit	350	A.b.01.14	4
FY18	C	UCSD	PN	SDSC – ORU	350	E.c.01.16	2
FY18	C	UCSD	PN	Research Fund/ Award Closeout/De-obligation	350	E.d.02	2
FY18	C	UCSD	PN	Electrical and Computer Engineering	350	A.b.01.22	4
FY18	C	UCSD	PN	System Wide Review – Fair Wages	300	H.f.01	4
FY18	HS	UCSD	PN	Clinical Practice Organization Financial Statements	500	H.d.01	3
FY18	HS	UCSD	PN	Clinical Integrated Network - Epic Community Connect	350	H.h.02	4
FY18	HS	UCSD	PN	HealthNet Blue & Gold	350	B.f.03	4
FY18	HS	UCSD	PN	Medical Directorships	350	B.h.01	2
FY18	HS	UCSD	PN	Women & Infant Services	350	B.g.02.04	2
FY18	HS	UCSD	PN	Anesthesiology Charge Capture & Billing	400	B.e.01.01	4
FY18	HS	UCSD	PN	Sleep Center	350	B.b.09.09	2
FY18	HS	UCSD	PN	Free Clinic	350	B.e.01.02	4
FY18	HS	UCSD	PN	Concierge Medicine Patient Refunds (Downtown, Encinitas)	300	B.c.02.03	2
FY18	HS	UCSD	PN	Psychiatry Business Operations	300	B.e.01.10	2
FY18	HS	UCSD	PN	Altman Clinical and Translational Research Institute (ACTRI)	350	E.c.01.38	4
FY18	HS	UCSD	PN	Moore's Cancer Center	400	E.c.01.37	2
				(b) Subtotal - Planned New Audits	8,100		
FY18			PS	(c) Planned Supplemental Audits (lumpsum)	3,582		
FY18			PNF	(d) Audit Follow Up (lump sum)	650		
Total Planned Audit Program (a+b+c+d) ⁽¹⁾					12,332		
Planned Advisory Services:							
FY18	C	UCSD	SC	Annual Report on Executive Compensation	200	J.b.06	3
FY18	C	UCSD	SC	Operating Ledger – Transaction Compliance (Data Analytics)	300	H.d.01	2
FY18	C	UCSD	SC	Police Property and Evidence Management	300	I.c.02	1
FY18	HS	UCSD	SC	Research Compliance (ClinicalTrial.gov compliance, NIH GCP Training)	250	E.a.04	2
FY18	HS	UCSD	SC	Health System Purchasing & Disbursements Data Analytics / Continuo	250	H.f.04	4
FY18	HS	UCSD	SC	External Coding & Billing Vendor Contracts	200	B.i.03	2
				(e) Subtotal - Planned Advisory Services	1,500		
Unplanned Advisory Services (by Category) ⁽²⁾							
FY18			SC	Unplanned SC	1,200		
FY18			SE	Unplanned SE	2,250		
FY18			SI	Unplanned SI	80		
FY18			SP	Unplanned SP			
FY18			SU	Unplanned SU			
FY18			SR	Unplanned SR			
				(f) Subtotal - Unplanned Advisory Services	3,530		
Total Advisory Services (e+f) ⁽¹⁾					5,030		
FY18			IN	Planned Investigation Hours (lump sum)	3,700		
Total Audits, Advisory Services, and Investigations					21,062		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

^(*) 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators:
A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review