

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk ⁽¹⁾ 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽³⁾	Est. Qtr Completion
Prior Year Audits Not Completed (DNFs):										
FY17			DNF	<insert projects>						
						(a) Subtotal - Planned Carry Forward	-			
Planned New Audits (PNs):										
FY17	HS	UCSF	PN	Inventory Management		250				2
FY17	HS	UCSF	PN	Physician Assistant / Nurse Practitioner Scope of Practice		250				1
FY17	HS	UCSF	PN	Hospital Billing Receivables		300				3
FY17	HS	UCSF	PN	Langley Porter Psychiatric Hospital (LPPH) Revenue Cycle Processes		300				1
FY17	HS	UCSF	PN	Radiation Oncology		250				1
FY17	HS	UCSF	PN	Clinical Research Billing		300				4
FY17	HS	UCSF	PN	EMR Integration		300				2
FY17	CAM	UCSF	PN	Adverse Event Reporting - Clinical Trials		250				3
FY17	CAM	UCSF	PN	Lab Chemical Safety & Management		250				2
FY17	CAM	UCSF	PN	Critical Infrastructure		200				3
FY17	CAM	UCSF	PN	International Research		200				3
FY17	CAM	UCSF	PN	Student Record Security		250				1
FY17	HS/CAM	UCSF	PN	Vendor Management		250				2
FY17	HS/CAM	UCSF	PN	HR Operations		300				3
FY17	HS/CAM	UCSF	PN	Fair Wage/Fair Work		200				4
FY17	HS/CAM	UCSF	PN	Data Security Compliance Program		200				1
FY17	HS/CAM	UCSF	PN	Quincy Data Center		250				3
FY17	HS/CAM	UCSF	PN	UCOP Placeholder		300				3
FY17	HS	UCSF	PN	Prior Project Follow Up Review (Health System)		150				4
FY17	CAM	UCSF	PN	Prior Project Follow Up Review (Campus)		150				4
						(b) Subtotal - Planned New Audits	4,900			
FY17	HS/CAM	UCSF	PS	(c) Planned Supplemental Audits (lumpsum)		2,312				
FY17	HS/CAM	UCSF	PNF	(d) Audit Follow Up (lump sum)		770				
						Total Planned Audit Program (a+b+c+d) ⁽¹⁾	7,982			
Planned Advisory Services:										
FY17	HS/CAM	UCSF	SC	Affiliations / Joint Ventures / ACO		300				4
FY17	H	UCSF	SC	Facility Administered Pharmaceuticals Charging and Billing		150				4
FY17	H	UCSF	SC	UCSF Health Financial Integration		250				1
FY17	H	UCSF	SC	Benchmarking Accuracy and Utilization		150				4
FY17	HS/CAM	UCSF	SC	Procure to Pay		300				4
FY17	C	UCSF	SC	CHORI Integration with UCSF		150				1
FY17	C	UCSF	SC	Lease Payment		200				1
FY17	C	UCSF	SC	Contracts and Grants Accounting		250				3
FY17	C	UCSF	SC	Emergency Preparedness		200				1
FY17	HS/CAM	UCSF	SC	IT Outsourcing		200				2
FY17	HS/CAM	UCSF	SC	Office of Federal Contract Compliance Program (OFCCP) Data Analytics		200				3
FY17	HS/CAM	UCSF	SC	Continuous Analytics Program		400				4
FY17	HS/CAM	UCSF	SC	Enterprise-wide Collaboration		150				4
FY17	HS/CAM	UCSF	SE	External Audit Coordination		200				4
Committees & Workgroups										
FY17	CAM	UCSF	SC	Uniform Guidance (UG) Workgroup		25				4
FY17	HS	UCSF	SC	Medical Center Compliance Committee		30				4
FY17	HS	UCSF	SC	340B Monitoring Workgroup		30				4
FY17	HS	UCSF	SC	Medication Billing Integrity Workgroup		30				4
FY17	HS	UCSF	SR	Apex Business Application System Committee		30				4
FY17	HS/CAM	UCSF	SC	Clinical Enterprise Compliance Committee		30				4
FY17	HS/CAM	UCSF	SI	Investigations Workgroup		60				4
FY17	HS/CAM	UCSF	SC	IT Governance Steering Committee		30				4
FY17	HS/CAM	UCSF	SC	IT Governance Business Committee		25				4
FY17	HS/CAM	UCSF	SC	IT Governance Technology & Architecture Committee		25				4
FY17	HS/CAM	UCSF	SC	IT Infrastructure Subcommittee		25				4
FY17	HS/CAM	UCSF	SC	IT Security and Policy Committee		25				4
FY17	HS/CAM	UCSF	SC	Enterprise Information Analytics (EIA) Steering Committee		30				4
FY17	CAM	UCSF	SC	Ethics & Compliance Board		60				4
FY17	HS/CAM	UCSF	SC	Costing Policy Workgroup		25				4
FY17	CAM	UCSF	SC	Research Advisory Board		25				4
FY17	HS	UCSF	SC	BCHO Audit Committee		25				4
FY17	HS	UCSF	SC	UBCP Audit Committee		25				4
FY17	HS	UCSF	SC	Privacy Steering Committee		25				4
FY17	HS/CAM	UCSF	SC	Data Access Committee		30				4
FY17	HS/CAM	UCSF	SC	Finance Integration Steering Committee		30				4
						(e) Subtotal - Planned Advisory Services	3,740			
Unplanned Advisory Services (by Category) ⁽²⁾										
FY17	HS/CAM	UCSF	SC	Unplanned SC		350				
FY17	HS/CAM	UCSF	SE	Unplanned SE		100				
FY17	HS/CAM	UCSF	SI	Unplanned SI		200				
FY17	HS/CAM	UCSF	SP	Unplanned SP		100				
FY17	HS/CAM	UCSF	SU	Unplanned SU		160				
FY17	HS/CAM	UCSF	SR	Unplanned SR		150				
						(f) Subtotal - Unplanned Advisory Services	1,060			
						Total Advisory Services (e+f) ⁽¹⁾	4,800			
FY17	HS/CAM	UCSF	IN	Planned Investigation Hours (lump sum)		2,000				
						Total Audits, Advisory Services, and Investigations	14,782			

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators: A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review