

FY	LOB	LOC	Prj Code	Name/Title of Audit	Planned Hours	Primary Index Code	Est. Qtr Completion
FY13			DNF	<u>Prior Year Audits Not Completed (DNFs):</u> <insert projects>			
<b>(a) Subtotal - Planned Carry Forward</b>							
<u>Planned New Audits (PNs):</u>							
FY13	CAM	UCR	R2013-01	Safety of Minors	50.0	I.a	4
FY13	CAM	UCR	R2013-02	Construction Financed with Proposition 1D Funding (SW)	200.0	K.a	3
FY13	CAM	UCR	R2013-03	PCI Compliance	250.0	G.g.01	2
FY13	CAM	UCR	R2013-04	EH&S - Emergency Management System	150.0	I.b.03	4
FY13	CAM	UCR	R2013-05	Payroll Certification	225.0	E.f.02	2
FY13	CAM	UCR	R2013-06	VCR/ORA	225.0	A.b	3
FY13	CAM	UCR	R2013-07	Natural Reserves	150.0	A.b	2
FY13	CAM	UCR	R2013-08	Electrical Engineering	175.0	A.b	4
FY13	CAM	UCR	R2013-09	Financial Aid	175.0	A.d.03	3
FY13	CAM	UCR	R2013-10	Annual Analytic Review and Fraud Detection	600.0	H	4
FY13	CAM	UCR	R2013-11	University Library	200.0	M.a.05	2
FY13	CAM	UCR	R2013-12	Chancellor Housing (SW)	50.0	J.b.06	3
FY13	CAM	UCR	R2013-13	AREC (SW)	50.0	J.b.06	2
FY13	CAM	UCR	R2013-14	SMG/Dean et al Travel & Entertainment (SW)	300.0	J.b.06	1
FY13	CAM	UCR	R2013-15	Center for Plant Cell Biology	175.0	A.b	3
FY13	CAM	UCR	R2013-16	Distributed Computing General IT Controls	250.0	G.b	2
FY13	CAM	UCR	R2013-17	Outside Professional Activities (SW)	50.0	H.h.01	3
FY13	CAM	UCR	R2013-18	Wire Transfers/EFTs (ACH)	75.0	H.c.05	2
FY13	CAM	UCR	R2013-19	C&C - IT Governance (SW)	100.0	G.a.01	2
<b>(b) Subtotal - Planned New Audits</b>					3450.0		
FY13	CAM	UCR	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>	586.0		
FY13	CAM	UCR	PNF	<b>(d) Audit Follow Up (lump sum)</b>	320.0		
<b>Total Planned Audit Program (a+b+c+d)<sup>(1)</sup></b>					4356.0		
<u>Planned Advisory Services:</u>							
FY13	CAM	UCR	R2013-20	UC Path (includes service center) (SW)	200	G.b.01	4
FY13	CAM	UCR	R2013-21	Major Campus Systems - GSIS	40	G.b.01	4
FY13	CAM	UCR	R2013-22	Major Campus Systems - HR (iRecruit/iReview/JDS)	50	G.b.01	4
FY13	CAM	UCR	R2013-23	Major Campus Systems - SIS	105	G.b.01	4
FY13	CAM	UCR	R2013-24	Major Campus Systems - Academic Personnel System (Acaper)	80	G.b.01	4
FY13	CAM	UCR	R2013-25	Major campus Systems - Financial Aid	150	G.b.01	4
FY13	CAM	UCR	R2013-26	Major Campus Systems - Capital Programs	75	G.b.01	4
FY13	CAM	UCR	R2013-27	Major Campus Systems - Time & Attendance Reporting System	40	G.b.01	4
FY13	CAM	UCR	R2013-28	Smart Mobile Devices Security Guidelines	150	G.g.01	2
FY13	CAM	UCR	R2013-29	Campus Efficiencies	150	J.b.02	2
FY13	CAM	UCR	R2013-30	Sport Camps	100	M.a.01	4
FY13	CAM	UCR	R2013-31	Succession Planning	100	J.b.02	2
FY13	CAM	UCR	R2013-32	CHASS Cluster Financial Staffing	100	J.b.04	2
FY13	CAM	UCR	R2013-33	NCAA Compliance	20	M.a.01	4
FY13	CAM	UCR	R2013-34	Financial Services Organizational Review	100	A.b	4
FY13	CAM	UCR	R2013-35	School of Medicine (including systems)	500	B	4
FY13	CAM	UCR	R2013-36	ERM	50	I.a	4
FY13	CAM	UCR	R2013-37	Training - Other	120	H	4
FY13	CAM	UCR	R2013-38	Training - WB & Fraud Awareness	30	H	4
FY13	CAM	UCR	R2013-39	Training - Accountability & New Employee Orientation	50	H	4
<b>(e) Subtotal - Planned Advisory Services</b>					2210		
<u>Unplanned Advisory Services (by Category)<sup>(2)</sup></u>							
FY13		SC		<b>Unplanned SC</b>	300		
FY13		SE		<b>Unplanned SE</b>	60		
FY13		SI		<b>Unplanned SI</b>			
FY13		SP		<b>Unplanned SP</b>			
FY13		SU		<b>Unplanned SU</b>			
FY13		SR		<b>Unplanned SR</b>			
<b>(f) Subtotal - Unplanned Advisory Services</b>					360		
<b>Total Advisory Services (e+f)<sup>(1)</sup></b>					2570		
FY13		IN		<b>Planned Investigation Hours (lump sum)</b>	1000		
<b>Total Audits, Advisory Services, and Investigations</b>					7926.0		

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

<sup>(3)</sup> IT Indicators:  
A – application review  
I – integrated review  
T – technical review  
S – system development or post-implementation review  
G – general controls review