### Planned New Audits (PNs):

<table>
<thead>
<tr>
<th>FY 12</th>
<th>LOB</th>
<th>LOC</th>
<th>Prj Code</th>
<th>Name/Title of Audit</th>
<th>High Risk (*)</th>
<th>Planned Hours</th>
<th>Primary Core (x)</th>
<th>IT Indicator (3)</th>
<th>Est. Qtr</th>
<th>Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY12</td>
<td>CAM</td>
<td>UCM</td>
<td>PN</td>
<td>New SMG Policies Implementation (Systemwide)</td>
<td>1</td>
<td>150.0</td>
<td>D.d.04</td>
<td>Q4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY12</td>
<td>CAM</td>
<td>UCM</td>
<td>PN</td>
<td>CAT Cards (cash and access)</td>
<td>1</td>
<td>250.0</td>
<td>H.b.02</td>
<td>Q2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY12</td>
<td>CAM</td>
<td>UCM</td>
<td>PN</td>
<td>Parking Operations</td>
<td>1</td>
<td>250.0</td>
<td>M.a.07</td>
<td>Q3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY12</td>
<td>CAM</td>
<td>UCM</td>
<td>PN</td>
<td>Place holder for other Systemwide audits</td>
<td></td>
<td>250.0</td>
<td></td>
<td>Q2 &amp; Q4</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(b) Subtotal - Planned New Audits: 900.0

### Planned Supplemental Audits (lumpsum)

- FY12 CAM UCM PS
- FY12 CAM UCM PNF

(c) Planned Supplemental Audits (lumpsum): 250.0

(d) Audit Follow Up (lump sum): 150.0

**Total Planned Audit Program (a+b+c+d)**: 1300.0

### Planned Advisory Services:

<table>
<thead>
<tr>
<th>FY 12</th>
<th>LOB</th>
<th>LOC</th>
<th>Prj Code</th>
<th>Name/Title of Audit</th>
<th>High Risk (*)</th>
<th>Planned Hours</th>
<th>Primary Core (x)</th>
<th>IT Indicator (3)</th>
<th>Est. Qtr</th>
<th>Completion</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY12</td>
<td>CAM</td>
<td>UCM</td>
<td>SC</td>
<td>Annual Report on Executive Compensation 2011</td>
<td></td>
<td>150</td>
<td>I.b.06</td>
<td>Q3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY12</td>
<td>CAM</td>
<td>UCM</td>
<td>SC</td>
<td>Budget Office</td>
<td></td>
<td>300</td>
<td>F.a.08</td>
<td>Q2</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(e) Subtotal - Planned Advisory Services: 450

### Unplanned Advisory Services (by Category)

- FY12 CAM UCM SC Unplanned SC
- FY12 CAM UCM SE Unplanned SE
- FY12 CAM UCM SI Unplanned SI
- FY12 CAM UCM SP Unplanned SP
- FY12 CAM UCM SU Unplanned SU
- FY12 CAM UCM SR Unplanned SR

(f) Subtotal - Unplanned Advisory Services: 450

**Total Advisory Services (e+f)**: 900

**Total Audits, Advisory Services, and Investigations**: 2000.0

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* (*) Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
* (3) enter lump sums for each applicable AS category (i.e. - SC, SE)
* (1) 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others
* (4) IT Indicators: A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review