

FY	LOB	LOC	Prj Code	Name/Title of Audit	OPTIONAL	Planned	Primary	OPTIONAL	IT	Est. Qtr
					High Risk <sup>(1)</sup>			Hours		
					1, 2, 3					
<b>Planned Carry Forward (PCs):</b>										
FY12			PC							
<b>(a) Subtotal - Planned Carry Forward</b>										
<b>Planned New Audits (PNs):</b>										
FY12	CAM	UCR	PN	Safety & Industrial Hygiene (EH&S)		270	I.b.03		I	3
FY12	CAM	UCR	PN	Center for Nanoscale Science & Engineering (Engineering)		250	A.b.01.21		I	4
FY12	CAM	UCR	PN	Budget Transparency (Resource Planning & Budget Office)		200	F.a.03			2
FY12	CAM	UCR	PN	Primavera Project Management System/ODC Project (ODC)		200	K.a		S	3
FY12	CAM	UCR	PN	e-Pay (Accounting)		150	H.c.02		S	2
FY12	CAM	UCR	PN	Payroll Certification (Accounting)		200	E.f.02		S	3
FY12	CAM	UCR	PN	CHASS Financial Management		300	A.b.01.13			2
FY12	CAM	UCR	PN	CNAS Financial Management		150	A.b.01.02			1
FY12	CAM	UCR	PN	Deferred Maintenance (Physical Plant)		100	K.b.04			1
FY12	CAM	UCR	PN	C&C Network Renewal and Replacement Plan (C&C)		100	G.b.01		G	4
FY12	CAM	UCR	PN	Departmental Control Assessments Post Budget Reduction & Organizational Changes (Campus)		400	H.		I	4
FY12	CAM	UCR	PN	Annual Analytic Review and Fraud Detection (Campus)		600	H.		G	4
FY12	CAM	UCR	PN	SMG/Deans Travel & Entertainment (SW)		100	J.b.06		I	3
FY12	CAM	UCR	PN	Chancellor Housing (SW)		50	J.b.06			3
FY12	CAM	UCR	PN	Annual Report Executive Compensation/SMG Policies (SW)		50	J.b.06			3
FY12	CAM	UCR	PN	Sole Source Purchase Orders (Campus)		250	H.f.04			1
FY12	CAM	UCR	PN	Outside Professional Activities (Campus)		50	H.h.01			3
FY12	CAM	UCR	PN	Student Recreation Center		100	A.d.04		I	2
FY12	CAM	UCR	PN	Student Health Center (including HIPPA - SW)		250	A.d.07		I	2
FY12	CAM	UCR	PN	Laboratory Safety Self-Assessment Review (Campus)		150	I.b.03		I	4
FY12	CAM	UCR	PN	Leave Accounting System (Accounting)		150	J.c.01		S	4
FY12	CAM	UCR	PN	Construction (SW)		230	K.a			4
<b>(b) Subtotal - Planned New Audits</b>						4,300				
FY12			PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		972				
FY12			PNF	<b>(d) Audit Follow Up (lump sum)</b>		340				
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>						5,612				
<b>Planned Advisory Services:</b>										
[Insert specific Advisory Services projects ]										
FY12	CAM	UCR	as - sd	Major Campus Systems - EDI		50	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - G SIS		45	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - LMS/HR		40	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - ePay		40	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - PAMIS		70	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - SIS		50	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - UA		50	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - UCRFS/AP/eBuy		150	G.b.01		S	4
FY12	CAM	UCR	as - sd	Major Campus Systems - Capital Programs		100	G.b.01		S	4
FY12	CAM	UCR	as	NCAA Compliance		20	M.a.01			4
FY12	CAM	UCR	as	Financial Services Organizational Review		100	A.b			4
FY12	CAM	UCR	as	School of Medicine		365	B.			4
FY12	CAM	UCR	as	ERM		50	I.a			4
FY12	CAM	UCR	as	Training - Other		100	H			4
FY12	CAM	UCR	as	Training - WB & Fraud Awareness		30	H			4
FY12	CAM	UCR	as	Training - Accountability & New Employee Orientation		50	H			4
<b>(e) Subtotal - Planned Advisory Services</b>						1,310				
<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>										
FY12			SC	<b>Unplanned SC</b>		275				
FY12			SE	<b>Unplanned SE</b>		60				
FY12			SI	<b>Unplanned SI</b>						
FY12			SP	<b>Unplanned SP</b>						
FY12			SU	<b>Unplanned SU</b>						
FY12			SR	<b>Unplanned SR</b>						
<b>(f) Subtotal - Unplanned Advisory Services</b>										
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>						1,645				
FY10			IN	<b>Planned Investigation Hours (lump sum)</b>		1,900				
<b>Total Audits, Advisory Services, and Investigations</b>						9,157				

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

<sup>(3)</sup> IT Indicators: A – application review  
I – integrated review  
T – technical review  
S – system development or post-implementation review  
G – general controls review