

UCM

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk ⁽¹⁾ 1, 2, 3	Planned Hours	Primary Index Code	Core (x)	IT Indicator ⁽³⁾	Est. Qtr Completion
<u>Prior Year Audits Not Completed (DNFs):</u>										
FY12	CAM	UCM	DNF	none		0.0				
(a) Subtotal - Planned Carry Forward						0.0				
<u>Planned New Audits (PNs):</u>										
FY12	CAM	UCM	PN	New SMG Policies Implementation (Systemwide)	1	150.0	D.d.04			Q4
FY12	CAM	UCM	PN	CAT Cards (cash and access)	1	250.0	H.b.02			Q2
FY12	CAM	UCM	PN	Parking Operations	1	250.0	M.a.07			Q3
FY12	CAM	UCM	PN	Place holder for other Systemwide audits		250.0				Q2 & Q4
(b) Subtotal - Planned New Audits						900.0				
FY12	CAM	UCM	PS	(c) Planned Supplemental Audits (lumpsum)		250.0				
FY12	CAM	UCM	PNF	(d) Audit Follow Up (lump sum)		150.0				
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						1300.0				
<u>Planned Advisory Services:</u>										
FY12	CAM	UCM	SC	Annual Report on Executive Compensation 2011		150	I.b.06			Q3
FY12	CAM	UCM	SC	Budget Office		300	F.a.08			Q2
(e) Subtotal - Planned Advisory Services										
<u>Unplanned Advisory Services (by Category) ⁽²⁾</u>										
FY12	CAM	UCM	SC	Unplanned SC						
FY12	CAM	UCM	SE	Unplanned SE						
FY12	CAM	UCM	SI	Unplanned SI						
FY12	CAM	UCM	SP	Unplanned SP						
FY12	CAM	UCM	SU	Unplanned SU						
FY12	CAM	UCM	SR	Unplanned SR						
(f) Subtotal - Unplanned Advisory Services										
Total Advisory Services (e+f) ⁽¹⁾						450				
FY12	CAM	UCM	IN	Planned Investigation Hours (lump sum)		250				
FY12	CAM	UCM		Total Audits, Advisory Services, and Investigations		2000.0				

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

^(*) 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others

⁽³⁾ IT Indicators:
A – application review
I – integrated review
T – technical review
S – system development or post-implementation review
G – general controls review