

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
Planned Carry Forward (PCs):								
FY06	CAM	UCSC	PN	EFT and ACH Transaction Controls SC-06-05	1	100	H.c.05	X
(a) Subtotal - Planned Carry Forward						100		
Planned New Audits (PNs):								
FY07	CAM	UCSC	PN	Construction Soft Costs: SC-07-01	1	350	K.a.01	
FY07	CAM	UCSC	PN	Executive Compensation: SC-07-02	1	350	J.b.06	X
FY07	CAM	UCSC	PN	Ethics-Conflict of Commitment: SC-07-03	1	400	H.h.-1	
FY07	CAM	UCSC	PN	Special Chancellor Allocations: SC-07-04	1	200	F.a.01	
FY07	CAM	UCSC	PN	Post Consolidation Review-Accounting and Purchasing: SC-07-05	1	400	H.c.01	X
FY07	CAM	UCSC	PN	Post Consolidation Review-Staff Human Resources: SC-07-06	1	400	H.e.01	
FY07	CAM	UCSC	PN	Post Consolidation Review-IT Processes: SC-07-07	1	400	G.a.01	
FY07	CAM	UCSC	PN	Graduate Division: SC-07-08	1	400	A.c.01	
FY07	CAM	UCSC	PN	Entertainment Expenses: SC-07-09	1	300	H.c.03	X
FY07	CAM	UCSC	PN	Record Retention: SC-07-10	1	350	M.b.08	
(b) Subtotal - Planned New Audits						3550		
FY07	CAM	UCSC	PS	(c) Planned Supplemental Audits (lumpsum)		700		
FY07	CAM	UCSC	PNF	(d) Audit Follow Up (lump sum)		300		
FY07	CAM	UCSC		Total Planned Audit Program (a+b+c+d)⁽¹⁾		4650		
Planned Advisory Services:								
FY07	CAM	UCSC		Consultations and non-IT Team Activities		560		
FY07	CAM	UCSC		External Audit Coordination		56		
FY07	CAM	UCSC		Training Others		95		
FY07	CAM	UCSC		Conflict of Interest Coordination		80		
FY07	CAM	UCSC		IT Systems Development/ IT related Teams		80		
(e) Subtotal - Planned Advisory Services						871		
Unplanned Advisory Services (by Category)⁽²⁾						0		
(f) Subtotal - Unplanned Advisory Services						0		
Total Advisory Services (e+f)⁽¹⁾						871		
FY07	CAM	UCSC	IN	Planned Investigation Hours (lump sum)		850		

Total Audits, Advisory Services, and Investigation:

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others