

UCR

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
			PC	Planned Carry Forward (PCs): None				
(a) Subtotal - Planned Carry Forward								
				Planned New Audits (PNs):				
2007	CAM	UCR	PN	Ethics - Conflict of Commitment	1	300	J.b.07	
2007	CAM	UCR	PN	Construction Soft Costs	1	400	K.a.01	X
2007	CAM	UCR	PN	Office of Design & Construction - CHASS I&R	1	20	K.a.01	X
2007	CAM	UCR	PN	Intercollegiate Athletics	1	200	M.a.01	
2007	CAM	UCR	PN	Access to Network Applications	1	150	G.a.02	X
2007	CAM	UCR	PN	Housing - Strategic Planning	1	100	M.a.04	
2007	CAM	UCR	PN	Physical Security of Networked Personal Computers	1	300	G.b.06	X
2007	CAM	UCR	PN	Human Resources - Reclassification and Equity Review Process	1	200	J.b.01	
2007	CAM	UCR	PN	Sabbaticals Process	1	300	A.a.02	
2007	CAM	UCR	PN	Student Fees & Receivables	1	250	A.d.06	X
2007	CAM	UCR	PN	Corporate Compliance Program & Post Award Processing	1	350	E.d.02 (also E.a.06)	X
2007	CAM	UCR	PN	TAPS Citation System	2	100	M.a.07	
2007	CAM	UCR	PN	Physical Plant - Work Order Costing	2	250	K.b.02	
2007	CAM	UCR	PN	Fire Prevention	2	150	I.b.03	
2007	CAM	UCR	PN	Environmental Programs	2	150	I.b.03	
2007	CAM	UCR	PN	Employee Training Program	2	125	J.b.02	
2007	CAM	UCR	PN	Police Department	2	250	I.c.02	
2007	CAM	UCR	PN	Foreign Travel on General Funds	2	300	H.c.04	X
2007	CAM	UCR	PN	IGPP	3	250	E.c.01	
2007	CAM	UCR	PN	CHASS-History Internal Control Review	3	40	A.b.01.18	
2007	CAM	UCR	PN	Honors Program Internal Control Review	3	40	A.b.01	
2007	CAM	UCR	PN	COI - Bioengineering Internal Control Review	3	40	A.b.01.21	
2007	CAM	UCR	PN	Analytic Review and Fraud Detection	3	300	H.c.01	
2007	CAM	UCR	PN	Child Development Center - Receivables System	3	100	M.c.01	
2007	CAM	UCR	PN	Library Acquisitions & Bookfund Accounting	3	200	M.a.05	
2007	CAM	UCR	PN	Intellectual Property	3	200	H.h.03	
2007	CAM	UCR	PN	Executive Compensation	3	400	J.b.06	X
2007	CAM	UCR	PN	Special Chancellor Allocations	3	150	F.a.01	
(b) Subtotal - Planned New Audits						5615		
2007	CAM	UCR	PS	(c) Planned Supplemental Audits (lumpsum)		1662		
2007	CAM	UCR	PNF	(d) Audit Follow Up (lump sum)		200		
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						7477		
				Planned Advisory Services:				
2007	CAM	UCR	SR	Major Campus Systems	1	650	G.b.01	
2007	CAM	UCR	SC	NCAA - Compliance	1	25	M.a.01	
2007	CAM	UCR	SC	Telecommuting Policy	3	50	J.b	
2007	CAM	UCR	SC	UCR Whistleblower Implementing Procedures	3	50	H	
2007	CAM	UCR	SC	Training - Financial System Controls	3	100	H	
2007	CAM	UCR	SC	Training - Whistleblower Policy & Fraud Awareness	3	50	H	
2007	CAM	UCR	SC	Training - Risk & Internal Control	3	25	H	
(e) Subtotal - Planned Advisory Services						950		
				Unplanned Advisory Services (by Category) ⁽²⁾				
2007	CAM	UCR	SC	Consultations		360		
2007	CAM	UCR	SE	External Audit Coordination		20		
2007	CAM	UCR	SI	Internal Control & Accountability		0		
(f) Subtotal - Unplanned Advisory Services						380		
Total Advisory Services (e+f) ⁽¹⁾						1330		
2007	CAM	UCR	IN	Planned Investigation Hours (lump sum)		1600		
Total Audits, Advisory Services, and Investigations						10407		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)
⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others