

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes <sup>(3)</sup> )
<b>Planned Carry Forward (PCs):</b>								
FY07	CAM	UCOP	PC	Office of Lab Management: Expense Review	1	150.0	D.h.01	
FY07	CAM	UCOP	PC	Transfer of Responsibilities to the Master Recordkeeper	1	150.0	D.d.03	
FY07	CAM	UCOP	PC	Procurement: Contracting for Consultant and Contractor Services	2	100.0	H.f.01	X
<b>(a) Subtotal - Planned Carry Forward</b>						400.0		
<b>Planned New Audits (PNs):</b>								
FY07	CAM	UCOP	PN	Office of Health Affairs: Oversight of the Willd Body Program	1	350.0	D.c.02.04	
FY07	CAM	UCOP	PN	UCRS: Covered Compensation	1	400.0	D.d.03.03	
FY07	CAM	UCOP	PN	Executive Compensation	1	400.0	J.b.06	X
FY07	CAM	UCOP	PN	Ethics: Conflict of Commitment	1	400.0	J.b.07	
FY07	CAM	UCOP	PN	Expenses Incurred in Support of Official Responsibilities of the President	1	200.0	J.b.06	X
FY07	CAM	UCOP	PN	Expenses Incurred in Support of Official Responsibilities of the UC Merced	1	200.0	J.b.06	X
FY07	CAM	UCOP	PN	UCOP Administrative Funds	1	100.0	L.a.01	X
FY07	CAM	UCOP	PN	UC Merced Administrative Funds	1	100.0	L.a.01	X
FY07	CAM	UCOP	PN	UC Merced Student Systems: Financial Aid	1	400.0	D.a.07.01	X
FY07	CAM	UCOP	PN	ANR: Sustainable Agriculture Research and Education Program	3	300.0	D.b.04.17	
FY07	CAM	UCOP	PN	Cashiering	3	300.0	H.b.02	X
FY07	CAM	UCOP	PN	Office of Health Affairs: Vector-borne Disease Research Program	3	200.0	D.c.03.04	
FY07	CAM	UCOP	PN	UC Merced Construction Soft Costs	3	400.0	K.a.01.01	X
FY07	CAM	UCOP	PN	Network Management	3	350.0	G.a.02	X
FY07	CAM	UCOP	PN	Administrative Computing General Controls	3	350.0	G.b.05	X
<b>(b) Subtotal - Planned New Audits</b>						4450.0		
FY07	CAM	UCOP	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		896.0		
FY07	CAM	UCOP	PNF	<b>(d) Audit Follow Up (lump sum)</b>		300.0		
<b>Total Planned Audit Program (a+b+c+d)<sup>(1)</sup></b>						6046.0		
<b>Planned Advisory Services:</b>								
FY07	CAM	UCOP	SR	Student Academic Services: CASA Student System	1	100	D.a.02.10	
FY07	CAM	UCOP	SC	Office of the Treasurer: Validation of Benchmark Data for Incentive Plan	3	60	D.g.01	
FY07	CAM	UCOP	SC	Office of Health Affairs Ballot Validation - AIDS	3	20	D.c.03.02	
FY07	CAM	UCOP	SC	Office of Health Affairs Ballot Validation - BCRP	3	60	D.c.03.03	
FY07	CAM	UCOP	SC	Office of Health Affairs Ballot Validation - TRDRP	3	30	D.c.03.01	
FY07	CAM	UCOP	SI	DA/DSA Training Meetings	3	20	H.d.01	
FY07	CAM	UCOP	SI	UCLA Financial Systems Sign-ons	3	20	H.d.01	
FY07	CAM	UCOP	SI	Information Systems Leadership Group Coordination	2	60	G.b.02	
<b>(e) Subtotal - Planned Advisory Services</b>						370		
<b>Unplanned Advisory Services (by Category)<sup>(2)</sup></b>								
FY07	CAM	UCOP	SC	Consultations/Special Projects		740		
FY07	CAM	UCOP	SE	External Audit Coordination		10		
FY07	CAM	UCOP	SI	Internal Control and Accountability		100		
FY07	CAM	UCOP	SR	Systems Dev. /Reengineering		150		
<b>(f) Subtotal - Unplanned Advisory Services</b>						1000		
<b>Total Advisory Services (e+f)<sup>(1)</sup></b>						1370		
FY07	CAM	UCOP	IN	<b>Planned Investigation Hours (lump sum)</b>		800		
<b>Total Audits, Advisory Services, and Investigations</b>						8216.0		

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> indicates core program will be used

\* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others