

UCLA

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes <sup>(3)</sup> )
<b>Planned Carry Forward (PCs):</b>								
<b>Campus</b>								
FY06	CAM	UCLA	PC	AS - UCLA Restaurants - North Campus & Coop	3	260	M.a.03	
FY06	CAM	UCLA	PC	Vanpool Program	2	90	M.b.04	
FY06	CAM	UCLA	PC	Work Order Review	3	400	K.b.02	
<b>Total Planned Carry Forward - Campus</b>						<b>750</b>		
<b>Health Sciences</b>								
FY06	HS	UCLA	PC	Hospital Receivables	1	200	B.i.01	
FY06	HS	UCLA	PC	HS - Laboratories Compliance	2	200	E.a.03	
FY06	HS	UCLA	PC	HS - Veterans Administration./UCLA Housestaff	1	200	H.h.02	
FY06	HS	UCLA	PC	Liver Transplant	1	150	B.g.03	
<b>Total Planned Carry Forward - Health Sciences</b>						<b>750</b>		
<b>(a) Subtotal - Planned Carry Forward</b>						<b>1500</b>		
<b>Planned New Audits (PNs):</b>								
<b>Campus</b>								
FY07	CAM	UCLA	PN	AS-UCLA Facilities Development/Plant Maintenance	2	220	K.b.02	
FY07	CAM	UCLA	PN	AS-UCLA Finance - Accounts Receivable	3	320	A.d.02	
FY07	CAM	UCLA	PN	AS-UCLA Finance - Cash Count Verifications	3	60	A.d.02	
FY07	CAM	UCLA	PN	AS-UCLA Information Systems - General Controls	3	240	A.d.02	
FY07	CAM	UCLA	PN	AS-UCLA Restaurants - LuValle & Outlying Division	2	260	M.a.03	
FY07	CAM	UCLA	PN	AS-UCLA Services Division	3	220	A.d.02	
FY07	CAM	UCLA	PN	Central Ticket Office Recharges	2	250	H.i.01	
FY07	CAM	UCLA	PN	Communications Technology Services Payroll	1	200	H.e.01	
FY07	CAM	UCLA	PN	Communications Technology Services Voice Tool Crib	3	180	H.g.05	
FY07	CAM	UCLA	PN	Dining Services Payroll	1	190	H.e.01	
FY07	CAM	UCLA	PN	Fleet & Transit Services Auto Parts Inventory	2	230	M.b.04	
FY07	CAM	UCLA	PN	Fleet & Transit Services Equipment Management/Shop Operations	2	400	M.b.04	
FY07	CAM	UCLA	PN	Housing Cashiers Office	2	200	H.b.02	x
FY07	CAM	UCLA	PN	Mail, Document and Distribution Services Recharge and Postage Inventory	2	350	H.i.01	
FY07	CAM	UCLA	PN	Office of Residential Life	3	200	A.d.04	
FY07	CAM	UCLA	PN	On-Campus Housing Food Inventory	2	400	M.a.03	
FY07	CAM	UCLA	PN	On-Campus Housing Maintenance Shop	3	250	H.g.05	
FY07	CAM	UCLA	PN	On-Campus Housing Payroll	1	190	H.e.01	
FY07	CAM	UCLA	PN	Transportation Services - Cash Count/Other Miscellaneous	3	200	M.a.07	
FY07	CAM	UCLA	PN	Transportation Services - Cashier's Office/Meter Collection	3	380	M.a.07	
FY07	CAM	UCLA	PN	Transportation Services - Parking Permit Inventory	3	170	M.a.07	
FY07	CAM	UCLA	PN	Transportation Services - Turbo Data Citation Contract	3	250	M.a.07	
FY07	CAM	UCLA	PN	UCLA Catering	2	230	M.a.03	
FY07	CAM	UCLA	PN	UCLA Police Department Personnel/Payroll Admin	1	350	H.e.01	
FY07	CAM	UCLA	PN	UCLA Police Department Property and Evidence Mgmt	3	350	I.c.02	
FY07	CAM	UCLA	PN	UCLA Police Department Travel and Accounts Payable	3	300	H.c.04	
FY07	CAM	UCLA	PN	University Apartments Major Maintenance Reserve	3	250	M.a.04	
FY07	CAM	UCLA	PN	Vending Cashiering Office	2	160	H.b.02	
FY07	CAM	UCLA	PN	Vending Procurement and Inventory	3	250	H.g.05	
FY07	CAM	UCLA	PN	Chancellor's Contingency Fund	1	300	F.a.01	
FY07	CAM	UCLA	PN	Conflict of Commitment	1	400	J.b.07	
FY07	CAM	UCLA	PN	Data Center Operations & Physical Security	1	200	G.b.04	x
FY07	CAM	UCLA	PN	Executive Compensation & Benefits	1	400	J.b.06	x
FY07	CAM	UCLA	PN	Executive Entertainment	1	150	H.c.03	
FY07	CAM	UCLA	PN	Executive Travel	1	150	H.c.04	
FY07	CAM	UCLA	PN	Financial Aid	1	560	A.d.03	x
FY07	CAM	UCLA	PN	Foundation FY 2005-06	2	440	L.a.03	
FY07	CAM	UCLA	PN	Gift Processing	1	380	L.a.05	x
FY07	CAM	UCLA	PN	Joint Ventures/Partnerships/Affiliated Organizations	2	400	H.h.02	
FY07	CAM	UCLA	PN	Major Construction (Soft Cost)	1	200	K.a.01	
FY07	CAM	UCLA	PN	Network Security/Management	2	200	G.a.02	x
FY07	CAM	UCLA	PN	Payroll Processing	1	400	H.e.01	x
FY07	CAM	UCLA	PN	Student Fees & Receivables	3	320	A.d.06	x
FY07	CAM	UCLA	PN	UCLA Performing Arts	3	400	A.b.01.02	
FY07	CAM	UCLA	PN	Facilities - Central Warehouse Inventory Review	2	400	K.b.02	
FY07	CAM	UCLA	PN	Facilities - Energy Services/Utilities Review	2	350	K.b.03	
FY07	CAM	UCLA	PN	Facilities - Job Management Systems Review	2	400	K.b.02	
FY07	CAM	UCLA	PN	Facilities - Trouble Calls Operations Review	2	300	K.b.02	
<b>Total Planned New Audits - Campus</b>						<b>13600</b>		
<b>Health Sciences</b>								
FY07	HS	UCLA	PN	Accounts Payable	1	450	H.c.01	x
FY07	HS	UCLA	PN	ACGME Residency Program Compliance	1	420	J.b.02	
FY07	HS	UCLA	PN	Capital Equipment Management	3	320	H.g.02	
FY07	HS	UCLA	PN	Cashiering/Subcashiering	2	450	H.b.02	x

FY07	HS	UCLA	PN	Corporate Compliance Annual Report	2	320	E.a.06	x
FY07	HS	UCLA	PN	Data Center Operations & Physical Security	1	300	G.b.04	x
FY07	HS	UCLA	PN	Disaster Resource Center	2	300	I.e.01	
FY07	HS	UCLA	PN	Donated Body Program	1	400	B.b.06	
FY07	HS	UCLA	PN	Home Health	1	420	E.a.02	x
FY07	HS	UCLA	PN	Hospital Receivables - NPH Charge Capture	2	320	B.i.01	
FY07	HS	UCLA	PN	Corporate Compliance Element	2	320	E.a.03	
FY07	HS	UCLA	PN	Network Security/Management	2	300	G.a.02	x
FY07	HS	UCLA	PN	Nurse Registries	2	350	J.b.05	
FY07	HS	UCLA	PN	Nutrition	2	420	M.a.03	
FY07	HS	UCLA	PN	Pharmacy	1	475	B.b.04	
FY07	HS	UCLA	PN	Physician Receivables - Physician Practices	1	400	B.i.03	
FY07	HS	UCLA	PN	Physician Receivables - PSS Operations	1	400	B.i.03	
FY07	HS	UCLA	PN	Radiology	1	475	B.b.05	
FY07	HS	UCLA	PN	Transplant Services	1	480	B.g.03	
<b>Total Planned New Audits - Health Sciences</b>						<u>7320</u>		
<b>(b) Subtotal - Planned New Audits</b>						<u>20920</u>		
FY07		UCLA	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		<u>1100</u>		
FY07		UCLA	PNF	<b>(d) Audit Follow Up (lump sum)</b>		<u>1000</u>		
<b>Total Planned Audit Program (a+b+c+d)<sup>(1)</sup></b>						<u>24520</u>		
<b>Planned Advisory Services:</b>								
<b>Consultations/Special Projects</b>								
FY07	CAM	UCLA	SC	Research Integrity	1	400	E.a.06	
FY07	CAM	UCLA	SC	Stem Cell Research	2	350	E.c.01.01	
FY07	CAM	UCLA	SC	Sub-recipient Monitoring	1	460	E.d.02.01	
FY07	HS	UCLA	SC	Compensation (Nursing)	1	225	J.b.01	
FY07	HS	UCLA	SC	Executive Travel	2	275	H.c.04	x
FY07	HS	UCLA	SC	Major Supplies Management	2	380	H.g.05	
FY07		UCLA	SC	Other Consultations/Special Projects		485		
<b>Total Consultations/Special Projects</b>						<u>2575</u>		
<b>Internal Control &amp; Accountability</b>								
FY07	CAM	UCLA	SI	DACSS/ASAP System Support	1	380	G.b.02	
FY07	CAM	UCLA	SI	Training Development Support	1	300	G.b.02	
FY07		UCLA	SI	Other Internal Control & Accountability		955		
<b>Total Internal Control &amp; Accountability</b>						<u>1635</u>		
<b>System Development, Reengineering Teams, etc.</b>								
FY07		UCLA	SR	System Development, Reengineering Teams, etc. Projects		1800		
<b>Total System Development, Reengineering Teams, etc.</b>						<u>1800</u>		
FY07	CAM	UCLA	SE	<b>External Audit Coordination</b>		<u>1600</u>		
<b>(e) Subtotal - Planned Advisory Services</b>						<u>7610</u>		
<b>Unplanned Advisory Services (by Category)<sup>(2)</sup></b>								
<b>(f) Subtotal - Unplanned Advisory Services</b>						<u>0</u>		
<b>Total Advisory Services (e+f)<sup>(1)</sup></b>						<u>7610</u>		
FY07		UCLA	IN	<b>Planned Investigation Hours (lump sum)</b>		<u>2100</u>		
<b>Total Audits, Advisory Services, and Investigations</b>						<u>34230</u>		

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> indicates core program will be used

\* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others