

UNIVERSITY OF CALIFORNIA								SCHEDULE 3	
INTERNAL AUDIT PLAN DETAIL									
FY 2007									
<LOCATION>									
FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)	
				Planned Carry Forward (PCs):					
FY06	HS	UCI	PC	SOM - Pathology	1	200.0	B.e.01.01		
FY06	HS	UCI	PC	UCIMC - ACGME House Staff Hours Limitation	1	200.0	B.h.01.01		
FY06	CAM	UCI	PC	ORU - HRI	1	200.0	E.c.01		
				(a) Subtotal - Planned Carry Forward		600.0			
				Planned New Audits (PNs):					
FY07	CAM	UCI	PN	Executive Compensation and Expenses	1	300.0	J.b.06	x	
FY07	CAM	UCI	PN	Special Chancellor Allocations	1	100.0	F.a.01		
FY07	CAM	UCI	PN	Construction Soft Costs	1	300.0	K.a.02.01		
FY07	CAMHS	UCI	PN	Ethics - Conflict of Commitment	1	300.0	J.b.07		
FY07	CAM	UCI	PN	Athletics, including Bren and ARC	2	600.0	M.a.01		
FY07	CAM	UCI	PN	Child Care Services	2	200.0	M.c.01		
FY07	CAM	UCI	PN	Contracts and Grants: A-21 Compliance	1	400.0	E.d.01	x	
FY07	CAM	UCI	PN	Disbursements	1	400.0	H.c.01	x	
FY07	CAM	UCI	PN	School of Social Sciences	1	400.0	A.b.01.01		
FY07	CAM	UCI	PN	IT - Campus Credit Card System	1	400.0	H.b.02.01		
FY07	CAM	UCI	PN	IT - Network Management	1	300.0	G.a.02	x	
FY07	CAM	UCI	PN	IT - AdCom Data Center	1	300.0	G.b.04	x	
FY07	HS	UCI	PN	UCIMC - Hospital Security	1	200.0	I.c.02.01		
FY07	HS	UCI	PN	UCIMC - Cancer Center	1	400.0	B.e.01.01		
FY07	HS	UCI	PN	UCIMC - Hospital Equipment and Maintenance	1	300.0	B.e.01.05		
FY07	HS	UCI	PN	SOM - Health Care Compliance Program	1	200.0	E.a.06.01	x	
FY07	HS	UCI	PN	SOM - Willd Body Program	1	200.0	B.e.01.02		
FY07	HS	UCI	PN	SOM - Beckman Laser Institute	1	200.0	B.e.01.04		
FY07	HS	UCI	PN	SOM - Pediatrics	1	400.0	B.e.01.03		
				(b) Subtotal - Planned New Audits		5900.0			
FY07	CAMHS	UCI	PS	(c) Planned Supplemental Audits (lumpsum)		600.0			
FY07	CAMHS	UCI	PNF	(d) Audit Follow Up (lump sum)		300.0			
				Total Planned Audit Program (a+b+c+d) ⁽¹⁾		7400.0			
				Planned Advisory Services:					
FY07	CAM	UCI	SC	IT - Peer Review	2	60	G.a.01.01		
FY07	HS	UCI	SC	UCIMC - New Hospital Construction	1	100	K.a.01	x	
FY07	CAM	UCI	SC	IT - Cashiering System	2	300	H.b.02	x	
FY07	HS	UCI	SC	SOM - Samueli Center	1	80	E.c.01.02		
FY07	CAM	UCI	SC	Beckmen Center (by contract)	1	40	E.c.01.01		
FY07	CAMHS	UCI	SC	Department Surveys	2	800			
				(e) Subtotal - Planned Advisory Services		1380			
				Unplanned Advisory Services (by Category) ⁽²⁾					
				<insert sub totals for each applicable advisory service category>					
				(f) Subtotal - Unplanned Advisory Services					
				Total Advisory Services (e+f) ⁽¹⁾		1380			
FY06	CAMHS	UCI	IN	Planned Investigation Hours (lump sum)		2000			
				Total Audits, Advisory Services, and Investigations		10780.0			
				⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report					
				⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)					
				⁽³⁾ indicates core program will be used					
				* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others					