

UCB

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
Planned Carry Forward (PCs):								
FY07	Cam	UCB	PC	Department Visitations	1	80	A.b.01	
FY07	Cam	UCB	PC	Engineering Research Support Office	1	30	E.c.01	
FY07	Cam	UCB	PC	Academic Facilities Office	1	20	K.a.02	
FY07	Cam	UCB	PC	Athletics: Contracts, Advertising and Sponsorships	1	120	M.a.01	
FY07	Cam	UCB	PC	Cost Distribution: Disclosure Statements	2	100	E.f.01	
FY07	Cam	UCB	PC	Payroll: Honoraria	2	20	H.e.02	
FY07	Cam	UCB	PC	Payroll: Employee Eligibility	2	30	H.e.03	X
FY07	Cam	UCB	PC	Sensitive Data and Patch Currency Survey	1	100	G.b.02	X
FY07	Cam	UCB	PC	Backup and Recovery	1	20	G.b.05	X
(a) Subtotal - Planned Carry Forward						520		
Planned New Audits (PNs):								
FY07	Cam	UCB	PN	Department Visitations	1	250	A.b.01	
FY07	Cam	UCB	PN	Lawrence Hall of Science: Cashiering Operations	1	215	A.b.01	
FY07	Cam	UCB	PN	Financial Aid Office: Student Aid	1	350	A.d.03	X
FY07	Cam	UCB	PN	Network Management	1	325	G.a.02	X
FY07	Cam	UCB	PN	Physical Security	2	300	G.b.06	X
FY07	Cam	UCB	PN	Department IT Reviews	3	275	G.c.01	
FY07	Cam	UCB	PN	Procurement & Business Contracts: Purchase Orders	2	263	H.f.04	X
FY07	Cam	UCB	PN	Business Affiliations: IPIRA	1	225	H.h.02	
FY07	Cam	UCB	PN	Payroll: Executive Compensation	1	400	J.b.06	X
FY07	Cam	UCB	PN	Ethics: Conflict of Commitment	1	300	J.b.07	
FY07	Cam	UCB	PN	Construction: Soft Costs	1	200	K.a.01	X
FY07	Cam	UCB	PN	Chancellor's Funds: Administrative Fund and House Maintenance	2	150	L.a.01	
FY07	Cam	UCB	PN	Fundraising & Gift Processing	1	235	L.a.05	X
FY07	Cam	UCB	PN	Intercollegiate Athletics: Sports Camps	1	225	M.a.01	
FY07	Cam	UCB	PN	Intercollegiate Athletics: Ticket Office	1	225	M.a.01	
FY07	Cam	UCB	PN	Residential & Student Service Programs: Procurement Card Expenditures	1	150	M.a.04	
(b) Subtotal - Planned New Audits						4088		
FY07	Cam	UCB	PS	(c) Planned Supplemental Audits (lumpsum)		1850		
FY07	Cam	UCB	PNF	(d) Audit Follow Up (lump sum)		430		
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						6888		
Planned Advisory Services:								
None						0		
(e) Subtotal - Planned Advisory Services						0		
Unplanned Advisory Services (by Category) ⁽²⁾								
Consultations/Special Projects (SC)						1665		
External Audit Coordination (SE)						25		
Internal Control & Accountability (SI)						10		
(f) Subtotal - Unplanned Advisory Services						1700		
Total Advisory Services (e+f) ⁽¹⁾						1700		
FY06		IN		Planned Investigation Hours (lump sum)		1450		

Total Audits, Advisory Services, and Investigations

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others