

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
				<u>Planned Carry Forward (PCs):</u>				
FY07	LAB	LBNL	PC	Payroll	1	450.0	H.e.01	X
						(a) Subtotal - Planned Carry Forward	450.0	
				<u>Planned New Audits (PNs):</u>				
FY07	LAB	LBNL	PN	Cost Allowability	1	800.0	C.c.04	X
FY07	LAB	LBNL	PN	Executive Compensation	1	300.0	J.b.06	X
FY07	LAB	LBNL	PN	Admin Fund	3	150.0	L.a.01	
FY07	LAB	LBNL	PN	Major Construction Projects	1	600.0	K.a.01	X
FY07	LAB	LBNL	PN	Purchasing Process	1	600.0	H.f.04	X
FY07	LAB	LBNL	PN	Maintenance	1	600.0	K.b.04	
FY07	LAB	LBNL	PN	Logical Security	1	500.0	G.b.02	X
FY07	LAB	LBNL	PN	Meals	2	200.0	H.c.03	
						(b) Subtotal - Planned New Audits	3750.0	
FY07	LAB	LBNL	PS	(c) Planned Supplemental Audits (lumpsum)		825.0		
FY07	LAB	LBNL	PNF	(d) Audit Follow Up (lump sum)		500.0		
						Total Planned Audit Program (a+b+c+d)⁽¹⁾	5525.0	
				<u>Planned Advisory Services:</u>				
FY07	LAB	LBNL		OMB 123	3	300	C.c	
FY07	LAB	LBNL		Review of Safety Programs	1	300	I.b.03	
FY07	LAB	LBNL		Appendix B IE	3	400	C.c.02	
						(e) Subtotal - Planned Advisory Services	1000	
				<u>Unplanned Advisory Services (by Category)⁽²⁾</u>				
FY07	LAB	LBNL		Consultations/Spec. Projects, SC		218		
FY07	LAB	LBNL		Ext. Audit Coordination, SE		160		
FY07	LAB	LBNL		Internal Control & Accountability, SI		280		
FY07	LAB	LBNL		IPA, COI & Other, SP		0		
FY07	LAB	LBNL		Systems Dev., Reengineering Teams, etc., SR		940		
						(f) Subtotal - Unplanned Advisory Services	1598	
						Total Advisory Services (e+f)⁽¹⁾	2598	
FY07	LAB	LBNL	IN	Planned Investigation Hours (lump sum)		1936		

Total Audits, Advisory Services, and Investigation:

10059.0

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others