

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
Planned Carry Forward (PCs):								
Medical Center Projects								
FY06	HS	UCSF	PC	Clinics - Hospital Based Clinics	2	100	B.c.01	
FY06	HS	UCSF	PC	Medical Records	2	60	B.b.03	
FY06	HS	UCSF	PC	Medical Billing & Receivables - Hospital Receivables	1	150	B.i.01	X
FY06	HS	UCSF	PC	Medical Billing & Receivables - Physician Receivables	1	100	B.i.03	X
FY06	HS	UCSF	PC	Compliance Program - Profee Monitoring Standards	3	50	E.a.04	X
FY06	HS	UCSF	PC	Medical Services - Emergency	1	100	B.g.01	
FY06	HS	UCSF	PC	Controlled Substances	3	50	I.b.01	
FY06	HS	UCSF	PC	Information Infrastructure - Data Center Consolidation	3	60	G.a.01.03	
FY06	HS	UCSF	PC	Ethics Program		200.0	J.b.02.01	
Campus								
FY06	Cam	UCSF	PC	Contracts & Grants - Post Award Administration	1	100	E.d.02	X
FY06	Cam	UCSF	PC	Minor Construction	3	50	K.a.02	
FY06	Cam	UCSF	PC	Central Administrative Computing -Logical Security - Departments	3	50	G.b.02.02	X
FY06	Cam	UCSF	PC	Clinical Information System (STOR)	3	80	G.b.01.04	X
FY06	Cam	UCSF	PC	Ethics Program	3	200	J.b.02.01	
(a) Subtotal - Planned Carry Forward						1350		
Planned New Audits (PNs):								
FY06	Cam	UCSF	PN	Post Award	1	250.0	E.d.02	X
FY06	Cam	UCSF	PN	Conflict of Interest/Commitment	1	300.0	H.h.01	
FY06	Cam	UCSF	PN	PeopleSoft Contracts & Grants	1	200.0	G.b.01.03.02	X
FY06	Cam	UCSF	PN	Academic Personnel	1	250.0	A.a.02	
FY06	Cam	UCSF	PN	LARC	1	250.0	E.b.02	X
FY06	Cam	UCSF	PN	Cashiering/Sub Cashiering	3	250.0	H.b.02	X
FY06	Cam	UCSF	PN	Network Infrastructure	1	300.0	G.a.02	
FY06	Cam	UCSF	PN	Central Admin - Logical Security	1	250.0	G.b.02	X
FY06	Cam	UCSF	PN	Gladstone	1	250.0	H.h.02.02	
FY06	Cam	UCSF	PN	School of Pharmacy (3)	3	450.0	A.b.01.03	
FY06	Cam	UCSF	PN	Ethics(2)	3	400.0	J.b.02.01	
FY06	HS	UCSF	PN	Surgical Operations	1	250.0	B.g.03	
FY06	HS	UCSF	PN	Supplies Utilization	1	300.0	H.g.05	
FY06	HS	UCSF	PN	Software Acquisition, Devl, Maintenance - PICIS	1	200.0	G.b.01.02.05	X
FY06	HS	UCSF	PN	Ancillary services - Radiology	1	250.0	B.b.05	
FY06	HS	UCSF	PN	Compliance Program - Hospital	1	250.0	E.a.01	X
FY06	HS	UCSF	PN	Software Acquisition,, Devl, Maintenance - Clinical - Care Cast	2	200.0	G.b.01.03	X
FY06	HS	UCSF	PN	Capital Accounting	2	250.0	H.d.04.01	
FY06	HS	UCSF	PN	Procurement - Blanket POs	2	250.0	H.f.04.01	X
FY06	HS	UCSF	PN	Compensation Plans	2	250.0	B.d.01	
FY06	HS	UCSF	PN	Clinics - Hospital Based Clinics (1)	3	100.0	B.c.01	
(b) Subtotal - Planned New Audits						5450.0		
FY06	Cam	UCSF	PS	(c) Planned Supplemental Audits (lumpsum)		500.0		
FY06	CAM	UCSF	PNF	(d) Audit Follow Up (lump sum)		700.0		
FY06	HS	UCSF	PNF	(d) Audit Follow Up (lump sum)		700.0		
Total Planned Audit Program (a+b+c+d) ⁽¹⁾						8700.0		
Planned Advisory Services:								
FY06	CAM	SC		Compliance and Controls Steering Committee	1	50	E.a.06.01	
FY06	CAM	SC		Investigations Workgroup		50	various	
FY06	CAM	SC		University Support Groups Oversight Committee		50	L.a.06	
FY06	CAM	SR		Balanced Scorecard Initiative		150	F.a.	
FY06	HS	SC		HIPPA Security Committee		50	G.b.02.03	X
FY06	CAM	SC		RASG Committee		50	G.a	
FY06	CAM	SC		FASG Committee		50	G.a	
FY06	CAM	SR		Link - Procurement	1	200	G.b.01.03	X
FY06	CAM	SR		FAS Communications Workgroup		50	J.b.03	
FY06	CAM/HS	SE		External Audit Coordination Committee		50	H.h	
FY06	HS	SC		Clinical Enterprise Compliance Committee		50	E.a.	
FY06	HS	SC		HIPPA Steering Committee		50	E.a.	X
FY06	CAM	SI		RAP course		50	E.A	
(e) Subtotal - Planned Advisory Services						900		
Unplanned Advisory Services (by Category) ⁽²⁾								
FY06	CAM	UCSF	SE	External Audit Coordination Unknown		450		
FY06	CAM	UCSF	SI	Internal Control Training Unknown		50		
FY06	CAM	UCSF	SC	Consultations/Special Projects Unknown		600		
FY06	CAM	UCSF	SR	System Development/Reengineering Unknown		90		
(f) Subtotal - Unplanned Advisory Services						1190		
Total Advisory Services (e+f) ⁽¹⁾						2090		
FY06	CAM	UCSF	IN	Planned Investigation Hours (lump sum)		1700		
FY06	HS	UCSF	IN	Investigations		1700		
Total Audits, Advisory Services, and Investigations						14190.0		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activi Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others