

UCSD

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
Planned Carry Forward:								
FY06	CAM	UCSD	PC	Construction Change Order Review 2004-24C	1	200.0	K.a.01	x
FY06	CAM	UCSD	PC	Construction Change Order Review 2005-02	1	100.0	K.a.01	x
FY06	CAM	UCSD	PC	Payroll Post Migration Continuous Audit 2007-07	1	200.0	H.e.01	x
FY06	CAM	UCSD	PC	Material Transfer Agreements 2005-04	1	200.0	H.f.01	x
FY06	CAM	UCSD	PC	Distributed Network Security - Extension 2005-01B	1	200.0	G.a.02	x
FY06	CAM	UCSD	PC	Support Groups 2005-57	1	200.0	L.a.06	
FY06	CAM	UCSD	PC	Ethics Policy 2005-##	1	200.0	J.b.02.01	x
FY06	HS	UCSD	PC	Medical Center Time Reporting 2005-25	1	200.0	H.e.04	x
FY06	HS	UCSD	PC	Medical Center Vendor Contract Mgmt - Red Cross Contract 2005-14	1	200.0	H.f.01	x
FY06	HS	UCSD	PC	Department of Radiology 2005-16	1	200.0	B.e.01.11	
FY06	HS	UCSD	PC	Effort Reporting 2005-12	1	200.0	E.f.02	x
FY06	HS	UCSD	PC	Physician Compensation Plan Management 2005-11	1	200.0	B.d.01	
FY06	HS	UCSD	PC	Ethics Policy 2005-##	1	200.0	J.b.02.01	
(a) Subtotal - Planned Carry Forward						2500.0		
Planned New Audits:								
FY06	CAM	UCSD	PN	Construction Contract Pre Award	1	200.0	K.a.01	x
FY06	CAM	UCSD	PN	Animal Care and Welfare Programs	1	300.0	E.b.02	x
FY06	CAM	UCSD	PN	Logical Security (RACF, J-LINK, Single Sign-on)	1	300.0	G.b.02	x
FY06	CAM	UCSD	PN	Entertainment-Continuous Audit	1	300.0	H.c.03	x
FY06	CAM	UCSD	PN	Student Policies and Judicial Affairs	2	250.0	A.d.00.01	
FY06	CAM	UCSD	PN	Transportation & Parking	1	300.0	M.a.07	
FY06	CAM	UCSD	PN	Ethics Policy	1	200.0	J.b.02.01	x
FY06	CAM	UCSD	PN	Office for Students with Disabilities	2	300.0	A.d.07	
FY06	CAM	UCSD	PN	Libraries	2	300.0	M.a.05	
FY06	CAM	UCSD	PN	Campus Cashiering and Sub-cashiering	1	300.0	H.b.02	x
FY06	CAM	UCSD	PN	Department Audits (Mathematics, CA Sea-Grant College)	1	250.0	A.b.01.11	
FY06	HS	UCSD	PN	Human Subjects	1	300.0	E.b.01	x
FY06	HS	UCSD	PN	Hospital Receivables-Contract Management System	1	300.0	B.i.01	x
FY06	HS	UCSD	PN	Medical Group Cash Management Processes	1	300.0	B.i.03	x
FY06	HS	UCSD	PN	Physician Receivables-Charge Capture Processes	1	300.0	B.i.03	x
FY06	HS	UCSD	PN	Logical Security	2	300.0	G.b.02	x
FY06	HS	UCSD	PN	Pharmacy Inpatient System	1	300.0	B.b.04.01	
FY06	HS	UCSD	PN	Ethics Policy	1	200.0	J.b.02.01	x
FY06	HS	UCSD	PN	Lifesharing Tissue Services	1	300.0	B.b.06	
FY06	HS	UCSD	PN	Accounts Payable	1	300.0	H.c.01	x
FY06	HS	UCSD	PN	Department of Ophthalmology	1	300.0	B.e.01.05	
FY06	HS	UCSD	PN	Graduate Medical Education	1	300.0	B.h.01.02	
FY06	HS	UCSD	PN	Clinical Laboratory Compliance	1	250.0	E.a.03	x
FY06	HS	UCSD	PN	Professional Fee Billing Compliance Monitoring Processes	1	250.0	E.a.04	x
(b) Subtotal - Planned New Audits						6700.0		
FY06		UCD	PS	(c) Planned Supplemental Audits (lump sum)		1361.0		
FY06		UCD	PNF	(d) Audit Follow up (lump sum)		800.0		
Total Planned Audit Program (a+b+c)⁽¹⁾						11361.0		
Planned Advisory Services:								
FY06	CAM	UCSD	SC	Control Environment Surveys	1	200	A.b.01	
FY06	CAM	UCSD	SC	Research Compliance Surveys	2	200	E.a.03.01	
FY06	CAM	UCSD	SC	Stem Cell Research Administration	2	200	H.h.02.01	
FY06	HS	UCSD	SC	Stem Cell Research Administration	2	200	H.h.02.01	
FY06	HS	UCSD	SC	Electronic Medical Records	1	200	B.b.03	
(d) Subtotal - Planned Advisory Services						1000		
Unplanned Advisory Services (by Category)⁽²⁾								
FY06	CAM	UCSD	SC	Consultations/Special Projects	3	1200		
FY06	CAM	UCSD	SE	Ext Audit Coordination	3	800		
FY06	CAM	UCSD	SI	Internal Control & Accountability	3	400		
FY06	CAM	UCSD	SR	Systems Development, Reengineering	3	400		
(e) Subtotal - Unplanned Advisory Services						2800		
Total Advisory Services (d+e)⁽¹⁾						3800		
FY06	CAM	UCSD	IN	Planned Investigation Hours (lump sum)	3	3200		
Total Audits, Advisory Services, and Investigations						18361.0		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report
⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)
⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others