

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes ⁽³⁾)
Planned Carry Forward (PCs):								
FY06	Cam	UCSB	PC	Billing Office/BARC System - Core	1	250	A.d.06.01	X
FY06	Cam	UCSB	PC	Early Outreach Program	3	150	A.e.01.03	
FY06	Cam	UCSB	PC	Select Policies Related to Ethics	1	150	J.b.02.01	
FY06	Cam	UCSB	PC	University Center	2	100	M.a.09.01	
FY06	Cam	UCSB	PC	Alumni Association	3	50	L.a.04	
FY06	Cam	UCSB	PC	Network Management - Core	1	150	G.a.02	X
FY06	Cam	UCSB	PC	Physical Security - Core	1	100	G.b.06	X
FY06	Cam	UCSB	PC	Instructional Development	3	30	A.b.01.58	
FY06	Cam	UCSB	PC	Registrar's Office	3	100	A.d.05	
(a) Subtotal - Planned Carry Forward						1080		
Planned New Audits (PNs):								
FY06	Cam	UCSB	PN	C&G - Pre Award Core	1	300	E.d.01	X
FY06	Cam	UCSB	PN	C & G - Post Award Core	1	300	E.d.02	X
FY06	Cam	UCSB	PN	Cost Dist - Disclosure Statement - Core	3	150	E.f.01	X
FY06	Cam	UCSB	PN	Logical Security - Core	1	300	G.b.02	X
FY06	Cam	UCSB	PN	Time Reporting Core	1	250	H.e.04	X
FY06	Cam	UCSB	PN	Select Policies Related to Ethics Phase II	1	300	J.b.02.01	
FY06	Cam	UCSB	PN	Physical Access Control	1	130	I.c.01.01	
FY06	Cam	UCSB	PN	Student Counseling Visitations	3	150	A.d.01.05	
FY06	Cam	UCSB	PN	Public Affairs	3	30	L.b.01.01	
FY06	Cam	UCSB	PN	UCSB Ventura Center	3	30	A.c.03.02	
FY06	Cam	UCSB	PN	Office of International Students	3	30	A.b.01.68	
FY06	Cam	UCSB	PN	Environmental Studies	3	30	A.b.01.22	
(b) Subtotal - Planned New Audits						2000		
FY06	Cam	UCSB	PS	(c) Planned Supplemental Audits (lumpsum)		750		
FY06	Cam	UCSB	PNF	(d) Audit Follow Up (lump sum)		282		
Total Planned Audit Program (a+b+c+d)⁽¹⁾						4112		
Planned Advisory Services:								
FY06	Cam	UCSB	SC	Purchasing Card Program	3	50	H.f.03	
FY06	Cam	UCSB	SC	Policy Review - COI	1	50	H.h.01	
FY06	Cam	UCSB	SC	Export Control	3	100	E.d	
FY06	Cam	UCSB	SC	HIPAA Compliance	3	25	A.d.07	
FY06	Cam	UCSB	SC	Emergency Response Preparedness	2	100	I.d.01	
FY06	Cam	UCSB	SC	Income and Recharge Rates	2	50	H.i.01	
FY06	Cam	UCSB	SC	Strategic Planning	1	100	G.a.01	
(e) Subtotal - Planned Advisory Services						475		
Unplanned Advisory Services (by Category)⁽²⁾								
FY06	Cam	UCSB	SR	Systems Dev. Reengineering Teams		100		
FY06	Cam	UCSB	SI	Internal Control and Accountability		200		
FY06	Cam	UCSB	SE	External Audit Coordination		50		
FY06	Cam	UCSB	SP	IPA, COI, & Other		100		
FY06	Cam	UCSB	SC	Consultations/Special Projects		425		
(f) Subtotal - Unplanned Advisory Services						875		
Total Advisory Services (e+f)⁽¹⁾						1350		
FY06	Cam	UCSB	IN	Planned Investigation Hours (lump sum)		1650		
Total Audits, Advisory Services, and Investigations						7112		

⁽¹⁾ Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

⁽²⁾ enter lump sums for each applicable AS category (i.e. - SC, SE)

⁽³⁾ indicates core program will be used

* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others