

UCR (3/23/2005)

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes <sup>(3)</sup> )
				<b>Planned Carry Forward (PCs):</b>				
2006	CAM	UCR	PC	UNEX - Q2 Post Implementation	1	150	A.c.03	
2006	CAM	UCR	PC	Ethics - Phase I	3	200	J	
						<b>(a) Subtotal - Planned Carry Forward</b>	350	
				<b>Planned New Audits (PNs):</b>				
2006	CAM	UCR	PN	Academic Personnel - Faculty Retention	1	125	A.a.02	
2006	CAM	UCR	PN	AGSM	1	250	A.b.01.28	
2006	CAM	UCR	PN	Cashiering Control Reviews	1	250	H.b.02	x
2006	CAM	UCR	PN	Office of Design & Construction	1	20	K.a.01	
2006	CAM	UCR	PN	Academic Conflict of Interest	1	150	H.h.01	
2006	CAM	UCR	PN	UCR Foundation	1	200	L.a.03	
2006	CAM	UCR	PN	Human Resources - Recruitment/Staffing	1	250	J.b.04	
2006	CAM	UCR	PN	Network Management	1	200	G.a.02	x
2006	CAM	UCR	PN	Procurement Cards	1	110	H.f.03	x
2006	CAM	UCR	PN	Physics	1	200	A.b.01.12	
2006	CAM	UCR	PN	IRB-Animal Subjects	3	150	E.b.02	x
2006	CAM	UCR	PN	C&G - Pre Award	3	250	E.d.01	x
2006	CAM	UCR	PN	Disclosure Statements	3	120	E.f.01	x
2006	CAM	UCR	PN	Comparative Literature and Foreign Languages - Limited Review	3	100	A.b.01.14	
2006	CAM	UCR	PN	Ethics - Phase II	1	300	J.b.02.01	
2006	CAM	UCR	PN	COE Dean's Office	3	150	A.b.01.21	
2006	CAM	UCR	PN	UC Mexus	3	200	A.b.01	
2006	CAM	UCR	PN	Effort Reporting	2	180	E.f.02	x
2006	CAM	UCR	PN	TAPS Citation System	2	100	M.a.07	
2006	CAM	UCR	PN	School of Education - Copernicus Grant	3	100	A.b.01.27	
2006	CAM	UCR	PN	Desert Campus	3	100	A.b.01	
2006	CAM	UCR	PN	ASUCR	2	150	A.d.02	
2006	CAM	UCR	PN	SEVIS Compliance	3	110	I.d.01	
2006	CAM	UCR	PN	Research Park	3	100	H.h.02	
2006	CAM	UCR	PN	EFT	3	100	H.c.05	x
2006	CAM	UCR	PN	Analytic Review and Fraud Detection	3	350	H.c.01	x
2006	CAM	UCR	PN	Physical Plant-Work Order Costing	3	200	K.b.02	
2006	CAM	UCR	PN	Employee Eligibility	2	200	H.e.03	x
2006	CAM	UCR	PN	Credit Card Controls & Policy Compliance	3	100	H.b.02	
						<b>(b) Subtotal - Planned New Audits</b>	4815	
2006	CAM	UCR	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		1050		
2006	CAM	UCR	PNF	<b>(d) Audit Follow Up (lump sum)</b>		280		
						<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>	6495	
				<b>Planned Advisory Services:</b>				
2006	CAM	UCR	SR	Major Campus Systems	1	600	G.b.01	x
2006	CAM	UCR	SC	UCR Whistleblower Implementing Procedures	3	50	H	
2006	CAM	UCR	SC	Training - Financial System Controls	3	450	H	
2006	CAM	UCR	SC	Training - Whistleblower Policy & Fraud Awareness	3	100	H	
2006	CAM	UCR	SC	Training - Risk & Internal Control	3	50	H	
2006	CAM	UCR	SC	NCAA - Compliance	2	25	M.a.01	
						<b>(e) Subtotal - Planned Advisory Services</b>	1275	
				<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>				
2006	CAM	UCR	SC	Consultations		300		
2006	CAM	UCR	SE	External Audit Coordination		20		
2006	CAM	UCR	SI	Internal Control and Accountability		100		
						<b>(f) Subtotal - Unplanned Advisory Services</b>	420	
						<b>Total Advisory Services (e+f) <sup>(1)</sup></b>	1695	
2006	CAM	UCR	IN	<b>Planned Investigation Hours (lump sum)</b>		2000		
						<b>Total Audits, Advisory Services, and Investigations</b>	10190	

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> indicates core program will be used

\* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others