

UCOP

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes <sup>(3)</sup> )
<b>Planned Carry Forward (PCs):</b>								
FY06	CAM	UCOP	PC	Selected Policies Related to Ethics	1	300.0	J	
FY06	CAM	UCOP	PC	UCRS: Service Credits	1	300.0	D.d.03.03	
FY06	CAM	UCOP	PC	Office of the Treasurer: Oversight of External Investment Managers	1	25.0	D.g.02	X
FY06	CAM	UCOP	PC	Industry University Cooperative Research Program	3	75.0	D.a.10.01	
FY06	CAM	UCOP	PC	ANR: Research and Extension Center Administrative Office Review	3	100.0	D.b.04.19	
<b>(a) Subtotal - Planned Carry Forward</b>						800.0		
<b>Planned New Audits (PNs):</b>								
FY06	CAM	UCOP	PN	HIPAA Privacy and Security at UCOP	1	250.0	G.b.02.01	X
FY06	CAM	UCOP	PN	Endowments: General Endowment Pool	1	300.0	D.g.02	X D.g.08
FY06	CAM	UCOP	PN	UC Merced: Review of Banner Student System	1	350.0	D.a.07.01	X A.d.06
FY06	CAM	UCOP	PN	Office of Lab Management: Expense Review	1	250.0	D.h.01	
FY06	CAM	UCOP	PN	Office of Health Affairs: Oversight of the Willed Body Program	1	250.0	D.c.02.04	
FY06	CAM	UCOP	PN	UCRS: Highest Average Plan Compensation	1	200.0	D.d.03.03	
FY06	CAM	UCOP	PN	Transfer of Responsibilities to the Master Recordkeeper	1	250.0	D.d.03	
FY06	CAM	UCOP	PN	Selected Policies Related to Ethics	1	400.0	J.b.02.01	
FY06	CAM	UCOP	PN	Procurement: Contracting for Consultant and Contractor Services	2	250.0	H.f.01	X H.f.04
FY06	CAM	UCOP	PN	California Policy Research Center (CPRC)	3	200.0	D.a.05	E.c.01
FY06	CAM	UCOP	PN	Network Management	3	250.0	G.a.02	X
FY06	CAM	UCOP	PN	Disbursements: Travel and Entertainment	3	200.0	H.c.03	X H.c.04
FY06	CAM	UCOP	PN	ANR: Genetic Resources Program Administrative Review	3	200.0	D.b.04.07	
FY06	CAM	UCOP	PN	Cashiering	3	200.0	H.b.02	X
FY06	CAM	UCOP	PN	Office of Health Affairs: Vector-borne Disease Research Program	3	150.0	D.c.03.04	
<b>(b) Subtotal - Planned New Audits</b>						3700.0		
FY06	CAM	UCOP	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		457.0		
FY06	CAM	UCOP	PNF	<b>(d) Audit Follow Up (lump sum)</b>		400.0		
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>						5357.0		
<b>Planned Advisory Services:</b>								
FY06	CAM	UCOP	SC	Office of the Treasurer: Validation of Benchmark Data for the Incentive Pla	3	60	D.g.01	
FY06	CAM	UCOP	SC	Information Security Initiatives	1	40	G.b.02	X
FY06	CAM	UCOP	SC	Office of Health Affairs - TRDRP	3	30	D.c.03.01	
FY06	CAM	UCOP	SC	Office of Health Affairs - AIDS	3	30	D.c.03.02	
FY06	CAM	UCOP	SC	Office of Health Affairs - BCRP	3	60	D.c.03.03	
FY06	CAM	UCOP	SI	UCLA Financial System Security Sign-on	3	120	H.d.01	
FY06	CAM	UCOP	SI	DA/DSA Training and Updates	3	20	H.d.01	
FY06	CAM	UCOP	SR	Effort Reporting System Development	2	80	E.f.02	X
FY06	CAM	UCOP	SC	Data Analysis: Payroll and Expenditure Data	3	80	H.c.01	H.e
<b>(e) Subtotal - Planned Advisory Services</b>						520		
<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>								
FY06	CAM	UCOP	SC			800		
FY06	CAM	UCOP	SI			180		
FY06	CAM	UCOP	SR			160		
<b>(f) Subtotal - Unplanned Advisory Services</b>						1140		
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>						1660		
FY06	CAM	UCOP	IN	<b>Planned Investigation Hours (lump sum)</b>		800		
<b>Total Audits, Advisory Services, and Investigations</b>						7817.0		

<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report

<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)

<sup>(3)</sup> indicates core program will be used

\* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others