

UCI

FY	LOB	LOC	Prj Code	Name/Title of Audit	High Risk* 1, 2, 3	Planned Hours	Primary Index Code	Core (X=Yes <sup>(3)</sup> )
<b>Planned Carry Forward (PCs):</b>								
FY06	CAM	UCI	PC	Fund Acctg and Gift Processing	1	40.0	L.a.05	X
FY06	HS	UCI	PC	UCIMC Pharmacy/COM Pharm.	1	40.0	B.b.04	
FY06	HS	UCI	PC	SOM C&E Med	1	40.0	B.e.01.04	
FY06	HS	UCI	PC	SOM PBG	1	40.0	B.i.03	X
FY06	HS	UCI	PC	UCIMC Cash Collections Ambulatory	1	40.0	H.b.02	X
FY06	CAM	UCI	PC	Ethics	1	400.0	J.b.02.01	
<b>(a) Subtotal - Planned Carry Forward</b>						600.0		
<b>Planned New Audits (PNs):</b>								
FY06	HS	UCI	PN	SOM - Pathology	1	400.0	B.e.01.01	
FY06	HS	UCI	PN	SOM - Radiation Oncology	1	400.0	B.e.01.05	
FY06	HS	UCI	PN	SOM - Cancer Center	1	400.0	B.e.01.04	
FY06	HS	UCI	PN	SOM - Anatomy & Neurobiology	1	320.0	B.e.01.02	
FY06	HS	UCI	PN	SOM - Microbiology	1	320.0	B.e.01.03	
FY06	HS	UCI	PN	SOM - Willd Body Program	1	200.0	B.e.01.06	
FY06	HS	UCI	PN	UCIMC ACGME house staff hours limitation	1	200.0	B.h.01.01	
FY06	HS	UCI	PN	UCIMC - Managed Care Contracts	1	400.0	B.f.03	
FY06	HS	UCI	PN	Compliance Program	1	300.0	E.a.01	X
FY06	CAM	UCI	PN	Endowment Funds	1	400.0	L.a.02	
FY06	CAM	UCI	PN	Social Sciences	1	400.0	A.b.01.01	
FY06	CAM	UCI	PN	Personal Identity Data, SB 1386	1	200.0	G.b.03	
FY06	CAM	UCI	PN	Financial Aid - eligibility compliance	1	400.0	A.d.03	X
FY06	CAM	UCI	PN	Housing - operations	1	400.0	M.a.04	
FY06	CAMHS	UCI	PN	Ethics	1	400.0	J.b.02.01	
FY06	CAM	UCI	PN	Logical Security - Ad Com Services and Registrar	1	400.0	G.b.02	X
FY06	CAM	UCI	PN	Physical Security	1	400.0	G.b.06	X
FY06	CAM	UCI	PN	Electronic Payment Processing	1	400.0	H.b.02.01	X
FY06	HS	UCI	PN	Medicare Cost Reports	1	424.0	B.i.02	X
FY06	HS	UCI	PN	Hospital Receivables	1	600.0	B.i.01	X
<b>(b) Subtotal - Planned New Audits</b>						7,364.0		
FY06	CAMHS	UCI	PS	<b>(c) Planned Supplemental Audits (lumpsum)</b>		800.0		
FY06	CAMHS	UCI	PNF	<b>(d) Audit Follow Up (lump sum)</b>		400.0		
<b>Total Planned Audit Program (a+b+c+d) <sup>(1)</sup></b>						9164.0		
<b>Planned Advisory Services:</b>								
FY06	CAM	UCI	SC	D&CS, Major Construction - New Hospital	2	400.0	K.a.01	
<b>(e) Subtotal - Planned Advisory Services</b>						400.0		
<b>Unplanned Advisory Services (by Category) <sup>(2)</sup></b>								
<insert sub totals for each applicable advisory service category>						0.0		
<b>(f) Subtotal - Unplanned Advisory Services</b>						0.0		
<b>Total Advisory Services (e+f) <sup>(1)</sup></b>						400.0		
FY06	CAMHS	UCI	IN	<b>Planned Investigation Hours (lump sum)</b>		1300.0		
<b>Total Audits, Advisory Services, and Investigations</b>						10864.0		
<sup>(1)</sup> Must tie with Audit Program and Advisory Services on Schedule 2 Activity Report								
<sup>(2)</sup> enter lump sums for each applicable AS category (i.e. - SC, SE)								
<sup>(3)</sup> indicates core program will be used								

\* 1 = Top 10 scores, 2 = next top 10 scores, 3 = all others